Operating Conditions of Floating Ring Annular Seals

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Authors Bio

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Example: a buffer seal made of two FRAS

- A “buffer” gas (N₂, He…) is injected between 2 seals in a back-to-back configuration
- \( P_{\text{supply}} > P_1, P_2 \)
- \( \Delta P = P_{\text{supply}} - P_i \)
- The buffer gas creates a barrier between the two sides of the machine
- Additional seals may be used to lessen \( P_1, P_2 \) in order to reduce the required \( P_{\text{supply}} \)
General description of the floating ring annular seal

- The carbon ring is mounted in a steel collar
- The main seal is a small radial clearance between the annular faces (≈ 25 µm)
- The pressure difference $\Delta P = P_{upstr} - P_{downstr}$ presses the “nose” of the floating ring against the stator and creates the secondary seal
- The ring “floats” on the rotor and follows rotor vibrations
- It allows large rotor excursions without using a large clearance annular seal and therefore has a limited leakage
State of the art of the scientific literature


Experimental analysis: first operating scenario

- The pressure difference $\Delta P$ across the floating ring increases with the rotation speed,
- For lower values of $\Delta P$, the floating ring “follows” the rotor vibrations,
- As $\Delta P$ increases, the vibration amplitudes of the floating ring decrease because of the increasing friction forces on the nose,
- For high values of $\Delta P$, the floating ring is “blocked” and acts as an eccentric annular seal,
- There is a possibility of contacts between the rotor and the carbon ring.
Experimental analysis: second operating scenario

- The pressure difference $\Delta P$ remains limited,
- The floating ring is not locked,
- The behavior of the floating ring can be periodic, quasi-periodic or chaotic.

There is still a possibility of contacts between the rotor and the carbon ring if the eccentricity is too high.
The test rig: FRAS in back to back arrangement

The test rig houses 2 to 4 floating ring seals in a back-to-back arrangement. The displacements of the rotor and of the seals are measured in 6 positions along 2 orthogonal directions $x, y$.

The displacements are measured with inductive sensors.

The rotation speed, feeding pressure and mass flow rate across the seal are measured.
Optical tracking of the FRAS

- A high-speed camera fitted with a high-magnification macro lens allows for observation of the radial clearance,
- It is possible to discriminate between centered and eccentric situations,
- A mark-tracking technique allows for measurement of the floating ring displacements,
- It is a backup solution for general use – only solution if the ring is not fitted with a steel collar.
Optical tracking of the FRAS

- **Photron**
  - 8000 i/s
  - Début
  - Date: 2015/1/26

- **FASTCAM-ultima AP**
  - 1/8000 sec
  - image: 8
  - Time: 12:00
Geometry of the seals and of the rotor

**Seals:**

- 38 mm diameter seals, 10 mm axial length
- 4 different seals, divided in two categories:
  - **Type 1** seals: small radial clearance ($\approx 20 \, \mu m$), low conicity ($\pm 7 \, \mu m$)
  - **Type 2** seals: large radial clearance ($\approx 30 \, \mu m$), high conicity ($\pm 15 \, \mu m$)
Experimental results: $\Omega=3000$ rpm, $\Delta P=0.5$ bar

FRAS orbits are almost circular (2x and 3x spectral components are low compared to 1x)

The rotor 3x component is larger than the 2x due to runout errors

Remark: Y FFT are similar to X
Experimental results: $\Omega=3000$ rpm, $\Delta P=1$ bar

Remark: Y FFT are similar to X

FRAS displacement amplitudes decrease with increasing $\Delta P$
Experimental results: $\Omega=3000$ rpm, $\Delta P=1.5$ bar

Remark: Y FFT are similar to X
A numerical model for FRAS analysis

- The study is based on classical hydrodynamic lubrication theory,
- Both the rotor and the FRAS can move
  - Rotor displacements = input
  - FRAS displacements = output
- The trajectory of the FRAS is contained within a plane (no $x$, $y$-rotations),
- FRAS are fitted with anti-rotation pins: no $z$-rotation,
- Gravity effects are negligible.
The equations of motion of the FRAS

