MICROBIOTA DERIVED METABOLITES AS MODULATORS OF

INFLAMMATION

IN NON-ALCOHOLIC FATTY LIVER DISEASE

A Dissertation

by

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ABSTRACT

Non-alcoholic fatty liver disease (NAFLD) is the most prevalent chronic liver disease in western countries and characterized by a spectrum of liver injuries ranging from simple steatosis to steatohepatitis (NASH) with or without fibrosis. Among the patients with NAFLD, about 10-20% of them have NASH and may eventually progress to fibrosis, cirrhosis and liver carcinoma. Accumulating evidences indicate gut microbiota plays an important role in NAFLD and different bacterial taxa have been correlated to disease. However, the specific metabolites through which the microbiota exert their effects and the target pathways in host cells are not fully understood. Our previous work demonstrated that intestinal microbiota are capable of producing bioactive molecules that engage host cellular pathway. The goal of this study is to investigate the hypothesis that gut microbiota derived metabolites could directly act on the liver macrophages to modulate inflammation and this affects the progression of NAFLD.

Utilizing liquid chromatography-mass spectrometry metabolomics we identify two tryptophan-derived metabolites – tryptamine (TA) and indole-3-acetate (I3A) – that require the microbiota and are depleted in high-fat diet mice compared to low fat diet mice. Both I3A and TA reduced palmitate and LPS induced production of pro-inflammatory cytokines (TNF α , IL-1 β and MCP-1) in macrophages, as well as inhibited macrophage migration toward the chemokine MCP-1.

We identified that both palmitate and LPS reduced levels of p-AMPK and the p-AMPK activator AICAR reduced palmitate and LPS induced inflammatory cytokine production. The addition of I3A and TA reversed p-AMPK reduction induced by palmitate and LPS. siRNA

knockdown of AMPK in macrophages partially abrogated I3A and TA's anti-inflammatory effects suggests that I3A and TA's effect is dependent on AMPK signaling.

In summary, these studies demonstrated that gut microbiota derived metabolites can modulate inflammatory responses in macrophages by engaging the AMPK signaling pathway.

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CHAPTER I

INTRODUCTION

Non-alcoholic fatty liver disease (NAFLD) is the most prevalent chronic liver disease in Western countries and is characterized by a spectrum of liver injuries ranging from simple accumulation of fat in the liver to inflammation and scarring (1). Steatosis of the liver is the first step in NAFLD and its characteristic feature is the accumulation of lipids in the liver tissue (1). While simple steatosis is reversible, the progression of steatosis to steatohepatitis, or steatosis with a significant inflammatory component, is generally considered an irreversible progression of the disease. However, clinical studies have shown that among NAFLD patients, only 10-20% of patients with steatosis develop steatohepatitis (2). Apart from chronic inflammation, other triggers such as hypertension, weight gain and diabetes all can contribute to steatohepatitis, and eventually the development of fibrosis, cirrhosis and liver carcinoma (2). Although the pathogenesis of NAFLD has been extensively investigated, the factors underlying the initiation of steatosis and the irreversible progression of simple steatosis to steatohepatitis are poorly understood.

Several factors such as excessive levels of free fatty acids (FFAs) from dietary fat intake or lipolysis of adipose tissue, increased *de-novo* lipogenesis by liver, decreased fatty acid oxidation, and decreased secretion of hepatic very low density lipoprotein (3) have been proposed as contributors to hepatic steatosis. Of these, the supply of fatty acids from adipose tissue lipolysis is the primary contributor and has been shown to account for almost 60% of hepatic lipid accumulation (4). Triglycerides (TG) are the main lipids stored in the liver (5) and TG pathways elicit both negative and protective effects in NAFLD (5-9). Thus, it is hypothesized that TG in hepatocytes serves as a biomarker instead of leading to lipotoxicity directly. A two-hit model is commonly used to investigate the pathogenesis of steatosis and NAFLD (10). In this model, steatosis or lipid accumulation represents the first hit that arises due to the increased accumulation of fatty acids and *de-novo* lipogenesis in the liver. Inflammation, increased expression of inflammatory cytokines and generation of reactive oxygen species (ROS), and decreased hepatic ATP production are second hits which result in the progression of steatosis to steatohepatitis (11). Although the two-hit model is well accepted and captures several aspects of NAFLD pathogenesis such as not all patients with steatosis develop hepatic inflammation (2) and in rodent models of NAFLD, steatosis can be induced by relatively short time high fat diet feeding (12) but extended diet feeding with additional stimuli such as fructose supplemental is necessary to induce NASH (13), many variations to the model have been proposed.

Jou et al. (9) proposed that hepatocyte death and lack of cell repair is a putative "third hit" in the progress of NASH. They suggest that as sustained inflammation (manifested as aberrant cytokine profiles and oxidative stress) persists, hepatocyte anti-inflammatory and anti-oxidative stress mechanisms are eventually overwhelmed, leading to increased rate of hepatocyte death (9). Since cell death can result in further activation of macrophages and production of chemokines leading to infiltration of other immune cells such as monocytes and neutrophils, the initial hepatocyte cell death may act in a feed-forward manner to amplify hepatic inflammation and eventually leads to the development of NASH.

In a different model, hepatic inflammation has been proposed to precede steatosis in NAFLD. This model is based on the observation that hepatic inflammatory stress can exacerbate lipid accumulation in hepatic cells and fatty liver in mice (14). Tilg et al. have also proposed a parallel-hit hypothesis in which multiple gut-derived and adipose tissue-derived factors induce hepatic inflammation and lead to liver damage (15). Together, these studies demonstrate that while

it is accepted that inflammation plays a key role in the progression of steatosis to steatohepatitis, there is little consensus on the causative role of inflammation in NAFLD. As a result, a detailed understanding of the molecular mechanisms are currently lacking.

In the last decades, a large body of evidences showed that the AMP-activated protein kinase is involved in hepatic lipid metabolism and increasing the activity of AMPK has been proposed as a therapeutic target for NAFLD.

The AMP-activated protein kinase (AMPK) is an energy sensing protein complex and essential in balancing cellular nutrition supply and energy demands through integrating hormonal signals and coordinating metabolic pathways (16).

The downstream substrates of AMPK are typically energy metabolic enzymes and proteins involved in transcription regulation, it is not surprising that deficiency of AMPK is related to the appearance of metabolic syndrome including obesity, diabetes, cardiovascular disease and NAFLD (17). Evidences showed that both two main pathological factors in NAFLD, lipid overload (18) and inflammatory signals (19) can inhibit AMPK activity. The deficiency of AMPK found in various cells with metabolic diseases make it possible that AMPK activation is important in counteract inflammation. Thus, AMPK might be a promising therapeutic target and activation of AMPK through pharmacological activator improves NAFLD (20).

Obesity is thought to be a major risk factor for NAFLD, as obesity is often observed in NAFLD patients (1). Since recent studies have identified the gut microbiota as an emerging factor contributing to obesity and related diseases (21), alterations in the microbiota have also been proposed to underlie the etiology of hepatic steatosis and steatohepatitis (22). The gut microbiota is involved in carrying out several functions that are essential to the host, including the metabolism of dietary compounds, synthesis of essential nutrients such as vitamins, defense against pathogen

colonization (23, 24), development of the immune system (25, 26) as well as conditioning and maintenance of homeostasis in the GI tract (26, 27). The impact of the gut microbiota is not limited to the GI tract, as recent studies have identified correlations or causal roles for the microbiota in peripheral organs as well. The gut-liver (28), gut-lung (29), and gut-brain (30) axis that have been proposed clearly highlight the importance of the GI tract microbiota in host physiology.

Several mechanisms have been proposed to explain the contribution of the microbiota to the pathogenesis of NAFLD. One of the simplest mechanisms through which the microbiota can contribute to the development of steatohepatitis is through increased exposure to lipopolysaccharide (LPS). Dysbiosis of the microbiota, or the alteration of the community that is characterized by a reduction in the diversity of the community (31, 32), leads to increased abundance of Gram-negative bacteria such as *Bacteroidetes* (33) that in turn leads to an increase in the levels of endotoxins LPS load. Microbiota dysbiosis also decreases the barrier integrity of the intestine, and leads to an increase in the translocation of bacterial endotoxins from the lumen. The increase in circulating levels of endotoxins like LPS triggers the initiation of pro-inflammatory cytokine production in several mesenteric organs including the liver and adipose tissue depots (22).

Recently, Le Roy et al. demonstrated the potential causal involvement of microbiota in the progress of NASH using fecal transplantation experiments in mice (34). When high fat diet (HFD) fed germ-free (GF) mice were inoculated with bacteria isolated from feces of HFD-fed hyperglycemic mice, they developed steatohepatitis. On the other hand, HFD-fed GF mice inoculated with bacteria from HFD-fed normoglycemic mice only showed mild steatosis. This study suggests that the intestinal microbiota and their metabolic products may have a causal role in determining whether simple steatosis can progress to steatohepatitis.

Henao-Mejis et al. demonstrated that inflammasome deficiency leads to an altered gut microbiota composition, which in turn exacerbates hepatic steatosis and inflammation (35). Another potential possibility is that dybiosis leads to increased generation of toxic metabolic byproducts which could result in an elevated chemical burden on the liver. The association between the intestinal microbiota and fatty liver development under choline deficiency has also been reported in a clinical study (36). Taken together, these studies strongly suggest a causal link between microbiota dysbiosis and steatohepatitis. However, the identities of microbiota species, target cells in the host, and signaling pathways that are modulated are only partially known.

Recent findings suggest that bioactive metabolites produced by the intestinal microbiota could be the mediators through which the microbiota modulate host phenotypes. Studies have shown that microbiota metabolites such as short chain fatty acids (e.g., butyrate) directly affect the development of gut immune system (37), inflammation (38) and defense against pathogens (38). In addition, recent work from our lab and others have proposed that metabolites produced by the microbiota reactions from dietary tryptophan (e.g., indole, indole-3-aldehyde) attenuate indicators of inflammation in multiple cell types and in mouse models of disease (39-42). Moreover, the detection of microbiota-derived metabolites in circulation (43) further supports the hypothesis that the microbiota, through the molecules that it produces, can module host cell signaling and phenotypes at distant sites such as the liver and suggest communication between the gut and the liver through microbiota-derived metabolites.

The central hypothesis of this dissertation is that alterations in the microbiota that are manifested through changes in the levels of its metabolites plays a causative role in the progression of steatosis to steatohepatitis. We further propose that these metabolites enter circulation and exert their immunomodulatory activities on different host cells. Specifically, we focus on the resident macrophages (Kupffer cells) in the liver actions as they are well established to be involved in the liver inflammatory response. We hypothesize that the metabolites produced by intestinal microbiota can directly act on resident macrophages to modulate inflammation, and this affects the progression of steatosis to steatohepatitis. This hypothesis will be tested through three specific aims.

Aim1: To identify microbiota derived metabolites which are altered in steatosis. We will utilize an untargeted metabolomics strategy to identify microbiota derived metabolites that are altered in a murine model of NAFLD. Mice fed a high fat diet (HFD) and normal chow control (LFD) will be used for these studies. Metabolites will be extracted from different luminal sites (caecal contents, fecal pellets), liver tissue, and serum. Different LC-MS approaches will be used for separating and identifying metabolites that are differentially altered. We will initially focus on tryptophan-derived metabolites as our prior work has shown that tryptophan-derived metabolites elicit anti-inflammatory effects in different cell types, including macrophages. The expected outcome is the identification of metabolites that are increased in HFD as potentially mediators of inflammation while those that are decreased in HFD mice would be putative beneficial metabolites produced by the microbiota.

Aim2: To characterize the effects of microbiota metabolites on macrophage inflammation. We will test the hypothesis that microbiota metabolites modulate the inflammation component in NAFLD by regulating inflammation in macrophages. Our working model is that metabolites can modulate cytokine signaling and macrophage migration to modulate inflammation. We will treat macrophages with FFAs (e.g., palmitic acid) followed by LPS to mimic the two-hit *in vitro* model of NAFLD. The production of inflammatory cytokines (TNF α , IL-1 β and MCP-1) will be quantified using qRT-PCR and ELISA, and used as indicators of macrophage inflammation. In

addition, the ability of macrophages to migrate towards inflammatory stimuli in the presence of microbiota metabolites will be investigated using primary bone-marrow derived macrophages. The expected outcome is the elucidation of the role for microbiota metabolites in attenuating the inflammation component during NAFLD.

Aim3: To elucidate the mechanism underlying the effects of microbiota metabolites on macrophage inflammation. Since AMPK activation is inhibited by both lipid loading and LPS exposure, and the activation of AMPK improves NAFLD progress, we will test the hypothesis that microbiota metabolites modulate macrophage inflammation through upregulating AMPK levels. We will analyze changes in the levels of AMPK in macrophages treated with FFAs or LPS in the presence of microbiota metabolites. We will reduce AMPK levels in macrophages using siRNA, and use any changes in inflammatory cytokine levels to determine the role of AMPK in mediating the responses observed with microbiota metabolites.

1.1 Innovation

Although many hypotheses have been proposed, including on the role of the microbiota, to explain the pathophysiology of NAFLD, very few studies have identified specific molecules and their target pathways in the liver. The proposed work is significant as it will help understanding the role of specific microbiota-derived metabolites in NAFLD and can lead to the development of postbiotics for improving liver health and function. Second, the molecular pathways through which microbiota metabolites mediate their effects in host cells are poorly understood, and the proposed work will lead to a mechanistic understanding on these interactions. Third, identifying the pathways/molecular targets will also lead to a fundamental understanding on how non-canonical ligands such as microbiota metabolites are sensed in host cells.

CHAPTER II

BACKGROUND AND LITERATURE REVIEW

2.1 Introduction

Non-alcoholic fatty liver disease (NAFLD) is the most prevalent chronic liver disease in the western countries (44). Globally, the prevalence of NAFLD is at around 25% with highest in South America and the Middle East. In the United States, the number of NAFLD patients was approximately 83.1 million in 2015, which is about 25% of the population. This number is projected to expand to more than 100 million by the year 2030 (45). Notably, the projected at-risk population is expected to have NASH, which is an advanced and more detrimental stage of NAFLD (45). Although NAFLD is typically accompanied by an increase in body weight and obesity in Western countries, there is a large portion of NAFLD patients in Asia who have normal body weight index (46). The increase in the prevalence of NAFLD is expected to lead to an increase in number of cirrhosis and hepatocellular carcinoma (HCC) patients (47, 48).

Although steatosis can be diagnosed based on imaging and lab tests, NASH can only be diagnosed by liver biopsy (49). NASH patients also have increased risks of both liver and nonliver related outcomes such as cirrhosis, liver cancer and cardiovascular diseases (50). A large fraction of liver cancers in NASH patients occur much earlier compared to HCCs in other liver diseases (51, 52), and these tumors also tend to be larger and resistant to curative therapies (53).

2.2 Risk factors in NAFLD

Although significant progress has been made on understanding the pathogenesis of NAFLD, key drivers such as factors driving steatosis to NASH and optimal animal models are poorly understood. Many risk factors such as diet, diabetes and genetics have been proposed to describe the progression of NAFLD from steatosis to NASH (**Figure 2.1**) (2); however, they do not

adequately capture the progression of disease. Metabolic syndrome is thought to be the strongest risk factor in NASH (54) and includes a wide variety of conditions including obesity, hyperglycemia, systemic hypertension as well as dyslipidemia (55). The relationship between metabolic syndrome and NAFLD is bidirectional, as metabolic syndrome increases the risk of NASH and NASH further enhances features of metabolic syndrome (56, 57).

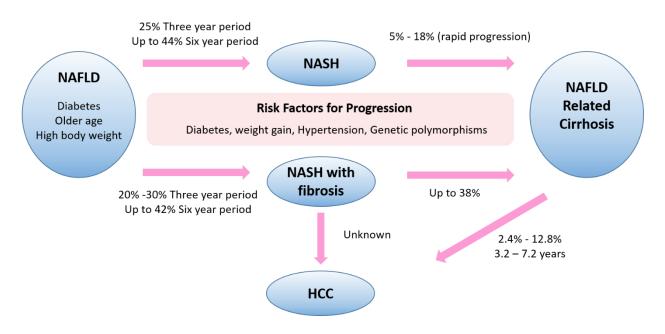


Figure 2.1 The progression of NAFLD to NASH with or without fibrosis and HCC (adapted from Ref. 2 (2)).

2.2.1 Diet

Dietary intake and composition affects metabolism and plays a significant role in the development of NAFLD. Saturated FAs (SFAs) and trans-FAs promote inflammation in the liver due to their lipotoxicity (58, 59). FAs also affect intestinal microbiota, impairing the intestinal barrier integrity, and leading to endotoxemia (60). FAs and endotoxin synergistically promotes the production of ceramides in macrophages which further augments hepatic lipotoxicity (61). Conversely, polyunsaturated fatty acids (PUFA) positively regulate hepatic lipogenesis and FA oxidation through modulation of genes such as the peroxisome proliferator-activated receptor alpha (PPAR α) and sterol regulatory element-binding proteins (SREBP) (62, 63). PUFA are precursors of eicosanoids which play an important role in the modulation of inflammation. Among the PUFAs, n-3 PUFA has been associated with anti-inflammatory effects while n-6 PUFA is pro-inflammatory (64). In many chronic diseases including NAFLD, the ratio of n-6: n-3 PUFA is increased due to an imbalance in the diet (65).

Cholesterol intake also contributes to the development of steatosis and hepatic inflammation by altering lipid metabolism and mitochondria function in the liver (66). The dysregulation of cholesterol metabolism can promote oxidative stress and progression of steatosis to NASH, increased disease severity, and cardiovascular risk in some NAFLD patients (67, 68).

Dietary fructose leads to NASH even in the absence of obesity (69). Fructose increases hepatic *de novo* lipogenesis (DNL) and impairs FA oxidation; thereby, contributing to lipid accumulation (69). Moreover, fructose increases gluoconeogenesis and liver insulin resistance through upregulation of related kinase and glucose transporter expression, such as GLUT5, PI3K, PKC and MAPK (70-72). Fructose also promotes the development of NASH by increasing oxidative stress, production of inflammatory mediators such as TNF α and TGF- β , and affecting intestinal bacterial composition (70, 71).

2.2.2 Diabetes

The link between diabetes and NAFLD is thought to be the clearest compared to other risk factors (54). Nearly 75% of patients with type 2 diabetes (T2D) have NAFLD, and the prevalence of

NASH, fibrosis and other liver related complications is higher in patients with both diabetes and NAFLD (73-75). Both T2D and NAFLD are strongly associated with insulin resistance (76). As insulin resistance develops, liver inflammation develops due to the increase in FFAs and proinflammatory cytokines such as IL-6, $TNF\alpha$ and leptin, as well as a concomitant decrease in antiinflammatory adiponectin from adipose tissue (77, 78). T2D has also been shown to be associated with HCC. As insulin resistance progresses, the increased concentration of insulin in circulation leads to higher production of insulin-like growth factor 1 (IGF-1) which is a hormone that stimulates cellular proliferation and inhibits apoptosis in the liver (79, 80). Insulin also stimulates insulin receptor substrate-1 (IRS-1) to promote HCC development (81). On the other hand, adiponectin has been shown to decrease angiogenesis and affect cellular apoptotic response thus has a protective role in HCC development (82). Thus, insulin resistance that is common in T2D leads to cellular proliferation and growth in the liver, and contributes to the development of HCC. 2.2.3 Hypertension

Approximately 50% of patients with hypertension (HTN) have NAFLD, and blood pressure is strongly associated with NAFLD in both normotensive and hypertensive individuals (83-85). The presence and severity of NAFLD is associated with HTN, pre-HTN, and arterial stiffness (86, 87). Therefore, the relationship between HTN and NAFLD is bi-directional, as increased blood pressure values predicts the progression of NAFLD and the diagnosis of NAFLD predicts the risk of HTN (88).

HTN has also been correlated with increased fibrosis in NAFLD (89, 90). It has been reported that the renin-angiotensin-aldosterone (RAA) system plays a role in the development of HTN and fibrosis in a rat HTN model (91). NASH patients express higher levels of genes encoding

angiotensinogen compared to healthy individuals (92) and certain nucleotide polymorphism of angiotensin receptor has been shown to relate to fibrosis in NASH (93).

Systemic insulin resistance and inflammation in NAFLD may activate the proinflammatory transcription factor NF- κ B (94, 95) and sympathetic nervous system (96) to promote the development of HTN. Altered adipokine profile in NAFLD can also activate sympathetic nervous system and induce inflammation to lead to HTN (97-99). Moreover, the secretion of cytokines such as fibroblast growth factor-21, retinol-binding protein-4 and fetuin-A from inflamed liver are reported to play a pathogenic role in the development of HTN (100).

2.2.4 Genetics

Several genes that encode proteins involved in the regulation of lipid metabolisms have been strongly associated with the progression of NASH (101, 102). A single nucleotide polymorphism (SNP) at position 148 in the PNPLA3 gene is the most well characterized genetic variant linked to NASH (103-105). Wild type PNPLA3 protein has hydrolase activity for triglyceride and thus plays an important role in regulating lipolysis of lipid droplets in hepatocytes (106-108). The isoleucine to methionine substitution at position 148 in PNPLA3 causes loss of hydrolase activity, and leads to the entrapment of TG in hepatocytes and HSCs, contributing further to liver damage (104, 106-109). Experiments using animal models have shown that overexpression or knock-in of the I148M mutation also give rise to higher susceptibility to hepatic fat accumulation after excess carbohydrate feeding (110). The underlying mechanism has been speculated to be related to the accumulation of the I148M mutation in the lipid droplet, resulting in the reduced degradation by the proteasome and inhibition of other lipase activity in hepatocytes (110).

Other genes that have been found to associate with NASH development are: transmembrane 6 superfamily member 2 (TM6SF2), Membrane bound O-acyltransferase domain-

containing 7 (MBOAT7) and glucokinase regulator (GCKR) (102). TM6SF2 is involved in the pathway of very low density lipoprotein (VLDL) secretion from hepatocytes (102). A SNP at position 167 in TM6SF2 gene results in a loss of function thus induce higher contents of TG and lower amount of circulating lipoproteins (102). MBOAT7 is a protein that involved in the remodeling of phosphatidyllinostitol (111, 112). Genetic variant of the *MBOAT7* gene downregulate both mRNA and protein level of MBOAT7, is associated with the risk of NAFLD, inflammation and fibrosis (111, 112). GCKR regulates DNL and genetic variance induced loss of function mutation is associated with hepatic fat accumulation (113).

