

1 **Estrogen Receptor Alpha Somatic Mutations Y537S and D538G Confer Breast Cancer**
2 **Endocrine Resistance by Stabilizing the Activating Function-2 Binding Conformation**

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25 **Abstract**

26 Somatic mutations in the estrogen receptor alpha (ER α) gene (*ESR1*), especially Y537S and
27 D538G, have been linked to acquired resistance to endocrine therapies. Cell based studies demonstrated
28 that these mutants confer ER α constitutive activity and antiestrogen resistance and suggest that ligand-
29 binding domain dysfunction leads to endocrine therapy resistance. Here, we integrate biophysical and
30 structural biology data to reveal how these mutations lead to a constitutively active and antiestrogen
31 resistant ER α . We show that these mutant ERs recruit coactivator in the absence of hormone while their
32 affinities for estrogen agonist (estradiol) and antagonist (4-hydroxytamoxifen) are reduced. Further, they
33 confer antiestrogen resistance by altering the conformational dynamics of the loop connecting Helix 11
34 and Helix 12 in the ligand-binding domain of ER α , which leads to a stabilized agonist state and an altered
35 antagonist state that resists inhibition.

36

37 **Introduction**

38 The estrogen receptor α (ER α) is a ligand-activated nuclear hormone receptor and a major regulator
39 of cell growth, survival and metastasis in a large fraction of breast cancers. Inhibiting the action of ER α
40 with selective estrogen receptor modulators (SERMs) or selective estrogen receptor degraders (SERDs),
41 or reducing endogenous estrogen levels with aromatase inhibitors (AI), are effective treatments for many
42 of these breast cancers (Strasser-Weippl and Goss, 2005). Due to their efficacy and broad therapeutic
43 indices, antiestrogens can be administered sequentially for progressive disease over the course of several
44 years (Toy et al., 2013). Unfortunately, despite continued expression of ER α , the majority of metastatic
45 breast cancers that initially respond to endocrine therapies become refractory.

46 Recently, somatic mutations in the ER α gene (*ESR1*) were linked to acquired resistance to endocrine
47 therapies of breast cancer (Toy et al., 2013; Merenbakh-Lamin et al., 2013; Robinson et al., 2013; Li et
48 al., 2014; Jeselsohn et al., 2014). Approximately 25% of patients who previously received
49 SERM/SERD/AI treatments for an average of 5 years presented with conserved somatic mutations that
50 were not identified in primary (untreated) tumors. The most prevalent ER α point mutations were Y537S
51 and D538G, while several others were identified at significantly reduced frequencies. Importantly, breast
52 cancer cell based studies revealed that the Y537S and D538G mutations conferred hormone-independent
53 activation of ER α and reduced the inhibitory potency and efficacy of clinically prescribed SERMs and
54 SERDs (Toy et al., 2013; Merenbakh-Lamin et al., 2013; Robinson et al., 2013; Li et al., 2014; Jeselsohn
55 et al., 2014; Carlson et al., 1997). Notably, the constitutive activity and antagonist resistance of the
56 Y537S and E380Q mutations were first described in cell models in 1996 (Weis et al., 1996), and shortly
57 thereafter the Y537N mutation was found in a clinical sample of metastatic breast cancer (Zhang et al.,
58 1997). However, no clinical follow up studies were reported until 2013.

59 The Y537S and D538G mutations are especially interesting because they occur at the N-terminus of
60 Helix 12 (H12) in the ER α ligand-binding domain (LBD). Structurally, ER α LBD is an α -helical bundle,
61 with the C-terminal helix, H12, functioning as a key structural component of the activating function-2

62 (AF-2) cleft that governs the agonist or antagonist state of the receptor. In the agonist conformation (*e.g.*,
63 estradiol (E2)-bound), H12 covers the ligand binding pocket, docking between Helices 3 (H3) and 11
64 (H11), thereby facilitating coactivator recruitment to the AF-2 cleft via canonical LXXLL coactivator
65 sequence motifs. In contrast, in the antagonist state (*e.g.*, SERM-bound), H12 occupies the AF-2 cleft
66 using its own LXXML sequence, thereby blocking coactivator recruitment and ER α action.

67 In this study, biophysical assays reveal the impact of the Y537S and D538G mutations on ER α LBD
68 ligand and co-regulator binding affinity. Additionally, x-ray crystal structures and atomistic molecular
69 dynamics (MD) simulations uncover altered conformations adopted by the mutant receptors in the
70 absence and presence of agonists and antagonists. Together, these findings present a molecular
71 explanation for how the Y537S and D538G mutations elevate the basal or constitutive activity of ER α
72 and confer resistance to the beneficial effects of the SERM, SERD, and AI therapies. A comprehensive
73 understanding of how these and other gain-of-function mutations alter the structure and function of ER α
74 is crucial to development of more efficacious and potent inhibitors to target these mutant receptors in the
75 clinic.

76 **Results**

77 **Y537S and D538G Promote Constitutive Coactivator Binding to ER α**

78 An established time-resolved Förster Resonance Energy Transfer (tr-FRET) assay that determines the
79 affinity of the steroid receptor coactivator 3 nuclear receptor domain (SRC3 NRD) for the ERs was used
80 to investigate differences among the WT, Y537S, and D538G (Tamrazi et al., 2005, Jeyakumar et al.,
81 2011). SRC3 was chosen because of its abundance in breast cancer cells and high affinity for ER α (Liao
82 et al., 2002). **Table 1** summarizes all SRC3 coactivator binding affinities. SRC3 NRD bound to the E2-
83 saturated WT ER α LBD with high affinity ($K_d = 2.67 \pm 0.5$ nM) while no binding was detected in the
84 absence of E2 or in the presence of the SERM 4-hydroxytamoxifen (TOT; the active metabolite of
85 tamoxifen) (**Figure 1**). In contrast, the SRC3 NRD bound to Y537S and D538G ER α in the absence of
86 E2, with affinities of 13.6 ± 2.0 nM and 151 ± 20 nM, respectively, and the binding curves reached

87 approximately 60% of the maximum (**Figure 1**). When Y537S and D538G were pre-saturated with E2,
88 the SRC3 binding curves reached the same maximum as WT with E2, with the coactivator binding
89 affinity for the mutants being comparable or slightly higher than WT (WT $EC_{50} = 2.67 \pm 0.5$ nM; Y537S
90 $= 0.59 \pm 0.1$ nM; D538G $= 3.65 \pm 0.4$ nM) (**Figure 1**). Neither the WT nor the mutants bound coactivator
91 when pre-incubated with saturating concentrations TOT (**Figure 1**).

92 To determine the potency of ligands to affect coactivator binding to the ER, ligand was titrated into a
93 constant amount of SRC3 and ER and measured by tr-FRET. Addition of E2 resulted in increased
94 coactivator affinity to the Y537S ($EC_{50} = 1.6 \pm 1.2$ nM) and D538G ($EC_{50} = 2.2 \pm 0.1$ nM) ER α LBD.
95 Interestingly, the EC_{50} value was somewhat reduced for WT ($EC_{50} = 13.8 \pm 0.9$ nM) (**Figure 1-figure**
96 **supplement 1**). TOT abolished basal Y537S and D538G SRC3 binding in the absence of agonist. To
97 mimic this reversal in WT, which does not bind SRC3 NRD without ligand, a low concentration of E2
98 was added to WT-ER to recruit SRC3 NRD to about 50% of maximal (data not shown). As expected,
99 titration of TOT reversed the binding of SRC3 NRD by the mutant ER and E2-primed WT. The EC_{50}
100 values for suppressing SRC3 binding of the mutant (done in the absence of agonist) were comparable to
101 the K_i values for WT. The K_i of TOT was 1.82 ± 0.30 nM for WT, 6.7 ± 0.40 nM for Y537S, and $0.79 \pm$
102 0.04 nM for D538G.

103 **The Y537S and D538G Mutants Bind Ligands with Reduced Affinity**

104 Our earlier work demonstrated that SERMs were less potent in inhibiting the transcriptional activity
105 of the ER α Y537S and D538G mutants compared to WT in breast cancer cells (Toy et al., 2013). The
106 binding affinities of E2 with the WT and mutant ER α LBDs were measured using radioligand-binding
107 assays (Carlson et al., 1997). The affinity of E2 for WT-ER ($K_d = 0.26 \pm 0.13$ nM) is approximately 5-
108 fold greater than for the mutants, Y537S ($K_d = 1.43 \pm 0.55$ nM) and D538G ($K_d = 1.30 \pm 0.63$ nM)
109 (**Figure 2**). **Table 2** summarizes all ligand binding affinities for the WT and mutant ER α LBDs.

