# THE MATURATIONAL COURSE OF SEQUENTIAL MEMORY AND ITS RELATION TO THE DEVELOPMENT OF FRONTAL LOBE FUNCTIONING

A Dissertation

by

## CASSANDRA BURNS ROMINE

Submitted to the Office of Graduate Studies of Texas A&M University in partial fulfillment of the requirements for the degree of

# DOCTOR OF PHILOSOPHY

August 2004

Major Subject: School Psychology

# THE MATURATIONAL COURSE OF SEQUENTIAL MEMORY AND ITS RELATION TO THE DEVELOPMENT OF FRONTAL LOBE FUNCTIONING

A Dissertation

by

# CASSANDRA BURNS ROMINE

## Submitted to Texas A&M University in partial fulfillment of the requirements for the degree of

# DOCTOR OF PHILOSOPHY

Approved as to style and content by:

Cecil R. Reynolds (Chair of Committee)

Victor L. Willson (Member) Cynthia A. Riccio (Member)

William H. Rupley (Member)

Victor L. Willson (Interim Head of Department)

August 2004

Major Subject: School Psychology

#### ABSTRACT

The Maturational Course of Sequential Memory and Its Relation to the Development of Frontal Lobe Functioning. (August 2004) Cassandra Burns Romine, B.S., University of Utah Chair of Advisory Committee: Dr. Cecil R. Reynolds

The multidimensional nature of the frontal lobes serves to organize and coordinate brain functioning, playing a central and pervasive role in human cognition. The organizational and strategic nature of frontal lobe functioning affects memory processes by enhancing the organization of to-be-remembered information. Among the specific memory systems presumed to be based on anterior cerebral structures is the temporal organization of memory. An essential component of memory that involves temporal organization is sequential ordering. The acquisition of abilities thought to be mediated by the frontal lobes, including sequential memory, unfolds throughout childhood, serving to condition patterns of behavior for the rest of the brain. Development of the frontal regions of the brain is known to continue through late adolescence and into early adulthood, in contrast to the earlier maturation of other cortical regions.

The purpose of the present study was to evaluate the development of sequential memory and to compare such findings to what currently is known regarding the development of frontal lobe functioning. Through an analysis of the previously collected standardization data of the Test of Memory and Learning (TOMAL; Reynolds & Bigler, 1994), a developmental function depicting the maturational process of sequential memory was derived. This model was then compared to an overall representative model of frontal lobe functioning. Results indicated a staging of development that begins in early childhood with the maturation of sequential memory continuing, although at a decreased rate, into early adolescence. The greatest period of development in sequential memory was evident between 5 and 8 years of age. The rate of development then decreased, and a continued deceleration of maturation continued throughout the age span examined. Gender was not found to be a significant predictor of developmental performance on sequential memory tasks. The results of the present study are consistent with previous findings that have suggested that the development of frontal functions occurs in a step-wise fashion with greatest period of development in frontal lobe functioning occurring at the 6- and 8-year old levels, with more moderate effects between the ages of 9 and 12 and performance approximating adult levels during adolescence.

# TABLE OF CONTENTS

ABSTRACT	iii
TABLE OF CONTENTS	v
	v
LIST OF TABLES	vi
LIST OF FIGURES	vii
CHAPTER	
	1
I INTRODUCTION.	
Purpose of Study	4
Research Questions	5
II REVIEW OF THE LITERATURE	7
Frontal Lobe Functioning.	7
Development of Frontal Lobe Functioning	17
Frontal Lobe Involvement in Memory	29
Development of Memory in Relation to Frontal Lobe Maturation.	
III METHODS	56
Participants	56
Measures and Procedures.	56
Data Analysis	60
IV RESULTS	67
Development of Sequential Memory	67
· · ·	80
V CONCLUSIONS	95
Limitations of the Study	
Important Considerations	
Implications of Research.	104
Directions for Future Research	
REFERENCES	110
	0
VITA	138

Page

# LIST OF TABLES

TABLE		Page
1	Sample demographics	58
2	Distribution of means and standard deviations for performance on the sequential recall memory subtests at each age level	68
3	Distribution of means and standard deviations for performance on the free recall memory subtests at each age level	69
4	Age effects of sequential memory and free recall subtests	72
5	Summary table of the simultaneous equation analyses	77
6	Meta-analysis of frontal lobe functioning developmental studies	81
7	Average effect sizes of age related change in performance on measures of frontal lobe functioning	88
8	Effect sizes of age related increases in performance on sequential memory subtests	91
9	Effect sizes of age related increases in performance on free recall subtests	92

# LIST OF FIGURES

FIGURE		Page
1	Mean scores and standard deviations on the sequential memory subtests	70
2	Mean scores and standard deviations on the free recall subtests	71
3	Structural model of the development of sequential memory examining linear and quadratic effects of age on sequential memory subtest performance.	74
4	Modified structural model of the development of sequential memory examining linear and quadratic effects of age on sequential memory subtest performance	75
5	Structural model examining linear and quadratic effects of age on free recall memory subtest performance	76
6	Plots of the developmental functions depicting the maturational increases in performance on the sequential memory subtests	78
7	Plots of the developmental functions depicting the maturational increases in performance on the free recall subtests	79
8	Developmental course of frontal functions based upon average effect sizes of age related change in performance on measures of frontal lobe functioning	89
9	Developmental course of frontal functions based upon average effect sizes across frontal functions	90
10	Developmental course of sequential memory and free recall based upon average effect sizes across subtests	93
11	Comparison of developmental course of frontal functioning and sequential memory	94

### CHAPTER I

#### INTRODUCTION

Frontal lobe functioning plays a central and pervasive role in human cognition. Through executive and organizational processes, the frontal lobes assimilate and fuse perceptual, volitional, cognitive, and emotional processes (Joseph, 1996). The executive processes implicated in complex cognition such as novel problem solving, modifying behavior as appropriate in response to changes in the environment, inhibiting prepotent or previous responses, and the implementation of schemas that organize behavior over time are believed to be mediated by the frontal regions of the brain. Stuss and Alexander (2000) have emphasized that although there are specific processes related to different brain regions within the frontal lobes, such distinct processes converge on a general concept of control functions. Overall, the multidimensional nature of the frontal lobes serves to organize and coordinate brain functioning, which in turn, assists individuals in goal directed and self regulatory behavior.

The acquisition of abilities thought to be mediated by the frontal lobes unfolds throughout childhood, serving to condition patterns of behavior for the rest of the brain. Development of the frontal regions of the brain is known to continue through late adolescence and into early adulthood, in contrast to the earlier maturation of other cortical regions. The developmental patterns of the frontal lobes are thought to involve a hierarchical, dynamic, and multistage process (Case, 1992; Thatcher, 1992).

This dissertation follows the style and format of Archives of Clinical Neuropsychology.

The developmental progression of performance on frontal-mediated tasks has been shown to be a multistage process, with different functions maturing in different ways, at different times. The greatest period of development appears to occur at the 6and 8-year old levels, with more moderate effects between the ages of 9 and 12 and performance approximating adult levels during adolescence and sometimes even into the early 20s, depending on task demands (Anderson, Anderson, Northam, Jacobs, & Catroppa, 2001; Chelune & Baer, 1986; Chelune, Ferguson, Koon, & Dickey, 1986; Korkman, Kemp, & Kirk, 2001; Levin et al., 1991; Lin, Chen, Yang, Hsiao, & Tien, 2000; Paniak, Miller, Murphy, Patterson, & Keizer, 1996; Passler, Isaac, & Hynd, 1985; Welsh, Pennington, & Groisser, 1991.

Research regarding the development of the nervous system, as well as research on the development of behavior, has resulted in a greater understanding of how the brain and behavior develop together. Such findings have shown complex developmental patterns, with many growth functions demonstrating nonlinear, dynamic patterns, rather than monotonic growth (Fischer & Rose, 1997). The development of "frontal functions" may relate not only to the anatomical and biochemical maturation of the frontal lobes but also to the integrative demands of tasks on multiple brain regions (Stuss, 1992).

The organizational and strategic nature of frontal lobe functioning affects memory processes by enhancing the organization of to-be-remembered information (Moscovitch, 1992). Specific memory systems presumed to be based on anterior cerebral structures include working memory, the temporal organization of memory, and source memory (Schacter, 1987). Focal lesion studies have demonstrated the importance of the frontal lobes on retrieval tasks in which monitoring, verification, and placement of information in temporal and spatial contexts is of critical importance (Milner, Petrides, & Smith, 1985). Similarly, frontal lobe damage has been associated with deficits in memory for the temporal ordering, or sequencing, of events (Kesner, Hopkins, & Fineman, 1994; McAndrews & Milner, 1991; Milner, Corsi, & Leonard, 1991). Other specific memory impairments associated with frontal lobe damage include a failure to show normal release from proactive interference in category shift paradigms (Cermak, Butters, & Moreines, 1974), impaired free recall of words (Incisa della Rochetta, 1986), and impaired recall of remotely learned information (Mangels, Gershberg, Shimamura, & Knight, 1996).

As one of the aspects of memory believed to be mediated by the frontal lobes, temporal organization is an essential component of memory. Temporal organization of memory includes the ability to judge which stimuli were seen most recently or to recreate the order in which stimuli were presented. It has been suggested that a breakdown in this system leads to an inability to order actions in appropriate temporal sequences, which in turn, leads to trouble with planning, goal-directed behavior, and sequencing (Raskin, 2000). This temporal organization has been described in various ways. Fuster (1997) linked difficulty in temporal organization to the resultant deficits associated with the presence of lesions in the prefrontal cortex. Such deficits include difficulty learning the sequences of behavior (motor or procedural memory) and decreased short-term retention of sensory information toward a motor act (active, working memory). Milner and her colleagues have consistently provided support for the role of the frontal lobes in the organization and temporal ordering of memory. It has been shown repeatedly that patients with unilateral frontal-lobe lesions are impaired at monitoring and remembering the temporal order of contextually similar events (McAndrews & Milner, 1991).

#### Purpose of Study

Although research involving patients with frontal lobe damage has provided information regarding the role of the anterior portions of the brain in the temporal organization of memory, research is needed regarding the development of such a processing ability throughout childhood and adolescence. Developmental studies can provide a source of information for deciding how different putative frontal functions actually are related to each other and to the brain, as well as provide a better idea of how these brain functions develop together. A developmental perspective is important because a better understanding of the changes that take place in memory throughout childhood will provide insight into a portion of the many processes and systems involved in human memory. Such an understanding of children's memory and the way it develops is of great importance to psychologists. Because learning and the complex phenomenon of being able to acquire new skills and knowledge are inextricably linked with sequential memory and frontal functioning, the assessment of memory provides a crucial method for understanding profiles of learning difficulties. Through gaining knowledge of the developmental patterns associated with sequential memory, a better understanding of the unfolding of organizational strategies used in learning will be gained. In the clinical examination of children and adolescents with CNS compromise, a development-based

understanding of frontal functions, especially as related to memory, is crucial to accurate diagnosis. Furthermore, distinctive executive and frontal function developmental profiles may exist across different clinical conditions and problems. In order to understand more fully the role of memory deficits and frontal lobe dysfunction in children, it is important to discuss normal frontal lobe development, including the development of the temporal organization of memory. An understanding of normal maturational processes occurring within the central nervous system and the associated development of cognitive abilities provides a backdrop for interpreting the possible impairments of children who have sustained frontal injuries or who are diagnosed with disorders associated with frontal lobe dysfunction or delays.

#### **Research Questions**

The following questions will be addressed in this study:

- What is the normative developmental growth pattern of performance by individuals, ages 5 through 19, on a battery of tasks selected to tap sequential memory?
- 2. Do males and females differ in regard to such developmental growth patterns?
- 3. How does the developmental pattern of scores on sequential memory tasks correspond to current thought about the developmental or maturational course of frontal lobe function?

Such questions will examine the developmental course of sequential memory and its relations to frontal lobe functioning. The development of children provides an opportunity to examine the development of executive control as the prefrontal cortex

matures. The evolution of executive control processes can be explored through research on the development of the acquisition of sequential memory ability, shedding light on the temporal organization of memory.