2.3 Pathogenesis of NAFLD

A "two-hit" model has been widely used for studying the pathogenesis of NAFLD. In this model, lipid accumulation in the liver is thought to be the first hit and additional stimuli such as increased levels of inflammatory cytokines, increased reactive oxygen species (ROS) and decreased hepatic ATP production are regarded as possible second hits that result in the progress of steatosis to NASH (11). Many signaling pathways have been identified to contribute to the development of NASH. However, the idea that NASH always progresses from steatosis is debatable as studies observed that hepatic inflammatory stress can exacerbate lipid accumulation in hepatocytes and fatty liver in mice (14), suggesting that hepatic inflammation precedes steatosis in NAFLD. On the other hand, pathogenic drivers for NASH are not likely to be the same with different animal models or all patients. Thus, the mechanisms underlying the pathogenesis of NASH are highly heterogeneous and a parallel hit model has been proposed, in which multiple gut-derived, adipose tissue-derived, as well as systemic factors are considered as drivers inducing hepatic inflammation during NASH(15, 55) (**Figure. 2.2**) (1).

2.3.1 Hepatic lipid metabolism

The liver tissue is the metabolic hub of the body and has a central role in the metabolism of glucose, lipid and proteins. Although there are many variations in the proposed models of NASH pathogenesis, it is well accepted that the liver's capacity to handle energy metabolism plays a significant role. When the liver's metabolic function is overwhelmed, it will lead to the accumulation of toxic metabolites which can induce hepatocellular inflammation, injury and cell death (114-117).

Triglycerides (TG) are synthesized in the liver by FA esterification and are the main form in which lipids are stored in the liver. FAs are primarily delivered into the liver from adipose tissue lipolysis following excessive food intake. A secondary source of FAs is through de novo lipogenesis (DNL), a complex process that synthesizes FAs from glucose and fructose derived from the adipose tissue and the liver (4, 118, 119). Upon intake, FAs are bound to fatty acid binding protein (FABP) and then metabolized through mitochondrial β-oxidation or esterification to form TG (54). Patients with NASH have impaired mitochondria function and impaired FA β-oxidation (120, 121). Although it has been proposed that TG formation is a protective response to excess supply of FAs that exceeds the liver's metabolic capacity, some studies also suggest that excessive TG may also contribute to metabolic abnormalities in NAFLD (122-124). Diacylglycerol acyltransferase 2 (DGAT2) is the enzyme that catalyzes the final step in hepatic TG synthesis (6). Using a model in which NASH is induced by maintaining mice on a methionine and choline deficient diet (MCD), Yamaguchi et al. demonstrated that inhibiting TG synthesis through DGAT2 inhibition resulted in amelioration of steatosis in obese mice but exacerbated liver damage and fibrosis (8). Monetti et al. showed that mice with overexpression of liver DGAT2 developed hepatic steatosis with increased TG accumulation in the liver but with normal in plasma glucose

and insulin levels. Insulin resistance, which is a common metabolic syndrome closely linked with NAFLD, was also not observed (7). The formed TGs can be exported to the circulation as very low-density lipoprotein (VLDL) or used to form lipid droplets in hepatocytes. These droplets may serve as the precursor to for FA synthesis in the liver which further worsens lipid metabolism (54).

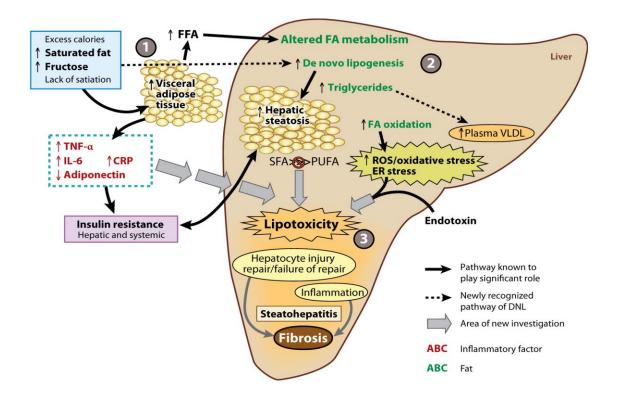


Figure 2.2 Current concepts in the pathways of steatosis and NASH, reprinted from ref. 1

(1). Three major intersecting components are highlighted in current concepts of NAFLD. 1. Increased VAT and insulin resistance. Excess calories intake especially with increased saturated fat and fructose consumption causes VAT increase and stimulates DNL. Increased VAT changes its cytokine profile that secreted to the circulation including increased pro-inflammatory cytokine (TNF α , IL-6) and decreased anti-inflammatory adiponectin to cause and further worse insulin resistance as a feed forward manner. 2. Altered FA metabolism in the liver. With increased DNL from the adipose tissue, FFAs that delivered to the liver is increased, results in an increased load of FA metabolism within the liver. DNL by the liver, esterification into TG as well as FA oxidation are all affected. 3. The effects of lipotoxicity. When the compensatory mechanisms for altered FA metabolisms failed, lipotoxicity happens. In some patients, lipotoxicity leads to NASH and fibrosis. When the lipid metabolism is dysregulated, toxic lipids such as ceramide, diacylglycerols and lysophosphatidyl choline species are formed and accumulate in the liver, leading to lipotoxicity (125-128). The accumulation of toxic lipids can cause endoplasmic reticulum (ER) stress, oxidative stress, inflammasome activation, as well as activation of apoptotic pathways to cause hepatic injury (129-131). Since cell death can result in further activation of macrophages and production of chemokines leading to infiltration of other immune cells such as monocytes and neutrophils, the initial hepatocyte cell death may act in a feed-forward manner to amplify hepatic inflammation and promote the development of NASH (9). Thus, it has been proposed that hepatocyte death and liver injury is a putative "third hit" in the progress of NASH (9).

2.3.2 The role of adipose tissue in NAFLD

The adipose tissue is also a metabolic active organ that plays an essential role in maintaining energy balance. It can store fat as TG and release free FAs (FFAs) from fat depending on the energy demands (132). The two main sources of FFAs in adipose tissue are uptake from serum or from DNL, and through the activity of transport enzymes such as fatty acid transport protein (FATP) and fatty acid translocase (CD36) (133). In healthy individuals, insulin stimulates GLUT4-mediated glucose uptake in adipose tissue and promotes esterification of FFAs into TG (134). Simultaneously, the activity of lipoprotein lipase (LPL) which is the enzyme that contributes to lipid storage under fed state is increased (135). In obese individuals, the expression of adipose tissue LPL is increased resulting higher FFAs levels from adipose tissue (136, 137). Studies have found that both CD36 and FATP are also overexpressed in obese patients (138). Although the up-regulation of these transport proteins is a compensation mechanism for excessive FAs, over-

expression of CD36 in adipocytes and macrophages within adipose tissue causes inflammation and cell death (139).

Furthermore, with increased caloric intake, enzymes involved in TG synthesis are also upregulated in order to store excess TG, leading to further enlargement of adipocytes (140). When adiposity is increased, adipocytes become dysfunctional through the onset of insulin resistance and the release of cytokines (140). Insulin resistance in adipose tissue leads to imbalanced FFA release that further results in systemic insulin resistance including the liver (141). Cytokines released from adipose tissue such as IL-6 and TNF α have been shown to have pro-inflammatory effects in the liver (142). Another cytokine that mainly produced by adipocytes and decreased during NAFLD (142) is adiponectin, and has protective effects such as suppression hepatic lipogenesis, suppression of hepatic glucose uptake, stimulation of FAs oxidation as well as inhibition of proinflammatory cytokines (143).

2.3.3 Insulin resistance

The liver tissue plays a central role in lipid and glucose metabolism and is sensitive to insulin action (144). NAFLD is associated with features of insulin resistance, and insulin resistance is thought to contribute to the pathogenesis of NAFLD (145). Lipid accumulation in the liver reduces its responsiveness to insulin which causes an increase in the levels of serum glucose and insulin, and results in chronic hyperinsulinemia (146). However, the causal relationship between hepatic fat deposition and insulin resistance is not fully understood (146). Hepatic insulin resistance is associated with FA metabolism and the production of FA metabolites, such as ceramides, DAG and fatty acyl-coA (147). In healthy individuals, insulin stimulates tyrosine kinase activity of the insulin receptor and activates downstream signaling (148). In NAFLD, toxic lipids such as DAG can activate protein kinase C (PKC) which inhibits the insulin receptor kinase, and results in

blocked insulin action. This also leads to reduced activity of phosphoinositol 3-kinase (PI3K) and protein kinase Akt2, which results in the release of glucose into the circulation (147, 149). On the other hand, saturated FAs can cause hepatic insulin resistance through activation of toll-like receptor 4 (TLR4) pathway, leading to NF-κB activation, *de novo* synthesis of ceramides. Ceramides can activate protein phosphatase 2A, which can directly inhibit Akt phosphorylation (150, 151).

Hepatic insulin resistance also increases glucose production and leads to hyperglycemia (152). Moreover, lipid metabolism in the liver is also affected by insulin resistance (153). In NAFLD, hepatic uptake of FAs is increased through the action of insulin on CD36 and increased lipolysis from adipose tissue (4). Hyperinsulinemia as a result of insulin resistance also increases FA synthesis via DNL and increases the production of malonyl-coA to impair FA beta-oxidation. Malonyl-coA inhibits carnitine palmitoyltransferase 1 (CPT1), which is the enzyme that regulates FAs β -oxidation in mitochondria (154, 155). Mitochondria dysfunction, which has been found in parts of NASH patients can also cause insulin resistance and toxic lipid metabolites production which can further impair insulin action (156).

2.3.4 Inflammation

Hepatic inflammation promotes liver fibrogenesis that can lead to cirrhosis and even HCC (157); thus, it has been proposed that inflammation is an important driving force in the development of NAFLD (157). Inflammation is the host response to infection or tissue injury that coordinates clearance of the infection agent, cellular defense, and tissue repair mechanisms via secretion of inflammatory mediators, such as chemokines and cytokines (158). However, persistent inflammation is also harmful as chronic inflammatory responses can exacerbate tissue injury and lead to the development of NASH and fibrosis in NAFLD (158). There are multiple triggers of

hepatic inflammation in NAFLD, including those produced in the liver as well as those derived from the adipose tissue and gut (158).

2.3.4.1 Dietary component and lipotoxicity

Diet plays an important role in the pathogenesis of NAFLD since some dietary components directly promote hepatic inflammation. Previous studies have indicated that toxic lipid metabolites, and not TG, are the primary triggers of liver inflammation and disease progression (114). These triggers include saturated fatty acids (SFAs) such as palmitate and stearate which are the most abundant SFAs in high-fat diet and can cause lipotoxicity directly. SFAs activate JUN N-terminal kinase (JNK) (159-161), enhance ER stress and promote inflammasome activation to induce hepatocyte apoptosis (162). On the other hand, dysregulated lipid metabolism as a result of increased FFAs influx into the liver generates toxic lipid metabolites such as ceramides, lysophosphatidycholine (LPC), diacylglycerols and oxidized fatty acids that can act as ROS (128). Moreover, palmitate-mediated LPC production can also activate pro-inflammatory responses in macrophages through stimulation of pro-apoptotic signaling in hepatocytes (163-165).

Cholesterol has also been shown to cause hepatotoxicity and is associated with the progression of NASH (166). NASH patients have higher levels of cholesterol in the liver compared to healthy individuals (167). The accumulation of cholesterol has been observed in the liver of both mice and human NASH subjects (166), and omitting cholesterol from the high-fat, high-cholesterol diet in mice prevented the formation of foam macrophages and hepatic inflammation (168). The accumulation of free cholesterol in most liver cells such as hepatocytes, macrophages and hepatic stellate cells (HSCs) causes oxidative stress, ATP depletion, mitochondrial dysfunction and apoptosis via disrupting membrane fluidity (169). Cholesterol crystals that present in the lipid droplet of hepatocytes in both human and mouse NASH leads to activation and

cholesterol loading of macrophages (170). Furthermore, cholesterol crystals also activates the NLRP3 inflammasome and caspase 1 in macrophages, leading to TNF and IL-1 β secretion (170-172). In summary, the accumulation of cholesterol in macrophages and HSCs activates signaling pathways that might contribute to hepatic inflammation in NAFLD (166, 168, 170, 171, 173).

Another dietary risk factor for the development of metabolic syndrome and NAFLD is fructose (174-176). Fructose reduces mitochondrial β -oxidation and ATP production (177, 178) and upregulates the expression of inflammatory genes in the liver (179, 180). In rats and mice, high fructose intake is associated with hepatocellular ballooning, inflammation, impaired insulin signaling, increased liver fibrosis and dyslipidemia (181-184). Moreover, fructose also disrupts intestinal membrane integrity and causes microbiota dysbiosis that activate liver macrophages to trigger inflammation (185-187). In mice and primates, high fructose feeding has been shown to cause increased TNF and LPS serum levels, and monkeys that fed with fructose showed rapid liver damage in the absence of weight gain and hepatic steatosis (187).

2.3.4.2 Adipose tissue inflammation

It is well accepted that the adipose tissue (AT) dysfunction plays a central role in the development of NASH. Macrophages in visceral AT (VAT) produces cytokines and chemokines, such as TNF α and MCP-1, which correlates with hepatic inflammation and fibrosis in human NAFLD (188). The causal role of VAT inflammation in NAFLD is supported by mouse studies that showed upregulation of macrophage inflammatory gene expression in VAT preceded gene expression changes in the liver (189). Another hallmark of AT dysfunction is the infiltration and accumulation of macrophages in VAT. The infiltrated macrophages surround dead adipocytes to form "crownlike" structures and are associated with the secretion of pro-inflammatory cytokines, such as TNF α , IL-6 and IL-1 β (190). AT secretes a variety of cytokines, hormones, complements and growth factors that are often referred as adipokines, and their expression is altered in NAFLD. For example, increased levels of leptin and decreased levels of adiponectin are observed in NAFLD, and correlates with disease severity (191). Adiponectin reduces insulin resistance and liver steatosis, and also has anti-inflammatory and anti-apoptotic properties (192, 193). Conversely, TNF and IL-6 promote insulin resistance and their levels are elevated in the serum of NAFLD patients and correlate with NASH severity and fibrosis stage (193).

2.3.4.3 Gut-liver axis

Recent studies have shown that dysbiosis of the microbial community correlates with NASH in both animal models and human studies (194, 195). The underlying mechanism that has been proposed is that dysbiosis causes intestinal barrier dysfunction, leading to bacterial translocation across the intestinal barrier, and eventually to liver inflammation (158). It has been shown that the circulating levels of endotoxin are higher in NASH patients compared to healthy individuals (196). Endotoxin can activate inflammatory cells such as macrophages through the TLR4 pathway and trigger inflammation. Not surprisingly, the absence of TLR4 abrogates hepatic inflammation and liver injury in a mouse model of NASH (197). On the other hand, deletion of the flagellin receptor TLR5 in hepatocytes exacerbates liver disease in mice fed with methionine and choline deficient diet (MCD) and high fat diet (HFD) due to the impaired ability to eliminate circulating bacteria (198). Mice deficient in junction adhesion molecule A (JAMA), a tight junction protein, show increased gut permeability and bacterial translocation, which drives the progression of NASH (199). Patients with NASH also have lower expression of JAMA in the colonic mucosa thus have increased mucosal inflammation (199). Therefore, a reduction in the integrity of intestinal barrier might drive liver inflammation and the progression of NASH.

Bile acids (BAs) are important metabolic molecules that are involved in the pathophysiology of NASH. The synthesis and secretion of BAs require both the liver and the gut microbiota (200, 201). BAs have essential regulatory effects on carbohydrates and lipid metabolism through the farnesoid X-activated receptor (FXR) and G protein-coupled BA receptor 1 (TGR5) (200, 201). Dysregulation of FXR and TGR5 leads to altered lipid and glucose homeostasis and inflammation and fibrosis (200, 201). Furthermore, BAs can shape the intestinal microbiome via their antimicrobial effect and FXR-induced production of antimicrobial peptides (202). The gut microbiota can also modify the composition of BA pool through defined enzymatic activities which potentially promote NASH progression (202). It has been shown in an experimental model of NASH that the transport of BAs in the liver is decreased leading to increased exposure of hepatocytes to BAs and liver injury (203, 204). Puri et al. also reported that conjugated primary BAs were higher in NASH patients and changes in BAs composition were associated with the histological features of NASH (205). Thus, alternations in BAs metabolism might play a role in hepatic inflammation and fibrosis progression.

2.3.4.4 Innate immunity

The innate immune system has been proposed to act as a metabolic sensor against metabolicrelated stress such as dysregulated lipid metabolism (158). It eliminates immunogenic molecules through pattern recognition pattern receptors (PRR) that recognize and binds to pathogen associated molecular patterns (PAMP) expressed on bacterial pathogens, and damage associated molecular pattern (DAMP) present in damaged tissue and dead cells (206). As with all immune responses, an overactive innate immune response becomes pathogenic and leads to the progression of NASH (158). It has been shown that PRRs play an essential role in the pathophysiology underlying NASH (207). Toll-like receptors (TLRs) are a well-known PRRs family found in liver cells (208). Activation of TLR signaling leads to transcriptional activation of inflammatory cytokine and chemokine gene expression (208). TLR2, TLR4 and TLR9 are the most studied TLRs in liver disease especially in NASH and are all found to be overexpressed in NASH livers (208, 209). Genetic ablation of TLR2, TLR4 and TLR9 attenuate liver inflammation in animal models of NASH (209, 210).

Besides TLRs, NOD-like receptors (NLRs) are also found to be associated in NASH. NLRs are intracellular PRRs that recognize both PAMPs and DAMPs (158). The NLRP3 inflammasome is the most studied NLR and found to be related to inflammation and the progression of NASH (158). The expression of NLRP3 is increased in both mice and human NASH, indicating their role in contributing inflammation and fibrosis (211). NRLP3 components are expressed not only on macrophages in the liver but also on non-immune cells such as hepatocytes and HSCs. Kupffer cells (KCs) isolated from NASH mice express higher levels of NRLP3 inflammasome components (212) and activation of NRLP3 inflammasome in HSCs leads to the transdifferentiation of HSCs into collagen-producing myofibroblasts that might contribute to firbrosis (213).

As the most abundant immune cells, KCs are the liver resident macrophages, and play a central role in controlling inflammation. Upon activation, KCs expand rapidly and secrete cytokines and chemokines, such as IL-1 β , TNF α and MCP-1 that contribute to protective and apoptotic signaling in hepatocytes and recruitment of other immune cells (214, 215). It has been reported that the infiltrated monocytes in response to MCP-1 signaling is a crucial pathogenic event that promotes NASH and subsequent fibrosis (216, 217). Depletion of KCs in early stage of NASH ameliorated liver damage and abrogated monocytes infiltration (218). Therefore, the secretion of cytokines and chemokines by KCs is thought to be important in the initiation of

NASH, and monocyte infiltration in response to secreted chemokines further augments and amplifies hepatic inflammation (218).

Besides macrophages, bone marrow derived neutrophils can also be activated by PAMPs and DAMPs (219). Like monocytes, neutrophils also respond to chemokines produced by KCs, and after migration to the site of inflammation, induce liver injury through production of ROS, inflammatory mediators and release of granule proteins (220). Once inflammation is resolved, neutrophils undergo apoptosis and induce signaling for repair of damages tissue (220). When neutrophil function is dysregulated, the ability of the organism to resolve inflammation is affected and may contribute to the propagation of inflammation in NASH (220).

2.4 The role of macrophages in NAFLD

As the most abundant immune cells in the liver, macrophages play a central role in restricting and initiating hepatic inflammation (221) (**Figure. 2.3** (222)).

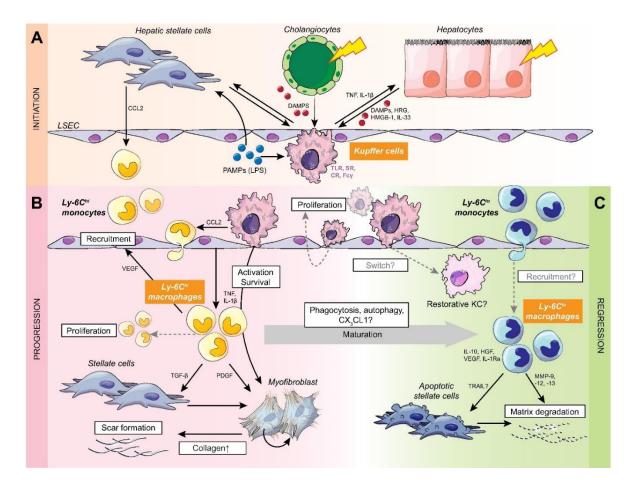


Figure 2.3 Role of macrophages in the initiation, progression and regression of liver diseases, reprinted from ref. 222 (222). A. Role of macrophages in the initiation of liver disease. KCs can be activated by DAMPs that released by hepatocytes or HSCs during liver injury. They also can be activated through translocated PAMPs such as LPS. Activated KCs secretes cytokines such as TNF, IL-1 β to further contribute to liver injury. B. Macrophages in the progression of liver injury. Activated KCs also secretes chemokines such as CCL2 to recruit circulated monocytes infiltration. The recruited Ly-6C^{hi} monocytes will differentiate into inflammatory macrophages in the liver to further amplify liver damage. During chronic injury, these Ly-6C^{hi} macrophages activate HSCs to become collagen-producing myofibroblasts to contribute to fibrosis. C. the regression of liver injury. When liver injury cease, Ly-6C^{hi} macrophages mature into anti-inflammatory Ly-6C^{low} macrophages to promote resolution of the tissue injury.

2.4.1 Subtypes of hepatic macrophages

Hepatic macrophages are highly heterogeneous with different functions in the progression of liver diseases (223). There are main two types of liver macrophages: Kupffer cells and monocytes derived macrophages. Kupffer cells (KCs) are liver resident, non-migrating and selfrenewing phagocytes that originate from yolk sac-derived progenitor cells during embryogenesis (224-226). KCs are effective phagocytic cells that can recognize, process and degrade foreign material, pathogens and cellular debris to maintain liver homeostasis (227). In healthy individuals, KCs interact with regulatory T cells to maintain immune tolerance (228). However, KCs express several receptors such as pattern recognition receptors (TLRs), scavenger receptors and complement receptors (229). When activated through TLRs upon infection, KCs can also induce immunogenic T-cells responses (228). The expression of scavenger receptors ensure KC function in the clearance of apoptotic cell debris and maintenance of iron homeostasis (230).

The hepatic macrophage pool can be rapidly expanded through recruitment of bloodderived monocytes. In mice, there are two major population of circulating monocytes: Ly-6C^{high} and Ly-6C^{low} monocytes (222). Ly-6C^{high} monocytes expresses PRRs and chemokine receptors such as CCR2 and are thought to differentiate into pro-inflammatory macrophages after infiltration into the liver (231, 232). Ly-6C^{low} monocytes express more scavenging receptors and elicit "patrolling behavior" in the liver (228, 233, 234). It was indicated that Ly-6C^{high} monocytes primarily migrate from bone marrow (235) while the spleen is the major source of Ly-6C^{low} monocytes (236). Under liver injury or hepatic inflammation, KCs and other liver cells secrete chemokines such as MCP-1 to recruit Ly-6C^{high} monocytes to the injured liver for rapidly expanding the macrophage pool (232, 237, 238). Moreover, when KCs are reduced due to liver injury or experimentally depleted, monocytes can replace KCs and generate an indistinguishable phenotype as KCs (233, 239, 240). In summary, both KCs and monocyte derived macrophages are highly plastic and can exhibit different phenotypes based on the signals in the hepatic microenvironment (241, 242).