110 A competitive radioligand-binding assay with 3H -E2 as tracer was used to measure the relative
111 competitive binding affinities (RBAs) of TOT for WT and the mutant-ERs (Katzenellenbogen et al.,

112 1973; Carlson et al., 1997). The K_i of TOT binding to WT was 0.337 ± 0.018 nM, whereas it was $2.61 \pm$
113 0.60 nM and 3.42 ± 0.5 nM for the Y537S and D538G mutants, respectively. Comparing the K_i values, it
114 is notable that the affinity of TOT for the Y537S and D538G mutants is impaired approximately 8- and
115 10-fold relative to WT (**Table 2**). This reduced binding affinity is consistent with the published lower
116 inhibitory potency of TOT on the mutants in breast cancer cells (Toy et al., 2013). **Figure 3** shows
117 representative radiometric competitive binding measurements.

118 **Biophysical Basis for Aberrant Coregulator Recruitment by Y537S and D538G ER α LBD Mutants**

119 **Proteolytic Susceptibility** – An established trypsin digestion assay was used to determine whether the
120 conformational dynamics of the LBD H11-12 loop and H12 are altered as a result of the Y537S and
121 D538G mutations (Tamrazi et al. 2003). The measured half-life for H11-12 loop and H12 cleavage ($t_{1/2}$)
122 of the unliganded (*apo*) WT ER α LBD was 2 minutes, indicating that this region is highly mobile (**Figure**
123 **4A**). In contrast, the H11-12 loop and H12 region displayed significantly reduced proteolysis for *apo*
124 D538G, with a $t_{1/2}$ of 19 minutes. A further reduction was observed for the H11-12 loop and H12 for *apo*
125 Y537S with a $t_{1/2} = 87$ minutes. When incubated with saturating concentrations of E2, each of the LBDs
126 displayed increased stability of the H11-12 loop and H12 with $t_{1/2} = 5$ minutes for the WT, 140 minutes
127 for Y537S, and no detectible cleavage for D538G (**Figure 4A**). This lack of proteolysis for the D538G-E2
128 complex suggests that the H11-12 loop and H12 are stabilized and in a conformation that resists trypsin
129 proteolysis. Importantly, the trend of H11-12 loop and H12 mobility observed for *apo* LBDs correlates
130 with the relative coactivator binding affinities for *apo* WT and mutant LBDs as the Y537S mutant is the
131 least dynamic and has the highest affinity for the coregulator.

132 **Hydrogen/Deuterium Exchange Mass Spectrometry** – Hydrogen/deuterium exchange mass
133 spectrometry (HDX-MS) was used to further dissect the consequences of Y537S and D538G ER α LBD
134 mutations on the conformational mobility of the H11-12 loop and H12. Perturbation in time-dependent
135 deuterium uptake profiles (measured as protection to number of exchanged amide hydrogens with solvent

136 deuterium between two states) is indicative of conformational alterations due to rearrangement of amide
137 hydrogen bonds (Horn et al. 2006). Differential amide HDX experiments were performed to compare the
138 conformational dynamics of liganded and unliganded (*apo*) receptors. H11, the H11-12 loop, and H12
139 were all protected from solvent exchange for WT, D538G and Y537S ER α LBD in the presence of E2 as
140 compared to *apo* receptor (solvent exchange was lower for peptides containing these structural elements
141 in the presence of ligand as compared to unliganded receptor), indicating the adoption of a more stable
142 agonist-bound conformation matching that observed in x-ray co-crystal structures (**Figure 4B, 4C, and**
143 **Figure 4-figure supplements 1-3**). For the unliganded states, the H12 of Y537S and D538G exhibited
144 increased solvent exchange (deprotection indicative of increased conformational dynamics) compared to
145 WT ER α , suggesting that the mutant receptors adopt an alternative H12 conformation in the absence of
146 E2. **Figure 4B-C** shows differential deuterium incorporation for the WT versus mutant ER α LBD in the
147 *apo* states focusing on the H11-12 loop and H12 regions. **Figure 4-figure supplements 4 and 5** show the
148 complete differential HDX perturbation maps comparing the *apo* WT versus *apo* Y537S and D538G ER α
149 LBD, respectively. Interestingly, residues close in space to or within the AF-2 cleft (positions 310-325,
150 344-349, 370-380, and 405-410) of the *apo* Y537S also showed statistically significant increase in solvent
151 exchange compared to *apo* WT. Similar deprotection was observed in residues 310-325 of the *apo*
152 D538G. Together, the HDX data suggests that the Y537S and D538G mutants enables H12 to sample a
153 suite of conformations that expose the AF-2 cleft at a greater frequency thereby facilitating coregulator
154 recruitment in the absence of hormone. Furthermore, these data suggest that the Y537S mutant possesses
155 a higher affinity for SRC3 as compared to D538G as it samples more frequently AF-2-cleft conformers
156 that facilitate coregulator binding, in agreement with our *in vitro* SRC3 NRD binding experiments.

157 In order to test our hypothesis that the increased deuterium uptake in the H12 region of the mutants
158 was due to a rearrangement of amide hydrogen bonds that could facilitate coactivator recruitment, we
159 performed differential HDX analysis for the WT, Y537S and D538G ER α LBDs in the presence of SRC3
160 NRD, in the presence and absence of E2. Few statistically significant differences in solvent exchange

161 were observed in the C-terminus of LBD when the WT ER α LBD was incubated with saturating
162 concentrations of SRC3 NRD, with the exception of H11 (**Figure 4-figure supplement 6**). In contrast,
163 the H11-12 loop showed statistically significant protection from exchange in the Y537S-SRC3 NRD
164 complex, indicating that the region in the Y537S mutant was further stabilized by the inclusion of
165 coregulator (**Figure 4-figure supplement 7**). These results suggest that H12 in the *apo* mutant receptor is
166 in a more favorable conformation promoting co-activator binding when compared to *apo* WT. The
167 magnitude of protection from solvent exchange observed in the AF-2 cleft in Y537S with SRC3 NRD
168 was further increased upon addition of E2 indicating a more stable Y537S-SRC3-E2 complex (**Figure 4-**
169 **figure supplement 10**). In contrast to Y537S, the H11-12 loop and H12 in the D538G mutant did not
170 show a statistically significant difference in deuterium incorporation in the presence of SRC3 NRD alone,
171 but did show increased protection from solvent exchange in these regions in the presence of E2 (**Figure**
172 **4-figure supplements 8 and 10**). This finding could be attributed to the low intrinsic SRC3 NRD binding
173 affinity of *apo* D538G as compared to Y537S (**Table 1**). Together, these data, along with the SRC3 NRD
174 recruitment and trypsin susceptibility, suggest that the increased solvent exchange in H12 and AF-2 cleft
175 residues for the *apo* Y537S is due to an altered conformation of H12 that promotes coactivator
176 recruitment. This observation is apparent in the x-ray crystal structure of the *apo* Y537S. When compared
177 to the WT-E2 complex (PDB: 1GWR), the serine at residue 537 in the *apo* Y537S (PDB: 2B23) replaces
178 the phenolic side chain of WT Y537, exposing a solvent channel between the H11-12 loop and H3.
179 Further, H12 is slightly displaced away from the ligand binding pocket towards solvent (**Figure 4-figure**
180 **supplement 12**). It is important to note that the HDX MS studies provide novel insight into the
181 conformational mobility of the WT H12, in that this helix does not reach maximum structural stability
182 until both hormone and coregulator are bound.

183 **Structural Basis for H12 Mutant Hormone-Independent Activity**

184 *X-ray Crystallographic Analysis of the D538G Agonist States* - High resolution x-ray crystal
185 structures of the *apo* and agonist-bound states of the Y537S, obtained earlier, revealed near identical H12

186 conformations, in which S537 formed a hydrogen bond with D351 to adopt a stable agonist state in the
187 absence of hormone (Nettles et al., 2008). In this study, we obtained x-ray crystal structures for the
188 D538G mutant bound to E2, without added ligand (*apo*), and bound to a SERM (4-hydroxytamoxifen).

189 ***D538G Mutation Induces Pronounced Conformational Changes in the Agonist Binding Mode –***
190 The D538G-E2 complex structure was solved to 1.90 Å resolution by molecular replacement, with one
191 dimer in the asymmetric unit (ASU). All crystallographic statistics are reported in **Table 3**. Overall, the
192 structure presents a canonical ER α LBD-agonist binding state where H12 covers the ligand-binding
193 pocket situated between H3 and H11, and the GRIP peptide occupies the AF-2 cleft. The E2 ligand, GRIP
194 peptide, and H12 (until residue L549) are well resolved in the map (**Figure 5-figure supplement 1**). No
195 differences are observed in the residues comprising the ligand-binding pocket between the D538G-E2 and
196 WT-E2 structures (Gangloff et al., 2001; Eiler et al., 2001; Phillips et al., 2011).