#### CHAPTER II

#### **REVIEW OF THE LITERATURE\***

Sequential memory is an important component process of learning that is believed to be mediated by the frontal lobes. In the discussion that follows, a review is provided of the current conceptualization and role of the temporal order of memory, similarly referred to as sequential memory, and how it relates to frontal lobe functioning. In an effort to provide a context in which to discuss sequential memory, a review will occur first on frontal lobe functioning including an overview of the abilities mediated by the anterior portions of the brain. A developmental perspective is emphasized with a discussion on the maturation of frontal lobe functioning during childhood and its continuation into adolescence. Then, an overall review of frontal lobe involvement in memory will lead up to an analysis of its significant role in sequential memory.

## Frontal Lobe Functioning

Frontal lobe functioning plays a central and pervasive role in human cognition. Through executive and organizational processes, the frontal lobes assimilate and fuse perceptual, volitional, cognitive, and emotional processes (Joseph, 1996). The executive processes implicated in complex cognition such as novel problem solving, modifying behavior as appropriate in response to changes in the environment, inhibiting prepotent

<sup>\*</sup>Reprinted with permission from "Sequential memory: A developmental perspective of its relation to frontal lobe functioning" by C.B. Romine and C.R. Reynolds, 2004, *Neuropsychology Review, 14,* 43-64. 2004 by Plenum Publishing Corporation

or previous responses, and the implementation of schemas that organize behavior over time are believed to be mediated by the frontal regions of the brain. Overall, the multidimensional nature of the frontal lobes serves to organize and coordinate brain functioning, which has the effect of assisting individuals in goal directed and self regulatory behavior. Much of this was recognized early in research on frontal lobe functioning as Luria (1969) instructed us in the title of his address to the 19<sup>th</sup> International Congress of Psychology, "Cerebral organization of conscious acts: A frontal lobe function."

Investigations have examined neuroanatomical, neurochemical, neurophysiological, and behavioral correlates of frontal lobe functioning in both humans and non-human animals. Clinical and experimental research has converged to indicate the fractionation of frontal subprocesses and the initial mapping of these subprocesses to discrete frontal regions (Stuss & Levine, 2002). Various areas of prefrontal cortex seem to contribute to specific and differential functions (Pandya & Yeterian, 1998). Supportive evidence for regional specialization at an early age comes from study of nonhuman primates (e.g., Goldman, 1971), where differential effects of orbital and dorsolateral frontal lesions were found in delayed response performances. While disturbances in the integrity of frontal lobes result in a wide range of potential behavioral and cognitive disturbances (Joseph, 1996), it has been found that lesions to different regions of the prefrontal cortex are associated with distinct behavioral outcomes, denoting considerable specialization of function within the frontal lobes (also see Reynolds, 1981).

### Regional Specificity and Functional Diversity

Much of what is known about frontal functions is based on patients with dorsolateral prefrontal cortex dysfunction (Stuss & Levine, 2002). The dorsolateral region, which is part of the archicortical trend originating in the hippocampus, has been found to be associated with spatial and conceptual reasoning processes; these cognitive processes form the basis of what is referred to as executive functioning (Goldman-Rakic, 1987; Milner, 1963). Furthermore, the function of the dorsolateral prefrontal cortex has been associated with planning and the temporal organization and sequencing of behavior (Fuster, 1997; Pandya & Yeterian, 1998). Related to this role, the dorsolateral prefrontal cortex also appears to play a significant role in the integration of perception with action across time (Quintana & Fuster, 1999). Comparative studies of human infants and rhesus monkeys also have suggested a critical role for the dorsolateral frontal region in the development of delayed responding and Piaget's AB task (Diamond & Doar, 1989; Diamond & Goldman-Rakic, 1989).

The ventral prefrontal cortex, which is part of the paleocortical trend emerging from the orbitofrontal (olfactory) cortex, is connected with limbic nuclei and is involved in emotional processing (Stuss & Levine, 2002). This region is intimately associated with the anterior cingulate and the amygdala and is involved in inhibition, emotion, and reward processing suggesting a role in behavioral self-regulation. The inferior (ventral) medial frontal regions have been functionally dissociated from ventrolateral and polar regions. Hypometabolism in this region has been implicated in disorders of selfregulation that are associated with disinhibition, such as attention-deficit/hyperactivity disorder (e.g., see review by Goldstein, 1999) whereas lesions to the superior surface may result in overcontrolled behavior. The ventromedial regions play a role in decision making, whereas the ventral lateral portion is involved in working memory, planning, and sequencing of behavior, language, and attention (Pandya & Yeterian, 1998). Personality and affective disorders have been associated with orbital prefrontal lesions (Stuss & Levine, 2002). It has been proposed that the orbital frontal region has a specialized role in activating the somatic states necessary for applying knowledge in the social domain (Damasio, Tranel, & Damasio, 1990). Finally, the frontal poles, particularly on the right, are believed to be involved in autonoetic consciousness and self-awareness (Stuss & Levine, 2002).

Further functional and anatomical divisions within the frontal lobes can be specified, including the superior mesial region of the frontal lobes. This region is strongly connected with cortical and subcortical motor structures including the primary motor cortex, lateral premotor cortex, and basal ganglia (Grattan & Eslinger, 1991). Superior medial lesions can cause an apathetic syndrome, as in akinetic mutism, involving the complete or near complete absence of responsiveness and spontaneity (Cummings, 1993). The superior mesial frontal lobe region is believed to contribute to the modulation of both the experience and expression of emotions and may play a strong activation role that is crucial for initiating and driving cognitive, attentional, and motor systems (Grattan & Eslinger, 1991).

10

### *Executive Functioning*

The cognitive construct of "executive function" has been adopted as a general descriptor of the behaviors reflecting frontal lobe activity. In fact, often the terms "frontal" and "executive" are used interchangeably (Stuss & Levine, 2002). However, although executive function has a more concrete neuroanatomical context than a purely theoretical one, it has been suggested that executive function should not be confounded with "prefrontal" except at a hypothesis generating level due to the nonfrontal contributions to executive function and functions of the prefrontal lobes that extend well beyond the list of cognitive abilities for which executive function is an umbrella (Denckla, 1996). Such functions are highly integrative and have been described as highlevel cognitive functions that are involved in the control and direction of lower-level functions (Stuss & Levine, 2002). Although the precise characteristics defining the domain of executive function are in flux with a certain degree of conceptual ambiguity (Pennington, Bennetto, McAleer, & Roberts, 1996), there is likely universal agreement regarding the importance of executive function skills to everyday function (Welsh, 2002). In a survey of editorial board members of journals central to clinical neuropsychology, these individuals rated behavior associated with social cognition and behavioral control in the social context as those behaviors most closely aligned with frontal lobe functioning (Barringer & Reynolds, 1995; Reynolds & Kamphaus, 2002).

Theories regarding prefrontal function center around goal-directed behavior and involve the ability to maintain an appropriate problem-solving set for the attainment of a future goal (Welsh & Pennington, 1988) in a flexible manner (Funahashi, 2001).

Executive function facilitates future-oriented behavior by allowing for planning, flexible strategy employment, impulse control, and organized search (Welsh, Pennington, & Groisser, 1991). The term "executive function" has been used in association with attempts to characterize the deficits of patients whose frontal lobes and/or frontally interconnected subcortical regions that have been impaired by damage, disease, or disordered development (Denckla, 1996). The critical features of executive functions for active problem solving include delayed responding, future orientation, strategic action selection, intentionality, anticipatory set, freedom from interference, and ability to sequence behavioral outputs (Denckla, 1994). Related definitions of executive function emphasize the role of inhibition, working memory, temporal organization, and use of strategies in the attainment of goal-directed behaviors (Fuster, 1997; Lyon & Krasnegor, 1996; Pennington & Ozonoff, 1996). Formal operational reasoning seems to reflect adequate frontal lobe development (Shute & Huertas, 1990). The ability to identify patterns among environmental stimuli and make accurate inferences from those patterns, described by Piaget as formal operational reasoning, is related to adequate frontal lobe development. The functions of the frontal lobes appear to reflect the systematic problem solving that is involved in formal operational thinking.

Executive function has been closely linked with emotion regulation, suggesting that the two functions are closely related, and perhaps both different aspects of the same frontal-subcortical circuits (Slattery, Garvey, & Swedo, 2001). Emotion regulation has been defined by Slattery et al. as the process by which children gain increasing control over affective and behavioral responses. Such processes of emotion regulation are closely linked to components of metacognition. Lezak (1995) and others have integrated cognitive and social/self-monitoring systems in the construct of "meta-cognition."

## Temporal Organization

Other significant theories regarding frontal functioning have been put forward. The temporal organization of behavior, speech, and reasoning has been considered, "the most general function of the lateral prefrontal cortex" (Fuster, 2002, p.99). The capacity to integrate information in the time domain is described by Fuster as the critical element in the representation and execution of goal directed actions. Fuster (1997) provided a theory of hierarchical organization of the function of the frontal lobes which suggests that the role of the frontal lobes is the temporal organization of behavior which is subserved by three secondary processes including the temporally retrospective functioning of short-term or working memory, the temporally prospective function of preparatory set, and inhibitory control. All three processes are not strictly speaking located in the frontal lobes, but all three need the prefrontal base to operate. The "executive role" of executive function is carried out by orchestrating the activity in the other neural structures that perform those three functions more directly. Fuster emphasized that working memory and preparatory set have opposite and symmetrical temporal perspectives that operate together in tandem through their respective neural substrates to mediate cross-temporal contingencies.

Hypotheses positing a role in temporal processing have long characterized theories about frontal lobe functioning. Luria (1966, 1969) argued for sequencing as a key aspect of frontal lobe functioning and included tasks of sequencing skill in his

clinical examinations. The frontal lobes do appear to be specialized for processing the temporal order and frequency of environmental stimuli (Grattan & Eslinger, 1991). Such processes have been examined on tasks requiring individuals to judge the recency and frequency of experimentally presented stimuli. In studies involving individuals with injury to the frontal lobes, impairment in recency discrimination was evident when presented with visual (Milner & Petrides, 1984), auditory (Lewinsohn, Zieler, Libet, Eyeberg, & Nielson, 1972), and tactile (Corkin, 1965) stimuli. Funahashi (2001) has provided support for this function of the frontal lobes by demonstrating the presence of extensive functional interactions among temporal information-storage processes.

Further theories regarding the role of the frontal lobes in the processing of such temporal information have included Tulving's (2002) idea of chronesthesia, a form of consciousness that allows individuals to think about the subjective time in which they live and that makes it possible for them to "mentally travel" in such time. This ability is closely related to such neurocognitive functions as remembering past happenings, thinking about the past, expecting, planning, and thinking about the future. Other ideas concerning the contributions of the anterior regions of the brain to the processing of temporal information have included Barkley's hypothesis that deficits in working memory, particularly in nonverbal or spatial working memory, should lead to deficits in one's subjective sense of time (Barkley, 1997). This is based on the hypothesis that retaining a sequence of events in working memory, and making comparisons among the events in the sequence, leads to a sense of temporal continuity (Brown, 1990; Michon & Jackson, 1984).

A number of these theories converge on the idea that the frontal lobes play a significant role in organizing thought and behavior over time. Certainly continued research in this area, with an emphasis on interdisciplinary collaboration, will provide elaboration and further insight into this important role of the frontal regions of the brain. A comprehensive examination of the role of the frontal lobes in such temporal domains is needed. For example, Stuss & Knight (2002) have proposed that Tulving's idea of mental time travel should be considered in the context of Fuster's temporal integration and contrasted with the different temporal domains considered in the workings of memory.