- Forces on the floating ring:
  - Axial force $F_z$ due to the pressure difference $\Delta P$ (compensated by the reaction force on the nose)
  - Hydrodynamic forces $F_h$ in the main seal
  - Friction forces $F_f$ on the nose of the FRAS

- Equations of motion:
  \[
  m \begin{bmatrix} \dot{x}_B \\ \dot{y}_B \end{bmatrix} = \begin{bmatrix} F_{h,x} \\ F_{h,y} \end{bmatrix} + \begin{bmatrix} F_{f,x} \\ F_{f,y} \end{bmatrix}
  \]

  - Inertia forces
  - Hydrodynamic forces
  - Friction forces

$\Delta P, \Omega, \varepsilon$
The hydrodynamic forces in the main seal of the FRAS

• The hydrodynamic forces in the main annular seal are expressed as the sum between static and damping contributions:

\[
\begin{bmatrix}
F_{h,x} \\
F_{h,y}
\end{bmatrix} = \begin{bmatrix}
F_{h,x} \\
F_{h,y}
\end{bmatrix}^{(x_R - x_B, y_R - y_B, 0, 0)} - \begin{bmatrix}
C_{xx} & C_{xy} \\
C_{yx} & C_{yy}
\end{bmatrix}\begin{bmatrix}
\dot{x}_R - \dot{x}_B \\
\dot{y}_R - \dot{y}_B
\end{bmatrix}
\]

- Static contribution
- Damping contribution

• The static forces and dynamic damping coefficients are computed by solving the zero and first order “bulk flow” equations.

The computation of the static forces and dynamic damping coefficients is performed for a given seal geometry and pressure difference, rotation speed and eccentricity configuration.
Friction forces on the nose of the FRAS

- The secondary seal is not completely closed: a mixed lubrication regime subsists across the nose.
- Normal forces on the floating ring:
  - Pressure difference
    \[ F_z = P_{amont}(R_3^2 - R_1^2) - P_{aval}(R_2^2 - R_1^2) \]
  - Hydrostatic contribution \( F_{z,fluid} \)
  - Asperity contact forces \( F_{z,asp} \)
- Balance of forces:
  \[ F_z = F_{z,fluid} + F_{z,asp} \Rightarrow h \]
Contact forces: the contribution of asperities

Greenwood & Williamson’s model for the contact between two rough surfaces:

- Contact between a nominally, rigid flat surface and a rough, deformable surface
- Asperities in contact are modelled as elastically loaded spheres of constant radius

\[
F_{z,\text{asp}} = \frac{4}{3} A_0 \eta E^* \sqrt{\beta} \int_{h}^{+\infty} (z_s - h)^{3/2} \varphi(z_s) dz_s
\]
Contact forces: hydrostatic contribution

- The flow in the secondary seal is modeled as a 1D, adiabatic channel flow (height $h$, length $L$).
- The convective inertia effects are taken into account (bulk flow equations):
  \[
  \frac{4f_z \, dz}{D_h} = \frac{(1 - M^2) \, dM^2}{\kappa M^4 \left( 1 + \kappa - \frac{1}{2} M^2 \right)}
  \]
- The height of the canal is constant along the axial direction: analytic solution
  \[
  \frac{2f_z \, z}{h} = B(M_1) - B(M)
  \]
  \[
  B(M) = \frac{1 - M^2}{\kappa M^2} + \frac{\kappa + 1}{2\kappa} \ln \left[ \frac{(\kappa + 1)M^2}{2 + (\kappa - 1)M^2} \right]
  \]
  \[
  \frac{P}{P_1} = \frac{M_1}{M} \sqrt{\frac{1 + \frac{(\kappa - 1)M_1^2}{2}}{1 + \frac{(\kappa - 1)M^2}{2}}}
  \]
  \[
  \frac{T}{T_1} = \frac{1 + \frac{(\kappa - 1)M_1^2}{2}}{1 + \frac{(\kappa - 1)M^2}{2}}
  \]
The equivalent friction coefficient on the nose of the FRAS

- The relation between $F_f$ and $F_z$ can be expressed thanks to an “equivalent coefficient of friction” $f_{eq}$:

\[ F_f = f_{eq}F_z \]

- Because of the hydrostatic contribution, the coefficient of friction $f_{eq}$ is lower than the carbon/steel coefficient of friction.