Traditionally, macrophages have been described as two functional subgroups: M1 and M2 subtypes (243, 244). M1 macrophages are inflammatory macrophages that secrete proinflammatory cytokines such as IL-6, IL-12, TNFα and IL-1β when treated with interferon- γ (IFN- γ), TNFα and LPS *in vitro* (245). M2 macrophages or alternatively activated macrophages are antiinflammatory cells that are involved in tissue repair and are differentiated in response to IL-4, IL-10 and IL-13 (245). While this model captures some functional aspects of macrophage function, it is too simplistic to describe the polarization of hepatic macrophages. Macrophages are highly plastic and heterogenic and their response are shaped by integration of multiple signals (246). Human monocytes derived macrophages display a broad spectrum of transcriptomic macrophage activation states when exposure to diverse stimuli (247). Macrophages often express inflammatory and anti-inflammatory markers simultaneously in liver injury (232, 242). Therefore, instead of the simple M1/M2 paradigm, a specific macrophage polarization should be described with the source of macrophages, the specific stimuli and markers that used to define macrophages activation (244). *2.4.2 The role of macrophages in liver tissue inflammation*

The activation of KCs is a key step in the initiation and progression of liver inflammation in experimental models (248, 249). Ablation of KCs results in significant reduction of hepatic insulin resistance and inflammation in diet-induced steatosis (250, 251). Along the same lines, depletion of KCs prevented pro-inflammatory cytokines production and alleviated liver damage (252). Thus, KCs play an essential role in the pathogenesis of NAFLD, especially the progression from steatosis to NASH. Hepatic macrophages in NASH are pro-inflammatory as a result of the excess lipid and FFAs (253), signals derived from the surrounding steatotic hepatocytes (254) and DAMP induced KCs activation (255). The accumulation and inflammatory polarization of macrophages in the liver is considered as a hallmark feature of progressive disease in NASH patients (249, 256). Generally, signals that lead to KC activation converge on two main downstream pathways: JNK and NF- κ B (257). The JNK pathway can be activated by SFAs, cholesterol crystallization as well as ROS in the set of liver disease (257, 258). NF- κ B is a transcription factor that can be activated by diverse stimuli, such as TNFα, IL-1β and TLRs (259). Once activated, NF- κ B can modulate inflammatory and cell death signaling through transcriptional regulation (259). Once activated, the inflammatory macrophages can promote the progression of disease in NAFLD through various mechanisms, such as attracting inflammatory immune cells (260), influencing hepatocyte lipid metabolism (261) and promoting liver fibrosis (262).

Inflammatory KCs are capable of secreting a variety of cytokines, such as IL-1 β and TNF α to promote inflammation and fibrosis. IL-1 β is mainly produced by TLR-activated macrophages and has potent inflammatory effects in the liver (263). It decreases the transactivating activity of PPAR α and inhibits FA oxidation, thus promoting triglyceride synthesis in hepatocytes (264). Lack of IL-1 β inhibits the progression of steatosis to NASH and liver fibrosis in a mouse model (263). TNF α is also crucial in the progression of NASH as it contributes to the increase of monocyte recruitment and hepatocyte apoptosis (265). Moreover, the engulfment of apoptotic bodies by KCs stimulates TNF α secretion in a feed-forward manner (266). Experimentally, the depletion of KCs or inhibition of hepatocyte apoptosis, attenuated phagocytosis of apoptotic bodies and TNF α secretion. As a result, immune cell infiltration and HSCs activation are attenuated, confirming the role of KCs secreted TNF α in hepatic inflammation and fibrosis (266).

Activated KCs also secrete chemokines such as MCP-1 to recruit circulating monocytes for infiltrating in the liver. It has been demonstrated in mouse models of NASH that the infiltration of Ly-6C⁺ monocytes is a central event promoting NASH and fibrosis (216, 217, 267, 268). In a diet-induced mouse model of NASH, the expression of hepatic CCR2 and MCP-1 are upregulated; and depletion of KCs improved NASH outcomes with reduced MCP-1 expression and monocyte infiltration (268). Moreover, macrophage migration inhibitory factor-knockout mice showed higher degree of liver inflammation and macrophage inflammation, which further confirms the importance of macrophage recruitment in NASH (269).

2.4.3 Macrophages in liver fibrosis

Macrophages are also essential in the progression of NASH to liver fibrosis. However, both proand anti-fibrotic roles for macrophages have been proposed, which may be due to the presence of different macrophage subtypes (259, 270). In response to liver injury, Ly6C^{high} monocytes are recruited into the liver and differentiated into inflammatory macrophages that release cytokines to stimulate HSCs, resulting in fibrogenesis (216, 238, 271). Under homeostasis, HSCs are quiescent cells (270); however, cytokines secreted from inflammatory macrophages such as TGF- β and PDGF-bb can stimulate HSCs to differentiate into activated myofibroblast-like cells (271, 272). Activated HSCs secrete extracellular matrix (ECM) and tissue inhibitors of metalloproteinases (TIMPs) to alter the balance between ECM synthesis and degradation thus leads to fibrosis (270, 271). Furthermore, the secretion of TNF α and IL-1 β from inflammatory macrophages can promote HSCs survival by inducing NF- κ B signaling (270, 271). As a result, depletion of KCs attenuates the progression of liver fibrosis in mice models (270).

On the other hand, macrophages can switch their phenotype from fibrogenic to "restorative" to promote the resolution of fibrosis (242). These macrophages are characterized by

Ly-6C^{low}, high expression of matrix degrading metalloproteinses, such as MMP-9 and MMP-12 and anti-inflammatory mediators such as IL-10 and HGF in mice (242, 273). The mechanisms that underlying the phenotypic switch are not fully understood yet. It was suggested that reduction in the expression of DAMPs and phagocytosis of cell debris as a consequence of liver injury cessation may play a role (242, 274). Moreover, the activation of autophagy in macrophages was thought to favor the maturation of restorative macrophages in NASH (275, 276).

2.5 The role of microbiota in NAFLD

The human body is colonized by more than $3 \ge 10^{13}$ microbes, with a majority of them resident in gastrointestinal (GI) tract (277-279). Next-generation sequencing studies have significantly expanded our knowledge of the intestinal microbiota under both health and disease states (280). Although a majority of the microbiota remains uncharacterized, several studies have identified key members and the composition of microbiota under specific conditions such as intestinal inflammation and metabolic syndrome (281). These studies have clearly demonstrated that the microbiota have a variety of functions in the GI tract including defense against pathogens (38), modulation of inflammation (38) and development of immune system (37). Alternation of the microbiota has also been found to have profound effect on the host (282-285). Beyond the GI tract, gut-brain (30), gut-liver axis (28) and the association of gut microbiota in the development of obesity, diabetes as well as NAFLD (286-288) have been identified.

The relationship between gut and the liver was observed as early as 1950's when researchers noticed that non-absorbable antibiotics prevent cirrhosis in an animal model of NASH (289). More recently, studies have shown that germ-free mice are resistant to high-fat diet induced hepatic steatosis and obesity (290). Furthermore, fecal transplantation experiments in mice models have shown that obesity and NASH are transmissible by microbial components in the feces (35,

285). These data showed more direct evidence for the contribution of gut microbiota in the progression of liver diseases. The gut microbiota compositional changes that observed in both animal models and human patients have been proposed to contribute to NAFLD. The underlying mechanisms included affecting metabolism and energy harvesting, dysbiosis-induced intestinal inflammation and gut barrier dysfunction as well as interactions with the systemic immune system (291, 292) (**Figure 2.4** (293)).

2.5.1 Intestinal microbial composition and NAFLD

The microbiota in the human intestine is dominated by four main phyla: *Bacteroidetes*, *Firmicutes*, *Proteobacteria* and *Actinobacteria*, (294) with *Bacteroidetes* and *Firmicutes* being the most abundant ones and accounting for 70% of the community (295). Altered microbiome composition (such as ratios of *Bacteroidetes* to *Firmicutes*), abundance of specific taxa (i.e., microbiota dysbiosis) have been correlated with NAFLD in both human and experimental models (31, 32, 296). Furthermore, the changes in microbiota composition has been shown to correlate with disease severity, and specific bacteria such as *Bacteroides* have been associated with disease phenotypes in NAFLD development (297-300).

Profiling the intestinal microbiome of NAFLD patients and healthy controls led to the identification of phyla, genera and families that differed in their abundance between patients and healthy individuals (301). Wang et al. reported that Gram-negative bacteria were significantly enriched and Gram-positive bacteria were decreased in diagnosed NAFLD patients compared to lean controls (33). At the phylum level, the authors found that the *Bacteroidetes* were increased and *Firmicutes* were decreased in NAFLD patients (33). Another study reported that, two genera, *Bateroides* and *Prevotella* were significantly different from healthy controls in fecal samples from NASH patients (195). The abundance of *Bacteroides* was higher while that of *Prevotella* was lower

in NASH patients (195). Since plant material-rich diet favors *Prevotella* and HFD favors *Bacteriodes*, it has been proposed that changes in the diet can cause imbalance between the two genera (302). The increased abundance of *Bacteroides* has been shown to correlate with increased levels of the bile acid deoxycholic acid and oligosaccharides, as well as decreased levels of SCFAs and amino acids (303). Deoxycholic acid also induces hepatic apoptosis in rat (304) and was found to at higher levels in human livers with NASH (204). Boursier et al. reported that the abundance of *Ruminococcus* was associated with fibrosis severity (195). However, the underlying mechanism is not yet clear due to the heterogeneity of the *Ruminococcus* genus (194).

Besides compositional changes in the gut microbiota, NAFLD patients are also been found with bacterial overgrowth in the small intestine (32). The prevalence of small intestine bacteria overgrowth (SIBO) is increased from 21% in healthy individuals to 56% in NAFLD patients (305). However, the correlation between SIBO and the presence of NASH, hepatic inflammation and severity of fibrosis is not significant (305). Since the endotoxin levels in patients with SIBO is significantly higher (306-308), it has been proposed that the impaired intestinal epithelial barrier as a result of SIBO may contribute to the development of NAFLD (309).

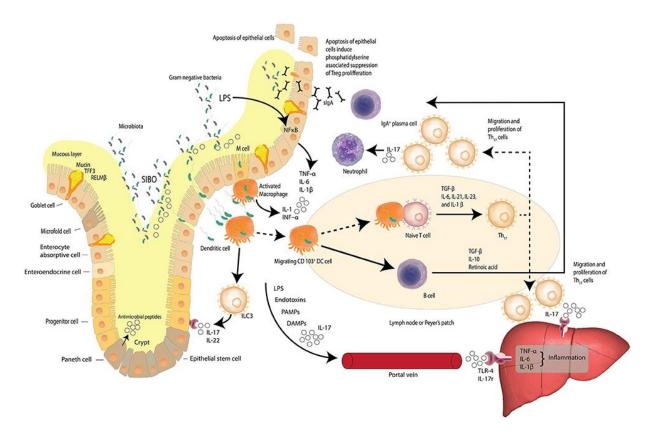


Figure 2.4 Dysbiosis and liver diseases, reprinted from ref.293 (293). Imparied intestinal barrier integrity, small intestine bacterial overgrowth and elevated serum endotoxin such as LPS are found in animal models and patients with NASH. Intestinal microbiota dysbiosis cause increased intestinal permeability thus leads to increased bacterial translocation. The translocated bacterial and bacterial products will activate macrophages and dendritic cells to secrete pro-inflammatory cytokines sch as TNF α , IL-1 β and IL-6. Activated macrophages and DCs promote the differentiate of naïve T cells into Th17 cells to promote intestinal inflammation. Pro-inflammatory IL-17 secreted by Th17 cells will stimulate monocytes, KCs and HSCs to secrete pro-inflammatory cytokines to promote liver inflammation. On the other hand, endotoxin such as LPS, PAMPs and DAMPs can reach the liver through protal vein to activate liver macrophages to lead to hepatic inflammation.

2.5.2 Intestinal inflammation and intestinal barrier dysfunction

Intestinal barrier dysfunction has been associated with hepatic inflammation due to increased bacterial translocation (196). It has been proposed that the compositional alternation and dysbiosis in the gut microbiota adversely affects the gut epithelial barrier and exacerbate epithelial cells dysfunction (293). Intestinal macrophages can be affected by dysregulated epithelial cells to act as a critical effector in the pathogenesis of metabolic diseases including NAFLD (310). Intestinal epithelial cells form a barrier to the external environment through the tight junction protein (TJP) network which allows selective permeability and limits bacterial translocation. However, the TJP network is impaired in NAFLD patients compared to healthy controls (311, 312), suggesting that compromised barrier integrity results in increased intestinal permeability and bacterial translocation (312). This is supported by the observation that the serum levels of tight junction proteins is higher in NAFLD patients than lean control (311), suggesting that intestinal barrier dysfunction is a contributing factor to the NAFLD pathogenesis.

As a consequence of impaired intestinal barrier, endotoxin levels in NASH patients are significantly higher and the translocation of endotoxin from gut microbiota to the liver can activate immune cells in the liver to induce inflammation (194). Consistent with this, TLR4 and MD2 deficient mice were protected from diet induced steatosis and liver inflammation (197).

Another proposed mechanism through which gut microbiota could affect the physiological function of other organs such as the liver is by increasing pro-inflammatory activity in the intestinal mucosa and indirectly affecting inflammation in the liver (293). Many bacterial pathogens and their products can cross the epithelial barrier through M cell junction to activate intestinal macrophages (313). Increased levels of inflammatory cytokines might result in increased epithelial barrier permeability and further exacerbate inflammation in the liver (314, 315). It has been

reported that the gut immune cells show a pro-inflammatory shift upon HFD feeding in mice, as well as in obese patients (316). Treatment with a gut anti-inflammatory agent 5-aminosalicylic acid (5-ASA) reduced intestinal inflammation and insulin resistance (316). Further investigation found that, the intestinal permeability and liver steatosis were improved upon 5-ASA treatment indicated the association between intestinal inflammation, gut permeability change and progression of liver inflammation (316).

2.5.3 Microbiota derived metabolites

In addition to the gut microbiota compositional change, the metabolites produced by the microbiota are now increasingly proposed as important signaling molecules that contribute to improper immune cell activation and impaired epithelial barrier function (317) (**Figure 2.5** (317)).

Ethanol is a common metabolite produced by a variety of bacteria in the gut, and endogenous ethanol production has been implicated as a pro-inflammatory stimuli in pathogenesis of NAFLD (318, 319). It has been reported that ethanol-producing bacteria are enriched in patients with NAFLD and contributes to the progression of the disease (32, 311, 320). The underlying mechanism might be that, ethanol increases intestinal epithelial permeability to cause hepatic inflammation (311, 321).

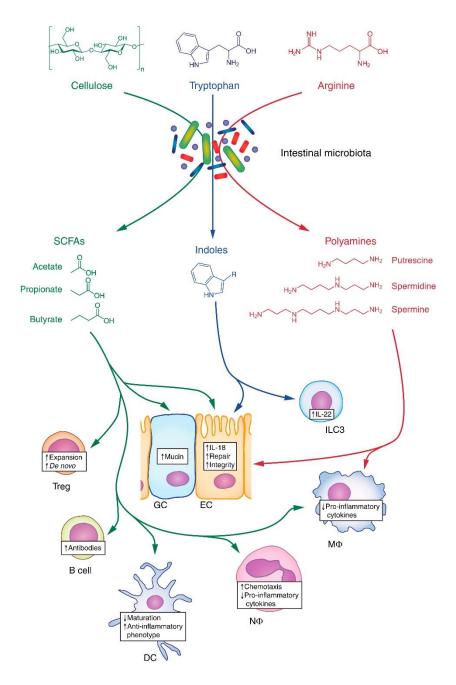


Figure 2.5 Immunomodulatory metabolites produced by intestinal microbiota from dietary nutrients, reprinted from ref.317 (317). SCFAs that metabolized by intestinal microbiota from dietary fiber such as cellulose has a variety of anti-inflammatory effects. They can enhancing epithelial barrier function through goblet cells and epithelial cells, promote expansion and de novo differentiation of regulatory T cells, promote an anti-inflammatory phenotype of innate immune cells. Indole derivatives produced by intestinal microbiota from dietary amino acids also promote epithelia barrier function through their effects on epithelial cells as well as supporting the maintenance of type 3 innate lymphoid cells.

Intestinal microbiota can produce SCFAs through fermentation of plant-derived polysaccharides that cannot be digested by host enzymes (322). The most abundant intestinal SCFAs are butyrate, acetate and propionate (323). Some of the SCFAs produced in the intestine are readily taken up by the host to reach different organs. While butyrate is primarily metabolized within the intestinal epithelial layer and serves as a major energy source for colonocytes (324, 325), the remaining butyrate is metabolized in the liver (326, 327). Most of the acetate and propionate can reach the liver, and while acetate is released into the circulation, a portion of propionate is metabolized in the liver (323, 326). SCFAs have been shown to have anti-inflammatory effects in the intestine (328) and have a protective role in animal models of IBD (329-331). Moreover, patients with IBD have been shown to have decreased abundance of SCFA-producing bacteria in the gut, and increased SCFA production through dietary changes has been shown to ameliorate IBD symptoms (332-335).

The anti-inflammatory effects of SCFAs are also observed in different cell types of the immune system. SCFAs can promote epithelial barrier integrity by activating NLRP3 inflammasome in intestinal epithelial cells to induce the secretion of IL-18 which is important in epithelial repair (336-338). Beside IL-18 secretion, SCFAs also can promote the production of mucin by goblet cells (339, 340). SCFAs reduces the production of pro-inflammatory cytokines from LPS-activated neutrophils and macrophages (341-343). Studies have also shown that SCFAs can promote the production of antibodies by modulating the energy metabolism of B cells (344). Lastly, the most potent anti-inflammatory function of SCFAs is that they can promote the expansion and *de novo* differentiation of regulatory T cells (Tregs) (329, 331, 345, 346).

While the beneficial effects of SCFAs are well established, recent studies by our lab and other groups have identified that metabolites derived from aromatic amino acids also have pronounced anti-inflammatory effects on host (39, 40). For example, Bansal *et al.* showed that indole, produced from dietary tryptophan by the bacterial enzyme Trytophanase A, attenuated TNF α -mediated NF- κ B activation and expression of IL-8, while promoting anti-inflammatory IL-10 production and increasing tight junction resistance in HCT-8 intestinal epithelial cells (39). Shimada *et al.* (40) extended these observations to an *in vivo* model by showing that indole increases the expression of genes that promote intestinal barrier function and attenuated indicators of inflammation in a mouse model of colitis. Wikoff *et al.* showed that indole-containing molecules were depleted in germ-free mice and their levels in normal mice was dependent on the presence of an intact bacterial community (43).

Kynurenine produced from tryptophan by the host through Tryptophan dioxygenase activity has been shown to play an important role in maintaining host homeostasis through the activation of aryl hydrocarbon receptor (AhR) (347). Indole-3-aldehyde was shown to promote the transcription of IL-22 to contribute to antifungal resistance in mouse (41). Alexeev *et al.* reported that indole and indole-derived metabolites were depleted in human and murine colitis (348), and of these, indole-3-propionic acid (IPA) was diminished in the serum of patients with active colitis (348). Oral administration of IPA significantly ameliorated disease in a mouse model of colitis and it has been proposed that indole and indole derivatives can up-regulate the expression of IL-10 receptor in host cells to promote anti-inflammatory functions (348). Lamas *et al.* found that mice deficient for *Card9* which is a gene that associated with human IBD susceptibility have lower amounts of indole derivatives produced in the murine gut (349). This observation is similar to that made with IBD patients and gives compelling evidence on the significance and relevance of indole derivatives as mediators of inflammation through their AhR-ligand activity.

In addition to converting dietary substrates, the gut microbiota can also metabolize substrates that secreted into the intestinal lumen by the host. Primary bile acids are synthesized and conjugated to taurine or glycine in the liver (350). The primary bile acids is transported from the liver to the intestine through the bile duct and converted to secondary bile acids through deconjugation and dehydroxylation by gut microbiota (351). It has been reported that, free taurine generated by deconjugation of primary bile acids can increase the production of IL-18 by intestinal epithelial barrier function (352). The gut microbiota plays an important role in regulating bile acids composition. This is supported by the observation that the composition of bile acids varies dramatically between control and germ-free mice in the liver, feces and plasma (353-355).

Bile acids have been reported to act as signaling molecules in several tissues. For example, bile acids reduce the expression of pro-inflammatory cytokines in macrophages, dendritic cells and Kupffer cells (356). These effects are mainly mediated by two receptors: the bile acid receptor FXR, and the G protein- coupled bile acid receptor TGR5 (357-359). Studies have found that the activation of FXR in LPS-activated macrophages by synthetic agonists induced FXR-dependent gene expression and inhibited NF- κ B activated gene expression; thus, resulting in a significant reduction of pro-inflammatory cytokine expression (360, 361). In a murine models of colitis, treatment of FXR agonists has protective effects and FXR deficient (*Nrlh4*^{-/-}) mice exhibit exacerbated colitis (361, 362). Moreover, *Nrlh4*^{-/-} also develop hepatic inflammation and HCCs spontaneously (363, 364) and the inflammatory effect of FXR deficiency in the liver may be mediated by Kupffer cells as well as hepatocytes and NKT cells (365, 366). Similar to FXR, activation of TGR5 on macrophages and Kupffer cells by agonists also inhibited LPS induced pro-inflammatory cytokines expression by inhibiting NF- κ B dependent transcription (367-369).

2.6 AMP-activated protein kinase (AMPK)

As a ubiquitously expressed protein kinase, AMPK is a central energy regulator that may be important for the treatment of metabolic diseases, such as obesity, T2D and NAFLD (16, 17). When the energy status is changed in the cell, the ratio of ATP to ADP or ATP to AMP is altered, and cellular AMPK is activated (phosphorylated) through an allosteric mechanism (370, 371). Once activated, AMPK is capable of phosphorylating key proteins in multiple pathways to change the cellular metabolism towards increased catabolism and decreased anabolism(372). Moreover, AMPK can regulate cellular metabolism via targeting transcription regulators involved in metabolism regulation (373, 374).