### Strengths and Weaknesses of Frontal Lobe Functioning Research

Research on frontal lobe functioning has provided insight into the complexity and diversity of cognitive abilities mediated by this region of the brain, as well as demonstrating the great influence of such functions on an individuals overall functioning. Unfortunately, research in the area of frontal lobe functioning, including explorations of executive function and temporal organization, have yielded a somewhat amorphous picture of these cognitive abilities. For example, there is no agreed upon unitary definition of executive function. In addition, the term "executive function" has often been confused with other cognitive processes, such as attention and memory, and used interchangeably with other similar concepts, such as self-regulation or other mental control processes (Eslinger, 1996). Executive function is a multidimensional construct encompassing varied processes and impacting behavior in complex ways. Similarly, descriptions of the frontal lobes' involvement in temporal or time related domains needs continued development. Certainly the integrative and organizational nature of frontal lobe functioning makes it inherently difficult to tease apart such abilities. Given that frontal functioning has been investigated from multiple perspectives, continued integration of neuropsychological, cognitive, behavioral, developmental, and neurophysiological perspectives should be sought. In addition, a focus on the adaptive value of such functions should be taken, as suggested by Barkley with his encouragement to take a broader, more functional look and evolutionary perspective of executive functions (2001).

#### Relation of Frontal Functioning to Behavior and Psychological Functioning

Frontal lobe functioning contributes significantly to overall psychological and behavioral functioning, and frontal lobe dysfunction has been implicated in several childhood disorders. In fact, deficits in executive function have been found to be typical of developmental disorders in general (Pennington et al., 1996). Research has specifically examined the extent to which executive function deficits may be implicated in specific disorders such as attention deficit hyperactivity disorder (ADHD), learning disabilities, autism, and conduct disorder. Attention-Deficit/Hyperactivity Disorder (ADHD) is one of the most common childhood disorders that have been linked to executive dysfunction (Chelune et al., 1986; Heilman, Voeller, & Nadeau, 1991; Mattes, 1980; Pennington & Ozonoff, 1996). Deficits in executive functioning also have been associated with higher levels of aggressive behavior and conduct disorder, as well as substance abuse (Dery, Toupin, Pauze, Mercier, & Fortin, 1999; Giancola, Martin, Tarter, Pelham, & Moss, 1996; Pennington & Ozonoff, 1996; Wiers, Gunning, & Sergeant, 1998). Others have suggested that children with learning disabilities demonstrate deficits on measures of frontal lobe functioning (Graham & Harris, 1993; Kelly, Best, & Kirk, 1989; Meltzer, 1993). Autism is another developmental disorder that has been studied widely in relation to executive dysfunction (e.g., Griffith, Pennington, Wehner, & Rogers, 1999). However, comorbidity with mental retardation, Tourette Syndrome, and ADHD often obscures the interpretation of executive function deficits identified in individuals with autism (Pennington & Ozonoff, 1996).

#### Development of Frontal Lobe Functioning

The acquisition of abilities thought to be mediated by the frontal lobes unfolds throughout childhood, serving to condition patterns of behavior for the rest of the brain. Development of the frontal regions of the brain is known to continue through late adolescence and into early adulthood, in contrast to the earlier maturation of other cortical regions. The developmental patterns of the frontal lobes are thought to involve a hierarchical, dynamic, and multistage process (Case, 1992; Thatcher; 1992). The developmental progression of performance on frontal-mediated tasks has been show to be stage-like with mastery of some tasks occurring between 6 and 8 years of age, and adult-level performance on other tasks occurring by the age of 12 or in the immediate postpubescent period (Passler et al., 1985). Further development of the frontally mediated executive functions may continue through age 16 (Riccio et al., 1994) with continued development through early adulthood (Golden, 1981). Research regarding the development of the nervous system, as well as research on the development of behavior, has resulted in a greater understanding of how the brain and behavior develop together. Such findings have shown complex developmental patterns, with many growth functions demonstrating nonlinear, dynamic patterns, rather than monotonic growth (Fischer & Rose, 1997).

### Physical Maturation of the Frontal Lobes

Neuroanatomical, neurophysiological, and neurochemical changes are involved in the continued development of the frontal lobes throughout adolescence and into adulthood (Eslinger, 1996; Sowell, Delis, Stiles, & Jernigan, 2001). At birth, the primary areas of the brain are developed including the connective apparati of the frontal lobes (Stuss, 1992). However, the secondary and tertiary systems involving learning, memory, emotion, cognition, language, and attention continue to develop beyond birth. Such changes appear to parallel the changes in cognitive abilities seen during adolescence (e.g., Gibson, 1991; Goldman-Rakic, 1987; Huttenlocher, 1994).

The structure and function of the prefrontal cortex changes significantly during the early childhood period (Espy, Kaufmann, Glisky, & McDiarmid, 2001). Such changes include the pruning of synaptic connections (Huttenlocher, 1979) and the maturation of subcortical prefrontal myelination (Kinney, Brody, Kloman, & Gilles, 1988). Low rates of cortical local cerebral metabolic rates for glucose (ICMRGlc) are observed in newborns and they continue to rise until exceeding adult levels at age 3, leveling of at this high level between ages 4 and 9, and declining thereafter, reaching adult values in the second decade of life (Chugani, 1994). The "sculpting" of the neuronal substrate through the selective elimination of excess connectivity results in a decline in local cerebral metabolic rates for glucose (ICMRGlc), which eventually results in more efficient information processing (Chugani, 1994). Additional changes involving a cycle of brain electrical signal development between the ages of 1 and 5 years have been identified using resting electroencephalogram (EEG) recordings which have demonstrated an increased coherence in electrical activity between the short distance anterior electrode recording sites, lengthened frontolateral connections that become synchronous prior to frontal dorsomedial and central sites in the left hemisphere, and lateral to medial differentiation of long-distance connections to shorter fibers in the right hemisphere (Thatcher, 1992). Thatcher has proposed that two cycles or "waves" of development may be identified, in which electrical activity in the frontal cortex is increasingly coordinated with electrical activity in other cortical systems in a dynamic fashion.

Continued changes occur as development proceeds into late childhood and adolescence (Davies & Rose, 1999). Morphological maturation of the prefrontal cortex is reached around puberty, but quantitative and qualitative changes may continue into later years (Stuss, 1992). It has been suggested that the pathways of the prefrontal lobes are among the last of all brain areas to fully myelinate with this process continuing up to about age 20 (St. James-Roberts, 1979). In addition, developmental changes in neuronal density and synaptogenesis of the frontal lobes have been reported throughout adolescence including a reduction in synaptic density (Huttenlocher & de Courten, 1987; Rakic, Bourgeois, Zecevic, Eckenhoff, & Goldman-Rakic, 1986). A decrease in cortical gray matter also occurs with accompanied increases in cerebrospinal fluid (CSF) within the sulci of the frontal regions (Jernigan, Trauner, Hesselink, & Tallal, 1991). Research findings also have suggested relatively stable brain volume with age-related changes in the gray and white matter components of the cerebrum between childhood and young adulthood (Caviness, Kennedy, Richelme, Rademacher, & Filipek, 1996; Giedd et al., 1996; Jernigan et al., 1991). Jernigan et al. (1991) found increases in cerebrospinal fluid (CSF) within the sulci of the frontal regions which accompanied grey matter decreases during adolescence; smaller reductions in volume also were observed in subcortical grey matter nuclei. Concurrent functional changes that occur during adolescence include a change in frequency and amplitude of electroencephalographic activity (Thatcher, Walker, & Giudice, 1987), a decrease in cerebral blood flow (Kennedy, Grave, Jehle, & Sokoloff, 1970) and a decrease in cortical metabolic rate (Chugani & Phelps, 1986).

An underlying factor that could regulate the development of brain and cognitive processes into the adolescent years is the increased secretion of gonadal hormones (Davies & Rose, 1999). There has been increasing evidence showing that gonadal steroid hormones have an organizing effect on neural mechanisms underlying cognitive functions (Bachevalier & Hagger, 1991; Kimura, 1992). In addition, changes in the regulation of neurotransmitter receptor synthesis and maintenance occur in the prefrontal cortex, including increases in dopamine and serotonin (Fuster, 1997; Goldman-Rakic & Brown, 1982) and modification in the biosynthesis of neurotransmitters and peptides occur during adolescence (Davies & Rose, 1999).

## Integrated Development of Frontal Lobe Functioning

Overall, the neuroanatomical, neurophysiological, and neurochemical studies that have examined frontal lobe development have provided converging support for a model of protracted frontal lobe development that parallels and likely provides a major neural substrate for acquiring the skills and knowledge necessary for higher cognition and social behavior (Grattan & Eslinger, 1991). These relatively late changes in brain morphology and physiology are likely related to children's maturing cognitive abilities during the same time period. The development of "frontal functions" may relate not only to anatomical/biochemical maturation of the frontal lobes but also to the integrative demands of tasks on multiple brain regions (Stuss, 1992). Functional development of abilities mediated by the frontal lobes may be considered a multistage process, with different functions maturing in different ways, at different times. The greatest period of development appears to occur at the 6- and 8-year old levels, with more moderate effects between the ages of 9 and 12 and performance approximating adult levels during adolescence and sometimes even into the early 20's, depending on task demands (Anderson et al., 2001; Chelune & Baer, 1986; Chelune et al., 1986; Korkman et al., 2001; Levin et al., 1991; Lin et al., 2000; Paniak et al., 1996; Passler et al., 1985; Welsh et al., 1991).

A hierarchical model of frontal lobe function has been proposed by Stuss (1992) which describes the progressive development of three levels of monitoring within the frontal lobes. At the first level, automatic and "overlearned" operations act upon sensory/perceptual input. Such actions comprise routine activities that are used repetitively. Executive and supervisory functions of the frontal lobe constitute the second level of processing. These functions synthesize information to organize goal-directed behavior. Self-reflection and the awareness of oneself and the environment,

represent the highest level of monitoring. These three levels of hierarchical function are hypothesized to reflect developmental stages of brain maturation. The sensory/perceptual and automatic processing is believed to reflect actions of the posterior and subcortical systems. Executive and supervisory functions are proposed to correlate with the development of connections between the frontal lobe and the limbic and posterior regions, whereas self-awareness is believed to reflect development of the prefrontal region.

#### Early Childhood

Rudiments of frontal functioning are present early in development including the behavioral development of self control and the capacity to regulate and voluntarily direct goal-oriented behavior in response to environmental contingencies (Welsh & Pennington, 1988). As measures sensitive to dorsolateral prefrontal function, the delayed response and the similar A-not-B tasks have provided insight into the early emergence of frontal functioning in infancy. Diamond and Goldman-Rakic (1989; Diamond, 1985; 1990; Diamond & Doar, 1989) demonstrated successful delayed response performance by 8-month old infants who were able to correctly retrieve objects in delayed response paradigms when delays were between 1 and 2 seconds. By 12 to 13 months of age, the infant could perform successfully at 10-second delays before making the classic 'A-not-B' error. The 'A-not-B' error occurs over two successive trials involving the first trial presentation of an object that is hidden and successfully retrieved by the child at location A. On the next trial, the object is hidden at location B within full view of the child, yet the child returns to location A to find the object. From approximately 7 ½ months to 11

months, an infant tends to search for the object in the place that was previous reinforced, rather than the most recent hiding place. Another infant behavior, object retrieval is believed to be localized to the frontal lobes. During this task, the goal object is placed within a plexiglass box and can be retrieved only if a reach along the line of sight is inhibited. A new plan must be initiated in which the reach finds its way to an opening on the side of the box. The task demands self-control and planning, but does not require short-term memory because the object is always in view. At  $6 \frac{1}{2}$  to 7 months, the human infants' reach for the goal object is completely guided by visual information and cannot be inhibited or flexibly modified (Diamond, 1985). However, the infant is able to complete task at 11 to 12 months of age.

#### Childhood

Between the ages of 5 and 10 years, a sequence of changes takes place in children's behavior which indicates a fundamental reorganization of their attentional, executive, and self-reflexive processes (Case, 1992). It has been suggested that it may be more difficult to identify deficient executive processes in younger children than in older children (Becker, Isaac, & Hynd, 1987; Chelune & Baer, 1986; Chelune et al., 1986; Levin et al., 1991; Passler et al., 1985; Riccio et al., 1994; Welsh et al., 1991). The interaction of simple task demands and immature executive functions in early development may make it difficult to observe such functions in their less mature form (Gioia, Isquith, & Guy, 2001). However, beginning in infancy, children begin to use processes included under the umbrella of frontal lobe functioning such as attentional control and future oriented intentional problem solving (Gioia et al., 2001). The period

between 18 months and 4 years seems to be a time when the emergence of certain executive functions, working memory, inhibitory processes can be observed on tasks such as visual search, radial maze test of working memory, and self-control paradigms (Welsh, 2002).