197 Pronounced conformational changes are observed in the loop connecting H11 and H12 (H11-12 loop,
198 residues 531-537) in both monomers in the ASU for the D538G-E2 structure compared to the WT-E2
199 structure, although no appreciable changes are observed in most of H12. The H11-12 loop is displaced
200 away from H3 and towards H11, accompanied by conformational changes in Y537 (**Figure 5**). In the
201 WT-E2 structure, Y537 forms a hydrogen bond with N348 on H3, packing the H11-12 loop into the
202 interior of the protein. In the D538G-E2 structure, however, the Y537 loses its hydrogen bond with N348,
203 and its phenolic side chain is pointed towards bulk solvent. The space previously occupied by Y537 in
204 WT is replaced by a well ordered water molecule in the mutant (observed in both monomers), which
205 hydrogen bonds with the backbone amide of Y537 in between H3 and H12 (**Figure 5-figure supplement**
206 **2**). While the side chain orientations are identical for residues 531-536 between both monomers in the
207 ASU, the side chain of Y537 appears to adopt two different conformations, both facing solvent, while the
208 main chain orientation of Y537 is identical in the two monomers. It should be noted that the phenolic
209 oxygen of Y537 maintains the same hydrogen bond to N348 in every WT ER α LBD-agonist structure
210 available in the PDB. Thus, this rotation of Y537 is unique to the D538G-E2 structure, and it brings the ϕ

211 and ψ angles of residues 537 and 538 out of the α -helix region and into the allowed, more sheet-like
212 region around -120° and 60° (defined by ϕ/ψ angle regions in the Ramachandran plot) (Ramachandran et
213 al., 1963). In the resulting conformation, the α -helix of H12 begins at position 539 for the D538G-E2
214 structure rather than at 537 for the WT-agonist structures.

215 Few differences are observed between the unliganded and E2-bound D538G (C_α r.m.s.d. = 0.327 Å).
216 The greatest conformational discrepancy between the two structures lies at Y537, which, in the
217 unliganded structure, adopts a more WT-E2 like conformation by orienting towards H3 in chain A, thus
218 returning the ϕ and ψ angles of residues 537 and 538 into the α -helical region. Y537 of chain B, however,
219 matches the solvent-exposed orientation of the D538G-E2 structure whereby the ϕ and ψ angles for 537
220 and 538 are outside of the α -helical region. Based on this conformational asymmetry between the two
221 monomers in *apo*-D538G ER α , Y537 can switch between the buried state observed in the WT-agonist
222 structures and the solvent-exposed orientation of the D538G-E2 structure (**Figure 5-figure supplement**
223 **2A and B**). Thus, *apo* D538G has lost some—but not all—of the conformational attributes of the E2-
224 bound mutant, which is consistent with its modest level of constitutive activity. Together, these structural
225 features agree with our biophysical data showing that D538G can adopt an agonist state in the absence of
226 hormone that recruits coregulator with a modest affinity.

227 It is of interest that the electron density map of *apo* D538G revealed some density in the ligand
228 binding pocket representing a non-specific small molecule likely acquired during the expression of the
229 protein, which remained during crystallization (**Figure 5-figure supplement 3**). A similar electron
230 density was observed in the published *apo* Y537S (Nettles et al., 2008). The unidentified ligand is not of
231 sufficient size to be a hormone nor is it near enough to H11 and H12 to interact with them. We believe
232 that the unidentified small molecule in the ligand binding site is an artifact of protein expression in
233 bacteria, as reported earlier for the Y537S structure, and does not influence H11 and H12 nor the loop
234 connecting them (Nettles et al., 2008).

235 *The Dynamics of D538G-Mediated Alterations of the H11-12 Loop*– The previously published *apo*
236 Y537S structure showed that S537 forms a hydrogen bond with D351 to adopt the agonist state in the
237 absence of hormone thereby providing a clear conformational explanation for its constitutive activity
238 (Nettles et al., 2008). In contrast, the *apo* D538G structure shows that this mutant may use a subtler
239 mechanism to adopt the agonist conformation in the absence of hormone. In order to gain a better
240 understanding of how the D538G mutation stabilizes the ER α LBD agonist conformation, MD
241 simulations were performed on this mutant in the absence of ligand, and for WT ER (**Figure 6A**) in both
242 the presence and absence of ligand. As was noted earlier, it has not been possible to obtain crystal
243 structures of *apo* WT ER. Thus, to gain insights into the *apo* WT ER α LBD, MD simulations were
244 performed by removing E2 from the ER complex prior to the dynamics run.

245 MD simulations of the WT and the D538G mutant showed an increased flexibility of the H11-12 loop
246 as a result of the D538G mutation, inducing the Y537 side chain to rotate towards the bulk solvent
247 (**Figure 6B**). This rotation shifts the backbone conformations of residues 535-537 (**Figure 6E**) to occupy
248 regions of the Ramachandran plot that are similar to WT-E2 and distinct from *apo*-WT. These mutation-
249 induced changes allow the H11-12 loop to adopt conformations similar to WT-E2, despite the absence of
250 ligand. Computing the density maps for the side chain atoms of hydrophobic residues V533, V534, P535,
251 and L536 further confirmed this altered state in which the resulting backbone conformation also permits
252 new side chain positions (**Figure 6C, D**). Analysis of the averaged atomic density for residues 533-536 in
253 the WT simulations reveals that the removal of the ligand (WT-E2 vs. *apo* WT, **Figure 6C**) results in
254 more exposed positions for the hydrophobic residues in the loop region, thus destabilizing the H11-12
255 loop, while the D538G mutation allows the receptor to maintain side chain positions buried more deeply
256 into the protein surface (WT-E2 vs. *apo* D538G, **Figure 6D**). Further, reduced fluctuations were exhibited
257 in the WT-E2 and *apo*-D538G MD simulations, as observed from larger volumes for the given isosurface,
258 thus indicating that the residues pack more favorably. The optimized packing of the hydrophobic loop
259 residues was additionally quantified by the decreased solvent exposure for the WT-E2 and *apo* D538G

260 conformations compared to *apo* WT over the course of the entire simulation (**Figure 6F**). All of the
261 changes that result from replacing D538 with glycine are consistent with increased stability of the H11-12
262 loop in the mutant, which likely contributes to its constitutive activity.

263 **Structural and Biophysical Basis for Reduced SERM Potency**

264 ***Trypsin Susceptibility of the H12 Mutants with TOT*** – Trypsin susceptibility was used to determine
265 whether the antagonist state dynamics of the H11-12 loop and H12 were altered as a result of Y537S or
266 D538G mutation. Interestingly, these regions showed decreased dynamics (*i.e.*, increased stability) for the
267 Y537S and D538G mutants, which displayed $t_{1/2} = 60$ and 62 minutes respectively, whereas the $t_{1/2}$ for the
268 WT was 18 minutes (**Figure 4A**). These half-lives were higher than *apo* proteins alone suggesting that
269 TOT binds and increases the overall stability of the protein (**Figure 4A**), though to a lesser extent than
270 does E2.

271 ***HDX MS of the WT and Mutants in Complex with TOT***- HDX MS was employed to probe the
272 sequence specific conformational mobility of the Y537S and D538G antagonist states compared to the
273 WT. Comparison of HDX profiles for TOT-bound WT and mutants revealed that the mutant proteins
274 adopt alternate conformations in H11/12 regions relative to the WT complex (**Figure 7C-E**). **Figure 7-**
275 **figure supplements 1-3** show deuterium uptake plots for the WT and mutant ER α LBDs in complex with
276 TOT for the full protein sequence. Additionally, **Figure 7-figure supplements 4-6** show side-by-side
277 comparisons for the WT, Y537S and D538G ER α LBD in complex with ligand and/or SRC3 NRD versus
278 their individual *apo* states.

279 ***Structure of the D538G-TOT Complex*** - To explore the structural basis for reduced SERM potency
280 and efficacy, the D538G mutant ER α LBD was co-crystallized with TOT. We were unable to obtain
281 diffraction-quality crystals for Y537S in complex with any SERM. However, the D538G-TOT structure
282 was solved to 3.06 Å with 4 dimers in the ASU by molecular replacement. The TOT ligand and H12 are
283 both well resolved in every monomer (**Figure 5-figure supplement 1B**). Significant conformational
284 differences are observed between WT-TOT (PDB: 3ERT) and D538G-TOT structures, both in H12 and

285 the H11-12 loop regions. We believe that these differences help account for the reduced potency and
286 efficacy of TOT towards the D538G mutant ER α in breast cancer reporter gene assays.