2.6.1 AMPK structure and activation

AMPK is a heterotrimeric complex comprised with a catalytic (α) subunit and two regulatory (β and γ) subunits. Each subunit has multiple isoforms that is encoded by different genes. In humans, there are two α -subunits (α 1 and α 2) that encoded by the genes *Prkaa1* and *Prkaa2*(375), two β -subunits (β 1 and β 2) (376), three γ -subunits (γ 1, γ 2 and γ 3) (377). Each AMPK complex contains one α -subunit, one β -subunit and one γ -subunit. Since all combinations of different isoforms are possible, there are potentially 12 distinct AMPK complexes (378). Different combinations may elicit different function with different cellular localization. For example, only the α 1 β 2 γ 1, α 2 β 2 γ 3 are detected in muscle and only the α 2 β 2 γ 3 complex is activated following exercise (379).

AMPK α -subunit contains a kinase domain on its N terminus and binding domains for β and γ subunits on its C terminus (380). The AMPK β -subunit acts as a scaffold to maintain the AMPK heterotrimer structure and is critical to control the enzymatic activity upon activation (381-383). The γ -subunit is the regulatory subunit capable of binding adenine nucleotides such as AMP or ATP to allosteric modulate AMPK (384). Regulation of AMPK activity is complex and involves several aspects: allosteric regulation by AMP and ATP, phosphorylation by upstream kinase and dephosphorylation by phosphatases. The binding of AMP to the γ -subunit has been proposed to stimulate the activity of upstream kinase to phosphorylate Thr172, inhibit the dephosphorylation of Thr172 by phosphatase and cause allosteric activation of AMPK that already phosphorylated on Thr172 (385).

AMPK can be activated by phosphorylation of Thr172 on the α -subunit by an upstream kinase, and three such AMPK upstream kinases (AMPKK): LKB1 (386, 387), Ca2⁺/calmodulindependent protein kinase kinase β (CaMKK β) (388, 389) and TGF- β - activated kinase 1 (TAK1) (390) have been identified to-date. LKB1 is a tumor suppressor and responsible for the majority of AMPK activation in most examined mammalian tissues including the liver and muscle (386, 387, 391, 392). AMPK also can be activated in response to calcium flux by CaMKK β (388, 389, 393). CaMKK β can be activated by increased intracellular calcium level and leads to the phosphorylation of Thr172 independent of LKB1 and AMP levels (388, 389). TAK1 kinase is upstream of LKB1 and AMPK (390) but studies in yeast also suggest that it can directly phosphorylate AMPK (394). A variety of physiological conditions, such as nutrient starvation, exercise and mitochondria inhibition has been shown to lead to the activation of AMPK *in vivo* (372).

2.6.2 The role of AMPK in metabolism and inflammation

As an energy sensor, AMPK can directly phosphorylate factors in multiple pathways to regulate energy balance. The role of AMPK in energy metabolism has two aspects: inhibits anabolism to minimize ATP consumption and enhances catabolism to increase ATP production (372) (**Figure 2.6** (395)).

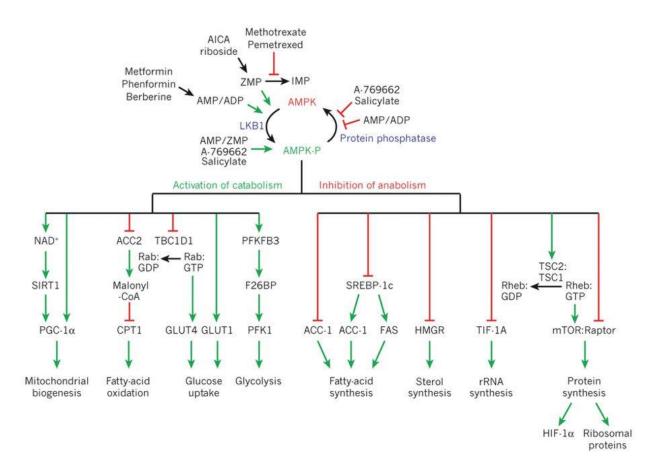


Figure 2.6 Metabolic pathways regulated by AMPK, reprinted from ref.395 (395). AMPK can be activated by upstream kinase such as LKB1 and deactivated by protein phosphatase. The activation of AMPK signaling will activate cellular catabolism and inhibit anabolism. One mechanism that underlying AMPK's anti-inflammatory effects would be its inhibition of glycolysis through mTOR and promotion of fatty-acid oxidation and mitochondrial biogenesis.

AMPK can inhibit lipid synthesis by phosphorylating acetyl-CoA carboxylases (ACC) 1 and ACC2, which are the rate-limiting enzymes in DNL (396, 397). The inhibition of sterol synthesis by AMPK is through inhibitory phosphorylation of the HMG-CoA reductase (HMGCR), which is an important enzyme in the synthesis of cholesterol (396, 397). Due to its inhibitory effect in lipid synthesis, liver specific expression of activated AMPK has been shown to reverse steatosis (398). AMPK also regulates metabolism at the transcriptional level. It can regulate the transcription of key regulators of lipid and glucose metabolism, such as sterol regulatory elementbinding protein 1 (SREBP-1) (399), carbohydrate-responsive element-binding protein (ChREBP) (400) and hepatocyte nuclear factor 4α (HNF 4α) (401). AMPK can also regulate cell growth and protein translation through mTOR (372). Activation of AMPK can inhibit mTOR through two different mechanisms: inhibition of the mTOR subunit RAPTOR (402) and activation of TSC2 which is a negative mTOR regulator (403). Under energy stress, the inhibition of mTOR by AMPK results in decreased protein synthesis and cell growth that slow the consumption of ATP (372, 402, 403).

AMPK also stimulate catabolism to produce ATP through stimulating pathways, such as mobilization of lipid stores, utilization of glucose and autophagy. AMPK promotes glucose uptake into the cells through activate proteins involved in the trafficking of glucose transporter (404, 405). AMPK also promotes lipolysis by activating lipase to release fatty acids from triglyceride pool in the liver and adipose tissue (406). The released FFAs are then transported into mitochondria for β -oxidation. Interestingly, AMPK activation can modulate the activity of acyl transferase CPT1, which is an important enzyme that required for the transportation of FFAs into mitochondria. ACC1 and ACC2 also generate a potent CPT1 inhibitor, malonyl-CoA, and the inhibition of ACC1 and ACC2 by AMPK decreases the production of malonyl-CoA, results in increased β -oxidation and decreased lipid synthesis (407, 408).

Chronic low-grade inflammation is a critical characteristic of metabolic diseases including NAFLD, and AMPK activity is reduced in response to LPS, TNF α , and HFD-induced obesity in multiple tissues, such as adipose tissue (409-411), muscle (412), and the liver (409, 413). A decrease in AMPK activity is also observed in adipose tissue of obese patients (414), and the

activity of AMPK is reversed following diet-induced weight loss (415). HFD and inflammatory stimuli have been shown to decrease the expression and activity of AMPK α 1 in mouse adipose tissue and macrophages, and AMPK activator AICAR treatment reversed diet and LPS induced inflammation (410, 416). On the other hand, anti-inflammatory cytokines, such as IL-10 and TGFβ stimulated activation of AMPK in both mouse and human macrophages (416). The underlying mechanism is largely unknown, but increased protein phosphatase 2A (18) and 2C (412), inhibitory phosphorylation in the α-subunit by protein kinase D (417), Akt (418-421) and S6 kinase (422) have been proposed.

AMPK also inhibits acute inflammatory responses in macrophages. It has been shown that reduced expression of AMPK α 1 attenuated pro-inflammatory cytokine production and NF- κ B signaling (19, 410), while activation of AMPK by pharmacological activator or constitutively activated AMPK elicited the opposite effect (19, 410). Yang *et al.* utilized a macrophage-adipocyte co-culture model and found that inactivation of AMPK in macrophages inhibited adipocyte insulin signaling and glucose uptake (410). Consistent with *in vitro* studies, myeloid-deficient AMPK α 1 knockout mice (423) and mice lacking AMPK β 1 in hematopoietic cells (424) showed an increased accumulation of inflammatory macrophages in adipose tissue and the liver, and when fed with HFD, these mice showed accelerated development of insulin resistance.

The mechanisms underlying AMPK's anti-inflammatory effect in macrophages is still unclear, but reduced FAO has been proposed by multiple studies. As mentioned above, the inhibitory phosphorylation of ACC1 and ACC2 by AMPK leads to reduced production of malonyl-CoA thus stimulating FAO and inhibiting lipogenesis (424). Mice lacking ACC activity in T-cells showed decreased Th-17 cells and increased Treg polarization which is in consistent with the important role of AMPK mediated ACC inhibition in lowering inflammation (425). In addition to the inhibition of ACC, the reduction of AMPK activity is also shown to be accompanied by decreased mitochondrial function (424, 426) and increased lipogenesis (427) which all could contribute to decreased FAO. Moreover, the increased FAO increases the expression of retinaldehyde dehydrogenase type 2 (RALDH2) (428). Retinoic acid synthesized by RALDH2 has anti-inflammatory effects in macrophages and is essential for recruiting Tregs (428).

AMPK also can regulate key proteins involved in inflammatory pathways. AMPK inhibits JAK1 (429), NF- κ B (410, 430, 431) and CHOP (432, 433) while increases the activity of p38 (434), MAP kinase phosphatase-1 which is a JNK inhibitor (434) and PIAS1 (435), a STAT1 inhibitor. Although AMPK has a variety of direct phosphorylation targets, the inhibitory effect on NF- κ B signaling is indirect and through downstream mediators, such as SIRT1 (410), peroxisome proliferator-activated receptor γ co-activator 1 α (PGC-1 α) (431) and Forkhead box O (FoxO) family (430). SIRT1 is a deacetylase that could deacetylate p65 subunit of the NF- κ B complex to inhibit NF- κ B signaling (436). Moreover, the deacetylation of p65 could trigger the ubiquitination and degradation of p65 (437). AMPK can activate SIRT1 via increasing cellular NAD⁺ levels (438). Conversely, SIRT1 can activate LKB1 to further activate AMPK in a feedforward manner to enhance anti-inflammatory effect and cell survival (439).

PGC-1α is an important regulator of energy metabolism and has been shown to bind to the NF- κ B p65 subunit in human cardiac cells and mouse heart (431). On the other hand, the activation of NF- κ B signaling increases the interaction between PGC-1α and p65, results in reduced expression of PGC-1α (431). In hepatocytes, Morari *et al.* found that PGC-1α favors association with the p50 component of NF- κ B when stimulated with fatty acids (440). PGC-1α and p50 can bind to the IL-10 promoter to induce IL-10 expression (440). Studies also showed that PGC-1α and NF- κ B are reciprocally-regulated. Increased expression of PGC-1α could inhibit NF- κ B

activity (441) and NF- κ B signaling can decrease the expression of PGC-1 α (442). AMPK can phosphorylate PGC-1 α protein to trigger SIRT1-mediated deacetylation and activation of PGC-1 α (431).

The activation of AMPK can also attenuate oxidative stress (443-446). AMPK signaling reduces the production of superoxide radicals in endothelial cells through upregulating the expression of mitochondrial uncouple protein-2 (UCP-2) (445). Another study also observed that AMPK can suppress ROS production through NADH oxidase (443). Moreover, AMPK activation can inhibit inflammasome activity (447) and ER stress (448-450) that are increased in many metabolic diseases.

2.6.3 The role of AMPK in NAFLD

It has been shown that hepatic AMPK activity is reduced during NAFLD (451) despite the lower ATP levels (452). Inflammatory factors that are elevated with NAFLD, such as LPS, TNF α and FFAs are proposed to be responsible for the reduction of AMPK activity (412, 424). Activation of AMPK could affect the progress of NAFLD through three mechanisms: increasing hepatic fatty acid oxidation, inhibiting hepatic DNL and promotion of mitochondrial function and integrity (20). AMPK β 1-knockout hepatocytes show reduced FAO and increased lipogenesis due to the reduction in ACC inhibition by AMPK (453). On the other hand, overexpression of AMPK in hepatocytes leads to reduced triglyceride levels and lipid accumulation *in vitro* (454). Overexpression of AMPK in the liver reduced hepatic steatosis, the expression of lipogeneic genes in type 2 diabetes rat *in vivo* (455). Consistently, mice with constitutive activated AMPK in the liver showed lower rate of lipogenesis and when fed with a high-fructose diet, mice were protected from NAFLD and insulin resistance (398). These effects of AMPK on promoting FAO and inhibiting DNL are likely mediated through the inhibitory phosphorylation of ACC. This is

supported by the observation that hepatocytes that lack the AMPK phosphorylation site on ACC1 and ACC2 have reduced FAO and increase fatty acid synthesis (456). A recent study by Harriman et al. showed that inhibition of ACC by a pharmacological inhibitor also showed increased FAO and reduced lipogenesis in isolated hepatocytes, and the ACC inhibition resulted in alleviated NAFLD in an animal model (457). In summary, AMPK could positively affect NAFLD through phosphorylation of ACC.

AMPK also regulates hepatic fatty acid metabolism via the regulation of mitochondrial biogenesis (373), autophagy (458) and fission (459, 460). The downstream mediators of this effect might be PGC-1 α , histone deacetylases (HDAC) (373), mitochondria fission factor (MFF) (459, 460) and unc-51-like autophagy activating kinase 1 (ULK1) (458). Studies have shown that dysregulation of these pathways leads to NAFLD progression in both human and mice (461-463). Mice that have liver specific AMPK α 1 and α 2 depletion displayed impaired mitochondrial content and oxidative capacity, indicating that AMPK is essential for the maintenance of hepatic mitochondria function (464). Moreover, recent work has shown that AMPK could trigger intracellular lipid degradation by lipophagy, and defects in lipophagy have been shown to contribute to NAFLD (465). In summary, AMPK is important in regulating hepatic lipid metabolism and maintenance of mitochondrial function. Therefore, AMPK activation is expected to improve NAFLD and represents a promising therapeutic target against NAFLD.

CHAPTER III

GUT MICROBIOTA-DERIVED TRYPTOPHAN METABOLITES MODULATE INFLAMMATORY RESPONSE IN HEPATOCYTES AND MACROPHAGES* 3.1 Introduction

Non-alcoholic fatty liver disease (NAFLD) is the most prevalent chronic liver disease in Western countries, and its incidence correlates strongly with obesity and the metabolic syndrome (44). It encompasses a spectrum of disorders from simple steatosis, by itself a benign condition, to non-alcoholic steatohepatitis (NASH), characterized by intrahepatic inflammation, hepatocellular ballooning and progressive fibrosis (46). Sustained steatohepatitis can lead to cirrhosis and liver cancer (466).

The mechanisms driving NAFLD progression are not well understood, although it is generally agreed that there are at least two components. First, cellular lipid deposits accumulate in the liver. This is then followed by activation of immune cells and production of proinflammatory cytokines (467). Nutritional, genetic, and epigenetic factors each play a role in determining whether an individual with steatosis develops the more severe pathologies of fatty liver disease (102). Another emerging factor contributing to NAFLD pathogenesis is dysbiosis of the intestinal microbiota. Germ-free (GF) mice fed a high-fat diet (HFD) and inoculated with bacterial isolates from feces of hyperglycemic mice developed steatohepatitis, whereas GF mice fed the same HFD but inoculated with bacteria from normoglycemic mice only showed mild

^{*} This chapter is reprinted with permission from "Gut Microbiota-Derived Tryptophan Metabolites Modulate Inflammatory Response in Hepatocytes and Macrophages" by Smitha Krishnan, Yufang Ding, Nima Saedi, Maria Choi, Gautham V. Sridharan, David H. Sherr, Martin L. Yarmush, Robert C. Alaniz, Arul Jayaraman, and Kyongbum Lee. *Cell Reports* 23, 1099–1111, April 24, 2018

steatosis (34). A study comparing intestinal microbiomes of children found subtle, yet significant differences between children with obesity and NASH (32).

Several hypotheses have been put forth regarding the role of dysbiosis (468). One possibility is that dysbiosis disrupts production of microbial metabolites that are utilized by the intestinal epithelial cells for maintaining barrier integrity, which could elevate bacterial endotoxins in circulation, and trigger a pro-inflammatory cytokine cascade in the liver. Another hypothesis is that dysbiosis leads to increased generation of toxic metabolic byproducts in the intestine and elevates the chemical burden on the liver. A third hypothesis is that dysbiosis leads to aberrant metabolism of dietary residues (e.g. choline) and/or endogenous metabolites (e.g. bile acids), which impairs export of lipids or elevates lipogenesis in the liver. A common denominator of these hypotheses is that progression towards steatohepatitis is linked to alterations in the metabolic outputs of the intestinal microbiota. Recent findings have shown that the microbiota produces bioactive metabolites that engage host cellular pathways to modulate immune cell fate and function (469) and lipid absorption in the intestine (470). Microbial metabolites have been detected in circulation (43) and the liver (471), and several of these metabolites (e.g., indoxyl sulfate) are ligands for host receptors such as the aryl hydrocarbon receptor (AhR). Studies in transgenic animal models suggest that the AhR plays a significant role in regulating lipid and fatty acid metabolism (472). In mice, AhR activation negatively regulates several lipogenesis enzymes, including fatty acid synthase (Fas), and the cholesterol metabolism regulator sterol regulatory element-binding protein-1c (SREBP-1c) (473). In humans, exposure to the AhR ligand TCDD disrupts lipid and fatty acid metabolism (474).

The present study investigates the hypothesis that gut microbiota dysbiosis perturbs the balance of immunomodulatory microbiota metabolites, which increases the susceptibility of the

liver to inflammation. We utilize a metabolomics approach to identify microbiota-dependent metabolites that activate the AhR and characterize their effects on liver inflammatory responses and metabolic function.

3.2 Material and method

3.2.1Reagents

RAW 264.7, AML12, and HepG2 cells were purchased from ATCC (Manassas, MA). Dulbecco's Modified Eagle Medium (DMEM), alpha minimal essential medium (αMEM), RPMI-1640, penicillin/streptomycin, phosphate-buffered saline (PBS), TRIzol, RNAse-free, DNase-free water, LPS (from *Salmonella minnesota*), and fetal bovine serum (FBS) for culture of HepG2 and AML12 cells were purchased from Invitrogen (Carlsbad, CA). Fetal bovine serum (FBS) for culture of RAW 264.7 was purchased from Atlanta Biologicals (Flowery Branch, GA). Insulin-transferrin-selenium (ITS) was purchased from Thermo Fisher Scientific (Waltham, MA). The AhR inhibitor CH-223191 was developed in the Sherr lab at Boston University. H1G1.1c3 reporter cells were obtained from the Denison lab at UC Davis and utilized in the Sherr lab. Unless otherwise noted, all other reagents were purchased from Sigma Aldrich (St. Louis, MO).

3.2.2 Comparison of germ-free and conventionally raised mice

To screen for intestinal metabolites that depend on the microbiota, we performed untargeted and targeted analysis of cecal contents and fecal material collected from conventionally raised (CONV-R) and germ-free (GF) female C57BL/6N mice. The mice were purchased from Taconic (Albany, NY) at 6 weeks of age, and immediately sacrificed by euthanasia upon receipt at Texas A&M Health Science Center. Cecal contents and fecal material were collected as described previously (469) and stored at -80 °C until further analysis.

3.2.3 Sample collection from mice fed a low- or high-fat diet

Two groups of male C57BL/6J mice 14 weeks of age were purchased from Jackson labs. One group (n = 5) was initially raised on a LFD consisting of 10% calories from fat (D12450B, Research Diets, New Brunswick, NJ) until 6 weeks of age, after which the mice were fed a HFD consisting of 60% calories from fat (D12492, Research Diets), while the other group (n = 5) was continued on LFD. Mice from both groups had access to the respective diets during transit and prior to sacrifice (within 16 h of receipt). Mice were sacrificed by euthanasia, and blood was collected via heart puncture and centrifuged at 4,000*g* for 30 min to obtain serum. The liver was quickly excised, rinsed with 10x volume of ice-cold PBS, flash-frozen in liquid nitrogen, and weighed. Cecal contents were gently squeezed out of the excised cecum into cold tubes and flash frozen. Serum, liver, and cecal content samples were stored at -80 °C until further analysis. All animals were handled in accordance with the Texas A&M University Health Sciences Center Institutional Animal Care and Use Committee guidelines under an approved animal use protocol (2015-0346).

3.2.4 HepG2 and AML12 hepatocyte culture

HepG2 cells were seeded into 12-well plates at a density of ~ 1.25×10^5 cells/cm² and cultured in a humidified incubator at 37°C and 5% CO₂. The culture medium was low-glucose DMEM supplemented with 10% (v/v) heat inactivated FBS, penicillin (200 U/mL) and streptomycin (200 µg/mL). The medium was changed every 24 h for three days after seeding. AML12 cells were seeded into 12-well plates at a density of ~ 1.25×10^5 cells/cm² and cultured in a humidified incubator at 37°C and 5% CO₂. The culture medium was a 1:1 mixture of DMEM and Ham's F12 medium supplemented with 5 µg/mL insulin, 5 µg/mL transferrin, 5 ng/mL selenium, 40 ng/mL dexamethasone, 10% (v/v) heat inactivated FBS, 1.2 g/L sodium bicarbonate, penicillin

(200 U/mL), and streptomycin (200 μ g/mL). The medium was changed every 24 h until the culture reached ~80% confluence. For a 'one-hit' inflammation model, the cells were treated for 24 h with dimethylsulfoxide (DMSO) or 10, 100, or 500 μ M I3A or TA, and then treated with either DMSO or 25 ng/mL TNF α for another 24 h. For a 'two-hit' steatosis and inflammation model, the cells were grown for 48 h in medium supplemented with 0.5 mM palmitic acid and 0.5 mM oleic acid, then treated for 24 h with DMSO or 500 μ M I3A, and finally treated for 24 h with vehicle or 25 ng/mL TNF α . The AhR inhibitor CH-223191 was prepared as a stock solution of 20 mM in DMSO. AML12 cultures were dosed with either 1 μ L of inhibitor solution or 0.1% DMSO 10 min prior to I3A treatment and again 10 min prior to TNF α treatment.

3.2.5 Murine macrophage culture

Raw 264.7 murine macrophages were seeded into 24-well plates at a density of 4×10^5 cells/ml and cultured in a humidified incubator at 37°C and 5% CO₂. The culture medium was DMEM supplemented with 10% heat inactivated FBS, penicillin (200 U/mL) and streptomycin (200 µg/mL). Cells were pretreated with different concentrations of I3A or TA for 4 h, followed by addition of 300 µM palmitate complexed with BSA. After 18 h, 10 ng/mL LPS was added to the culture medium. The cells were then incubated for an additional 6 h. Bone marrow derived macrophages (BMDMs) were isolated from the femur of wild type C57/BL6 mice as previously described (475). The isolated progenitor cells were re-suspended in RPMI-1640 medium supplemented with 10 ng/mL M-CSF (Invitrogen), seeded in polystyrene dishes, and incubated for 3 days at 37°C and 5% CO₂ in a humidified incubator. The culture medium was replenished on day 3, and the cells were incubated for an additional 4 days. At the end of the 7-day culture period, >95% of the cells were positive for macrophages markers F4/80 and CD11b.