Between the ages of 5 and 8, basic cognitive abilities are demonstrated reliably in the areas of recognition memory, concept formation, set-shifting, and rudimentary planning skills (Luciana & Nelson, 1998). By age 10, the ability to inhibit attention to irrelevant stimuli and perseveratory responses is fairly complete with mastery evident by age 12 (Passler et al., 1985). There is consistent evidence that executive functions of inhibition and flexibility mature between age 10 and 12 and performance on verbal working memory tests mature in this same age range (Welsh, 2002). Chelune and Baer (1986) found that performance on the Wisconsin Card Sorting Test (WCST; Heaton, Chelune, Talley, Kay, & Curtiss, 1993), a commonly used measure of frontal lobe functioning, improved most between ages 6 and 8 years, with no significant changes after age 10. Welsh et al. (1991) found similar results with adult level performance obtained on a visual search task at 5 years of age, the three-disc version of the Tower of Hanoi (TOH) at age 6, and the WCST at age 10. During the period from 5 to 7 years of age, Welsh et al. (1991) documented rapid advances in systematic problem solving. A 9- to 12-year age group showed increases in performance on the California Verbal Learning Test-Children's Version (CVLT-C) and the Tower of London test. Findings of a study by Klenberg, Korkman, Lahti-Nuuttila (2001), which examined differences in the development of attention and executive processes in four hundred 3 - 12 year olds,

indicated that at age 6, children had maturing abilities to inhibit responses, and at age 10 children demonstrated improved auditory and visual attention. Flexibility and monitoring are believed to be developed by late childhood (Anderson et al., 2001). Goal setting also was shown to display a developmental increase around age 12 (Anderson et al., 2001).

An important consideration in regards to the development of executive and frontal functioning is the fact that such functions are intertwined with the development of interacting systems including memory, language, emotions, and attention (Gioia et al., 2001). Development of attention during this same period likely contributes to increased frontal functioning. It has been shown that children show a maturationally based increase in attentional capacity from 1 to 4 units during the period from 4 to 10 years of age, with this increase acting to energize and constrain the novel behavior they exhibit (Case, 1992). More specifically, this developmental trend was demonstrated by children's performance on counting and spatial span tasks. The developmental progression was characterized by a linear increase from 1 to 3 units for the age range from 4 to 7 years, a deceleration at about the age of 8 years and an asymptote which began at about the age of 10 or 11 years.

#### Adolescence

A number of skills mediated by the frontal lobes show a protracted period of development beyond age 12. Planning, visual working memory, the coordination of working memory and inhibition, verbal fluency, and motor sequencing are among such abilities showing continued development well into adolescence (Anderson et al., 2001; Klenberg et al., 2001; Levin, et al., 1991; Lin et al., 2000; Paniak et al., 1996; Welsh et al., 1991). In contrast to the findings by Chelune and Baer (1986) that suggested that there were no significant changes on WCST performance after the age of 10, more recent findings have suggested a more protracted developmental course which continues well into adolescence with performance leveling off around age 20 (Heaton et al., 1993; Lin et al., 2000; Paniak et al., 1996). Performance on a four-disc version of the Tower of Hanoi, verbal fluency, and a motor sequencing task had not reached adult levels by 12 years of age in a study conducted by Welsh et al. (1991). In the same study, increases in performance on the California Verbal Learning Test – Children's Version and the Tower of London were noted in a 13 to 15 years of age group. Furthermore, into adolescence, continuing improvements are made in verbal and visuomotor fluency indicating improved strategy usage (Klenberg et al., 2001). Attentional control and processing speed also have indicated gradual development through adolescence with a significant increase in development around the age of 15 years (Anderson et al., 2001). Major gains in adolescents similarly have been noted on several measures involving the organization of memory (Levin et al., 1991). The capacity to cluster responses on the CVLT, a response pattern which Levin et al. suggested reflected sensitivity to semantic features, increased in adolescents relative to the 7- to 8-year-olds. In comparison with 9- to 12year olds, adolescents also exhibited increased productivity in generating words or inventing designs in accord with rules. Continued development of executive functions into early adulthood has been indicated with functional gains found in the efficiency of working memory capacity, planning, and problem-solving abilities evident not only

between the ages of 15 and 19 years, but again throughout the 20 to 29 age period (De Luca et al., 2003).

## Strengths and Weaknesses of Developmental Research

Developmental research on frontal lobe functioning has begun to provide a picture of a complex and protracted course of development with early spurts in executive abilities beginning as young as 12 months of age, with the majority of functions beginning to develop around the age of 8 and continuing into adolescence, and with some evidence suggesting continued development into early adulthood. Because frontal functions include a number of diverse cognitive abilities, the development of frontal functioning may in fact be represented by different developmental trajectories. Despite the hypothesis that the development of frontal functioning occurs throughout adolescence and into early adulthood, research documenting such continued development is limited. This may, in part, be due to the presence of ceiling effects, characterizing many of the common measures of frontal functioning. Further examination of the hypothesized protracted course frontal functioning development into early adulthood is necessary to better document such continued maturation. The integrative nature of the frontal lobes adds another difficulty evident in the developmental research of frontal functioning. For example, although improvements in executive performance are evident throughout adolescence and potentially into early adulthood, such improvements may be the result of one or multiple factors including improved strategic development, superior inhibitory control, mastery of temporal integration, or increased processing efficiency. Continued research is needed to help

better understand the developmental timetable in the functional connectivity between the prefrontal cortex and other neural regions in which it is interconnected.

## Developmental Gender Differences in Frontal Lobe Functioning

In considering the developmental trajectory of frontal lobe functioning, the question arises regarding whether or not females and males display similar patterns of development. The frontal lobes have been shown to exhibit morphological gender differences and asymmetries including a more pronounced protrusion of the right frontal pole over the left frontal pole in males and a cortical thickness of similar size in the right versus left frontal lobes in females, but differing in males (Goldberg, 2001). In addition, biochemical differences have been found including a symmetrical distribution of estrogen receptors across the frontal lobes in females and an asymmetrical distribution in males (Glick, Ross, & Hough, 1982). Given such differences, there certainly exists the possibility that the frontal lobes are functionally different in males and females and that development occurs at different rates. The research on gender differences in frontal lobe functioning has not yielded consistent results, and continued efforts in this area are needed. Although little is known about the possible developmental differences in frontal lobe functioning related to gender, the existence of a possible gender crossover in selected executive functions occurring around ages 12 or 13 has been suggested, with girls becoming more effective than boys on a range of tasks including subtests of inhibition, more complex tasks of selective attention, and verbal fluency tasks (Anderson et al., 2001; Klenberg et al., 2001). Although some studies have found a gender difference favoring girls, some of this discrepancy has been attributed to increased

verbal skills. On executive function tasks of a more visuo-spatial nature, it was found that males consistently outperformed females (De Luca et al., 2003).

## Frontal Lobe Involvement in Memory

The organizational and strategic nature of frontal lobe functioning affects memory processes by enhancing the organization of to-be-remembered information (Moscovitch, 1992). Memory is the capability to acquire, retain, and use knowledge and skills; however, within this broad definition exist many diverse forms of memory and a broad array of memory processes. The term "memory" is really too vague to be very useful in clinical and scientific analyses of memory's many manifestations (Wheeler, Stuss, & Tulving, 1995). Memory does involve many regions of the brain and certain regions of the brain are much more important for some types of memory than for others. Although the results of many studies do not encourage the view that restricted frontal lobe lesions are sufficient to produce classical amnestic syndromes, there are specific memory systems presumed to be based on anterior cerebral structures including working memory, the temporal organization of memory, and source memory (Schacter, 1987).

Focal lesion studies have demonstrated the importance of the frontal lobes on retrieval tasks in which monitoring, verification, and placement of information in temporal and spatial contexts are of critical importance (Milner, Petrides, & Smith, 1985). Similarly, frontal lobe damage has been associated with deficits in the memory for the temporal ordering of events (Kesner, Hopkins, & Fineman, 1994; McAndrews & Milner, 1991; Milner, Corsi, & Leonard, 1991). Other specific memory impairments associated with frontal lobe damage include a failure to show normal release from proactive interference in category shift paradigms (Cermak, Butters, & Moreines, 1974), impaired free recall of words (Incisa della Rocchetta, 1986), and impaired recall of remotely learned information (Mangels, Gershberg, Shimamura, & Knight, 1996). In a meta-analysis of the relation between the frontal lobes and memory as measured by tests of recognition, cued recall, and free recall, it was found that contrary to popular belief, there is strong evidence that frontal damage disrupts performance on all three types of tests, with the greatest impairment in free recall, and the smallest in recognition (Wheeler et al., 1995). Some have viewed the memory impairment associated with frontal lobe dysfunction as secondary to other cognitive disorders, such as deficits in attention, inferential reasoning, and cognitive mediation, whereas others have viewed the memory impairment as a primary deficit in frontal lobe mechanisms (Shimamura, 1995).

The frontal lobes' involvement in memory tends to be associated with executive functions and organizational abilities, while medial temporal regions (e.g., hippocampus) are thought to mediate memory encoding functions. New learning is preserved in patients with frontal lobe lesions, in contrast to the severe learning impairment associated with lesions involving the medial temporal lobe or diencephalic midline (e.g., thalamic nuclei); such lesions produce organic amnesia, in which patients have difficulty remembering information and events that occur after the onset of amnesia (Shimamura, 1995). Patients with frontal lobe deficits are typically not impaired on cued recall or recognition memory, both of which rely primarily on effective storage and consolidation of declarative information (Pennington et al., 1996). Instead, memory disorders

following frontal lesions are associated with impaired organizational and strategic processes (Moscovitch, 1992).

The frontal lobes are organizational structures that are critical for selecting and implementing encoding strategies that organize the input to the hippocampal component and the output from it, determining its correct temporal sequence and spatial context with respect to other events and for using the resulting information either to guide further mnemonic searches, to direct thought, or to plan future action (Moscovitch, 1992). Thus, it has been stated that the frontal lobes are necessary for converting information to-be-remembered from a reflexive, noneffortful act triggered by a cue to a reflective goal-directed activity that is under voluntary control (Moscovitch, 1992). In studies that have found an association between frontal lobe lesions and impaired recall of words, such deficits could be overcome when the material was presented in a preorganized fashion and when appropriate retrieval cues were supplied (Incisa della Rocchetta & Milner, 1993). These findings were consistent with the hypothesis that frontal-lobe lesions result in deficits in situations where retrieval requires deliberate and strategic effort. Furthermore, in adults with prefrontal dysfunction related to dopamine dysregulation, deficits have been observed in semantic clustering and learning, but retention of information over a period of delay, which is largely mediated by medial temporal structures of the brain, remains relatively intact (Daum et al., 1995; Massman, Delis, Butters, Levin, & Salmon, 1990; Taylor, Saint-Cyr, & Lang, 1990). Similarly, patients with either left or right frontal lobe lesions display deficits in the categorization of pictures, suggesting an impairment in organizing ability and planning (Incisa della

Rocchetta, 1986). Less use of semantic clustering and poor learning across trials, but intact retention of previously encoded information were found in children 11 years of age or older with phenylketonuria (PKU), a disorder commonly associated with deficits in executive functioning (White, Nortz, Mandernach, Huntington, & Steiner, 2001). Such a finding was not found for children with PKU in a younger group (less than 11 years of age), but the researchers hypothesized that this was expected because the use of higher order organizational learning and memory strategies does not typically develop until 10 or 11 years of age; frontal lobe functioning increases in importance with age as well and seems to be more crucial to organizational behavior in postpubescent individuals.