287 As with the WT-TOT structure, H12 of the D538G-TOT structure lies in the AF-2 cleft; the
288 conformation of H12 in the mutant structure, however, is altered compared to the WT (**Figure 7A**). In
289 D538G-TOT, L536 is oriented towards solvent rather than docking into the well-defined leucine-binding
290 pocket found in the WT-TOT structure, and P535 occupies the space previously occupied by the L536 of
291 the WT (**Figure 7A**). The largest conformational change occurs in the H11-12 loop (residues 527-537).
292 Instead of extruding towards solvent, the loop is packed towards the interior of the protein by 9.6 Å
293 compared to the WT (V534 alpha carbon to alpha carbon) (**Figure 7A**). This conformational change
294 likely explains why trypsin displayed a reduced ability to cleave at this region. Additionally, the tertiary
295 amine at the terminus of the TOT ligand is observed in several conformations in the complex with D538G
296 ER rather than the single conformation present in the WT-TOT structure. Together, these observations
297 suggest that the flexibility of a glycine at position 538 reduces the ability of an antagonist to influence the
298 H11-12 loop and H12. However, care must be taken when interpreting differences within this loop
299 between the WT and the D538G mutant crystal structures. A crystal contact is formed in the WT-TOT
300 structure between the backbone amide of K531 with the backbone carbonyl oxygen of K492 in a
301 symmetry mate. Together, these data reveal that the D538G mutant adopts an altered antagonist
302 conformation that resists antagonism relative to the WT-TOT complex.

303 ***Modeled Structure of the Y537S-TOT Complex*** - MD simulation was used to model Y537S with
304 TOT because we were unable to obtain diffraction quality crystals for the complex. During the
305 simulation, H12 of Y537S was found to lie within the AF-2 cleft in a perturbed conformation compared
306 to the WT-TOT crystal structure, similar to that seen in the D538G-TOT crystal structure. Specifically,
307 L536 no longer packs well with the leucine binding site on H3 but reorients to face the solvent, and the
308 rest of the motif is also pushed outward and even shifted towards the C-terminus along the axial direction
309 of H12 by half a turn (**Figure 7B**). These findings suggest that Y537S stabilizes H12 inside the AF-2

310 through the formation of a newly formed hydrogen bond (**Figure 7B**) that is predicted to form between
311 S537 and E380. Like the D538G-TOT complex, our data for the Y537S-TOT complex show that these
312 conformational changes serve to reduce the inhibitory potency of the SERM relative to the WT ER α
313 LBD.

314

315 **Discussion**

316 Acquired resistance to endocrine therapies represents a substantial barrier towards obtaining
317 prolonged remission of ER-dependent metastatic breast cancers for a significant population of patients.
318 While somatic mutations in the androgen receptor are a known mechanism of acquired hormone therapy
319 resistance in prostate cancer, somatic mutations in *ESR1* have only recently been identified as an
320 important mechanism of acquired endocrine therapy resistance in breast cancer. Subsequent studies have
321 established Y537S and D538G as the two most common point mutations conferring hormone-
322 independent activation and reduced SERM/SERD/AI inhibitory potency and likely efficacy (Robinson et
323 al., 2013; Toy et al., 2013; Jeselsohn et al., 2014). The clinical importance of these *ESR1* mutations
324 highlights the importance of understanding the mechanisms by which they influence ER α structure and
325 function.

326 Here, biochemical and biophysical techniques combined with x-ray crystal structures, and MD
327 simulations provide a molecular explanation for how the Y537S and D538G point mutations in the ER α
328 LBD alter the structure and function of the receptor. Coactivator binding assays show that these mutant
329 LBDs recruit the SRC3 coactivator in the absence of hormone, while the unliganded WT LBD does not.
330 Importantly, *apo* Y537S binds SRC3 NRD with a significantly increased affinity compared to D538G.
331 This differential coactivator binding affinity likely accounts for the significantly increased constitutive
332 transcriptional activity of Y537S vs. D538G in breast cancer cell line reporter gene assays (Toy et al.,
333 2013). **Figure 8** shows a model for aberrant ER α activity as a result of Y537S and D538G mutations in
334 the recurrent anti-estrogen resistant breast cancer cell. Ligand-binding assays demonstrate that both
335 mutants possess a slightly reduced affinity for E2 and a significantly reduced affinity for TOT.
336 Collectively, these data suggest that the combination of a recruitment of coactivator in the absence of
337 hormone and a reduced TOT binding affinity underlie the hormone therapy resistance conferred by these
338 H12 ER α mutations.

339 Comprehensive biophysical and structural investigations by proteolytic susceptibility assays, HDX-
340 MS, x-ray crystallography, and MD simulations reveal how the Y537S and D538G mutations affect ER α
341 in the *apo*, agonist, and antagonist-bound states, thereby providing a detailed structural explanation for
342 the hormone-resistance conferred to the ER α . The Y537S and D538G mutations are located at or near
343 H12, a key molecular switch governing the ligand-regulated actions of ER α via AF-2. Previously
344 published *apo* and agonist-bound Y537S structures showed that S537 promotes the agonist conformation
345 in the absence of ligand by forming a hydrogen bond to D351 (Nettles et al., 2008), in the process
346 facilitating a tighter packing of the H11-12 loop against the LBD. Similarly, our analysis of the agonist-
347 bound and *apo* D538G structures show that this mutation relaxes the helical character at the start of H12,
348 thereby also relaxing the H11-12 loop and improving the packing of its hydrophobic side chains.
349 Importantly, our data also show that binding of coregulator (SRC3) further stabilizes H12 in the agonist
350 conformation. While the Y537S and D538G mutants may work through different mechanisms, both
351 stabilize the agonist state in the absence of hormone. The D538G mutation, however, appears to be less
352 stabilizing, as reflected by the lower constitutive activity of D538G ER α in both biochemical and cell-
353 based assays (Toy et al., 2013).

354 Examination of the molecular basis for reduced SERM potency and efficacy for mutant ER α LBDs
355 reveals that this likely evolves from structural changes to the H11-12 loop, resulting in a decreased
356 binding affinity of antagonist ligands and an altered, stabilized, antagonist conformation of H12 in the
357 AF-2 cleft. Our biophysical studies indicate that the H11-12 loop and H12 are both altered when TOT is
358 bound in the Y537S and D538G mutants compared to the WT. Further, when compared to the WT-TOT
359 structure, the D538G-TOT structure shows an altered conformation of the H11-12 loop and H12
360 occupancy of the AF-2 cleft, and multiple conformations of the TOT ligand (indicative of reduced
361 influence on the H11-12 loop). Additionally, MD simulation of the Y537S-TOT complex shows that
362 S537 might form a hydrogen bond with E380 that alters the antagonist conformation. Therefore, the

363 reduced inhibitory potency of TOT stems from its reduced affinity for the Y537S and D538G mutants
364 along with conformational changes to the antagonist state once it occupies the ligand-binding site.

365 Taken together, these results suggest that the constitutive activity conferred by the Y537S and
366 D538G mutations stems from the intrinsic ability of the mutant receptors to adopt a stable agonist
367 conformation in the absence of hormone, thereby leading to enhanced recruitment of SRC3 coactivators
368 and increased ER α transcriptional activity. This pre-organized agonist state contributes to a decreased
369 affinity for hormone and especially for SERMs because the stabilized H12 agonist conformation restricts
370 ligand access to the hormone-binding pocket. In addition to reduced ligand affinity, SERM action is
371 further reduced by an altered antagonist state of H12. Thus, recruitment of coactivators in the breast
372 cancer cell is not inhibited as efficiently for the Y537S and D538G mutants as for WT ER α .

373 One caveat to the approach described in this study is that ER α is a multi-domain protein and only the
374 LBD was used for structural studies. To gain deeper insight into how these mutations affect full length
375 ER α , further studies on intact multi-domain protein will be necessary. In addition, the effect of these
376 mutations on the other aspects of ER α action including other hormone/SERM/SERD binding affinities,
377 homo dimer formation, DNA-binding, and stability (*in vitro* and *in vivo*) and whether these mutant
378 receptors display a differential preference for a spectrum of coactivators must be investigated.

379 Our findings suggest that SERMs and SERDs that are designed to specifically increase the dynamics
380 of H12 might lead to drugs with increased potency. In this regard, our data show that the H11-12 loop
381 plays an important and previously unrecognized role in regulating the behavior of H12, an essential
382 molecular switch that is allosterically controlled by ligand, which determines the differential ability of the
383 ER α AF-2 to recruit coactivators and corepressors. Therefore, antagonists with improved inhibitory
384 potency will increase the dynamic character of mutant H12, an already appreciated aspect of SERD action
385 (Pike et al., 2001). Additionally, our work provides a biophysical hypothesis for why fulvestrant (a
386 SERD, known to disorder H12) was the only molecule which could completely ablate the transcriptional

387 activity of the Y537S and D538G mutants in breast cancer cells while TOT (a SERM) could not (Toy et
388 al., 2013). Therefore, newly developed mixed SERM/SERDs and SERDs with improved
389 pharmacokinetics and oral bioavailability over fulvestrant, such as AZ9496, bazedoxifene, GDC910, and
390 RAD1901, should be particularly effective against cancers expressing the Y537S and D538G *ESR1*
391 mutants (De Savi et al., 2015; Garner et al., 2015; Lai et al., 2015; Wardell et al., 2013). These
392 compounds may prove invaluable for treating endocrine therapy-resistant ER+ breast cancers and also
393 preventing or delaying the appearance of these somatic mutations in early-stage patients.