3.2.6 Metabolite extraction

Metabolites were extracted from tissue samples using a solvent-based method. Liver tissue samples were weighed and placed into a pre-cooled garnet bead beating tube followed by adding 500 μ L ice cold methanol and 250 μ L ice cold chloroform. After homogenization (6500 rpm for 30 s), the sample tube was centrifuged under refrigeration at 700*g* for 10 min. The supernatant was then transferred to a new tube through a (70 μ m) cell strainer, and 1 mL ice-cold water was added and the sample centrifuged at 3700*g* for 10 min. The upper and lower phases were each separately transferred into new tubes while taking care not to disturb the interface. The liver tissue pellet was extracted again with ice cold methanol (500 μ L). The polar phases from both extractions were combined and concentrated using a centrifugal evaporator (Vacufuge, Eppendorf, Hauppauge, NY). Metabolites from cecal content samples were extracted as described above without the homogenization step. Serum metabolites were extracted as 1-80 °C until analysis. Prior to metabolite analysis, the samples were reconstituted in 100 μ L methanol/water (50% v/v).

3.2.7 Untargeted metabolomics

The extracted samples were analyzed for global metabolite profiles using IDA experiments (**Table 3.1 and Table 3.5**) performed on a triple-quadrupole time-of-flight (TOF) instrument (AB Sciex 5600+). Raw data were processed in MarkerView (v. 1.2, AB Sciex) to determine the ion peaks. The peaks were aligned based on accurate mass (m/z) and retention time (RT) (30 ppm and 2.5 min tolerance, respectively), and then filtered based on intensity (100 cps threshold) to eliminate low quality peaks. An additional filter was applied to retain only monoisotopic ions. The remaining ions were organized into a feature table, with each feature specified by m/z and

RT. In the case a precursor ion detected by the TOF survey scan triggered an MS/MS scan, the corresponding MS/MS spectrum was extracted from the product ion scan data and added to the feature table. Each feature was searched against spectral libraries in METLIN (476) and HMDB (477) and analyzed using *in silico* fragmentation tools MetFrag (478) and CFM-ID (479). In some cases, the database search or *in silico* analysis returned more than one matching compound for a given feature. In these cases, the highest ranked match was selected as the search result. The search results from all four sources were used together to assign a putative identity (ID) to the features. An ID was categorized as a confident assignment, if the search results for the feature were consistent across at least one experimental database and one other data source.

3.2.8 Targeted analysis of bile acids and fatty acids

The extracted samples were analyzed for specific metabolites (I3A, TA, bile acids, and fatty acids) using product ion experiments performed on the TripleTOF instrument (**Table 3.1–Table 3.4**). Metabolite-specific parameters for product ion scans were determined from runs using high-purity chemical standards.

3.2.9 Metabolite quantitation

For each metabolite with a confirmed or putative identity, the corresponding peak in the ion chromatogram was integrated using MultiQuant (version 2.1, AB SCIEX) to determine the areaunder-the-curve (AUC). For comparisons of metabolite levels between samples, fold-changes were calculated based on the AUC values normalized to the corresponding tissue weight (liver and cecum samples) or DNA content (*in vitro* cell culture samples). Cellular DNA content was measured using Hoechst dye.

3.2.10 RNA extraction and qRT-PCR

Cells were washed with PBS, and stored at -80 °C until RNA extraction. Total RNA was extracted from RAW 264.7 cells using the EZNA Total RNA kit (Omega Bio-Tek, Norcross, GA). RTPCR analysis was carried out using the qScript One-Step PCR kit (Quanta Biosciences, Gaithersburg, MD) on a LightCycler 96 System (Roche, Indianapolis, IN). For hepatocytes and AML12 cells, RNA was extracted using TRIzol (Invitrogen). Total RNA was reverse-transcribed using the SuperScript III First-Strand Synthesis System (Thermo Fisher Scientific). Quantification of RNA was performed using Brilliant II SYBR Green qPCR Master Mix (Agilent Technologies) on a Stratagene Mx3000P qPCR System (Agilent Technologies). Foldchange values were calculated using the $2^{-\Delta\Delta Ct}$ method, with β -actin and GAPDH as the housekeeping genes for macrophages and hepatocytes, respectively. The primer sequences are listed in **Table 3.6**.

3.2.11 Proteomics

Proteins were extracted from cell lysates, purified, digested, and analyzed using LC-MS experiments as described previously (480). An IDA scan was used to generate an ion library in ProteinPilot (v. 5.1, AB Sciex) of all proteins and their corresponding peptides in the sample, and a data-independent acquisition (DIA) scan was used to obtain high-quality MS/MS data for quantification.

3.2.12 Cytokine quantification

Raw 264.7 cells were cultured in the presence of LPS for 24 h. Culture supernatants were centrifuged at 5,000 x g for 15 min and secreted cytokines were quantified using a multiplex kit (Mouse Inflammation Panel, BioLegend, San Diego, CA) using the manufacturer's suggested protocol.

3.2.13 Macrophage migration assay

Bone marrow derived macrophage (BMDM) migration was investigated in transwell cell culture chambers with polycarbonate membranes (8 µm pore size, Corning Costar, Corning, NY). Cells were added to the upper chamber and either vehicle or different concentrations of I3A or TA was added to both the upper and lower chambers. After 4 h, 20 ng/mL MCP-1 (Invitrogen) was added to the bottom chamber. After 24 h, cells remaining on the upper side of the membrane were scraped off with a cotton swab. The migrated cells in the bottom chamber were fixed with methanol for 15 min, stained with 0.1% crystal violet for 30 min, and counted under a microscope (Axiovert 200M, Zeiss). Three replicate wells were analyzed in each experiment, with cells counted in 15 randomly chosen fields of view per well.

3.2.14 AhR ligand activation assay

A previously described AhR reporter assay (481) using murine H1G1.1c3 hepatoma cells was adapted to test ligand activation of the AhR by I3A and TA. These experiments were performed at the Sherr lab. Indoxyl sulfate (IS) and 6-formylindolo(3,2-b)carbazole (FICZ) were used as positive controls. Fluorescence readings were recorded at 24 and 48 h using a plate reader (SpectraFluor Plus, Tecan, Männedorf, Switzerland). Relative fluorescence as a measure of induced AhR activity was calculated by subtracting the average background fluorescence of untreated cells from the experimental values.

3.2.15 CARS microscopy

Lipid accumulation in hepatocytes grown in fatty acid supplemented medium was visualized using Coherent Anti-stokes Raman Scattering (CARS) microscopy. Images were recorded every 24 h by tuning the Stokes (1064 nm) and pump (817 nm) beams to excite the Raman peak at 2845 cm⁻¹ (663 nm) corresponding to the CH₂ stretch in lipid molecules. The microscopy system was configured to detect both forward- and epi-CARS signals. All images were averaged over 64 frames. Overlays of forward- (green) and epi-CARS (red) signals were generated using raw images (LAS X Software v3.0.2, Leica Microsystems).

3.2.16 Statistical analysis

Metabolite level comparisons from *in vivo* data were performed using the Wilcoxon rank-sum test. Statistical significance of *in vitro* data was calculated using one-way ANOVA for single treatment comparisons and two-way ANOVA for multiple treatment comparisons. A *p*-value of less than 0.05 was considered statistically significant. All heat maps were generated using pareto-scaled data. The similarity of the metabolite profiles was assessed based on group centroid distances using PLS-DA. Ellipses in PLS-DA plots show 95% confidence regions for each treatment group.

3.3 Results

3.3.1 Microbiota-dependent metabolites in the intestine include tryptophan-derived AhR ligands Cecal contents and fecal material from GF and conventionally-raised (CONV-R) mice were analyzed using both targeted and untargeted LC-MS experiments. Hierarchical clustering of detected features showed qualitative differences between the two groups (**Figure 3.1A**). The majority of the differentially present features (60% of total) were reduced in GF samples, suggesting that these features include products that depend on microbiota metabolic activity (**Figure 3.1B**). In the fecal material, a majority of differentially present features (36% of total) were elevated in GF samples (**Figure 3.1C**), suggesting that these features include dietary resides that could have been catabolized by the intestinal microbiota or host intestinal enzymes activated by the microbiota. Of the 1,444 LC-MS features depleted in both cecal contents and fecal material from GF mice, we annotated 156 features with putative identities. The majority of these metabolites are associated with phytochemical and lipid metabolism pathways (**Figures 3.1D-E**). Other major categories are amino acid derived compounds, nucleotides, vitamins, and cofactors. Notably, these depleted metabolites include bioactive molecules such as indole-3-acetate (I3A) and tryptamine (TA) (**Figures 3.1F-G**), which we previously found (469) to activate the AhR in a breast cancer cell line.

3.3.2 HFD alters the levels of microbiota-dependent metabolites in cecum, serum, and liver We next investigated whether administering a HFD, which can induce steatosis in mice following a prolonged feeding period (482), alters the levels of microbiota-dependent metabolites. The duration of HFD feeding was kept relatively short in order to avoid substantially altering host physiology. Using qRT-PCR, we confirmed that an 8-week HFD significantly perturbed the microbiota. The ratio of Firmicutes to Bacteroidetes was significantly higher in fecal material from HFD-fed mice (8.62) compared to mice fed a low-fat diet (LFD) (0.82) (**Figure 3.8A**). No significant differences in liver triglyceride levels were observed (not shown).

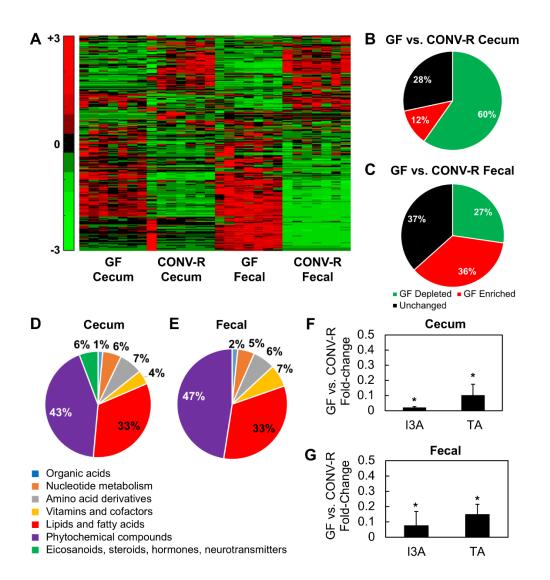


Figure 3.1 Results from untargeted analysis of samples from GF and CONV-R (female C57BL/6N) mice. Heat maps of hierarchically clustered LC-MS features (A). Features from fecal material and cecal contents were separately scaled prior to clustering using the Pareto method. Fraction of detected features that are depleted, elevated, or not significantly different in cecal contents (B) and fecal material (C) between GF and CONV-R mice. Putatively identified metabolites that depend on the gut microbiota (D-G). Features depleted in cecal contents (D) and fecal material (E) from GF mice were annotated and mapped to metabolic pathways in KEGG. Statistical tests on fold-changes identified several metabolites that are consistently depleted in both fecal material and cecal contents from GF mice. I3A and TA are both significantly reduced in cecal contents (F) and fecal material (G) from GF mice. Data shown are averages of n = 7 mice. Error bars represent one standard deviation. *: p<0.05 using Wilcoxon rank-sum test.

Untargeted analysis of metabolites in the cecum, serum, and liver (**Figure 3.8B**) did not show any obvious qualitative differences between HFD- and LFD-fed mice. However, a more detailed examination of the LC-MS data using hypothesis testing for equal medians (Wilcoxon rank-sum test) followed by feature annotation revealed significant differences in several classes of metabolites (**Figure 3.2A**). The major classes are phytochemicals, lipids, and amino acidderived compounds (**Figure 3.8C**). Intersecting the list of metabolites differentially present in HFD and LFD mice with the list of metabolites differentially present in GF and CONV-R mice identified 19 microbiota-dependent metabolites that are consistently altered by the HFD in at least two of the three tissue compartments (**Figure 3.2C**). This panel of 19 metabolites was further narrowed to eliminate small effect sizes (fold-change <2 between HFD and LFD mice). Finally, searching the narrowed list for potential AhR ligands identified three tryptophan metabolites: I3A, TA, and xanthurenic acid (XA).

Targeted LC-MS analysis for I3A and TA confirmed significant depletion of both metabolites in the liver and cecum of HFD mice compared to LFD mice (**Figure 3.2B**). The decrease in TA varied from two- (cecum) to ten-fold (liver). Similarly, I3A decreased between three- (liver) and ten-fold (cecum). The concentrations of TA and I3A in the LFD liver are 2 and 0.03 µmoles/g tissue, respectively.

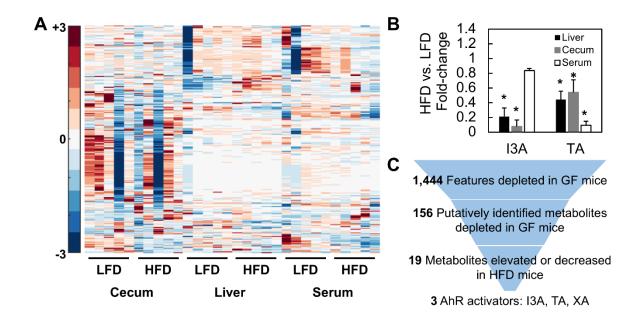


Figure 3.2 Liver, cecum, and serum samples from LFD and HFD fed CONV-R mice (male C57BL/6J) were analyzed using untargeted LC/MS experiments. Significantly different features were Pareto scaled and clustered along with features of interest based on annotation of GF and CONV-R samples (A). TA and I3A were quantified using targeted analysis to confirm depletion of these metabolites in HFD samples. Metabolite levels were normalized to sample weights and plotted as fold-changes comparing HFD to LFD (B). Data shown are averages of n = 5 mice. Error bars represent one standard deviation. *: p<0.05. Metabolite selection process based on features differentially present in GF vs. CONV-R samples and HFD vs. LFD samples (C). Final selections are suspected AhR ligands.

3.3.3 HFD increases levels of free fatty acids and alters composition of primary bile acid pool

Targeted analysis for major free fatty acid (FFA) species confirmed significant increases in both

saturated and unsaturated fatty acids in liver, cecum, and serum of HFD mice compared to LFD

mice (Figure 3.3). In the liver, palmitic and stearic acids were increased 4-fold. In the cecum,

palmitic acid was increased 10-fold, while stearic acid was unchanged (Figure 3.3B). In serum,

feeding with the HFD increased almost every major FFA species (**Figure 3.3C**). The increases in serum FFAs largely correlated with the composition of the HFD. The quantitatively dominant FFAs in the diet are palmitic, oleic, linoleic, and stearic acids (**Figure 3.3D**), which are also the four most abundant FFAs in all three tissue compartments examined in this study.

In addition to increasing the FFA levels, HFD also altered the composition of the primary bile acid pools in the liver, cecum, and serum. Targeted analysis for cholic acid (CA) and chenodeoxycholic acid (CDCA) found a significant (p = 0.013) decrease in the relative pool size of CA in the liver of HFD mice (**Figure 3.8D**).

3.3.4 I3A and TA attenuate pro-inflammatory cytokine expression in macrophages

In a previous study (39), we found that the tryptophan-derived bacterial metabolite indole attenuates indicators of inflammation in epithelial cells. As inflammation is a key secondary insult driving NASH, we investigated whether the tryptophan metabolites decreased in HFD could attenuate inflammation. Cultured macrophages were first exposed to palmitic acid and then lipopolysaccharide (LPS) to mimic the sequence of two key insults in NAFLD. Changes in the expression of tumor necrosis factor- α (TNF α), interleukin-1 β (IL-1 β), and monocyte chemoattractant protein-1 (MCP-1) in the presence of I3A and TA were determined (**Figure 3.4A-B**). Pretreating macrophages with I3A or TA prior to palmitate and LPS exposure significantly attenuated the increase in the mRNA levels of TNF α , IL-1 β , and MCP-1. Of the two metabolites, I3A exhibited greater potency, reducing the expression of all three cytokines in a dose dependent manner (**Figure 3.4A**). While TA pretreatment resulted in similar trends, only IL-1 β expression was significantly attenuated (**Figure 3.4B**). Moreover, significant toxicity was observed at TA concentrations greater than 500 μ M. The trends for cytokine secretion were largely consistent with gene expression (**Figure 3.4C**).

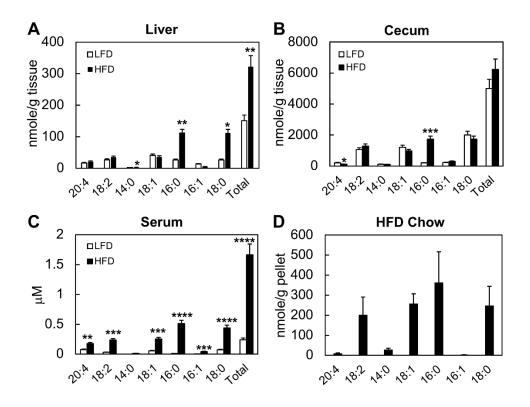


Figure 3.3 Comparison of free fatty acid (FFA) profiles in HFD and LFD mice. Major FFA species were quantified in liver (A), cecal luminal content (B), and serum (C) samples from HFD- and LFD-fed mice. The same FFAs were quantified in the HFD pellets (D). Data shown are averages of n = 5 mice. Error bars represent one standard deviation. *: p<0.05, **: p<0.01, ***: p<0.001, ***: p<0.0005.

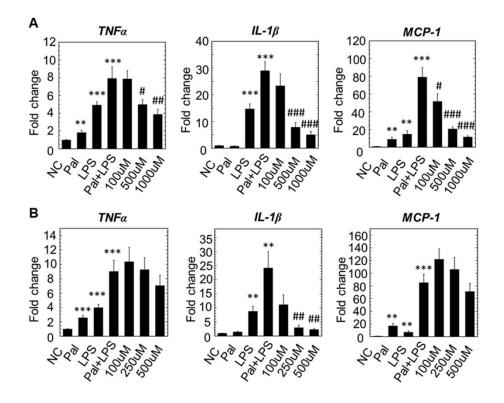


Figure 3.4 I3A and TA reduce pro-inflammatory cytokine production at the mRNA and protein level, and chemotactic migration in macrophages exposed to palmitate and LPS. (A-C). RAW 264.7 cells were stimulated with palmitic acid (Pal) followed by LPS, with or without adding varying doses of (A) I3A or (B) TA. The negative control (NC) group was only treated with the vehicle, dimethylformamide (DMF). Changes in the expression of TNF α , IL-1 β , and MCP-1were determined using qRT-PCR. (C) Culture supernatants were analyzed for secreted cytokines using a bead-based assay. Data shown are averages of 3 independent experiments with 3 biological replicates. Error bars represent one standard error of the mean. *: *p*<0.05, **: *p*<0.01, ***: *p*<0.001 compared to NC; and #: *p*<0.05, ##: *p*<0.01, ###: *p*<0.001 compared to Pal+LPS group. (D) I3A and TA inhibit BMDM migration toward MCP-1. BMDMs were incubated with MCP-1 in a transwell with vehicle (DMF) or different doses of I3A or TA. The chemotactic index (CI) for a treatment condition was calculated as the ratio of average number of migrated cells in the treatment group relative to the control group (incubated in medium only). Data shown are averages of 3 independent experiments with 3 biological replicates. Error bars represent one standard error of the mean. *: p<0.05, **: p<0.01, ***: *p*<0.001.

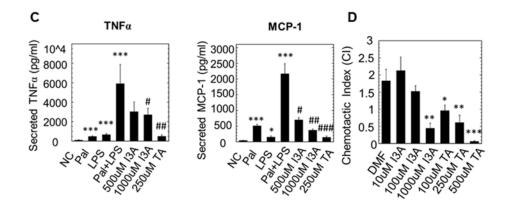


Figure 3.4 Continued

3.3.5 I3A and TA inhibit macrophage migration to MCP-1

In addition to activation of resident macrophages, progression of steatohepatitis is marked by increased infiltration of macrophages into the liver. Macrophage infiltration is promoted by MCP-1, a chemokine that is increased in serum and liver of NASH patients (483). Thus, we investigated if I3A or TA alters migration of macrophages towards MCP-1. Pretreatment of bone marrow derived macrophages (BMDMs) with I3A or TA prior to stimulation with MCP-1 significantly decreased migration in a dose dependent manner. At the highest concentrations tested in this study, I3A (1 mM) and TA (500 μ M) both completely abolished BMDM migration toward MCP-1 (**Figure 3.4D**).

3.3.6 I3A attenuates effects of TNF α and fatty acids in hepatocytes

Based on the above observation that I3A significantly attenuated the production of inflammatory mediators in macrophages, we examined whether I3A also modulated the metabolic response of hepatocytes. We first confirmed that culturing AML12 cells in medium supplemented with palmitic and oleic acids at a high, but sub-toxic (484) dose (500 μ M) led to accumulation of

visible lipid droplets within 24 to 48 h (**Figures 3.5A-C**). A similar trend was observed in HepG2 cells, a human liver cell line (**Figure 3.9A-C**), which accumulated noticeably larger lipid droplets. We followed the fatty acid supplementation with $TNF\alpha$ treatment, taking this cytokine as a representative pro-inflammatory signal produced by macrophages in the liver.

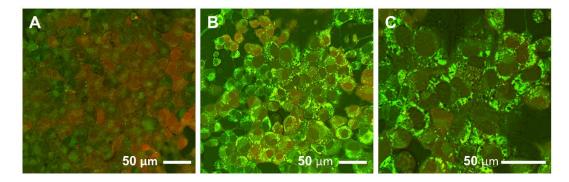


Figure 3.5 Micrographs of AML12 hepatocytes obtained using CARS microscopy. Micrographs were recorded after the cells had been treated with either (A) vehicle or (B) FFAs (500 μ M palmitate + 500 μ M oleate) for 48 h. All images are composites of forward- (green) and epi-CARS (red) signals. Lipid inclusion bodies are primarily detected by the stronger forward signal.