Some of the earliest findings concerning the effects of frontal lobe lesions resulted from primate studies involving delayed response and delayed alternation tasks. Impairment in such tasks resulted after bilateral excision of the frontal cortex (Jacobsen, 1935; Jacobsen & Nissen, 1937). During these tasks, the animal is confronted with two identically covered food-wells and must choose either the left-hand one or the right, on the basis of information received a few seconds before. In delayed response, the predelay cue is the sight of one food well being baited before both wells are screened from view. During delayed alternation, the animal must avoid the location that was correct on the previous trial. In both of the above cases, the animal must respond on the basis of the most recent information. Early research using such techniques demonstrated that monkeys with extensive bilateral frontal lesions perform poorly on the delayed response tasks and on both spatial and object alternation tasks (Jacobsen, 1935; Malmo, 1942; Mishkin & Pribram, 1955; 1956). Several studies have shown that the capacity for short-term spatial memory is critical to success on delayed response and delayed alternation tasks (Goldman, 1971; Mishkin & Manning, 1978).

### Endoding and Retrieval

Further support for the significant role played by the prefrontal cortex in encoding and retrieval memory processes has been provided by findings from neuroimaging studies (Buckner & Petersen, 1996; Kapur et al, 1994; Nyberg, Cabeza, & Tulving, 1996; Tulving, Kapur, Craik, Moscovitch, & Houle, 1994). Tulving and colleagues (1994) found left frontal activation is primarily associated with memory encoding (which may be a sequential processing advantage), and right frontal lobe activation is primarily associated with retrieval of episodic memories (possibly representing a simultaneous processing advantage); based upon such data, the researchers proposed a hemispheric encoding-retrieval asymmetry (HERA) model of memory. Tulving and colleagues also found that relative to shallower encoding, deeper processing was accompanied by a prominent left prefrontal activation and resulted in higher recognition of studied material. Functional neuroimaging studies of episodic memory consistently report an association between memory encoding operations and left prefrontal cortex activation with encoding-related activation being described in dorsolateral, ventrolateral, and anterior prefrontal regions. Further findings indicate that a key function of the left dorsolateral prefrontal cortex in encoding relates specifically to the use of executive processes necessary for the creation of an organizational structure; whereas, activity in more ventral and anterior left prefrontal cortex regions appear to

reflect a less specific component of episodic memory encoding (Fletcher, Shallice, & Dolan, 1998). Storage of verbal material into episodic memory also has activated this area, demonstrating an association between semantic processing, higher subsequent memory performance, and increased activity in the left inferior prefrontal cortex (Kapur et al., 1994).

In several studies using positron emission tomography (PET), strong right hemisphere frontal activations were evident during effortful retrieval of recently studies material (e.g., Kapur et al., 1995, Nyberg et al., 1995; Tulving et al., 1994). Fletcher, Shallice, Frith, Frackowiak, and Dolan, (1998) found similar results with activation of the right prefrontal region during retrieval of information from episodic memory no matter whether stimuli was verbal or spatial in nature, or auditorally or visually presented. Fletcher et al.'s findings suggested that the dorsal region showed greater activation when monitoring demands were emphasized, while the ventral region showed greater activation when external cueing was emphasized, thus providing evidence for the functional specialization of the right prefrontal cortex for discrete cognitive processes during episodic memory retrieval. An area in the left-inferior prefrontal cortex also has been observed to be active across a wide range of tasks requiring an individual to retrieve words or information about words from semantic memory (Buckner & Petersen, 1996). Neuroimaging studies have shown that the left inferior prefrontal cortex is active during semantic retrieval of words and it has been suggested that areas within this region might be used to access and maintain a representation of words during their retrieval (Buckner & Petersen, 1996). The studies conducted by Buckner and Petersen suggested

that left prefrontal areas are used during more elaborate forms of production when words must be generated in a non-automatic or internally guided fashion. Some criticism, however, has been received regarding PET methodology's limitation in determining exactly what aspects of encoding and retrieval are reflected in prefrontal activation (McDonald, Bauer, Grande, Gilmore, & Roper, 2001). Because encoding and retrieval processes are complex and can be further analyzed into more specific components, it is not known what aspects of encoding and retrieval are reflected in left and right prefrontal activations. Certainly, the role of the prefrontal lobes in conscious awareness and in attentional, supervisory, executive, and strategic function may contribute to the contributions in encoding and retrieval. For example, efficient monitoring and control likely facilitate the processing of memory activation, both at the time of encoding and retrieval (Shimamura, 2002).

Studies of individuals with frontal lobe damage have yielded results providing further insight of the role of the frontal lobes in memory processes. Research has suggested that frontal lobe dysfunction is associated with impaired free recall of words (Incisa della Rocchetta, 1986; Incisa della Rocchetta & Milner, 1993), despite intact ability to recall elements from prose passages. Incisa della Rocchetta (1986) found that patients with either left or right frontal-lobe lesions were impaired in recalling the names of the objects represented in a set of pictures that they had previously attempted to group into taxonomic categories. Both left and right frontal lobe lesions were associated with deficits in sorting the pictures, but, whereas the recall deficit of the patients with rightsided lesions seemed to be mainly related to their impairment in categorization, the patients with left frontal-lobe excisions were impaired in recall, irrespective of whether or not the items previously had been sorted correctly suggesting that left frontal-lobe lesions disrupt retrieval processes in addition to categorization. Jetter, Poser, Feeman, and Markowitsch (1986) similarly found impairment in free recall of words from lists in patients with frontal lobe lesions as did Janowsky, Shimamura, Kritchevsky and Squire (1989) when the words were unrelated. However, despite the impairment in free recall, subsequent cued recall and yes-no recognition of words from the same list were unimpaired (Janowsky, Shimamura, Kritchevsky et al., 1989; Jetter et al., 1986). Such results possibly suggest that retrieval processes are affected by frontal lobe lesions to a greater degree than storage processes (Incisa della Rocchetta & Milner, 1993).

### Working Memory

Another component of memory commonly associated with the frontal regions of the brain is working memory. Working memory has been described as the maintenance of transient information over brief temporal intervals to direct future-oriented activity (Roberts, Hager, & Heron, 1994). Working memory commonly is characterized as a system of memory stores which include a limited-capacity central executive and two slave subsystems that have been referred to as the articulatory loop and the visuospatial scratchpad (Baddeley, 1992; Baddeley & Hitch, 1974). Other definitions of working memory have been proposed. Pennington (1994) defined working memory as a "limited capacity computational arena" (p. 248) that allows an individual to hold temporarily online constraints relevant to the current context so that the interaction of those constraints can lead to adaptation and the selection of actions. The concept of working memory has been linked closely to executive function. It has been proposed that working memory processes observed in the prefrontal cortex, especially the neuronal mechanisms for the temporary storage of information and dynamic and flexible interactions among them, can explain how the prefrontal cortex exerts executive control (Funahashi, 2001).

Working memory has been regarded as an important component of, or prerequisite for, planning, selection of actions, and action regulation; all these functions depend on the ability to process information actively in working memory. Multiple theoretical definitions of working memory in relation to executive function have been described including being one component of some of the executive functions (Lehto, 1996), a core process of the executive functions (Roberts & Pennington, 1996), or as a multifunctional unit that includes a central executive function responsible for the control and regulation of cognitive processes (Baddeley, 2001). Individuals with frontal lobe damage often demonstrate the component processes necessary for working memory including intact recognition memory, sensory perception, and motor skills, but they lack the cognitive resources to organize, monitor, and/or strategize their behavioral actions to integrate the present environmental context with future outcomes (Luciana & Nelson, 1998).

Early studies initially suggested that patients with frontal lesions performed more poorly than the nonfrontal controls on both auditory and visual short-term memory tasks (Lewinsohn et al., 1972). Similarly, Petrides and Milner (1982), using self-ordered tasks requiring the organization of a sequence of pointing responses, two verbal and two nonverbal, found patients with excisions from the left frontal lobe exhibited significant

37

impairments on all four tasks. Patients with excisions from the right frontal lobe showed deficits only on the two nonverbal tasks. Individuals with temporal-lobe lesions that involved little damage to the hippocampal complex were unimpaired on all tasks, whereas those with more radical hippocampal excision exhibited material-specific deficits that varied with the side of the lesion. These self-ordered tasks require the individual to organize and carry out a sequence of responses and thus, the self-ordered test makes considerable demands on an active, working memory (Petrides & Milner, 1982). The deficits on self-ordered tests by individuals with frontal-lobe excisions can be attributed to poor organization strategies, attentional deficits, or poor monitoring of responses. Upon questioning patients with frontal lobe lesions about their approach used to complete the task, Petrides and Milner found that the frontal lobe patients were less likely than other participants to report that they had used a particular strategy and if they had used a strategy, it was likely ill-defined and less consistently used.

### Failure to Release from Proactive Interference

Other research examining memory deficits in patients with frontal lobe damage has demonstrated difficulties releasing from proactive interference resulting in the inability to recall more recent events due to interference from the memory of earlier events (Cermak et al., 1974; McDonald et al., 2001; Moscovitch, 1992). Proactive interference plays a significant role in one's ability to recall information and it affects one's performance on such tasks as a memory span test (May, Hasher, & Kane, 1999). In fact, it has been suggested that working memory span tasks may measure the ability to reduce the competition or interference from items presented on previous trials, whereby an individual retrieves only the most recently presented set (Lustig, May, & Hasher, 2001). Increased proactive interference is likely related to the general deficit in inhibiting irrelevant information that appears to be indicative of many aspects of frontal lobe dysfunction (Shimamura, 1995). Proactive interference effects likely contribute to the impairment in the ability to encode or register semantic information exhibited by patients with frontal lobe lesions. Proactive interference effects also have been demonstrated by individuals with frontal lobe epilepsy as well as individuals with amnesia associated with the extensive frontal lobe involvement of Korsakoff's syndrome (Butters & Cermak, 1974).

### Source Memory

An additional relationship between memory and frontal lobe functioning involves source memory. Source memory involves the contextual factors associated with learning, such as where and when information was presented. A relationship has been documented between performance on tasks of frontal lobe function and source memory in neurological patients as well as normal controls (Schacter, Harbluk, & McLachlan, 1984). Furthermore, neuroimaging studies have suggested that the left prefrontal cortex is particularly active during the retrieval of source information (Rybash & Colilla, 1994). In addition, significant impairment in source memory ability is evident in individuals with frontal lobe lesions (Janowsky, Shimamura, & Squire, 1989). Similarly, the incidence of source errors in children is related to their performance on other measures of frontal lobe functioning independent of their age and general memory (Rugg, Fletcher, Chua, & Dolan, 1999). It has been suggested that disorders of source memory may be mediated by the impairment of memory for spatial-temporal context observed in patients with frontal lobe lesions (Shimamura, Janowsky, & Squire, 1991).

# Sequential Memory

Another contextual component of memory, believed to be mediated by the frontal lobes, is the encoding and representation of temporal information. Most broadly speaking this involves the assigning of a time tag to stimulus events. An essential component of memory that involves this temporal organization of memory is sequential ordering. Sequential ordering within memory is one function that has been described within a broader domain of frontal functioning, temporal processing (Stuss & Knight, 2002). Sequential memory has been equated with memory for temporal order (Ardila & Rosselli, 1994) and includes the ability to judge which stimuli were seen most recently or to recreate the order in which stimuli were presented. It has been suggested that a breakdown in the temporal organization of memory system leads to an inability to order actions in appropriate temporal sequences, which in turn, leads to difficulty with planning, goal-directed behavior, and sequencing (Raskin, 2000).

Initial conceptualization of frontal lobes' involvement in temporal domains of memory

Early hypotheses regarding this role of the frontal lobes in memory were proposed by Milner (1968) based upon the findings of a study conducted by Prisko (1963) that used a modification of Konorski's delayed paired-comparison technique (Konorski, 1959). Two easily discriminable stimuli in the same sensory modality were presented in succession, 60 seconds apart. The participants had to identify whether the second stimulus was the same as or different from the first. The patients with frontallobe lesions, unlike the temporal-lobe groups, were impaired on those versions of the task in which a few stimuli recurred in different pairings throughout the test. However, they made virtually no errors on the one task in which new stimuli were used on each trial. Such findings led Milner to propose that frontal lobe lesions might interfere with the ability to structure and segregate events in memory, and thus, in a situation lacking strong contextual cues, patients with such lesions would be less able than normal subjects to give salience to a stimulus that had been presented 60 seconds ago over one that had appeared earlier in the same series of trials (Milner, 1968).