394

395 **Materials and Methods**

396 **Time Resolved-FRET Assays**

397 *Protein Preparation for TR-FRET:* Site-directed mutagenesis was used to generate the Y537S and
398 D538G mutations in the ligand binding domain (LBD) of the human estrogen receptor α (ER α amino
399 acids 304-554). The WT and mutant ER α and the nuclear receptor domain (NRD) of human SRC3
400 encompassing three NR boxes (amino acids 627-829) were expressed in *E. coli*, using methods reported
401 previously (Jeyakumar et al., 2011; Carlson et al., 1997). ER LBDs of wild type, Y537S and D538G were
402 prepared as 6 \times His fusion proteins, with a single reactive cysteine at C417. While bound to the Ni-NTA-
403 agarose resin (Qiagen Inc., Santa Clarita, CA), the ERs were labeled with MAL-dPEG4-biotin (Quanta
404 BioDesign, Powell, OH), site-specifically at C417. The SRC3-NRD construct has 4 cysteines and was
405 labeled non-specifically, also while on the resin, with 5-iodoacetamido fluorescein (Molecular Probes,
406 Invitrogen, Eugene, OR). It was previously determined that an average of 1.8-2 fluorescein molecules are
407 attached to the SRC3 NRD (Kim et al., 2005).

408 *SRC titration:* SRC3 was titrated into a fixed amount of ER α -LBD-biotin mixed with SaTb
409 (streptavidin-terbium, Invitrogen, Grand Island, NY), on 96-well black microplates (Molecular Devices,
410 Sunnyvale, CA) following previously determined methods (Jeyakumar et al., 2011). The time-resolved

411 Förster resonance energy transfer (tr-FRET) measurements were performed with a Victor X5 plate reader
412 (Perkin Elmer, Shelton, CT) with an excitation filter at 340/10 nm and emission filters for terbium and
413 fluorescein at 495/20 and 520/25 nm, respectively, with a 100 μ s delay. Diffusion-enhanced FRET was
414 determined by a parallel incubation without biotinylated ER-LBD and subtracted as a background signal.
415 The final concentrations of reagents were: 1 nM ER α -417, 0.25 nM streptavidin-terbium, 1 μ M ligand,
416 SRC3-F1 coactivator titrated from 3.2×10^{-7} to 3.2×10^{-12} M. The data, representing 2-3 replicate
417 experiments, each with duplicate points, were analyzed using GraphPad Prism 4 and are expressed as the
418 EC₅₀ in nM.

419 *Ligand titration:* Ligands were titrated into a constant amount of ER-LBD-biotin, SaTb, SRC3-F1.
420 The final concentrations were 1 nM ER-LBD, 0.25 nM SaTb, 100 nM SRC3-fluorescein, and increasing
421 ligand concentrations from 1×10^{-12} to 1×10^{-6} M. Diffusion-enhanced FRET was determined by a parallel
422 incubation without biotinylated ER-LBD and subtracted as a background signal. The tr-FRET was
423 measured with a Victor X5 plate reader as outlined above. The data, representing 2-3 replicate
424 experiments, each with duplicate points, was analyzed using GraphPad Prism 4, and are expressed as the
425 EC₅₀ in nM.

426 **Ligand Binding Assays**

427 Relative binding affinities (RBA) were determined by a competitive radiometric binding assay with 2
428 nM [³H]-E2 as tracer, as a modification of methods previously described (Katzenellenbogen et al, 1973;
429 Carlson et al., 1997). Incubations were at 0°C for 18-24 h. Hydroxyapatite was used to absorb the
430 receptor-ligand complex, and unbound ligand was washed away. The determination of RBA values is
431 reproducible in separate experiments with a CV of 0.3. The IC₅₀ values for inhibition of [³H]-E2 were
432 converted to K_i values using the Cheng-Prusoff equation ($K_i = IC_{50}/(1 + \text{conc. tracer}/K_d \text{ tracer})$)(Cheng
433 and Prusoff 1973); this was necessary because the affinity of the [³H]-E2 tracer is different for WT and
434 mutant ERs. The K_d of [³H]-E2 for the ERs was determined in a saturation binding assay, as 0.26 ± 0.13
435 nM for the WT, 1.43 ± 0.55 nM for Y537S, and 1.30 ± 0.63 nM for D538G (**Figure 2**). For the saturation

436 ligand binding (Scatchard analysis), protein was diluted to 0.8 nM, in Tris-glycerol buffer (50 mM Tris
437 pH 8.0, 10% glycerol, with 0.01 M 2-mercaptoethanol and 0.3 mg/mL ovalbumin added) and incubated
438 with various concentrations of [³H]-E2 (Perkin-Elmer, Waltham, MA) in the absence or presence of a
439 100-fold excess of unlabeled ligand for 3-4 hours, at 0°C. Aliquots of the incubation solution were used to
440 determine the total [³H]-E2 in the sample. The incubation solutions were then assayed by adsorption onto
441 HAP (hydroxyapatite, BioRad, Hercules, CA) and the free estradiol was washed away. Data were
442 processed by GraphPad Prism 4 according to the method of Scatchard (Scatchard, 1949; Hurth et al.,
443 2004).

444 **Trypsin Proteolysis**

445 Protein was prepared and labeled as described above for the trFRET assays. It was incubated in t/g
446 buffer with or without 1 μM of ligand, at room temperature for 1 h. 1 μg trypsin per unit of protein was
447 added for 10, 30, 60, and 300 minutes at room temperature according to previously established methods
448 (Tamrazi et al., 2003). FRET signal was measured using a Victor X5 plate reader as outlined above. The
449 data, representing 2-3 replicate experiments, were analyzed using GraphPad Prism 4, and are expressed as
450 half-lives ($t_{1/2}$).

451 **Hydrogen Deuterium Exchange**

452 *Differential hydrogen/deuterium exchange (HDX) MS.* Solution-phase amide HDX experiments were
453 carried out using a fully automated system as described previously with slight modifications.(Chalmers et
454 al., 2006) Prior to HDX, 10 μM of 6×-HIS-ERα-LBD (WT or mutants) were incubated with 100 μM of
455 individual ligands for 1 h on ice for complex formation. Differential HDX experiments with ligands were
456 initiated by mixing either 5 μl of the ERα LBD alone (*apo*) or the complex (1:10 molar mixture of ERα
457 and ligands) with 20 μl of D₂O-containing HDX buffer (20 mM Tris 8.0, 150 mM NaCl, and 3 mM DTT).
458 For the differential HDX experiments with SRC3 NRD, 10 μM of WT or mutant ERα LBDs were mixed
459 with 25μM of SRC3 NRD for 2 h on ice for complex formation and then subjected to HDX as described
460 above. For the *apo* ERα comparisons, 10 μM of WT or mutant ERα LBDs were run in a similar

461 differential format comparing either Y537S or D538G directly with the WT. 25 μ l aliquots were drawn
462 after 0 s, 10 s, 30 s, 60 s, 900 s or 3,600 s of on-exchange at 4°C and the protein was denatured by the
463 addition of 25 μ l of a quench solution (1% v/v TFA in 5 M urea and 50 mM TCEP). Samples were then
464 passed through an immobilized pepsin column at 50 μ l min⁻¹ (0.1% v/v TFA, 15°C) and the resulting
465 peptides were trapped on a C₈ trap column (Hypersil Gold, ThermoFisher, Grand Island, NY). The bound
466 peptides were then gradient-eluted (5-50% CH₃CN w/v and 0.3% w/v formic acid) across a 1 mm \times 50
467 mm C₁₈ HPLC column (Hypersil Gold, ThermoFisher, Grand Island, NY) for 8 min at 4°C. The eluted
468 peptides were then subjected to electrospray ionization directly coupled to a high resolution Orbitrap mass
469 spectrometer (LTQ Orbitrap XL with ETD, Thermo Fisher).

470 *Peptide Identification and HDX data processing:* MS/MS experiments were performed with a LTQ
471 linear ion trap mass spectrometer (LTQ Orbitrap XL with ETD, Thermo Fisher) over a 70-min gradient.
472 Product ion spectra were acquired in a data-dependent mode and the five most abundant ions were
473 selected for the product ion analysis. The MS/MS *.raw data files were converted to *.mgf files and then
474 submitted to Mascot (Matrix Science, London, UK) for peptide identification. Peptides included in the
475 peptide set used for HDX detection had a MASCOT score of 20 or greater. The MS/MS MASCOT search
476 was also performed against a decoy (reverse) sequence, and false positives were ruled out. The MS/MS
477 spectra of all the peptide ions from the MASCOT search were further manually inspected, and only the
478 unique charged ions with the highest MASCOT score were used in estimating the sequence coverage. The
479 intensity-weighted average m/z value (centroid) of each peptide isotopic envelope was calculated with the
480 latest version of our in-house software, HDX Workbench (Pascal et al., 2012). HDX data are presented as
481 an average of three independent triplicates. Deuterium uptake for each peptide is calculated as the
482 average of % D₂O for the 6 time points (10s, 30s, 60s, 300s, 900s and 3600s) and the difference
483 in average % D₂O values between the *apo* and liganded states is presented as a heat map with a color
484 code given at the bottom of each figure (warm colors for deprotection and cool colors for protection) and
485 colored only if they show a >5% difference (less or more protection) between the two states and if at least

486 two time points show a statistically significant difference in a paired two-tailed student's t-test ($p < 0.05$).
487 Grey color represents no significant change (0-5%) between the two states. The exchange at the first two
488 residues for any given peptide is rapid and is ignored in the calculations. Each peptide bar in the heat map
489 view displays the average Δ %D₂O values with its associated standard deviation and the charge state
490 shown in parentheses.