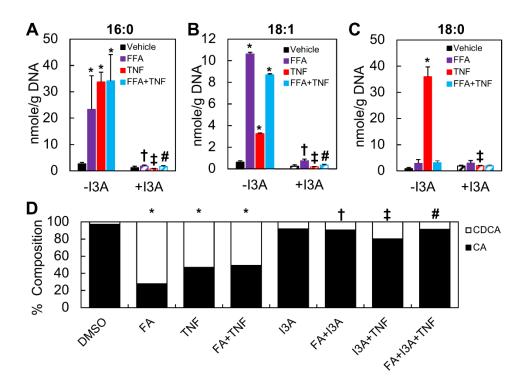
Targeted LC-MS analysis of major fatty acid species showed that the AML12 cells cultured in FFA-supplemented medium contained 5-fold greater levels of intracellular palmitic and oleic acids (**Figures 3.6A-C**), suggesting that not all of the FFAs taken up by the cells were stored into droplets. A similar trend was observed for the HepG2 cells (**Figure 3.9D-F**). In both AML12 and HepG2 cells, exposure to $TNF\alpha$ increased the intracellular levels of both palmitic and oleic acids, even without fatty acid preconditioning. Combining the treatments did not lead to an

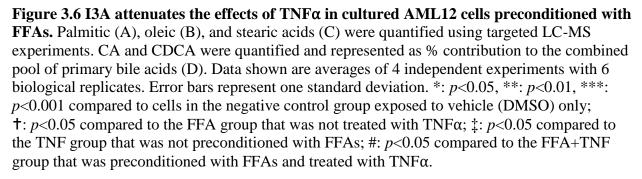
additive effect. Pretreating the cells with I3A prior to $\text{TNF}\alpha$ exposure significantly decreased the levels of palmitic and oleic acids. Similarly, I3A treatment attenuated the effects of fatty acid preconditioning, even when it was combined with $\text{TNF}\alpha$.

Since a hallmark of liver inflammation in fatty liver disease is dysregulation of bile acid metabolism (201), we examined the effect of I3A on bile acid production. Targeted LC-MS analysis showed that fatty acid preconditioning and TNF α exposure independently and together increased the fraction of CDCA by more than 30% in AML12 cells (**Figure 3.6D**). A similar trend was observed for the HepG2 cells (**Figure 3.9G**). By itself, I3A also increased the CDCA fraction, but when combined with the other treatments, I3A reduced the CDCA fraction by 20% compared to the conditions where the cells were exposed to either FFAs or TNF α . However, I3A treatment had no significant impact on cultures exposed to both TNF α with FFA. Similar trends were observed in HepG2 cell cultures (**Figure 3.9G**); however, CA accounted for a larger share of the bile acid pool in the control cultures and TNF α exposure increased the CDCA fraction by 40%. In HepG2 cells, I3A treatment had a significant impact on bile acid levels even when both FFA and TNF α were present.

3.3.7 I3A attenuates hepatocyte response to $TNF\alpha$

Based on the observation that I3A attenuates the both palmitic acid- and TNF α -stimulated fatty acid level increase, we more broadly profiled the effects of I3A on hepatocyte metabolite levels using untargeted LC-MS analysis. Hierarchical clustering (**Figure 3.10A**) suggested that I3A pretreatment was more effective in reversing the metabolic alterations due to TNF α exposure compared to fatty acid preconditioning. A similar trend was observed when the metabolite profiles were compared using partial least squares discriminant analysis (PLS-DA) (**Figure 3.10B-C**). The profiles of cells cultured in fatty acid supplemented medium formed a distinct cluster independent of I3A treatment, whereas the cells exposed to TNF α following I3A pretreatment clustered closely with vehicle control.





3.3.8 I3A modulates the expression of Fas and SREBP-1c via activation of the AhR

To investigate the impact of I3A on lipid and fatty acid pathways, we measured the expression of a key lipogenesis enzyme, Fas, and a transcription factor regulating cholesterol/bile acid biosynthesis, SREBP-1c. By itself, I3A treatment significantly reduced the expression of Fas in AML12 cells. This effect was also observed when I3A treatment was combined with fatty acid preconditioning and/or TNF α exposure (**Figure 3.7A**). The effect of I3A on Fas at the protein level was consistent with the gene expression data (**Figure 3.7B**). Treatment with TNF α independently or in conjunction with FFA increased Fas protein levels by four-fold while independent treatment with FFA did not significantly alter Fas. Treatment with I3A significantly attenuated the effects of TNF α on Fas by two-fold. While fatty acid supplementation increased SREBP-1c gene expression, TNF α exerted a weaker effect (**Figure 3.7C**). Combining both treatments did not have a significant effect compared to vehicle control.

Treatment with I3A again attenuated the effect of TNF α or fatty acid supplementation. We also tested the effects of I3A on AhR gene expression and activation, which negatively regulates both Fas and SREBP-1c. Fatty acid supplementation and TNF α exposure, either individually or in combination, significantly reduced AhR gene expression compared to vehicle control. Treatment with I3A attenuated the TNF α -induced reduction in AhR expression, regardless of fatty acid supplementation (**Figure 3.7D**). Treatment with I3A by itself had no significant impact on AhR gene expression, suggesting that the effects of I3A on AhR target genes are mediated through ligand activation of the nuclear receptor. Activation of AhR by I3A was confirmed in a H4IIE murine liver cell line expressing a stable enhanced green fluorescent protein (EGFP) reporter regulated by a minimal promoter containing xenobiotic response elements. At 10 μ M or higher doses, I3A increased EGFP expression by over 5-fold compared to vehicle control (**Figure 3.7E**). To determine if the observed effects of I3A are AhR-dependent, AML12 cells were incubated with CH-223191, a potent antagonist of AhR (485), prior to I3A treatment. In the presence of the inhibitor, I3A only weakly attenuated the TNF α - or fatty acid supplementation-induced increases in palmitic (**Figure 3.7F**) and oleic acids (**Figure 3.7G**). In the case of oleic acid, incubation with CH-223191 completely abrogated the effects of I3A.

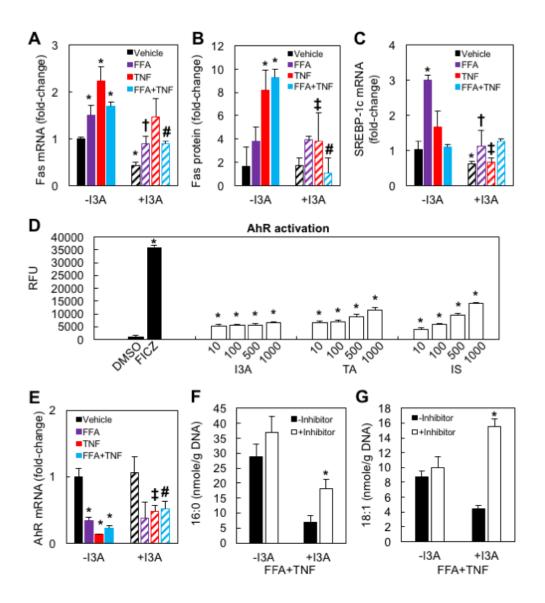


Figure 3.7 I3A attenuates the effects of TNF\alpha on AhR and its target genes in cultured AML12 cells preconditioned with FAs. Gene expression (A) and relative abundance of Fas protein (B). Gene expression of SREBP-1c (C). AhR activation by different doses of I3A, TA, and IS (D). FICZ and DMSO were used as positive and negative controls (NC), respectively. ***: p<0.005, ****: p<0.001 compared to NC. Gene expression of AhR (E). Data shown are averages of 4 independent experiments with 6 biological replicates. Error bars represent one standard deviation. *: *p*<0.05, **: *p*<0.01, ***: *p*<0.001 compared to NC; †: *p*<0.05 compared to FA group, ‡: *p*<0.05 compared to TNF group; #: *p*<0.05 compared to FA+TNF group. Palmitic (F) and oleic acids (G) in cultures treated with AhR antagonist CH-223191. *: p<0.05 compared to corresponding culture without CH-223191.

3.4 Discussion

A number of studies have shown that the gut microbiota composition is altered in a HFD regimen, suggesting that this dysbiosis could drive various phenotypic changes and progression of HFD-associated diseases, including fatty liver disease (12). By co-analyzing the differences in the metabolite profiles between HFD- and LFD-fed mice alongside the differences between CONV-R and GF mice, we identified metabolites that depend on the gut microbiota and are significantly depleted under HFD. The metabolite profile comparisons were only performed within the same sub-strain of mice (C57BL/6J or C57BL/6N) to examine the effect of a single factor, either diet or colonization.

The untargeted LC-MS experiments detected a large number of features differentially present in GF and CONV-R mice (**Figure 3.1A-C**). A subset of these features (26 %) is consistently depleted in both cecal luminal contents and fecal material from GF mice, and thus could be products of gut microbiota metabolism. A common practice for annotating untargeted LC-MS data is to match the MS/MS spectra of the detected features to spectral libraries of reference databases. Unfortunately, the coverage of bacterial metabolites in these databases remains incomplete. Moreover, different databases often return conflicting results. To address these issues, we searched multiple databases and supplemented these searches with *in silico* fragmentation analyses. A putative identity was assigned only if two or more of the data sources agreed. The identification rate achieved in the present study (156/1,444) is comparable to other global profiling studies, with the identified LC-MS features broadly representing known categories of microbiota metabolites (**Figure 3.2D-E**).

In order to determine which of the microbiota-dependent intestinal metabolites could be altered in fatty liver disease, we compared the metabolite profiles of liver, cecum, and serum from HFD-fed mice against corresponding profiles from LFD-mice. The HFD model has been used extensively to study phenotypes associated with fatty liver disease in mice (12). It is generally accepted that HFD typically leads to simple steatosis, and that steatohepatitis and fibrosis require additional challenges such as fructose supplementation (13). We limited the HFD to 8 weeks based on previous reports (486) showing that this duration is sufficient to significantly modify the gut microbiome, while also elevating circulating levels of inflammatory cytokines, without producing overt liver dysfunction. Targeted LC-MS experiments confirmed the impact of the HFD on cecum, serum and liver FFA profiles, in good agreement with previous reports on similar HFD models (486, 487).

Of the 156 putatively identified metabolites depleted in GF mice, 19 were also depleted by the HFD in at least two of the three tissue compartments. Querying this panel of metabolites against the literature for previously reported bioactivity, specifically ligand activation of the AhR, further narrowed the panel to 3 metabolites (**Figure 3.2C**): XA, TA, and I3A. Enzymes for producing TA (488) and I3A (469) from tryptophan have been characterized in the gut microbiota, whereas such enzymes have not yet been identified for XA. Therefore, we focused on TA and I3A as likely microbiota metabolites. The doses of TA and I3A were based on concentrations measured in the liver, estimated to be 2 and 0.03 mM, respectively, assuming a tissue density of 1 g/ml.

Multiple triggers can activate liver resident macrophages and induce hepatic inflammation. One well-known trigger is exposure to LPS. Increased bacterial translocation from the digestive tract has been observed in NASH patients (305). Another potential trigger is activation of toll-like receptors (e.g., TLR4) by elevated FFAs (489). Treating the cells with both palmitate and LPS synergistically induced the production of several pro-inflammatory cytokines. This finding is consistent with an earlier study reporting that palmitate amplifies the production of inflammatory cytokines (IL-6 and IL-8) upon LPS stimulation (490) of in human THP-1 monocytes. A similar observation was reported by Wen et al., who showed palmitate induction of IL-1 β secretion in LPS primed macrophages (491). The synergistic effects of LPS and palmitate could involve production of ceramides (61), which strongly induce lipotoxicity (492), or inflammasome activation through AMP-activated protein kinase (491). Both palmitate and LPS have been shown to activate macrophages through TLR4 and nuclear factor- κ B (NF- κ B) signaling (489), suggesting that signaling from both stimuli could converge onto this classical inflammation pathway. The addition of I3A or TA to the culture medium significantly decreased palmitate and LPS induced cytokine production in a dose dependent manner (**Figure 3.4A-C**). Interestingly, TA shows greater potency than I3A in decreasing TNF α and MCP-1 secretion, but is less potent in decreasing their gene expression. One possible explanation for this observation is that I3A and TA affect cytokine production at different points.

Another source of hepatic inflammation in NASH is increased infiltration of monocytes to the liver. Activated Kupffer cells produce chemokines, including MCP-1, which recruit multiple immune cells including monocytes (223). Accumulation of monocytes in the liver depends on signaling between C-C chemokine receptor type 2 (CCR2) and MCP-1 (223), and blocking this axis improves NASH in a murine model (216). Our results show that I3A and TA both inhibit BMDM migration toward MCP-1 in a dose dependent manner; however, the mechanism for this inhibition remains to be elucidated. While both I3A and TA exhibit the ability to attenuate inflammation in macrophages, only I3A significantly attenuated both gene expression and secretion of cytokines (**Figure 3.4D**). Moreover, TA exhibited toxicity at higher doses. Based on these observations, we focused on I3A for further *in vitro* testing in hepatocytes.

Fatty acid supplementation has been used to mimic diet-derived lipid accumulation in hepatocytes (493), although these previous studies did not report visual evidence for lipid droplets that characterizes steatosis. Here, we used an imaging technique to confirm the presence of lipid droplets in both murine (AML12) and human (HepG2) liver cell lines. The HepG2 cell line was used to confirm that the fatty acid supplementation and other treatments performed in the study elicit a similar response in murine and human cells, since the latter is in some cases not appropriately modeled by the former. Targeted LC-MS experiments confirmed significant uptake of both palmitic and oleic acids in both cell types, although at least a fraction these pools remained non-esterified as FFAs.

While elevation of serum FFAs is an indicator of the metabolic syndrome (494), hepatic lipid accumulation more specifically indicates NAFLD. Targeted analysis for major FFAs confirmed that palmitic, stearic, and myristic acids were significantly elevated in livers of HFDfed mice. The most abundant unsaturated fatty acid measured in our study is oleate, although its level in the liver was not significantly altered by the HFD. Palmitic acid can act as an inflammatory signaling molecule, whereas oleic acid is a quantitatively important substrate for esterification and promotes lipid accumulation through modulation of SREBP-1c activity (495). We supplemented the culture medium of hepatocytes with both fatty acids to induce lipid loading and present an inflammatory stimulus.

Two common denominators of NAFLD are lipid accumulation and elevation of proinflammatory factors in the liver. Lipid accumulation occurs primarily in hepatocytes, whereas pro-inflammatory cytokines are produced by resident and/or infiltrating macrophages (496). We found that FFA exposure along with LPS synergistically promotes TNF α , IL-1 β , and MCP-1 production and secretion by macrophages. We selected TNF α as a representative cytokine to mimic the effects of local macrophage activation on hepatocytes. In both AML12 and HepG2 cells, TNF α significantly increased the levels of intracellular FFAs. Similar observations were reported *in vivo* by Endo et. al. (497) who found that induction of TNF α expression through LPS injection in mice significantly enhances the expression of SREBP-1c and Fas, and elevates liver steatosis. We show that the effects of TNF α are nearly abolished by treating the cells with I3A. Treatment with I3A also attenuated the effects of TNF α on bile acid metabolism. Clinical studies (201, 204) have suggested that an alteration in both serum and liver bile acid compositions could be a biomarker for NAFLD; in particular, CDCA was found to be higher in NASH patients compared to healthy subjects. In our two-hit *in vitro* model, FFA and cytokine treatments independently and together increased the ratio of CDCA to CA, which was partially reversed by I3A treatment, again suggesting a normalization of lipid metabolism.

While the mechanism whereby I3A counters the effects of TNF α on lipid metabolism remains to be fully elucidated, our results, together with previously reported findings, point to activation of the AhR. We, and others (469, 498, 499), have shown that I3A is an agonist for the AhR in several cell types, including hepatocytes (**Figure 3.7D**). The present study shows that I3A also modulates the expression of two AhR regulated genes, SREBP-1c and Fas, in a manner consistent with ligand activation of AhR. Rats administered the AhR agonist TCDD exhibited decreased fatty acid synthesis in the liver (500). Liver-specific knockout (KO) of AhR exacerbated the effects of a HFD on liver steatosis, inflammation, and lipotoxicity (501). The same study also found increased *de novo* lipogenesis in AhR KO mice. Conversely, TNF α appears to interfere with transcriptional regulation by the AhR (502). However, the mechanism remains to be elucidated, and conflicting findings have been reported in other cell types (e.g., MCF7 cells) (503)).

The protective role of the AhR in NAFLD likely depends on the degree of activation and the cellular context. Gorski et. al (504) reported that TCDD increased fatty acid synthesis in rat livers when administered at a very high dose (ten-fold higher than Lakshman et al.). We observed a similar dose dependence in both murine and human hepatocytes. At the highest dose (500 μ M), we found that I3A by itself increased the levels of both palmitic and oleic acids compared to vehicle control, an effect we did not observe at lower doses (data not shown). On the other hand, I3A consistently lowered intracellular palmitic and oleic acids when presented together with FFAs, TNF α , or both. One possible explanation for these different findings is that negative regulation of lipogenesis by the AhR depends on whether the nuclear receptor is activated in a naïve setting or under conditions of lipid loading or heightened state of inflammation, when expression of AhR is suppressed.

Of the two "hits" applied in the present study, I3A more strongly attenuated the effects of TNF α . Hierarchical clustering (**Figure 3.10A**) and PLS-DA of untargeted LC-MS data indicate that the global metabolite profiles of I3A and TNF α treated cells (**Figure 3.10B**) more closely resemble the vehicle control compared to I3A and FFA treated cells (**Figure 3.10C**). Interestingly, treatment with I3A alone led to a metabolite profile that is distinct from the vehicle control, suggesting that this metabolite has broad effects on cellular metabolism. While our results indicate that these effects are at least partially mediated by the AhR, the involvement of other regulatory pathways cannot be ruled out. Furthermore, the stronger attenuation of TNF α

effects could reflect the timing of I3A treatment, which preceded TNF α exposure and followed FFA supplementation.

In conclusion, we have shown that the gut microbiota-dependent metabolite I3A can directly modulate inflammatory responses of hepatocytes and macrophages. Our results suggest that I3A could modulate liver inflammatory responses in at least two ways. Acting on the macrophages, I3A could attenuate the release of pro-inflammatory cytokines that induce the liver to synthesize FFAs, which in turn stimulate the macrophages. Acting on the hepatocytes, I3A could attenuate the cytokine-mediated upregulation in lipogenesis. These actions of I3A on the hepatocytes are AhR-dependent, as inhibition of AhR by a specific antagonist suppressed the effects of I3A. The AhR is likely but one of several host cellular receptors mediating hostmicrobiota crosstalk. In this regard, the approach presented in this paper could serve as a useful template to characterize other microbiota-dependent ligands and their effects on specific host cell types and pathways. Finally, further studies are warranted in animal models and human subjects to determine whether I3A or other microbiota metabolites can effectively intervene in the pathogenesis of NAFLD.

3.5 Supplemental information

Supplemental figures and tables are listed in the following pages.

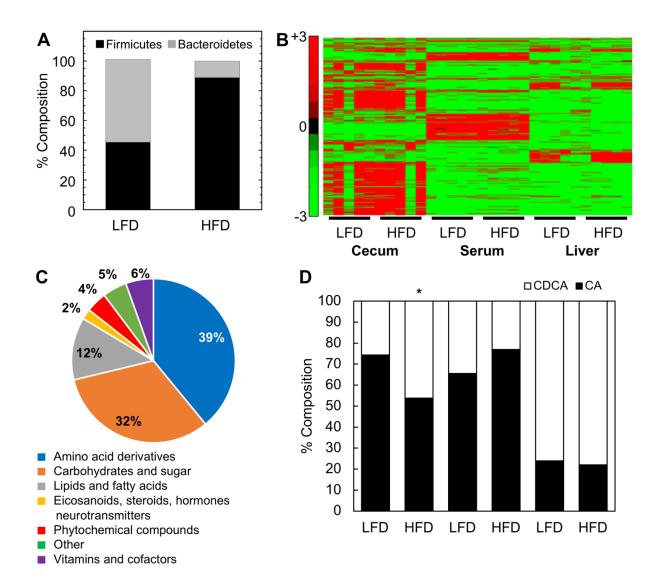


Figure 3.8 Effect of diet on microbiome and metabolite profile. Fecal material from mice fed a high-fat diet (HFD) or low-fat diet (LFD) were assayed for Firmicutes and Bacteroidetes using qRT-PCR (A). Ratios between the two phyla were determined from copy numbers calculated based on a DNA standard curve. Data shown are averages of n = 5 mice. Error bars represent one standard deviation. *: p<0.05 compared to LFD using Wilcoxon rank-sum test. Heat map shows hierarchically clustered LC-MS features (i.e., metabolites) detected in cecum, liver, and serum samples (B). Features from cecum, serum, and liver samples were separately scaled prior to clustering using the Pareto method. Red or green color denotes a feature that is elevated or depleted, respectively, relative to the mean value for the feature in the tissue compartment. Features significantly elevated or depleted were annotated and mapped to pathways cataloged in KEGG (C). Primary bile acid composition showing contribution from cholic acid (CA) and chenodeoxycholic acid (CDCA) (D).

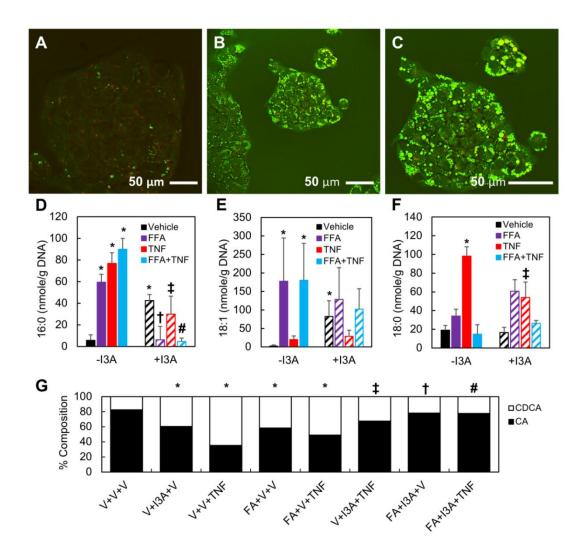


Figure 3.9 I3A attenuates TNFα-induced alterations in free fatty acid (FA) and bile acid (**BA**) **metabolism in cultured HepG2 cells.** Micrographs of HepG2 cells obtained using Coherent anti-Stokes Raman Scattering (CARS) microscopy (A-C). The cells were treated with either (A) vehicle or (B) a combination of palmitic (500 µM) and oleic acids (500 µM) for 48 hours. The microscope was tuned to detected aliphatic C-H vibrations. Lipid inclusion bodies show as green circles. Metabolites were extracted from cell cultures using a solvent-based method and analyzed using a product ion scan experiment for FFAs and BAs. The three most abundant FFAs were palmitic (D), oleic (E), and stearic (F) acids. Composition of primary BAs shown as a ratio of cholic acid (CA) to chenodeoxycholic acid (CDCA) (G). Data shown are averages of 4 independent experiments, each with 6 biological replicates. Treatment group means were compared using two-tailed Student *t*-test. *: *p*<0.05 compared to the corresponding FFA supplementation group (FA+V+V), \ddagger : *p*<0.05 compared to the corresponding TNFα treatment (FA+V+TNF).