Additional support for the role of the prefrontal cortex in the temporal organization of memory was gained from the interpretation of the impairments displayed in delayed-response and delayed-alternation tasks that are associated with frontal lobe damage. Although several studies have shown that the capacity for short-term spatial memory is critical to success on delayed-response and delayed-alternation tasks (Bjork & Cummings, 1984; Goldman, 1971; Mishkin & Manning, 1978), others have emphasized the tasks' requirement for adequate registration and retention of temporal information (McAndrews & Milner, 1991; Milner, 1995; Pribram & Tubbs, 1967). Such a conclusion was based on the fact that the same two events and possible choices occur repeatedly, and the animal must remember which event occurred on the most recent trial in order to respond correctly (McAndrews & Milner, 1991). On both tasks the correct location varies from trial to trial and thus, the animal must be able to suppress the potentially interfering memory of earlier trials and respond on the basis of the most recent information.

Further research continued to support a major involvement of the frontal cortex in various aspects of the temporal organization of memory, much of which emerged from the study of patients who had sustained a unilateral frontal lobe excision for the control of cerebral seizures. The prefrontal cortex has been shown to participate in monitoring and remembering temporal order of contextually similar events as well as being involved in the planning and monitoring of the execution of self-determined sequences of responses (McAndrews & Milner, 1991; Milner, 1971; Milner et al., 1985). In a study conducted by McAndrews and Milner (1991) it was found that both left and right frontal lobe groups were impaired on order judgments for named items. Furthermore, lesions in the mid-dorsolateral frontal cortex were associated with impaired verbal recency judgments, whereas neither left nor right anterior-temporal lobectomy affected such judgments (Milner et al., 1991). Similar results were provided by a study conducted by Petrides (1991) which demonstrated that the primate mid-dorsolateral frontal cortex is a critical component of a neural circuit underlying the monitoring of the serial order of stimuli. The group with mid-dorsolateral lesions performed close to the level expected by chance when the serial order judgments involved stimuli that had occupied middle positions in the presentation sequence. The ordering deficit on temporal memory tasks also is seen when individuals with frontal impairment recount wellrehearsed scripts' of daily life situations (Godbout & Doyon, 1995) and in reconstructing a motor sequence (Kolb & Milner, 1981).

#### Lateralization associated with sequential memory

Research studies have utilized stimuli of different modalities to demonstrate some degree of lateralization associated with memory for temporal order. Kesner et al. (1994) found that relative to controls, the individuals with prefrontal cortex damages were not impaired for spatial location recognition memory, but were slightly impaired for spatial order recognition memory. Specifically, right and bilateral prefrontal cortex groups performed worse than the left prefrontal cortex on the order recognition task. In the same study, using verbal stimuli, results indicated that relative to controls, individuals with prefrontal cortex damage were not impaired for word recognition memory, but they were impaired for word order recognition memory. Other analyses suggested that the bilateral prefrontal cortex damaged group performed worse than the right or left prefrontal cortex damaged groups. When memory for abstract pictures was examined, data were consistent and suggested no impairment for recognition memory, but impairment for abstract pictures (order) recognition memory amongst the individuals with prefrontal cortex damage. Similar findings were found using memory for hand positions. Overall, a certain degree of lateralization was present in Kesner et al.'s study in that patients with right prefrontal cortex damage showed an item-order dissociation for words, spatial locations, and abstract pictures, whereas patients with left prefrontal cortex damage showed an item-order dissociation only for words and abstract pictures.

# Impairment in sequential memory in clinical groups

Additional evidence regarding the involvement of the prefrontal cortex in the coding of temporal sequence, order or succession in memory has come from clinical

groups that are commonly associated with frontal lobe dysfunction. Patients with Korsakoff's syndrome, like other individuals with amnesia are impaired on many standard tests of memory; yet they also have a disproportionately large impairment on tests of temporal order memory (Meudell, Mayes, Ostergaard, & Pickering, 1985; Shimamura, Janowsky, & Squire, 1990). In Korsakoff's syndrome, damage typically involves the dorsal medial nucleus of the thalamus and atrophy of the frontal lobes (Joseph, 1996). Shimamura et al. (1990) examined temporal order of memory in patients with frontal lobe lesions, amnesic patients with Korsakoff's syndrome, other non-Korsakoff amnesic patients, and control participants by presenting a list of 15 words and asking the individuals to reproduce the list order from a random array of the words; in addition, the participants were asked to place in chronological order 15 public events that had occurred between 1941 and 1985. Patients with frontal lobe lesions had particular difficulty remembering the sequential order of the words in the list and the patients with Korsakoff's syndrome were quite impaired relative to the individuals with amnesia not associated with Korsakoff's. However the difference was not significant; the researchers hypothesized that the failure to find a significant difference between the two amnesic groups was due largely to one patient with Korsakoff's syndrome who performed quite well. In addition, patients with Korsakoff's syndrome were markedly impaired when asked to arrange facts in chronological order. It was suggested that performance on the fact sequencing test might be mediated in part by semantic associations, which would likely be more elaborate than the semantic associations for recently presented words.

#### *Theories regarding underlying mechanisms of sequential memory*

Several different theories have been proposed providing possible explanations for deficits observed on tasks requiring memory for temporal order. Although the presence of a temporal ordering deficit in patients with frontal lobe dysfunction is fairly well documented, the process that accounts for this deficit is unclear (McDonald et al., 2001). Pribram and colleagues (Pribram, Plotkin, Anderson, & Leong, 1977; Pribram, & Tubbs, 1967) have proposed that deficits on delayed alternation reflect a failure to parse or segment the ongoing stream of experience into discrete "temporal moments" and similarly argued that the temporal characteristics of the delayed-alternation task constitute the main source of difficulty for monkeys with dorsolateral frontal-lobe lesions. Such a theory was based upon studies that showed monkeys with dorsolateral frontal-lobe excisions were unimpaired when the experimenter imposed external "temporal landmarks" by asymmetrically manipulating the duration of the delay period between trials. Milner has suggested (Milner, 1971; Milner et al., 1985) that frontal-lobe damage might compromise encoding or retrieval of "time tags" hypothesized to be laid down as part of the mnemonic record of experienced events. This idea was first proposed by Yntema and Trask (1963) who suggested that memory may be assumed to contain items of information, each of which bears a number of tags that describe it and show how it is related to other items in memory. Included among these are time tags, which can be used to determine which of a series of stimuli occurred more recently. Nairne (1990) provided support for the theory that effortful, intentional encoding and search, as well as relatively automatic "time-tagging" processes are involved in memory

for temporal order through a study involving long-term recall of order when participants were not expecting a memory test. Such results suggested that temporal information was encoded relatively automatically.

Ramsay and Reynolds (1995), in an extensive review of the clinical literature on forward and backward recall, found that forward recall of digits and other sequential material were more impaired among persons with left hemisphere and frontal lesions relative to backward recall. Forward and backward recall, even though order is crucial to both, apparently invoke different strategies for encoding and recall. Individuals with posterior and right hemisphere lesions tend to perform more poorly on backward recall relative to forward recall, suggesting a spatial or visualization strategy is involved. Based on such findings, it has been concluded that scores from forward and backward recall should not be combined (Reynolds, 1997). Strategy development and subsequent information processing strategies are likely to be more salient than the stimulus presentation in determining functional specialization, i.e., brain function is organized along the lines of process specificity and not stimulus specificity.

Other theories have emphasized that sequencing of memory is a specific component of a broader deficit. Schacter (1987) has suggested that deficits are associated with an impairment in automatic encoding of spatiotemporal information. Such a role is consistent with the frontal lobes' involvement in spatiotemporal context. The role of active strategies and reconstruction in memory for temporal order also has been proposed (Michon & Jackson, 1984; Moscovitch, 1989; Winograd & Soloway, 1985). It has been suggested that the impairment of temporal order memory in patients with frontal lobe lesions may be part of a broader deficit in the ability to organize and retrieve information (Shimamura et al., 1990). It is possible that a deficit in temporal order memory, such as that observed in patients with frontal lobe lesions is related to other cognitive deficits, such as deficits in planning, problem solving, metamemory, verbal fluency, and cognitive estimation (Shimamura et al., 1990).

### Sequential memory and its relation to working memory

The relationship between the temporal order involved in memory and working memory have been discussed. Pennington et al. (1996) suggest that the tasks in which patients with frontal lobe deficits have shown impairment on including tasks for temporal order, source memory, and free-recall are tasks place strong demands on working memory because they allow an individual to access, organize, and manipulate memories. Impairment in serial order does represent an inability to monitor flexible sequences of events that may change from trial to trial (Petrides, 1991). Case (1992) has suggested that the role of working memory is the maintenance of a temporally ordered sequence of information while inhibiting the intrusion of potentially competing sequences of information. Case proposed that tests of working memory should include three specific requirements: execution of a repetitive pattern of operations, storage of the products of these operations in the face of interfering stimuli, and the output of these products in a precise sequence. It has been proposed that the mid-dorsolateral frontal cortex constitutes a specialized neural network for the on-line maintenance and monitoring of precise cognitive presentations of intended acts, as well as of the order in which events or actions are occurring or can be made to occur (Petrides, 1991), and such specific functional contributions of the mid-dorsolateral frontal cortical areas which are well developed in the primate brain, give rise to a considerable capacity for planning.

### Relation of sequential memory to behavior and psychological functioning

An important component of frontal functioning that significantly contributes to learning is sequential memory. The accurate representation of temporal order is crucial for both perceptual and motor functions whether it be in comprehending a sentence or playing a musical instrument. The serial order of information often must be transiently kept in working memory before being translated to motor output such as when looking up a telephone number and dialing the individual digits in the proper order. Similarly, when recalling something, it is important not only to recall what happened, but when it happened. Memory for temporal order has been found to be sensitive to different pathological groups (Vakil & Blachstein, 1994). For example, auditory sequential memory impairments have been shown to be present in individuals with a reading disability (Howes, Bigler, Lawson, & Burlingame, 1999; Siegel, 1994). Furthermore, there have been consistent research findings suggesting individuals with reading disabilities have difficulty recalling sequences of alpha-numeric stimuli presented in an auditory-verbal format (Shapiro, Nix, & Foster, 1990; Waldron & Saphire, 1990; Watson & Willows, 1995). In validity studies performed during the standardization of the Test of Memory and Learning (TOMAL; Reynolds & Bigler, 1994a), it was found that a sample of children and adolescents with learning disabilities, although scoring significantly below the standardization sample mean on all subtests but one, displayed the worse performance on a measure of attention and concentration, with performance

nearly as low on the measure of sequential recall (Reynolds & Bigler, 1994b). Although these two scales overlap in content, both constructs often are thought to be impaired in children with learning disabilities.

It also has been shown that children and adolescents with ADHD perform significantly worse than controls on measures of sequential memory (August & Garfinkel, 1990). Similar results were obtained in a study conducted by Gorenstein, Mammato, and Sandy (1989) that found that children who displayed inattentive and overactive behaviors exhibited deficits on a sequential memory task. However, results have been equivocal as Chelune, Ferguson, Koon, & Dickey (1986) did not find differences on sequencing processing tasks between children with ADHD and controls. The presence of such deficits have been investigated in other disorders including in a study by Lueger & Gill (1990) that found adolescents with conduct disorder displayed impaired sequencing on memory and motor tasks. Continued research is needed to better delineate the relationship between sequential memory ability and learning, as well as its relationship with different developmental disorders and overall functioning.

Development of Memory in Relation to Frontal Lobe Maturation

Overall, there is evidence that frontal lobe maturation is specifically related to improving memory functioning (Sowell et al., 2001). The maturation of the prefrontal cortex underlies an increase in efficiency of executive control which in turn facilitates memory and learning. The association between executive function and memory makes the two difficult to separate. It has been hypothesized that prefrontal maturation underlies an increase in the efficiency of executive control (Dempster, 1992). Learning and the complex phenomenon of being able to acquire new skills and knowledge and the requisite memory processes are inextricably linked with executive functions (Schneider & Pressley, 1997). It has been proposed that the development of hippocampally-based recognition memory skills and the prefrontal organization of working memory processes proceeds dimensionally through the course of middle childhood with such development being initiated with the structural maturation of specific brain areas, then refinement of local circuitry within these regions, and finally, to the formation of widespread neural networks that integrate interactions between local circuits and distal sites (Luciana & Nelson, 1998).