491

492 **X-ray Crystallographic Analysis of the D538G ER α LBD**

493 *Generation and Production of the D538G ER α LBD Mutant:* Quick Change Mutagenesis (New
494 England Biolabs, Ipswich, MA) was performed to change aspartate 538 to glycine on a pGM6 containing
495 the gene for the 6 \times His-Tobacco etch virus (TEV)-ER α LBD. The following oligonucleotide primers were
496 used to generate the mutant:

497 Forward: (5'GGTGCCCCTCTACGGCCTGCTGCTGG3')

498 Reverse: (5'CCAGCAGCAGGCCGTAGAGGGGCACC3')

499 The sequence for the resulting ER α LBD D538G mutant was verified by DNA sequencing.

500 *Protein Expression for Crystal Generation:* A 250 mL LB broth containing 100 μ g/mL ampicillin
501 was inoculated with a single colony of the *E. coli* expression strain BL21 (DE3) transformed with pGM6-
502 ER α LBD D538G mutant. Following overnight incubation at 37°C, 10 \times 1L LB broth containing 100
503 μ g/mL ampicillin were each inoculated with 5 mL aliquots of the overnight culture. Cells grew at 37°C
504 with shaking at 180 rpm until they reached mid-log phase growth ($OD_{600} = 0.8$) at which point expression
505 of the protein was induced with 0.3 mM IPTG and incubation continued overnight with shaking at 20°C.
506 Cells were harvested by centrifugation at 3,500 g for 30 minutes, and the pellet was frozen at -20°C. The
507 pellet was resuspended in 200 mL BPER and 100 μ g DNase, protein inhibitor cocktail, and lysozyme
508 were added to the lysate. Following 30 minutes of stirring at 4°C, the lysed cells were centrifuged at
509 22,000 g for 30 minutes and the supernatant isolated. The soluble fraction was incubated with 2 mL of

510 pre-washed Ni-NTA resin (ThermoFisher, Grand Island, NY) then placed onto a column. The column
511 was washed with 10 column volumes of buffer containing 20 mM Tris pH 8.0, 500 mM NaCl, 40 mM
512 imidazole pH 8.0, 10% glycerol, and 15 mM 2-mercaptoethanol, and the protein was subsequently eluted
513 from the column using a buffer containing 20 mM Tris pH 8.0, 500 mM NaCl, 500 mM imidazole pH
514 8.0, 10% glycerol, and 15 mM 2-mercaptoethanol. The 6×His-TEV tag was removed using a 15:1 w/w
515 ratio of LBD to TEV protease. The LBD was isolated from the tag by a pass over a column containing 2
516 mL of washed Ni-NTA resin and the flow through, containing the LBD, was isolated. The protein was
517 dialyzed overnight in a buffer containing 20 mM Tris pH 8.0, 20 mM NaCl, 10% glycerol and 15 mM 2-
518 mercaptoethanol then subjected to a final purification on a Resource Q ion exchange column
519 (ThermoFisher, Grand Island, NY). A 100 mL linear gradient was used to elute the protein with a buffer
520 containing 20 mM Tris pH 8.0, 500 mM NaCl, 10% glycerol and 15 mM 2-mercaptoethanol. A single
521 peak corresponding to the ER α LBD D538G mutant was isolated and a single band was observed on a
522 SDS-PAGE gel (BioRad, Hercules, CA). Lastly, the LBD was concentrated to 10 mg/mL using a spin
523 concentrator, separated into 100 μ L aliquots, flash frozen, and stored at -80 °C until use.

524 *Crystallization of the ER α LBD D538G Mutant:* For the estradiol (E2) and 4-hydroxytamoxifen
525 (TOT)-bound structures, the purified ER α LBD D538G mutant at 10 mg/mL was incubated for overnight
526 with 1 mM ligand. For the *apo* D538G and E2 structures a 2.5-fold mol:mol (excess) of glucocorticoid
527 receptor interacting protein NR box II peptide (GRIP) was incubated with the LBD for approximately 3
528 hours. Hanging drop method was used for all crystals using VDX pre-greased plates (Hampton Research,
529 Aliso Viejo, CA). For the *apo* D538G structure, 15 mM MgCl₂ and 10 mM ATP were added to the
530 protein prior to plating. A total of 1 μ L of 5 mg/mL *apo* D538G was mixed with 1 μ L of 30% PEG 3,350,
531 200 mM MgCl₂ and 100 mM Tris pH 8.5. For the E2-complex structure a total of 1 μ L of 5 mg/mL
532 protein was mixed with 1 μ L of 25% PEG 3,350, 200 mM MgCl₂, 100 mM Tris pH 8.5 and 1 mM
533 phenylalanine. For the D538G-TOT complex structure, the protein/ligand was centrifuged at 19,000 g to
534 remove precipitate then 2 μ L at 10 mg/mL was mixed with 2 μ L of 400 mM ammonium sulfate, 100 mM

535 Tris pH 8.0 and 10% glycerol. For the *apo* and E2-bound structures, clear triangular rods appeared after 3
536 days. For the TOT-bound structure, clear rectangular rods appeared overnight. Paratone-N was used as
537 the cryo-protectant for the *apo* and TOT-bound structures, whereas 25% glycerol was used as the cryo-
538 protectant for the E2-bound structure. All x-ray data sets were collected at the Advanced Photon Source at
539 Argonne National Laboratories, Argonne, Illinois. The TOT-complex data set was collected at the SBC
540 19-BM beamline (0.97 Å), the E2-bound structure at LS-CAT 21-ID-D (0.97 Å), and the *apo* structure at
541 LS-CAT 21-ID-F (0.97 Å).

542 *X-ray Structure Solution:* Data were indexed, scaled and merged using HKL-3000(Otwinowski and
543 Minor, 1997). Phaser was used for all molecular replacements (McCoy et al., 2007). An existing structure
544 of the WT ER α LBD in complex with TOT (PDB: 3ERT) was modified by removing all ligands and
545 water molecules, and then used as the search molecule for the D538G-TOT structure (Shiau et al., 1998).
546 For the WT and *apo* D538G structures, an existing WT ER α LBD-agonist structure (PDB: 2QXM) was
547 modified by removing all ligands and water molecules, and then used as the search molecule (Nettles et
548 al., 2008). For the *apo* and E2-bound structures, one dimer was found in the asymmetric unit (ASU),
549 whereas four dimers were found for the TOT-bound structure. The CCP4i (Refmac) program suite was
550 used for all refinement (Winn et al., 2011). The models were refined using iterative rounds of Refmac and
551 Coot. Densities for the ligands were clearly visible after the first round of refinement for both the E2- and
552 TOT-bound structures. Unresolved residues were not included in the structures deposited in the Protein
553 Data Bank including the *apo* D538G (PDB: 4Q13), D538G-E2 complex (PDB: 4PXM), and D538G-
554 4OHT (PDB: 4Q50) structures. All x-ray crystal structure images were made using Pymol.

555 **Molecular Dynamics (MD) Simulations of D538G**

556 *Structure Preparation:* Atomistic molecular models of dimeric ER α were constructed *in silico*
557 starting from an x-ray crystal structure of ER α in complex with E2 and a coactivator peptide (Wärnmark
558 et al., 2002). Atomic coordinates were downloaded from the Protein Data Bank (PDB code: 1GWR) and

559 prepared using a combination of the MOE (Molecular Operating Environment, 2014) and VMD (Visual
560 Molecular Dynamics; Humphrey et al., 1996). Using the Structure Preparation module within MOE, all
561 missing loops were constructed, explicit hydrogen atoms added, a side chain rotamer search was
562 performed, and protonation states were computed for all titratable residues. The resulting structure was
563 loaded into VMD, where each protein monomer, coactivator peptide, and all crystallographic water
564 molecules were written to separate PDB files; the E2 ligand coordinates were discarded for simulated *apo*
565 structures. Each histidine residue was renamed according to the CHARMM naming convention to reflect
566 the computed protonation states, as shown in **Table 4**. The dimeric ER α structure was then constructed
567 from the separate PDB files using the PSFGEN plugin within VMD. The N- and C-termini were capped
568 with neutral acetyl and *N*-methylamido groups, respectively. The protein complex was subsequently
569 solvated using the SOLVATE plugin of VMD with a 20-Å padding thickness on all sides, and ions were
570 added using the AUTOIONIZE plugin to neutralize the system and yield a final NaCl concentration of 0.1
571 M. Ions were placed a minimum distance of 5 Å from the protein surface. The resulting fully solvated
572 system contained ~101k atoms. The D538G mutant structure was constructed in an analogous manner,
573 differing only in an additional “mutate” command in PSFGEN to create the D538G mutation. Additional
574 steps to minimize and equilibrate the mutated region are discussed below.