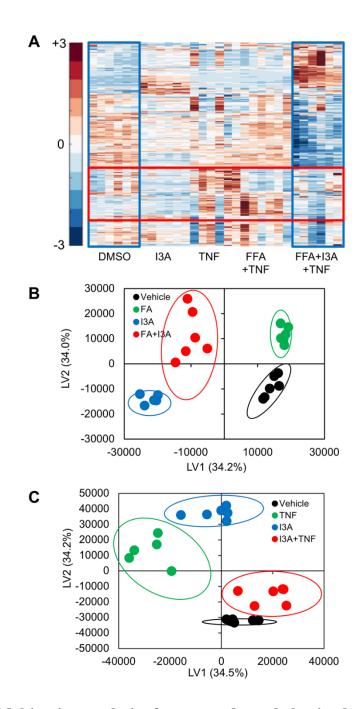


Figure 3.10 Multivariate analysis of untargeted metabolomics data from AML12 cultures. LC-MS data features were pareto-scaled and hierarchically clustered (A) for qualitative comparisons between the effects of TA and I3A on global metabolite profile. The similarity of the metabolite profiles was assessed based on group centroid distances using PLS-DA (B-C). Ellipses show 95% confidence intervals for each group. Numbers in parentheses show % of variance in the data explained by the latent variable (LV).

	Bile Acid Method	Free Fatty Acid Method	Hydrophilic
			Interaction Liquid
			Chromatography
			(HILIC)
Column	Kinetex [®] 5 µm EVO	Luna® 5 µm C8(2) 100	Luna® 5 µm NH2 100
	C18 100 Å, 50 x 2.1 mm	Å, 150 x 4.6 mm	Å, 250 x 2 mm
Solvent A	50:50 v/v water:methanol	97:3 v/v water:methanol	95:5 v/v
	with 0.1% w/v NH4OH	with 10 mM	water:acetonitrile with
	and 10 mM ammonium	tributylamine and 15 mM	20 mM ammonium
	acetate	acetic acid	acetate and 20 mM
			NH4OH
Solvent B	Methanol with 0.1% w/v	Methanol	Acetonitrile
	NH_4OH and 10 mM		
	ammonium acetate		
pH Solvent A	9	4.5	9.45
pH Solvent B	9	N/A	N/A
Column	50	25	25
Temperature (°C)			
Injection volume	10; 200; 200	10; 300; 300	10; 300; 300
(µL); Draw speed			
(µL/min); Flowrate			
(µL/min)			
MS Method	Product Ion Scan	Product Ion Scan	IDA

Table 3.1 Parameters for product ion scan and IDA experiments

Table 3.1 Continued

Source (Ionization	ESI (-)	ESI (-)	ESI (+)
Mode)			
Calibration Solution	1 μ M cholate,	0.01 mg/mL palmitic,	Pos PPG Solution (AB
	chenodeoxycholate,	oleic, stearic, palmitoleic,	Sciex)
	taurocholate, and	linoleic, and myristic acid	
	glycocholate in 50:50	in 0.01% v/v chloroform	
	v/v water:methanol	in methanol	
Source Gas 1	40	60	35
Source Gas 2	35	60	45
Curtain Gas	25	25	25
Source	450	550	450
Temperature (°C)			
TOF MS Collison	-10	-5	+5
Energy (V)			
Declustering	-100	-100	80
Potential (V)			

Metabolite	LC/MS Method	Collision Energy (V)	Expected RT (min)
Cholic acid	Bile Acid	-30	0.6
Chenodeoxycholic acid	Bile Acid	-30	0.41
Palmitic Acid	Free Fatty Acid	-25	25.2
Oleic Acid	Free Fatty Acid	-25	26.02
Stearic Acid	Free Fatty Acid	-25	27.74
Palmitoleic Acid	Free Fatty Acid	-25	23.44
Indole-3-acetate	HILIC	+25 (IDA)	16.7
Tryptamine	HILIC	+25 (IDA)	3.4

Table 3.2 Collision energies (CE) and expected retention times (RT) for target product ions

Table 3.3 LC method for bile acid assay

Time (min)	Flowrate (uL/min)	%A	%B
0.50	500	100	0
4.50	500	50	50
5.50	500	0	100
6.50	500	100	0

Time (min)	Flowrate (uL/min)	%A	%B
0	300	20	80
20	300	1	99
40	300	1	99
41	300	20	80
50	300	20	80

Table 3.5 LC method for IDA experiments

Time (min)	Flowrate (uL/min)	%A	%B
0	300	15	85
15	300	100	0
28	300	100	0
30	300	15	85
60	300	15	85

Table 3.6 Primer sequences for qRT-PCR analysis

Gene	Forward Primer Sequence	Reverse Primer Sequence
β-actin	GGCTGTATTCCCCTCCATCG	CCAGTTGGTAACAATGCCATGT
TNFα	TCTCATGCACCACCATCAAGGACT	TGACCACTCTCCCTTTGCAGAACT
MCP-1	CTCTCTTCCTCCACCACCAT	ACTGCATCTGGCTGAGCCA
IL-1β	TCCAGGATGAGGACATGAGCAC	GAACGTCACACACCAGCAGGTTA
Fas	TACCAGTGCCACAGGAGTCTCA	TAAACACCTCGTCGATTTCGTTC
SREBP-1c	CTCCAAGGTTTCGTCTGACG	TCCAGTGGCAAAGAAACACC
Ahr	CGCGGGCACCATGAGCAG	GAGACTCAGCTCCTGGATGG
Cyp7a1	CCTTGGACGTTTTCTCGCT	GCGCTCTTTGATTTAGGAAG
Cyp27a1	TGCCTGGGTCGGAGGAT	GAGCCAGGGCAATCTCATACTT
GAPDH	GAAGGTCGGTGTGAACGGATTTGGC	TGTTGGGGGGCCGAGTTGGGATA
Bacteroidetes	ACGCTAGCTACAGGCTTAACA	ACGCTACTTGGCTGGTTCA
Firmicutes	GCGTGAGTGAAGAAGT	CTACGCTCCCTTTACAC

CHAPTER IV

MECHANISMS UNDERLYING INHIBITION OF MACROPHAGE INFLAMMATION BY INDOLE-3-ACETATE AND TRYPTAMINE

4.1 Introduction

NAFLD is the most prevalent chronic liver disease in the western countries (44). It is a multi-stage disease, that starts as steatosis, which is a benign and reversible condition, and subsequently progresses to NASH, which is characterized as liver inflammation, and eventually progresses to fibrosis (1). In a subset of NASH patients, fibrosis further progresses to cirrhosis and eventually to hepatocellular carcinoma (HCC) (2).

Although significant progress has been made on understanding the pathogenesis of NAFLD, key drivers such as factors leading to the progression from steatosis to NASH are poorly understood. A "two-hit" model has been widely used for studying the pathogenesis of NAFLD. In this model, lipid accumulation in the liver is thought to be the first hit, and additional stimuli such as increased levels of inflammatory cytokines, increased reactive oxygen species (ROS) and decreased hepatic ATP production, are regarded as possible second hits that result in the progression of steatosis to NASH (11). However, the mechanisms underlying the pathogenesis of NASH are highly heterogeneous and a "parallel hit" model has been proposed, in which multiple gut-derived, adipose tissue-derived, as well as systemic factors are considered as drivers inducing hepatic inflammation during NASH (15, 55).

Hepatic inflammation is a hallmark feature of NASH and is an important driver in the development of liver fibrosis, cirrhosis, and even HCC (157). The activation of Kupffer cells (KCs), the liver resident macrophages, has been established in experimental models as a key step in the initiation and progression of liver inflammation (248, 249). Hepatic macrophages in NASH

produce pro-inflammatory cytokines and chemokines as a result of excess lipid loading and FFAs (253), signals derived from the surrounding steatotic hepatocytes (254), and damage associated molecular pattern (DAMP) induced activation of KCs (255). The accumulation and polarization of macrophages to a pro-inflammatory phenotype in the liver is considered as a hallmark feature of progressive disease in NASH patients (249, 256). Once activated, inflammatory macrophages are capable of secreting a broad range of cytokines, such as IL-1 β and TNF α to further increase inflammation leading to fibrosis. Activated KCs also secrete chemokines such as MCP-1 to recruit monocytes from circulation to infiltrate the liver and further exacerbate inflammation (216, 223).

A large body of evidence has shown that the AMP-activated protein kinase (AMPK) is involved in hepatic lipid metabolism, and increasing the activity of AMPK has been proposed as a therapeutic target for NAFLD (17, 20, 372, 385). Decreased AMPK activity has been linked to obesity, diabetes, cardiovascular disease and NAFLD (17). Dysregulation of AMPK activity due to either impaired AMPK phosphorylation or AMPK protein expression in the liver has been observed in high fat diet fed mice that show increased lipid loading (18, 19, 505). In addition, stimulation of mouse bone marrow derived macrophages with LPS also leads to down-regulation of AMPK activity, and inhibition of AMPK by RNA interference increases LPS-induced TNF α expression (19). Thus, the two main pathological factors in NAFLD - lipid overloading (18) and pro-inflammatory signals (19) - can inhibit AMPK activity. The deficiency of AMPK found in various cells with metabolic diseases strongly suggest that restoring AMPK activity could be important in attenuating inflammation.

In Chapter 3, we identified microbiota derived metabolites that are depleted in a HFD-fed mouse model of NAFLD. Among them, two metabolite I3A and TA reduced the expression of inflammatory cytokines (TNF α , IL-1 β and MCP-1) in macrophages exposed to palmitate and LPS.

While this established the anti-inflammatory phenotype elicited by these metabolites, the signaling pathways and underlying mechanisms involved are not understood. Although gut microbiota derived metabolites such as indole derivatives have been previously identified as aryl hydrocarbon receptor (AhR) ligands (43, 469) and shown to be important in maintaining intestinal homeostasis (506, 507), the expression level of AhR in macrophages is low compared to other cell types (508). Given the strong anti-inflammatory effect elicited by I3A and TA, it is possible that these metabolites engage signaling pathway(s) other than the AhR for their anti-inflammatory activity. The present study investigates the hypothesis that the anti-inflammatory effect of I3A and TA on macrophages is mediated through AMPK signaling.

4.2 Materials and Methods

4.2.1 Reagents

RAW 264.7 cells were purchased from ATCC (Manassas, MA). Dulbecco's Modified Eagle Medium (DMEM), penicillin/streptomycin, LPS (from *Salmonella minnesota*) were purchased from Invitrogen (Carlsbad, CA). Fetal bovine serum (FBS) was purchased from Atlanta Biologicals (Flowery Branch, GA). 5-aminoimidazole-4-carboxamide ribonucleotide (AICAR) was purchased Sigma Aldrich (St. Louis, MO). Unless otherwise noted, all other reagents were purchased from Sigma Aldrich (St. Louis, MO).

4.2.2 Murine macrophage culture

Raw 264.7 murine macrophages were cultured in a humidified incubator at $37^{\circ}C$ and 5% CO₂ with DMEM supplemented with 10% heat inactivated FBS, penicillin (200 U/mL) and streptomycin (200 μ g/mL). Cells were passaged every 2-3 days and used within 10 passages after thawing.

4.2.3 RNA extraction and qRT-PCR

RAW 264.7 cells were seeded into 24-well plate as density of ~4 x 10⁵ cells/ml. When the cell density reached ~ 80% confluence, cells were pre-treated with 1 mM I3A, 250 μM TA or DMF (solvent control) for 4 hours, followed by addition of 300 μM palmitate complexed with BSA. After 18 h, 10 ng/mL LPS was added to the cells for an additional 6 h. At the end of the exposure period, cells were washed twice with PBS, and the cell pellets were stored at -80 °C until RNA extraction. Total RNA was extracted from RAW 264.7 cell pellets using the EZNA Total RNA kit (Omega Bio-Tek, Norcross, GA). Purity of isolated RNA was confirmed by A260/A280 ratio. RTPCR analysis was carried out using the qScript One-Step PCR kit (Quanta Biosciences, Gaithersburg, MD) on a LightCycler 96 System (Roche, Indianapolis, IN). Fold-change values were calculated using the $2^{-\Delta\Delta Ct}$ method, with β-actin as the housekeeping genes. The primer sequences used are listed in **Table 4.1**.

4.2.4 Cytokine quantification

RAW 264.7 cells were treated with metabolites for 4 hours, followed by 300 μ M Palmitate for 18 hours and 10ng/ml LPS for 24 hours. Culture supernatants were centrifuged at 5,000 x *g* for 15 min at 4°C and cytokines (TNF α and MCP-1) in the supernatant were quantified using commercially available ELISA kits (BioLegend, San Diego, CA) using the manufacturer's suggested protocol.

4.2.5 Protein isolation immunoblotting

RAW 264.7 cells were treated as described in each experiment. Cells were washed twice with PBS and stored at -80 °C until protein isolation. Cell pellets were lysed with modified RIPA buffer (50 mM Tris-HCl, PH 7.4, 1% Triton X-100, 150 mM NaCl, 1 mM EDTA, and 0.5% Sodium deoxycholate) supplemented with a protease inhibitor cocktail (Sigma), 10mM NaF, and 1mM

Na₃VO₄. The protein concentration was determined using the BCA protein assay kit (Pierce). Protein samples were denatured with SDS and equal amounts (~ 20 ug) were loaded on polyacrylamide 10% SDS-PAGE gels. Proteins were initially resolved at 60V for 30 min, followed by 120 min at 90V.

Proteins were transferred to a PVDF membrane (Thermo Scientific, Waltham, MA) by wet-transfer electrophoresis following the Bio-Rad standard protocol. A blocking solution of 5% non-fat milk in TBST were used to block non-specific binding sites. The blots were then probed with appropriate primary antibodies (p-AMPK: 2535, total AMPK: 2603, β -actin: 12620, Cell Signaling Technology) and secondary antibody (Anti-rabbit horseradish peroxidase-conjugated secondary antibody, 7074, Cell Signaling Technology). Proteins were visualized based on chemoluminescence by incubating the blot with Clarity and Clarity Max Western ECL Blotting Substrate (Bio-Rad, Hercules, CA) and imaged on a ChemiDoc gel imaging system (Bio-Rad, Hercules, CA). Protein quantification was carried out by normalizing the intensity of the protein band of interest to the intensity of the β -actin band in the same lane using the Imagelab software (Bio-Rad, Hercules, CA).

4.2.6 Small interfering RNA (siRNA) transfection

RAW 264.7 cells were seeded into 6-well or 24-well plates at ~ 30% confluence and cultured for 24 hours prior to transfection. Cells were transfected with ON-TARGETplus Mouse prkaa1 siRNA (Dharmacon, Lafayette, CO) or ON-TARGETplus non-targeting pool (negative control, Dharmacon, Lafayette, CO) using the GenMute siRNA transfection reagent (SignaGen Laboratories, Rockville, MD) according to manufacturer's instruction. After 24 h, the medium was replaced with siRNA-free growth medium and incubated for an additional 24 - 72 h. The

transfection efficiency was determined by monitoring the change in AMPK mRNA and protein levels using qRT-PCR and Western blot, respectively.

4.3 Results

4.3.1 I3A and TA inhibit macrophage inflammatory cytokine production induced by FFA and LPS

In Chapter 3, we utilized an *in-vitro* two-hit model of macrophage inflammation to investigate the anti-inflammatory potential of I3A and TA. In this model, palmitate is the first-hit that increases lipid loading, and LPS is the second hit that induces inflammation. We observed that both I3A and TA inhibited pro-inflammatory cytokine production in macrophages in a dose-dependent manner.

To investigate the underlying mechanisms, we first tested whether I3A and TA's effects specifically targeted palmitate- or LPS-induced inflammation in RAW 264.7 macrophages. Cells stimulated with either palmitate, LPS, or palmitate followed by LPS, were exposed to I3A or TA as described in Materials and Methods. Changes in the expression of three pro-inflammatory cytokines, TNF α , IL-1 β and MCP-1 were quantified at the mRNA level by qRT-PCR. **Figure 4.1** (**A**) shows that exposure to I3A attenuated the increase in the mRNA levels of MCP-1 in all three groups. The attenuation only showed in palmitate and palmitate followed by LPS for TNF α levels, and LPS and palmitate followed by LPS groups for IL-1 β . **Figure 4.1** (**B**) shows a similar decrease in the levels of secreted TNF α upon exposure to the metabolites relative to the DMF control.

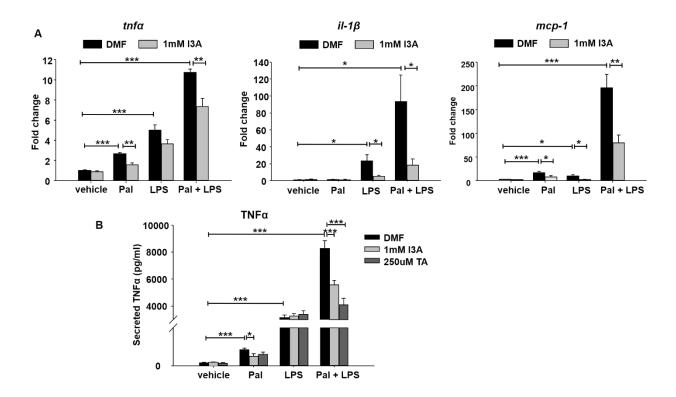


Figure 4.1 I3A and TA reduce both palmitate and LPS induced inflammation in macrophages. RAW264.7 cells were pre-treated with DMF (solvent control), 1 mM I3A or 250 μ M TA for 4 hours, stimulated with 300 μ M palmitate or vehicle control for 18 hours, followed by stimulation with 10 ng/ml LPS or media control for another 6 hours. (A). Total RNA was isolated and gene expression of inflammatory cytokines (TNF α , IL-1 β and MCP-1) were analyzed with qRT-PCR. (B). Cells were kept in culture for another 24 hours after LPS stimulation and cell culture supernatants were harvested for secreted TNF α ELISA. Data shown are mean +/- standard error from three independent experiments, and three biological replicates in each experiment.*: p<0.05, **: p<0.01, ***: p<0.001 indicate statistical significance assessed by the Student's *t*-test.

4.3.2 Activation of AMPK inhibits inflammatory cytokine expression induced by palmitate and

LPS

Since I3A and TA inhibit inflammatory cytokine production induced by both palmitate and LPS, we tested the hypothesis that I3A and TA target common pathway(s) that are induced by both

palmitate and LPS in macrophages. It has been reported that palmitate activates NF-kB in different

cell types, including macrophages, through the TLR2 and TLR4 receptors (509-514). As a classic TLR4 agonist, LPS activates NF- κ B to initiate pro-inflammatory signaling and cytokine production in different cell types, including macrophages (515-518). However, a recent report by Lancaster et al. (519) found that although TLR4 is required for palmitate-induced inflammation, palmitate is not a TLR4 agonist and cannot activate NF- κ B signaling like LPS. On the other hand, a large body of evidence show that AMPK is involved in hepatic lipid metabolism, and both lipid overloading and inflammatory signals can inhibit AMPK activity (18, 19). Therefore, we investigated the effect of AMPK in the attenuation of palmitate- and LPS-induced inflammation by I3A and TA.

We first tested if treatment of palmitate and LPS affect p-AMPK levels in macrophages. Western blot analysis of p-AMPK and total AMPK levels in macrophages indicated that both palmitate and LPS reduced p-AMPK levels compared to controls (**Figure 4.3 A and B**, bands marked with red box), which was consistent with previous reports (18, 19). We then tested if increasing AMPK activation attenuated palmitate- and LPS-mediated inflammation in RAW 264.7 macrophages. Cells were incubated with the AMPK activator AICAR (1 mM) along with palmitate and LPS, and the expression of TNF α , IL-1 β and MCP-1 were analyzed by qRT-PCR. As shown in **Figure 4.2**, activation of AMPK by AICAR significantly inhibited palmitate and LPS induced increase in the levels of the three cytokines, compared to the PBS control.

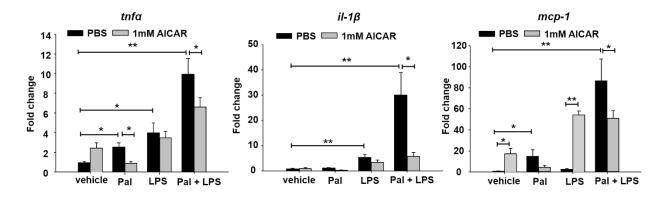


Figure 4.2 Activation of AMPK reduces palmitate and LPS induced macrophage inflammation. RAW264.7 cells were stimulated with 300 μ M Palmitate or vehicle control for 18 hours, followed by 10 ng/ml LPS or media control for another 6 hours in the presence of 1mM AICAR or PBS. Total RNA was isolated and inflammatory cytokine expression analyzed by qRT-PCR. Data shown are mean +/- standard error from two independent experiments, with three biological replicates in each experiment. *: *p*<0.05, **: *p*<0.01 indicate statistical significance assessed by the Student's *t*-test.

4.3.3 I3A and TA reversed palmitate and LPS induced p-AMPK reduction

We also investigated the effect of palmitate and LPS on AMPK phosphorylation in RAW 264.7 macrophages. Both palmitate and LPS reduced p-AMPK levels (**Figure 4.3 A, B**), and activation of AMPK by AICAR inhibited palmitate and LPS induced macrophages inflammation (**Fig 4.2**). Since I3A and TA also inhibited palmitate and LPS induced inflammation, we hypothesized that I3A and TA inhibited palmitate and LPS induced inflammation through increasing AMPK activity. To test this hypothesis, we first investigated if I3A and TA altered p-AMPK levels in palmitate and LPS treated macrophages. When cells were exposure to I3A and TA, the levels of p-AMPK were significantly increased (4-fold increase for LPS treatment and 2-fold for palmitate treatment) compared to vehicle control (**Figure 4.3**). These results demonstrate that I3A and TA can reverse palmitate and LPS-induced reduction in AMPK activity in macrophages.

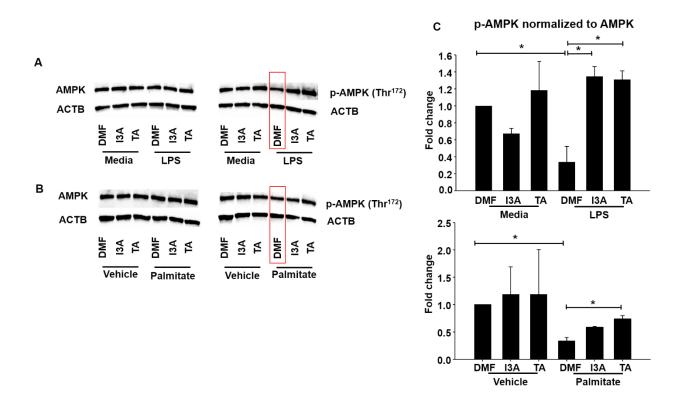


Figure 4.3 I3A and TA reverse palmitate- and LPS-induced decrease in p-AMPK. Raw 264.7 cells were pre-treated with 1 mM I3A, 250 μ M TA or DMF control for 24h, and then stimulated with 300 μ M Palmitate or 10 ng/ml LPS for 4 hours. Total protein (20 μ g/lane) was used for Western blot analysis of p-AMPK and total AMPK. (A) and (B). Representative blots of p-AMPK and total AMPK with LPS and palmitate stimulation, respectively. (C). Ratio of quantified p-AMPK levels normalized to total AMPK levels. Both p-AMPK and AMPK levels were normalized to ACTB first and then the ratio of p-AMPK hormalized to AMPK for I3A or TA relative to DMF controls. The fold-change of p-AMPK normalized to AMPK for I3A or TA relative to DMF controls is plotted. Data shown are mean +/- standard error from two independent experiments. *: p<0.05 indicates statistical significance assessed by the Student's *t*-test.