Developmental studies have provided information regarding the development of memory. A form of pre-explicit memory that is dependent on the hippocampus develops in the first few months and between 8 and 12 months, a more adult-like form of the explicit memory emerges, which draws broadly on limbic and cortical structures (Nelson, 1995). During toddlerhood, the development of memory-for-location is related to both increasing age and to individual differences in self-control, as well as a failure to use available relevant cues (Lee, Vaughn, & Kopp, 1983). Picture recognition memory reaches adult level performance by 4 years of age (Welsh et al., 1991). Young children's ability to retain information in memory undergoes substantial increases between 5 and 11 years of age, when short-term memory capacity approaches adult levels (Gathercole, 1998). Ardila and Rosseli (1994) found a steady increase in performance on all Wechsler Memory Scale subtests between the ages of 5 and 12. However, the use of higher order organizational learning and memory strategies does not typically develop until 10 or 11 years of age (Bjorklund & Douglas, 1997). Results of an examination of the development of learning and memory, suggested an initial growth spurt at around 7 to 8 years of age, which the authors stated was consistent with physiological literature suggesting the maturation of prefrontal areas and cortical connections in general (Anderson & Lajoie, 1996). The researchers did find that between the ages of 7 through 13, long-term memory (the capacity of the child to retain information over time) did not change greatly with age. In comparison to the older age groups, the 7- and 8-year-old groups exhibited shorter memory spans, less efficient learning skills, and poorer delayed recall. In addition, they appeared to utilize fewer memory strategies, and exhibited poorer spontaneous retrieval and flatter learning curves than older children. A developmental transition from 8 to 9 years existed, with the 9-, 10-, and 11-year-old groups generally achieving higher scores than the younger groups. Older children, (12and 13-year-olds), performed better in most areas, supporting the possibility of a further developmental spurt, associated with more effective processing and greater capacity, as well as an increasing ability to control memory and learning actively, to develop and implement memory strategies, and to organize material.

### Serial Recall

Examinations of the developmental trends of different components of memory have occurred. Children's level of performance on tests of phonological memory such as digit span and other serial recall tests increases dramatically over the early and middle years of childhood (Gathercole, 1998). Much of this development appears to arise from developmental increases in the speed of rehearsing and of retrieving material from memory and from the emergence of subvocal rehearsal as a strategy for actively maintaining the contents of the phonological store. The memory span for the maximum number of unrelated verbal items that can be remembered in correct sequence shows an average two- to three-fold increase from between two and three items at 4 years of age to about six items at 12 years (Hulme, Muir, Thompson, & Lawrence, 1984). Similarly, in a sample of individuals, age 7 through 15, performance on digit span was slow to gradually increase throughout this age period (Isaacs, & Vargha-Khadem, 1989). *Verbal Memory* 

Investigations of the developmental trends of verbal learning tests also have occurred and suggest a steady increase in performance throughout childhood and into adolescence (Bishop, Knights, & Stoddart, 1990; Vakil, Blachstein, & Sheinman, 1998) A steady increase in performance on the Rey Auditory-Verbal Learning Test (Rey AVLT) was evidenced in a sample of individuals ages 5 to 16 years of age (Bishop et al., 1990). However, in another study conducted by Vakil et al. (1998), more dynamic changes were displayed during the 8- to 10-year old range, as compared to the 11- to 17year old range. The researchers concluded the capabilities required to cope optimally with the different demands of the Rey AVLT, such as storage capacity or strategies, are stabilized around the age of 11. The mental operations developed by the age of 11, such as utilization of strategy, planning, and categorization are attributed to frontal lobe functioning (Shimamura, 1995).

## Visual/figural Memory

It has been suggested that the capacity to retain visuospatial characteristics of events (stimuli, or information), for short periods of time is mediated by a short-term memory system dissociated from the phonological loop, and may consist of dissociable visual and spatial/temporal subcomponents (Gathercole, 1998). Performance on a spatial span task increased significantly between 9 and 10 years of age, while performance on the backward spatial span increased between 7 and 8 years of age (Isaacs & Vargha-Khadem, 1989). In an investigation of the developmental progression of performance on the memory condition of the Rey-Osterrieth Complex Figure (ROCF), major improvement was observed at 7- to 8-year-old range; at 11 to 12 years, scores were 2.3 times higher than the average scores at 5 or 6 (Ardila & Rosselli, 1994).

### Sequential Memory

Given the frontal lobe involvement in sequential memory, it may be hypothesized that such an ability would show a course of development similar to other measures of frontal lobe functioning. In the evaluation of the developmental patterns of a sequential verbal memory test, Ardila and Rosselli (1994) expected the test to be particularly sensitive to central nervous system maturation given that sequential memory has been associated with frontal lobe activity; however this was not the case. The sequential verbal memory scores did not improve steadily between 5 to 6 and 11 to 12 years. They began to decay very early, even at ages 9 to 10. The authors speculated that perhaps younger children store information in a "bit-by-bit recording" and in a less structured way; however, with advancing age, the child learns to organize the to-berecalled information in a meaningful way, and some metamemory strategies are developed (Ardila & Rosselli, 1994). In an investigation of the developmental course of children's memory spans on both the digit span and Corsi blocks tasks, Issacs and Vargha-Khadem (1989), demonstrated a regular increase across the age range of 7 to 15 years with a total increase of about 1.5 items of span during this age range, with Corsi spans at each age lagging about one item of span behind digit span. The Corsi blocks task involves a three-dimensional display of nine blocks which is placed in front of the participant, who observes the experimenter tapping the blocks in an unsystematic sequence. The task is to repeat the activity, tapping the same blocks in the same sequence.

The development of temporal ordering also was investigated using a recency task. Significant age effects were evident with 6-year-olds performing significantly less accurately than 8-year-olds and 8-year-olds significantly less accurate than 10-and 12-year-olds, who did not differ from each other (Becker et al., 1987). Interestingly, unlike the other four frontal tasks given to the participants where 10- and 12-year-olds were performing nearly perfectly, on the temporal ordering task, their performance leveled out at about 60% accuracy. Because no adult norms were available, researchers did not know whether better accuracy could be achieved later (other tasks included go- no go, auditory sequential and visual simultaneous conflict tasks).

### Developmental Gender Differences in Memory

Gender related developmental variation in memory has been investigated by a number of researchers. A number of studies have suggested that females demonstrate an advantage on verbal memory measures (Kramer, Delis, Kaplan, O'Donnell, & Prifitera, 1997; Sowell et al., 2001; Vakil et al., 1998) Sowell et al. (2001) found that girls performed significantly better than boys in learning a list of words. Such results were associated with a larger mesial temporal lobe volume (relative to brain size) in girls as compared to boys. The same study found no gender effects on figure recall task. A similar advantage for girls over boys on verbal memory measures was demonstrated by Vakil et al. (1998). The girls' advantage remained constant across all age groups. Kramer et al. (1997) suggested girls were more likely than boys to use a semantic clustering strategy and display more effective long-term memory mechanisms. It has been proposed that the edge females have over males in memory performance may be specific to verbal memory (Trahan & Quintana, 1990). However, the sex differences in verbal memory evidenced in the study conducted by Kramer et al. (1997) tended to be small, averaging approximately 0.5 words per trial during the learning trials and increasing to 0.9 words on the delayed trials of the CVLT-C.

In a review of the literature, Trahan and Quintana (1990) found mixed results regarding gender differences in performance on memory measures. The review suggested that several studies found a gender effect with females tending to perform somewhat better on verbal memory procedures, while males performed slightly better on measures of visual memory; however some studies have suggested that no consistent pattern of performance has yet emerged (e.g. Forrester & Geffen, 1991). Overall, the literature on gender related differences on verbal memory tasks in young children is relatively small and inconclusive.

### CHAPTER III

#### METHODS

#### Participants

For this study, existing data from the standardization of the Test of Memory and Learning (TOMAL; Reynolds & Bigler, 1994a) was used. The TOMAL is a published assessment of children's memory. Prior to its publication, the test was administered to a representative sample of the United States population based upon reports of the 1990 United States Census with corrections based upon updated reports through 1992. Population proportionate sampling was used, with consideration of age, gender, ethnicity, socioeconomic status, geographic region of residence, and urban-rural residence. The data collection for the standardization of the TOMAL occurred between 1991 and 1992. Children were tested in 17 states and more than 30 sites. Participating standardization sites were chosen in part on the basis of socio-economic status (SES) and related demographic constituency. Although an extensive effort was made to conform to population proportionate sampling, the sample was slightly askew in several areas. For this reason, the sample cell sizes were weighted according to commonly accepted procedures to produce a nearly perfect match to the U.S. census data. Overall, the sample included a total of 1,324 children between the ages of 5 years, 0 months, 0 days and 19 years, 11 months, 30 days. The sample demographics are summarized in Table 1.

## Measures and Procedures

The TOMAL is a comprehensive battery of fourteen memory and learning tasks. Data from eight subtests were used in this study. Four of the subtests involve the sequential recall of stimuli, and the scores from these four subtests yield a Sequential Recall Index. The other four subtests make up the Free Recall Index. Both the Sequential Recall Index and the Free Recall Index were initially derived by having a group of neuropsychologists sort the 14 TOMAL subtests into logical categories (Reynolds & Bigler, 1994b). Construct validity of the Sequential Recall Index has been provided by the results of a factor analysis in which all four subtests loaded together on the second factor (Reynolds & Bigler, 1996). The subtests making up the Sequential Recall Index include: Digits Forward, Letters Forward, Visual Sequential Memory, and Manual Imitation.

<u>Digits Forward:</u> A standard verbal number recall task that measures the recall of a sequence of numbers.

Letters Forward: A language-related analog to the common digit span task using letters as the stimuli in place of numbers.

<u>Visual Sequential Memory:</u> This subtest requires the recall of the sequence of a series of meaningless geometric designs.

<u>Manual Imitation:</u> A sequential processing task with a simple motor component in which the examinee is required to reproduce a set of ordered hand movements in the same sequence as presented by the examiner.

Table 1 Sample demographics

Gender		
	Female	50%
	Male	50%
Ethnicity		
	African American	12.9%
	Anglo European	73.1%
	Hispanic	9.2%
	Native American	2.1%
	Asian	2.7%
Region		
	Northeast	15.8%
	South	36.6%
	North Central	24.5%
	West	23.1%
Age		
	5	6.2%
	6	6.7%
	7	10.3%
	8	7.8%
	9	8.4%
	10	11.9%
	11	12.3%
	12	8.8%
	13	6.3%
	14	5.2%
	15	3.9%
	16	3.3%
	17	3.6%
	18	3.2%
	19	2.0%

Data from an additional four subtests were used for comparison purposes. These subtests included those making up the Free Recall Index: Facial Memory, Object Recall, Abstract Visual Memory, and Memory for Location.

<u>Facial Memory:</u> A nonverbal subtest requiring recognition and identification from a set of distractors. A series of black-and-white photos of various ages, males and females, and various ethnic backgrounds is presented. The sequencing of responses is unimportant.

<u>Object Recall</u>: This subtest involves the presentation of a series of pictures, each of which are named by the examiner, and the examinee is asked to recall the objects. The order of responses is not important. This process is repeated across five trials.

<u>Abstract Visual Memory:</u> This nonverbal task assesses immediate recall for meaningless figures when order is unimportant. The examinee is presented with a standard stimulus and required to recognize the standard from any of six distractors.

<u>Memory for Location</u>: This nonverbal subtest assesses spatial memory. The examinee is presented with a set of large dots distributed on a page and asked to recall the locations of the dots in any order.

The median internal consistency coefficient alphas across age ranged from a low of .84 for Object Recall to a high of .97 for Digits Forward and Manual Imitation. The median coefficient alpha reliability estimates across ages for the Sequential Recall Index and Free Recall Index were .99 and .93, respectively. Test-retest coefficients, based on a small sample which included 35 children tested between 4 and 9 weeks apart, ranged from .71 for Abstract Visual Memory to .90 for Object Recall, with coefficients typically in the .80s. The Sequential Recall Index test-retest coefficient was .87 and that of the Free Recall Index was .81.