575 *Simulations:* All MD simulations were performed using the NAMD2 software package (Phillips et
576 al., 2005). The CHARMM36 force field was used to describe the protein, solvent, and ions, and included
577 CMAP backbone corrections and NBFIX terms for protein-ion interactions (Mackerell et al., 1998;
578 Mackerell, 2004). The TIP3P water model was used to as the explicit solvent (Jorgensen et al., 1983).
579 Ligand parameters for E2 were taken from the CHARMM General Force Field (CGenFF;
580 Vanommesleaghe et al., 2010) as assigned by analogy using the ParamChem (Vanommesleaghe and
581 MacKerell, 2012a) webserver. Attempts to further refine torsion parameters with moderate penalty scores
582 using the Force Field Toolkit (ffTk; Mayne et al., 2013) did not yield significant improvement of the
583 potential energy surface. Simulations were performed under an NPT ensemble at 1.0 atm and 310 K,

584 employing a Nosé-Hoover thermostat and a Langevin piston with a period of 100 fs, decay of 50 fs, and
585 damping coefficient of 0.5 ps^{-1} (Martyna et al., 1994; Feller et al., 1995). A simulation time step of 2 fs
586 was used, and atomic coordinates were recorded every 500 steps (1 ps). The molecular system employed
587 periodic boundary conditions, and non-bonded interactions were truncated using a switching function
588 from 10.0 to 12.0 Å. Long range electrostatics were evaluated using the particle mesh Ewald (PME)
589 method (Darden et al., 1993). Bonded and non-bonded forces were computed at every time step, while
590 PME forces were computed every other time step.

591 All molecular systems were first simulated to equilibrate “non-natural” components of the system by
592 applying harmonic restraints ($k = 1 \text{ kcal/mol/Å}^2$) on heavy atoms present in the 1GWR x-ray crystal
593 structure. Atoms belonging to added water, ions, missing loops (± 2 residues), or mutated residues (± 2
594 residues) were left unrestrained. The system was subjected to a 10,000-step downhill minimization,
595 followed by 1 ns of simulation. All restraints were then released and the system was simulated for an
596 additional 100 ns of production simulation.

597 *MD Simulation Trajectory Analysis:* All analyses were performed using VMD (Humphrey et al.,
598 1996). Simulation trajectories were first prepared by removing water molecules, concatenating sequential
599 trajectory files, downsampling the framerate to 10 ps/frame, and rewrapping the periodic system to move
600 the protein center of mass to the center of the periodic cell. Prior to analysis, all trajectories were aligned
601 to the initial frame by fitting C α atoms of the protein, excluding the coactivator peptides from the fit
602 measurement. When a consistent reference frame was required for cross-trajectory comparisons, all
603 frames were aligned to the 1GWR x-ray structure prior to analysis. With the exception of explicit time
604 series measurements (*i.e.*, SASA), all other analyses were performed for the last 50 ns of the 100-ns
605 production simulation.

606 Side chain conformations of residue Y537 were visualized by superimposing the position of the
607 phenolic oxygen every 100 ps ($n = 500$) using the standard “points” representation of VMD. Density

608 maps of side chain and backbone atoms were computed using the VOLMAP plugin of VMD with a
609 resolution of 1 Å and averaging the mass-weighted density over the trajectory. The volumetric maps for
610 visualizing the side chain positions were set to the 0.75 isosurface, representing the volume containing
611 atomic density for greater than 75% of the analyzed trajectory. Ramachandran analysis was performed by
612 measuring the ϕ and ψ dihedral angles for each residue at a 10-ps interval ($n = 5,000$). The data were then
613 converted to a two-dimensional histogram and plotted using the Matplotlib package of the python
614 programming language (Hunter, 2007). A Gaussian filter was used to smooth the data ($\sigma = 10.0$), and the
615 resulting bins were grouped into 10 contours. The lowest intensity contour (background, dark blue) was
616 removed for clarity. The solvent accessible surface area (SASA) was computed for the side chains of
617 hydrophobic residues 533-536 using the built-in “measure sasa” function of VMD. The default probe
618 radius of 1.4 Å was used while taking the surrounding protein environment into account. SASA
619 measurements were computed at 10-ps intervals ($n = 10,000$) over the entire production simulation and
620 smoothed using a Gaussian-weighted running average ($\sigma = 10.0$).

621 **Molecular Dynamics Simulations of Y537S-TOT Complex**

622 A parameter set was constructed for TOT. Its structure was optimized quantum mechanically at the level
623 of restricted Hartree-Fock (RHF) 6-31g* using the computer program Gaussian 03 (Gaussian 03,
624 Revision C.02, Frisch et al., 2004). The partial atomic charges of TOT were then derived with Restrained
625 ElectroStatic Potential (RESP) (Bayly et al., 1993; Cornell et al., 1993) fitting to the quantum mechanical
626 RHF/6-31g* potential. The ideal geometry was defined as the optimized. The other molecular mechanical
627 parameters were derived by assigning CHARMM22 atom types for TOT (Momany and Rone, 1992).

628

629 The dimer with the least missing residues of the H11-H12 loop was selected from the D538G-TOT crystal
630 structure and served as the template structure to model the Y537S-TOT dimer structure. The side chain
631 atoms at positions 537 and 538 were removed, and then desired side chain atoms were placed with the
632 other missing atoms using the default geometry parameters in CHARMM22. Hydrogen atoms were

633 placed with the hbuild module of the computer program CHARMM (Brünger and Karplus, 1988;
634 Vanommeslaeghe and MacKerell, 2012b). Missing residues (loops) in the starting crystal structure were
635 optimized in three rounds (100 steps of the steepest descent method followed by two rounds of 100 steps
636 of the adopted New-Raphson method) with updated harmonic constraints on the other atoms. Then all
637 newly-added atoms' positions were optimized in the same fashion.

638 The resulting minimized structure was solvated with water molecules of 15 Å padding thickness from the
639 molecular boundary and ionized to reach charge neutrality and the concentration of 0.145 M, both of
640 which were done with VMD (Humphrey et al., 1996). The system was minimized for 5000 steps before a
641 100-ns MD simulation using NAMD2 (Phillips et al., 2005) was performed.

642

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840 **Figure Legends**

841

842 **Figure 1:** Binding of the SRC3 coactivator to WT, Y537S, or D538G ER α LBD in the absence or
843 presence of E2 or TOT.

844

845 **Figure 1-figure supplement 1:** Binding of the SRC3 coactivator to WT, Y537S, or D538G mutant ER α
846 LBD with increasing concentrations of E2 or TOT.

847

848 **Figure 2:** Determination of K_d values of estradiol (E2) binding to wild type, Y537S, and D538G LBDs,
849 by a direct binding assay. All slopes had an r^2 of 0.95 or better; shown is a representative experiment. For
850 details, see Methods.

851 **Figure 3:** Relative binding affinity assay of wild type, Y537S, and D538G LBDs, showing the TOT
852 competition curves. With all proteins, the E2 curve is set to 100% and is shown only once. For details,
853 see Methods.

854 **Figure 4:** Conformational stability of WT and mutant ER α LBD H11-12 loop and H12. A) Proteolytic
855 susceptibility of the WT, Y537S and D538G ER α LBD mutants in the *apo*, E2-bound, and TOT-bound
856 states. B-C) Deuterium uptake plot for the c-terminus of H11 along with the H11-12 loop and H12 for the
857 *apo* WT vs Y537S ER α LBD (B), *apo* WT vs D538G ER α LBD (C). All HDX MS data represent an
858 average of 3 replicates and are color coded from red to blue with warm colors representing increased
859 conformational dynamics (red being the highest D₂O uptake) and cool colors representing decreased
860 conformational dynamics (blue being the lowest D₂O uptake). All regions colored were determined to be
861 statistically significant based on a paired two-tailed Students t-test. A legend is provided at the bottom.
862 Grey indicates no statistically significant change between the two *apo* states.

863

864 **Figure 4-figure supplement 1:** Complete differential amide HDX MS map of WT ER α LBD binding to
865 E2.

866

867 **Figure 4-figure supplement 2:** Complete differential amide HDX MS map of Y537S ER α LBD mutant
868 binding to E2.

869

870 **Figure 4-figure supplement 3:** Complete differential amide HDX MS map of D538G ER α LBD mutant
871 binding to E2.

872

873 **Figure 4-figure supplement 4:** Complete differential HDX perturbation maps comparing the *apo* WT
874 versus *apo* Y537S ER α LBD.