4.3.4 Knock down of AMPK by siRNA significantly reduces I3A and TA's anti-inflammatory

effect

Based on data showing that activation of p-AMPK reduces inflammation (Figure 4.2) and

I3A and TA reverse palmitate- and LPS-induced decrease in p-AMPK levels in macrophages

(Figure 4.3), we tested the effect of reduced AMPK activity on the anti-inflammatory effect of I3A and TA. Since AMPK α 1 is the main form of AMPK α in murine macrophages (410), and AMPK α plays an important role in NAFLD and inflammation (19, 410, 416, 423), we used siRNA against AMPK α 1 to reduce AMPK levels in RAW 264.7 macrophages. Significant knockdown of AMPK mRNA (50%), as well as AMPK (40% to 50%) and p-AMPK (40% to 60%) protein, was observed with prkaa1 siRNA compared to non-targeted siRNA control, for up to 96 hours (Figure 4.4).

We tested if I3A and TA attenuated inflammation to a lesser-degree in AMPK siRNAtreated macrophages. RAW 264.7 cells were transfected with prkaa1 or non-targeted siRNA for 24 hours, change to siRNA free media for 48h. Cells were then treated with I3A, TA or DMF control, followed by palmitate and LPS. Pro-inflammatory cytokines production were measured by qRT-PCR and ELISA. As shown by Figure 4.5, knockdown of AMPK by prkaal siRNA significantly reduced I3A and TA's ability to reduce palmitate- and LPS-induced production of TNF α , IL-1 β and MCP-1. Specifically, while I3A addition to the non-targeted control resulted in a 30% reduction in TNFa, no decrease in the levels of TNFa was observed with prkaa1-treated cells. Similarly, a 50% reduction in IL-1 β was observed with the non-targeted control which decreased to 30% in prkaa1-treated cells, while a 60% reduction for MCP-1 was observed with the non-targeted control compared to 45% in prkaa1 siRNA treated cells (Fig. 4.5 C). Consistent with the change in mRNA levels, AMPK knockdown resulted in less decrease in MCP-1 secretion with I3A and TA upon palmitate- and LPS-induced inflammation (~ 2-fold less decrease compared to untargeted siRNA) (Figure 4.6). In summary, these data suggest that I3A and TA alter AMPK signaling to modulate palmitate- and LPS-induced macrophage inflammation.

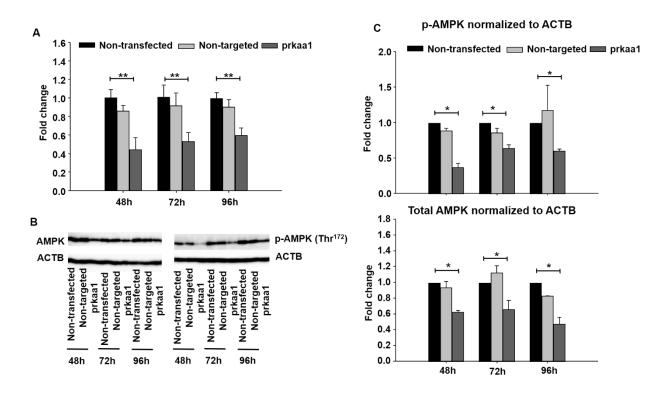


Figure 4.4 Prkaa1 siRNA reduces both p-AMPK and AMPK levels in macrophages. (A). RAW 264.7 cells were transfected with non-targeted or prkaa1 siRNA as described in Materials & Methods, and AMPK α mRNA levels were analyzed by qRT-PCR. Data shown are mean +/-standard error from two independent experiments, with three biological replicates in each experiment. (B), Representative Western blot for p-AMPK and AMPK detection. (C). Normalized p-AMPK or AMPK levels. Both p-AMPK and AMPK levels were normalized to ACTB first and the fold-change of p-AMPK or AMPK for prkaa1 siRNA relative to non-transfected controls is plotted. Data showed are mean +/- standard error from four individual experiments. *: p<0.05, **: p<0.01 indicate statistical significance assessed by the Student's *t*-test.

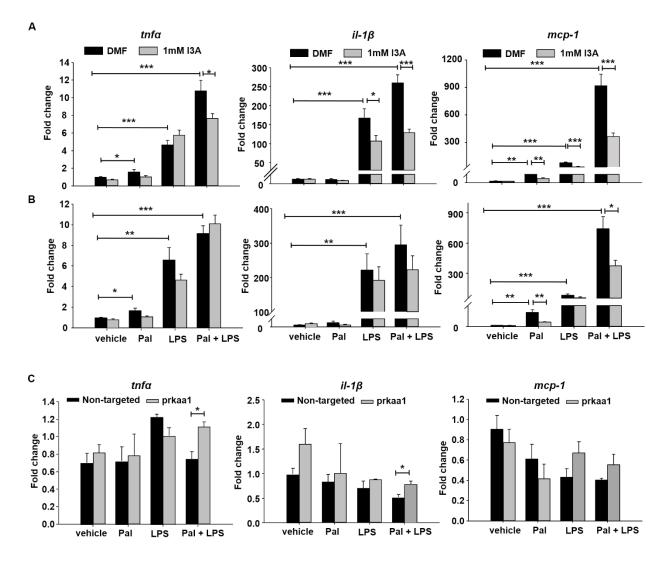


Figure 4.5 Knockdown of AMPK by prkaal siRNA significantly reduces I3A's ability to decrease pro-inflammatory cytokine gene expression. RAW264.7 cells were transfected with (A) non-targeted siRNA or (B) Prkkal siRNA as described in Materials & Methods. Cells were treated with 1 mM I3A or DMF control for 4 hours, stimulated with 300 μ M palmitate or vehicle control for 18 hours, followed by 10ng/ml LPS for 6 hours. Total RNA was isolated and inflammatory cytokine mRNA levels were analyzed by qRT-PCR. (C).The ratio of inflammatory genes expression level with I3A treatment relative to DMF is shown. Data are mean +/- standard error from three individual experiments with three biological replicates in each experiment. *: p<0.05, **: p<0.01, ***: p<0.001 indicate statistical significance assessed by the Student's *t*-test.

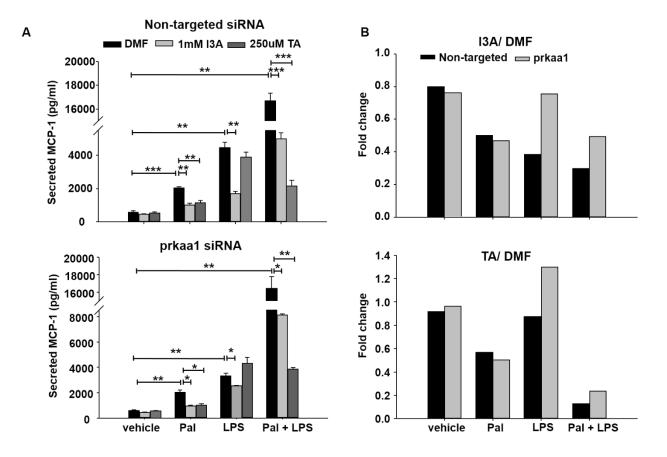


Figure 4.6 Knockdown of AMPK by prkaal siRNA significantly reduces I3A and TA's ability to decrease MCP-1 secretion. RAW264.7 cells were transfected with (A, top panel) non-targeted siRNA or (A, bottom panel) prkkal siRNA as described in Materials & Methods. Cells were treated with 1 mM I3A, 250 μ M TA or DMF control for 4 hours, stimulated with 300 μ M palmitate or vehicle control for 18 hours, followed by 10ng/ml LPS for 6 hours. Cell culture supernatants were harvested for ELISA. (B).The ratio of secreted MCP-1 with (top panel) I3A or (bottom panel) TA treatment relative to DMF is shown. Data shown are mean +/- standard error from one experiment with 3 biological repeats. *: p<0.05, **: p<0.01, ***: p<0.001 indicate statistical significance assessed by the Student's *t*-test.

4.4 Discussion

An increasing number of studies have shown that diet is an important risk factor in metabolic syndrome including NAFLD. High fat diet or western diets that are most commonly used in rodent models of metabolic syndrome alter the composition of the gut microbiota (12), which is associated with a series of physiological conditional change including fatty liver disease (195, 520). Besides the compositional change itself, the levels of microbiota metabolites that are altered with dysbiosis have been linked to multiple diseases including NAFLD (317). In Chapter 3, we co-analyzed the differences in metabolites profiles between HFD- and LFD fed mice alongside the differences between CONV-R and GF mice to identify metabolites that depend on gut microbiota, and are significantly depleted in HFD mice. By using a two-hit model of hepatic inflammation where saturated fatty acid palmitate is the first hit and LPS is the second hit, we demonstrated that both I3A and TA inhibited the production of TNF α , IL-1 β , and MCP-1 in macrophages in a dose-dependent manner. Here, we report on the identification of one of the signaling pathways that are activated by these metabolites to attenuate inflammation.

Based on gene expression and protein data showed I3A and TA could inhibit macrophage pro-inflammatory cytokine production when exposed to palmitate alone (TNF α , MCP-1), LPS alone (IL-1 β , MCP-1), or palmitate followed by LPS (TNF α , IL-1 β and MCP-1) (**Fig 4.1**), we investigated the possibility that I3A and TA modulate a macrophage signaling pathway that is common to both palmitate- and LPS-induced inflammation. The activation of NF- κ B signaling through TLR2 and TLR4 by saturated fatty acids is thought to be the main mechanism that SFAs such as palmitate induce inflammation in multiple tissues during metabolic disease (509-514). However, a recent study by Lancaster et al. suggests that palmitate is not a TLR4 agonist, based on molecular modeling simulation showed that palmitate cannot bind to TLR4 like LPS and experimentally evidence that palmitate cannot induce dimerization and endocytosis of TLR4 or activate NF- κ B signaling up to 6 h in both mouse and human macrophages (519). Instead, the authors proposed that BSA that used to solubilize palmitate could alter macrophage metabolism and consequently the cellular lipidome to prime cells through TLR4 signaling, and palmitate then acts as the second hit to induce inflammation in primed macrophages.

As an energy sensor, AMPK activity is reduced in response to LPS, TNF α , and HFDinduced obesity in multiple tissues, such as adipose tissue (409-411), muscle (412), and the liver (409, 413). HFD and inflammatory stimuli decrease the expression of AMPK and activity of AMPK α 1 in mouse adipose tissue and macrophages; treatment with the AMPK activator AICAR reverses diet- and LPS-induced inflammation (410, 416). On the other hand, anti-inflammatory cytokines, such as IL-10 and TGF- β activate AMPK in both mouse and human macrophages (416). AMPK also inhibits acute inflammatory responses in macrophages, and reduced expression of AMPK α 1 augments pro-inflammatory cytokine production and NF- κ B signaling (19, 410), while activation of AMPK by AICAR or constitutively active AMPK elicits the opposite effect (19, 410). Myeloid-deficient AMPK α 1 knockout mice (423) and mice lacking AMPK β 1 in hematopoietic cells (424) show increased accumulation of inflammatory macrophages in adipose tissue and the liver, and when fed with HFD, mice demonstrate accelerated development of insulin resistance. Thus, AMPK signaling could be a target candidate mediating the anti-inflammatory effects of I3A and TA.

Activation of AMPK signaling by the pharmacological activator AICAR significantly reduced palmitate- and LPS-induced inflammatory cytokine expression (**Figure 4.2**), which suggest that AMPK signaling is important in regulating macrophage inflammation. Compared to AICAR, I3A inhibited TNFα expression to a similar level, but was more potent in inhibiting the

expression of IL-1 β induced by both LPS, and palmitate followed by LPS. While I3A inhibited the expression of MCP-1 stimulated by palmitate, LPS, and palmitate followed LPS, AICAR inhibited the expression of MCP-1 stimulated by palmitate followed LPS but increased the expression of MCP-1 in LPS-stimulated cells.

While both I3A and TA's effect on reducing inflammatory cytokine production was significantly reduced when AMPK levels were knocked down by siRNA (**Figures 4.4 - 4.5**), complete abolishment of the anti-inflammatory effect was not observed because only 50% knockdown in AMPK levels was achieved. It is possible that the residual AMPK is sufficient for partially propagating the effects of I3A and TA on inflammatory cytokine production. It is also possible that additional signaling pathways are involved in mediating the effects of I3A and TA. Complete knockdown of AMPK using CRISPR/Cas9 would help elucidate the role of AMPK in the observed response.

Recent studies have demonstrated that tryptophan derived metabolites are AhR agonists in several cell types (469, 498, 499). The activation of AhR by tryptophan derived metabolites in intestinal epithelial cells plays an important role in maintaining intestinal homeostasis (39, 41, 348). AhR is also expressed by different intestinal immune cells such as intraepithelial lymphocytes (IELs) (521), T cells (522), innate lymphoid cells (ILCs) (523), DCs (508, 524) and neutrophils (525). Deficiency of AhR in mice results in the loss of IELs (521) and altered production and function of group 3 ILC (ILC3) (526). The lack of IL-22 secretion by ILC3 due to AhR knockout has been correlated to infections and metabolic disorders (527). Kimura et al. showed that peritoneal macrophages derived from mice lacking AhR produce more pro-inflammatory cytokines when stimulated by LPS compared to wild type mice, and suggesting the importance of AhR in the modulation of inflammation in peritoneal macrophages (528). However,

unpublished data from our lab (Klemashevich, Cheng, and Jayaraman) shows that the tryptophan metabolite indole attenuates LPS-induced TNF α production and chemotactic migration towards MCP-1 in BMDMs derived from both AhR^{-/-} mice and wild type mice. Since there is no known intersection between the AhR and AMPK signaling pathways, our results strongly suggest that tryptophan metabolites can engage a signaling pathway other than the AhR for eliciting anti-inflammatory effects.

Our observations on the involvement of a signaling pathway other than the AhR is consistent with observations on the differences in the levels of AhR expression in different cell types. Frericks et al. showed that the expression level of AhR is different in a range of tissue and cell types, with BMDMs at the lower end of the AhR expression level spectrum (508). Moreover, the canonical AhR agonist TCDD does not induce the expression of the AhR target gene Cyp1a1 in both BMDMs and RAW264.7 cells (Cheng and Jayaraman, unpublished). Furthermore, in hepatocytes where AhR is highly expressed, I3A modulates lipid metabolism in hepatocytes through AhR signaling (529). Thus it is possible that the signaling pathway engaged by tryptophan metabolites could depend on the relative abundance of the AhR in the cell type of interest.

In conclusion, we have shown that gut microbiota derived metabolites I3A and TA can directly modulate inflammatory responses in macrophages. Mechanistically, we identified that both palmitate and LPS reduced levels of p-AMPK and the p-AMPK activator AICAR reduced palmitate and LPS induced inflammatory cytokine production. The addition of I3A and TA reversed p-AMPK reduction induced by palmitate and LPS. siRNA knockdown of AMPK in macrophages reduced I3A and TA's anti-inflammatory effects suggests that I3A and TA's effect is dependent on AMPK signaling.

Table 4.1 Primer sequences for qRT-PCR analysis of inflammation markers inmacrophages

Gene	Forward Primer Sequence	Reverse Primer Sequence
β-actin	GGCTGTATTCCCCTCCATCG	CCAGTTGGTAACAATGCCATGT
ΤΝΓα	TCTCATGCACCACCATCAAGGACT	TGACCACTCTCCCTTTGCAGAACT
MCP-1	CTCTCTTCCTCCACCACCAT	ACTGCATCTGGCTGAGCCA
IL-1β	TCCAGGATGAGGACATGAGCAC	GAACGTCACACACCAGCAGGTTA
prkaa1	GTCAAAGCCGACCCAATGATA	CGTACACGCAAATAATAGGGGGTT

CHAPTER V

SUMMARY AND FUTURE DIRECTIONS

The gut microbiota has been identified as a factor that contributes to the progression of NAFLD, and compositional changes of the microbiota have been associated with NAFLD progression in both animal models and patients (31, 32, 296). Mechanistically, the gut microbiota has been proposed to affect the progression of NAFLD through altering gut barrier integrity, and affecting intestinal inflammation to indirectly modulate inflammation in peripheral tissues such as the adipose tissue and the liver (292, 293). It has also been proposed that microbiota metabolites could engage host cellular signaling pathways to modulate inflammation in the intestine (317). While these microbiota-produced bio-active molecules have been detected in circulation (43, 469), few have been identified as being associated with disease. Moreover, the signaling pathways that are engaged by these molecules are also poorly understood. This work tested the hypothesis that gut microbiota derived metabolites could modulate progression of NAFLD. Our findings strongly support the possibility that gut microbiota derived metabolites can directly modulate host cell signaling and phenotypes in distal organs such as the liver.

We used LC-MS metabolomics and compared metabolites in cecum, serum and the liver between HFD- and LFD-fed mice. Three tryptophan-derived metabolites were significantly depleted in HFD-fed mice compared to LFD-mice in all three locations, and two metabolites (I3A and TA) were selected for subsequent characterization. *In vitro* experiments using a two-hit model of macrophage inflammation showed that both I3A and TA attenuated palmitate- and LPS-induced inflammatory cytokine production and inhibited macrophage migration toward the chemokine MCP-1. I3A also attenuated lipid loading induced inflammatory response and cytokine mediated upregulation in lipogenesis in hepatocytes through AhR signaling. We further identified that I3A and TA reversed palmitate- and LPS induced p-AMPK reduction, and p-AMPK activation by AICAR significantly reduced palmitate- and LPS-induced production of TNF α , IL-1 β and MCP-1. The role of AMPK signaling in mediating the effects of I3A and TA was confirmed by siRNAknockdown of AMPK, which led to partial abrogation of the anti-inflammatory effect elicited by I3A and TA in macrophages. Together, our results strongly suggest that the microbiota-derived metabolites I3A and TA can function in peripheral tissue through activation of AMPK signaling.

Direct extensions of this work include comprehensive characterization of the role of AMPK and evaluating the translational potential of I3A and TA as therapeutic options against NAFLD. While the effect of I3A and TA on palmitate and LPS induced macrophage inflammation is reduced upon AMPK knockdown, it's not completely abolished. Since the knockdown level achieved in this study is about 50%, one possibility is that the residual AMPK activity is sufficient for I3A and TA to modulate macrophage inflammation. Another possibility that we have not yet ruled out is that I3A and TA could engage other pathway(s) to modulate macrophage inflammation, and their contribution to the observed decrease in inflammatory cytokine. Thus, experiments with cells that lack AMPK activity are important for elucidating the role of AMPK in I3A and TA mediated anti-inflammatory effect in macrophages. This can be achieved either by generating a AMPK knockout macrophage cell line using CRISPR/CAS9 or be repeating the above experiments using bone marrow-derived macrophages isolated from AMPK^{-/-} mice.

Furthermore, while AMPK signaling was identified as one of the mediators by which I3A and TA elicit their anti-inflammatory effects, the receptor(s) that are engaged by I3A and TA to lead to AMPK activation are not known. Future studies aimed to elucidate the receptor(s) and mediators that are engaged by I3A and TA will further our understanding of how microbiota metabolites such as I3A and TA modulate host responses under NAFLD.

Although our study showed I3A and TA can modulate macrophage inflammation *in vitro*, future *in vivo* studies are needed to determine if I3A and TA can be effectively used to modulate NAFLD pathogenesis. An *in vivo* model of NAFLD (13) can be used to investigate the effectiveness of I3A and TA to inhibit the progression of steatosis to steatohepatitis (i.e., the first irreversible step in disease progression) through modulation of inflammation. Both prophylactic (i.e., administration of metabolites prior to inflammation) and therapeutic (metabolites administered after onset of inflammation) approaches need to be explored to fully determine the translational potential of these metabolites.

Our study showed that I3A and TA can inhibit the migration of BMDM toward MCP-1 in a dose-dependent manner. However, mature BMDM cells might not be a good model for chemotactic migration. A preliminary study using a human monocyte cell line (THP-1) showed that I3A did not inhibit MCP-1 mediated THP-1 cell migration while TA inhibited both baseline migration as well as MCP-1 mediated THP-1 cells migration. The limitation of the transwell experimental setup used for these chemotaxis studies is that the migration of the cells cannot be observed in real-time or dynamic information cannot be determined. To resolve this, microfluidic chemotaxis models (530, 531) can be utilized to monitor the migration of macrophages/ monocytes in response to chemoattractant and metabolites in real-time. The ability to collect dynamics data will help us better understand the mechanisms underlying the effectiveness of TA, but not I3A, in THP-1 cells.

In addition to the above studies, new directions of research have emerged from this work. First, a systems biology investigation of microbiota metabolites and their ability to attenuate macrophage inflammation needs to be carried out. Lipid-loading has been shown to induce inflammatory responses through different signaling pathways in different cell types and the specific signaling pathways through which palmitate induces inflammation in macrophages are still poorly understood. A mathematical model can be used to predict FFA-induced activation of inflammatory signaling through AMPK signaling, and the dynamics interactions between different components in FFA-mediated AMPK and inflammatory signaling can be investigated. Model predictions, coupled with experimental validation, will guide experimental design for *in vitro* studies such as duration of exposure to metabolites and administration of different inflammatory role of I3A and TA in macrophages.

While our results strongly support the potential of I3A and TA in attenuating macrophage inflammation in the liver, it is also possible that these (or other microbiota metabolites) are functional in other peripheral sites such as adipose tissue in the context of NAFLD. Thus, a second line of investigation should be to test if these metabolites are depleted in adipose tissue. Preliminary studies from our lab have identified that I3A is indeed depleted in mesenteric adipose tissue from HFD-fed mice, which supports the possibility that I3A is functional in multiple tissues important in obesity and associated diseases such as insulin resistance. This is especially important given that recruitment of monocytes to adipose tissue is thought to be the key step in the initiation of adipose tissue inflammation.

A third potential direction of work is to mine the acquired metabolomic data for additional molecules that may have beneficial effects. For example, while this work focused on I3A and TA, a third metabolite (xanthenuric acid) was also identified as depleted in HFD-fed mice but not investigated. As metabolite databases are constantly updated with new compound information, it would be worthwhile to redo the bioinformatic analysis carried out in Chapter 3 and determine if any of the previously unidentified metabolites that are associated with HFD administration, have

since been identified. Thus, the data sets generated in Chapter 3 are expected to be a rich source of information that can drive future studies.

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