- $f_{eq}$ depends on:
  - Surface conditions and geometry
  - Pressure difference
Comparisons experimental vs. theoretical trajectories

- The trajectories of the rotor show a high 3x spectral component due to rotor runout errors.
- The rotor trajectory is corrected by eliminating spectral components higher than 2.5x.
- Spectral components close to 2x are considered to be representative of the rotor trajectory (rotor misalignment and water bearing ovalization).
Case 1: FRAS#1, $\Omega = 250$ Hz, no additional unbalance

$\Delta P = 0.5$ bar, $f_{eq} = 0.039$

$\Delta P = 1$ bar, $f_{eq} = 0.056$
Case 1: FRAS#1, $\Omega = 250 \text{ Hz}$, no additional unbalance

$\Delta P = 2 \text{ bar}$, $f_{eq} = 0.07$

$\Delta P = 3 \text{ bar}$, $f_{eq} = 0.077$
Results for FRAS#1, $\Omega=250$ Hz, no additional unbalance

- The numerical model predicts closely the behavior of the seal
- The predicted eccentricity is $\approx 40\%$ and is constant with increasing $\Delta P$ (theoretical minimum film thickness is $\approx 8\ \mu$m )
- No predicted contact between the seal and the rotor
- The agreement between the predicted and experimental leakage rates across the seal cartridge is good
Case 2: FRAS#1, $\Omega=250$ Hz, 25 g·mm additional unbalance

\[ \Delta P = 0.5 \text{ bar}, \quad f_{eq} = 0.039 \]

\[ \Delta P = 2 \text{ bar}, \quad f_{eq} = 0.07 \]
Case 2: FRAS#1, $\Omega=350$ Hz, 25 g·mm additional unbalance
Case 2: FRAS#1, $\Omega=350$ Hz, $25$ g·mm additional unbalance

**Diagram:**

- **Left Panel:**
  - $\Delta P = 4$ bar, $f_{eq} = 0.08$
  - Lines and markers indicate rotor measured trajectory, FRAS theoretical trajectory, and FRAS measured trajectory.

- **Right Panel:**
  - $\Delta P = 6$ bar, $f_{eq} = 0.083$
  - Similar notation as the left panel.
Case 2: FRAS#1, $\Omega=350$ Hz, 25 g·mm additional unbalance

- Again, the numerical model predicts closely the behavior of the seal.
- The predicted eccentricity varies between 40 and 70% and **decreases** with increasing $\Delta P$ (predicted minimum film thickness is 0 to 10 $\mu$m).
- Possibility of contacts even though the seal is not locked.
- The agreement between the predicted and experimental leakage rates across the seal cartridge is good.
Case 3: FRAS#2, no additional unbalance

$\Omega = 350 \text{ Hz}$

$\Omega = 250 \text{ Hz}$
Conclusions

• The predicted behavior of the FRAS (locked/unlocked) depends on a combination of $\Delta P$, $\Omega$ and rotor excitation amplitudes,

• The two scenarios were experimentaly and numericaly reproduced:
  – for a low $\Delta P$ and large enough rotor vibrations, the FRAS follows the rotor
  – if the $\Delta P$ increases OR if the rotor vibrations are too low, the FRAS is progressively locked

• FRAS follows the rotor $\neq$ centered,
• For a low $\Delta P$, the eccentricity may be high enough to cause rotor/seal contacts,
• Moving FRAS = more damage than locked one!
• The impact of FRAS (locked or not) on the rotor dynamic behavior has to be considered.
Thank you!

Questions?