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876 **Figure 4-figure supplement 5:** Complete differential HDX perturbation maps comparing the *apo* WT
877 versus *apo* D538G ER α LBD.

878

879 **Figure 4-figure supplement 6:** Complete differential HDX perturbation map of WT ER α LBD with
880 SRC3-NRD.

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882 **Figure 4-figure supplement 7:** Complete differential HDX perturbation map of Y537S ER α LBD with
883 SRC3-NRD.

884

885 **Figure 4-figure supplement 8:** Complete differential HDX perturbation map of D538G ER α LBD with
886 SRC3-NRD.

887

888 **Figure 4-figure supplement 9:** Complete differential HDX perturbation map of WT ER α LBD with E2
889 and SRC3-NRD.

890

891 **Figure 4-figure supplement 10:** Complete differential HDX perturbation map of Y537S ER α LBD with
892 E2 and SRC3-NRD.

893

894 **Figure 4-figure supplement 11:** Complete differential HDX perturbation map of D538G ER α LBD with
895 E2 and SRC3-NRD.

896

897 **Figure 4-figure supplement 12:** *apo* Y537S x-ray crystal structure (Yellow) (PDB: 2B23) superimposed
898 with WT-E2 complex structure (White) (PDB: 1GWR).

899

900 **Figure 5:** Stabilized D538G agonist state. Superposition stereo-view image of the residues comprising
901 the H11-12 loop (531-537) of monomer A of the D538G-E2 (cyan) overlaid with monomer A of the WT-
902 E2 structure (PDB: 1GWR). E2 is represented as green sticks. Coactivator peptide is shown as light-
903 yellow ribbon.

904

905 **Figure 5-figure supplement 1:** Simulated annealing composite omit maps for the E2 (A) and TOT (B)-
906 bound D538G ER α LBD crystal structures contoured to 1.5σ . E2 and TOT are shown as sticks, helix 12
907 is highlighted in red, and electron density is shown as a blue cage

908

909 **Figure 5-figure supplement 2:** A) Y537 of the D538G-E2 structure rotates towards solvent and is
910 replaced by a well ordered water molecule (sphere), location of the ligand-binding site is shown with
911 estradiol as green sticks, H11-12 loop and H12 shown as dark-blue. B) Y537 is buried towards Helix 3 in
912 every WT structure, forming a hydrogen bond with N348 (PDB: 1GWR).

913 **Figure 5-figure supplement 3:** Density of an unidentified small molecule in the ligand binding site of
914 the *apo* D538G x-ray crystal structure.

915 **Figure 6:** Visualization of H11-12 loop dynamics. A) H11-12 loop of WT ER α LBD-E2 complex. B)
916 Superimposing the position of the phenolic oxygen of Y537 at 0.1-ns intervals for *apo* WT (red), WT-E2
917 (blue), and *apo* D538G mutant (green). C) Mapping the mass density isosurface (0.75, i.e., 25th percentile)
918 of the hydrophobic side chains in the linker region (V533, V534, P535, and L536). D) Side chain packing
919 of the *apo* D538G structure compared to WT-E2. E) Ramachandran analysis of residues 534-538 for the
920 *apo* WT, WT-E2, and *apo* D538G MD simulations. F) Time series of the solvent accessible surface area
921 (SASA) for hydrophobic loop residues (533-536).

922

923

924 **Figure 7:** Alterations to the D538G and Y537S antagonist conformational states. A) Superposition of
925 monomer A for the 538G-TOT structure with the WT (3ERT). TOT and residues 530-550 of the WT
926 (blue) (PDB: 3ERT), TOT of D538G (green), residues 531-550 (red). B) Predicted conformational
927 alterations in H12 in the Y537S-TOT structure (red) compared to the WT-TOT (blue). C) HDX-MS of
928 the WT-TOT complex for H11 through H12 regions. D) HDX-MS of Y537S-TOT complex for H11
929 through H12 regions. E) HDX-MS of the D538G-TOT complex for H11 through H12 regions. HDX data
930 is color coded as in 2C. See methods for more details on coloring scheme.

931

932 **Figure 7-figure supplement 1:** Complete differential amide HDX MS map of WT ER α LBD binding to
933 TOT.

934
935 **Figure 7-figure supplement 2:** Complete differential amide HDX MS map of Y537S ER α LBD mutant
936 binding to TOT.

937
938 **Figure 7-figure supplement 3:** Complete differential amide HDX MS map of D538G ER α LBD mutant
939 binding to TOT.

940
941 **Figure 7-figure supplement 4:** Experiment comparison view comparing the differential HDX behavior
942 of *apo* WT ER α LBD in the presence of various ligands or coactivator.

943 **Figure 7-figure supplement 5:** Experiment comparison view comparing the differential HDX behavior
944 of *apo* Y537S ER α LBD in the presence of various ligands or coactivator.

945 **Figure 7-figure supplement 6:** Experiment comparison view comparing the differential HDX behavior
946 of *apo* D538G ER α LBD in the presence of various ligands or coactivator.

947 **Figure 8:** Model of Aberrant ER α Mutant Activity. Upon hormone binding (E2), WT ER α sheds heat-
948 shock/chaperone proteins (HSP), forms head-to-head homodimers, and recruits coactivator (CoA) to
949 become active. By contrast, Y537S or D538G ER α mutants adopt the active conformation in the absence
950 of hormone to recruit CoA and achieve constitutive activity. Additionally, E2 binding may further
951 increase mutant activity.

952 **Table Legends**

953
954 **Table 1:** SRC3 NRD and ligand recruitment affinities for the WT and mutant ER α LBDs.

955
956 **Table 2:** Ligand binding affinities.

957
958 **Table 3:** Crystallographic data collection and refinement statistics.

959 **Table 4:** Protonation states of histidines for the structure used in MD simulations.

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970 **Tables**

971 Table 1

	SRC-3 NRD K_d (nM)
<i>WT apo</i>	<i>No Recruitment</i>
<i>Y537S apo</i>	13.6 ± 2.0
<i>D538G apo</i>	151 ± 20
<i>WT-E2</i>	2.67 ± 0.5
<i>Y537S-E2</i>	0.59 ± 0.1
<i>D538G-E2</i>	3.65 ± 0.40
	E2 EC₅₀ (nM)
<i>WT</i>	13.8 ± 0.9
<i>Y537S</i>	1.6 ± 1.2
<i>D538G</i>	2.2 ± 0.1
	TOT K_i (nM)
<i>WT</i>	1.82 ± 0.30
<i>Y537S</i>	6.7 ± 0.40
<i>D538G</i>	0.79 ± 0.04

972

973 Table 2

	K_d (nM)
<i>WT-E2</i>	0.26 ± 0.13
<i>Y537S-E2</i>	1.43 ± 0.55
<i>D537G-E2</i>	1.30 ± 0.63
	K_i (nM)
<i>WT-TOT</i>	0.337 ± 0.018
<i>Y537S-TOT</i>	2.61 ± 0.60
<i>D538G-TOT</i>	3.42 ± 0.50

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983 Table 3

	ER α LBD D538G Apo	ER α LBD D538G- E2	ER α LBD D538G- 4OHT
Data Collection			
Space Group	P2 ₁	P2 ₁	P2 ₁ 2 ₁ 2 ₁
a, b, c (Å)	56.14, 82.66, 59.11	56.08, 84.18, 58.37	104.65, 104.65, 191.38
α , β , γ (°)	90.00, 111.05, 90.00	90.00, 108.83, 90.00	90.00, 90.00, 90.00
Resolution Range	55.17-2.24 Å	55.25-1.90	50.00-3.07
Number of Reflections			
(all/unique)	91,607/24,107	169,519/40,361	60,232/9,874
I/ σ (highest resolution)	2.37	2.36	1.70
R _{merge}	11.4	7.3	11.4
Completeness (%)	98.9	99.3	96.7
Redundancy	3.8	4.2	6.1
Refinement			
Rwork/Rfree	19.8/24.9	17.9/21.4	21.6/28.3
No. Residues/Chain			
ER α LBD D538G	241	242	216
GRIP Peptide	6	6	0
Water	16	44	2
Ligand	0	1	1
RMSD			
Bond lengths (Å)	0.015	0.0170	0.0128
Bond angles (°)	1.76	1.5441	1.5356
Chiral volume	0.1117	0.1267	0.1036
Ramachandran plot statistics			
Preferred number (%)	428 (96.40%)	443 (98.88%)	1,563 (95.42%)
Additional allowed (%)	3.60 (3.6%)	5 (1.12 %)	75 (4.58%)
Outliers (%)	0	0	0

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989 Table 4

HIS Residue Number	Monomer A	Monomer B
356	HSE	HSD
373	HSD	HSE
377	HSE	HSD
398	HSP	HSP
474	HSE	HSE
476	HSE	HSE
488	HSE	HSE
501	HSD	HSE
513	HSD	HSD
516	HSE	HSE
524	HSE	HSE
547	HSE	HSE

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