### Data Analysis

An analysis of the developmental trends of performance on the four subtests making up the Sequential Recall Index was examined. The data were grouped into 12month intervals. Performance means and standard deviations for each task, as well as the sequential recall total score, were calculated across age groups. The mean performance level on the sequential recall scale was plotted across age groups. A one-way analysis of variance (ANOVA) with age was conducted to ensure developmental sensitivity of the measures.

Further analysis of the effects of age, as well as gender, on sequential memory performance was evaluated using simultaneous equation methods. Simultaneous equations allow one to analyze complex relationships with several dependent or endogenous variables in a system of linear equations. Simultaneous equation models are multivariate regression models entailing endogenous variables that express the simultaneity in structural relations among the multiple dependent variables (Jedidi, Ramaswamy, DeSarbo, & Wedel, 1996). Thus, simultaneous equation methods estimate the relationships in a system of two or more equations where the dependent variables in the equations have a conceptually or mathematically interdependent relationship. A source of simultaneity may arise when in the specification and measurement of the model there is a mathematical interdependency among the dependent variables. Such a condition leads to a correlation among the error terms across equations. In the present study, performance on each of the four sequential memory subtests were considered to be jointly determined and were considered endogenous to the simultaneous system of equations.

A structural equation model positing a relationship between age and performance on the sequential memory subtests was established. A nonlinear component was introduced into the model by adding a quadratic term of the variable of age. A gender and a gender by age interaction factor also were incorporated into the model in an effort to determine the applicability of the model across gender.

The model was analyzed through use of a structural equation modeling program (Lisrel 8.53 Student Edition; Jöreskig & Sörbom, 2002). Maximum likelihood estimation procedures were used. Maximum likelihood requires basically the same assumptions as multiple regression. However, in contrast to multiple regression that requires a separate analysis for each endogenous variable, maximum likelihood estimation is simultaneous, and such a procedure allows model implied correlation between the endogenous variables. Maximum likelihood estimation assumes multivariate normality of endogenous variables. The method generates a set of parameter estimates that are most likely to have been produced from non-chance relationships. The method is an iterative process in that a set of parameters is estimated and a calculation is based on the first estimate, called a "fit function," that is basically a coefficient describing the fit of the parameters to the data. Using this first estimate a second estimate is made in order to make the fit function smaller. This process is repeated until the fit function cannot be made any smaller. When this happens the model is said to have converged on a final set

of parameter estimates. A comparison is made between the reproduced variance/covariance matrix and the observed one. This comparison can be tested for exactness of fit by using a chi-square test. A non-significant chi-square suggests that the reproduced variance/covariance is significantly different than the observed variance/covariance matrix and indicates that the parameters that were estimated for the model fit the data.

Modifications were made to the original model in an attempt to provide the best fit and most appropriate model for ascertaining the relationship between age and performance on the sequential memory measures. One focus of model trimming was to delete pathways that were not significant.

The present study used the estimations produced by the structural equation modeling to derive a growth curve of the developmental performance on the sequential recall scale. The developmental function is a growth curve that mathematically represents the developmental process by specifying the relation between time and change in the level of the attribute (Burchinal & Appelbaum, 1991). When formulating growth curves, several considerations need to be kept in mind. The ability to estimate developmental functions is limited by the degree to which the investigator's assumptions about the growth process are correct because the growth curve model selected by the investigator will reflect these assumptions (Burchinal & Appelbaum, 1991). Typical models that describe growth processes tend to be more complicated than the linear function because the rate of change over time during growth periods usually is not constant and development may occur in stages that involve estimating separate regression curves for each growth spurt. Research regarding the development of the nervous system, as well as research on the development of behavior, has shown such complex developmental patterns, with many growth functions demonstrating nonlinear, dynamic patterns, rather than monotonic growth (Fischer & Rose, 1997).

Based upon a review of the literature on the development of frontal lobe functioning, a plot of frontal lobe development was subjectively derived based upon a developed metric. Because frontal functioning is represented by diverse functions measured by a variety of different measures, it is difficult to outline one overall model of the development of frontal functioning. A model representing units of increase in frontal functioning was developed. Past research examining the development of frontal functioning has used a variety of different assessment measures. Because the data are from different measures, they are hard to place on a common scale. A broad comparison of the timing of development and shape of the overall developmental trend is possible by computing age based increments in increases of frontal functioning. A meta-analysis was conducted of developmental studies, each of which sampled cross-sectionally across various age ranges within childhood and adolescence on a variety of different frontal lobe functioning measures.

The meta-analysis involved a search of previously conducted research on the development of frontal lobe functioning. Journal articles were identified through an initial search of PsycInfo, Medline and ERIC for years from 1984 to 2003 using key words of "executive function\*," "frontal lobe function\*," "development\*," and "age." Studies only were included in the meta-analysis if they contained raw data for different

age groups on measures of frontal lobe functioning. A total of eight journal articles were found to contain this data. The tasks included in these studies included measures of planning (Tower of London, Tower of Hanoi, NEPSY tower), measures of inhibition of perseveration (Wisconsin Card Sorting Test – Perseverative Responses; Perseverative Errors), measures of set maintenance (Wisconsin Card Sorting Test – Categories Achieved) and measures of verbal fluency and design fluency.

Analyses of effect size differences across age groups assisted in determining the developmental patterns for these commonly used measures of frontal functioning by providing a common metric of growth. Effect size (ES) was calculated for each measure of frontal functioning contained within each study. ES was calculated using Cohen's *d*. Cohen's *d* has been recommended as the measure of effect size in neuropsychological research (Zakzanis, 2001). Cohen's *d* is computed by dividing the difference between group means by the pooled standard deviation weighted by sample size.

Cohen's 
$$d = \frac{M_1 - M_2}{\sqrt{\frac{(N_1 - 1)S.D._1^2 + (N_2 - 1)S.D._2^2}{N_1 + N_2 - 2}}}$$

In interpreting the magnitude of d, Cohen's conventional frame of reference (1988) was used such that an effect size of 0.2 corresponded to a small effect, 0.5 a medium effect, and 0.8 a large effect. A mean effect size was calculated using weighted estimates.

The age groups included 5 to 8 years, 8 to 11 years, 11 to 14 years, 14 to 17 years, and 17 years to early adulthood. Thus, the age related increase between 5 to 8 years on a particular measure was determined by computing the effect size of the

difference between the mean level of performance for the age 5-year-old age group and the 8-year-old age group. Once effect sizes were calculated for each of the age groups across each of the frontal measures contained within each study, a mean effect size was calculated for each of the age groups across each of the frontal functions examined.

The results of the meta-analysis then provided a metric to describe the developmental patterns of frontal lobe functioning. Results were used to develop an overall developmental model of frontal lobe function. Age related increases across the different frontal functions were averaged providing overall age related increases in performance. A plot was made of the development of frontal lobe functioning using the mean effect size of change in performance across age groups.

In order to provide a comparison of the developmental course of sequential memory to the derived plot of frontal lobe functioning, a similar procedure of determining standardized age related increases in sequential memory performance was conducted by calculating the effect sizes of the difference in age related performance on the sequential memory measures between age groups. The same age groups were used as those used in determining the patterns of frontal lobe development. Therefore, an effect size of the change in mean performance was obtained between 5 and 8 years of age, 8 and 11 years of age, 11 to 14 years of age, 14 to 17 years of age, and 17 to 19 years of age. This was done for each of the four sequential memory tasks. The mean effect size for each age group was then computed across all four subtests. A similar process was conducted using the free recall subtests.

A comparison was made of the plot of the developmental course of sequential memory to the derived plot of frontal lobe functioning. In addition, as a confirmation or disconfirmation of such a relationship to the development of frontal function, the plot representing the developmental course of free recall was compared.

#### CHAPTER IV

### RESULTS

### Development of Sequential Memory

This study sought to elucidate the developmental pattern of sequential memory. An initial overview of the change of performance on the sequential memory subtests over the age span of 5 to 19 years is provided in Table 2, which contains a summary of the means on each sequential memory measure for each of the age groups. For comparison purposes, a look at the progression of performance on the Free Recall subtests is provided in Table 3.

As can be seen in Figure 1, mean performance on the sequential memory measures increased relatively regularly with age suggesting that all tasks were developmentally sensitive. A similar plot of the free recall subtests is displayed in Figure 2. Some discontinuity with age is evident. On the sequential recall subtests, as well as the free recall subtests, the mean level of performance seemed to increase more in the younger age groups and to be more moderate in the older groups. However, it is apparent that development of the abilities continues throughout adolescence. Age effects were significant on all subtests, as determined by one-way analysis of variance (ANOVA; Table 4) performed on the raw scores.

## Table 2

	Digit	s Forward	Lette	rs Forward		Sequential	Manua	al Imitation
Age	Mean	Standard Deviation	Mean	Standard Deviation	Mean	Standard Deviation	Mean	Standard Deviation
5	25.70	11.63	19.66	7.70	9.89	4.65	13.20	6.76
6	34.93	16.10	26.93	14.15	12.61	6.72	18.02	9.61
7	38.04	16.97	28.04	12.85	13.45	5.69	18.81	9.52
8	39.84	15.97	28.34	12.29	15.50	5.46	21.92	10.94
9	49.16	19.68	35.42	14.23	22.51	8.56	25.30	12.07
10	55.43	19.68	40.38	15.68	24.85	7.98	30.13	16.50
11	53.92	19.45	40.29	14.65	25.75	8.11	25.14	12.48
12	61.74	21.40	49.90	22.22	26.89	10.90	38.15	18.75
13	63.71	22.39	51.45	23.35	30.10	11.80	41.07	21.95
14	63.01	19.38	49.52	18.34	25.75	12.14	39.62	16.80
15	68.76	22.34	52.86	20.04	26.02	11.68	36.71	20.54
16	62.98	24.20	53.42	16.10	26.00	11.03	38.64	17.05
17	67.44	14.48	51.52	18.42	29.90	7.69	40.34	16.43
18	67.42	21.34	54.85	24.11	32.50	10.80	45.73	17.71
19	69.59	18.81	57.85	21.64	32.78	9.65	39.15	20.85
Total	51.79	22.64	39.69	19.78	22.34	10.94	28.88	17.43

Distribution of means and standard deviations for performance on the sequential recall memory subtests at each age level

## Table 3

	Facia	l Memory	Obje	ect Recall		act Visual		nory for
Age	Mean	Standard	Mean	Standard	Mean	lemory Standard	Mean	ocation Standard
8-		Deviation		Deviation		Deviation		Deviation
5	18.17	4.23	32.50	7.81	4.95	4.31	5.96	2.80
6	20.60	4.25	39.08	11.76	10.38	7.79	8.31	5.36
7	20.62	3.82	41.15	11.84	14.22	9.28	11.68	6.60
8	22.43	4.31	44.27	9.73	17.51	8.89	14.58	6.05
9	24.27	4.12	49.32	8.67	19.79	7.79	9.35	6.80
10	24.89	3.89	51.62	9.61	23.33	9.62	9.52	7.32
11	26.40	4.24	53.31	10.67	25.44	9.30	11.35	6.72
12	26.52	4.39	55.59	10.28	26.38	9.70	14.19	10.51
13	28.08	4.91	57.43	7.89	30.94	7.49	14.51	7.24
14	28.91	4.67	57.09	7.67	32.59	5.78	17.26	6.24
15	28.47	4.25	57.58	8.58	33.19	5.17	16.17	7.08
16	28.49	3.90	54.70	6.71	31.77	7.88	18.52	5.76
17	27.75	4.59	58.88	6.79	31.02	7.88	16.10	6.19
18	32.15	4.40	59.71	7.32	32.45	8.22	19.81	7.20
19	31.19	5.09	60.67	8.80	28.81	11.87	19.15	10.45
Total	24.96	5.48	50.03	12.25	22.50	11.62	12.48	7.81

Distribution of means and standard deviations for performance on the free recall memory subtests at each age level

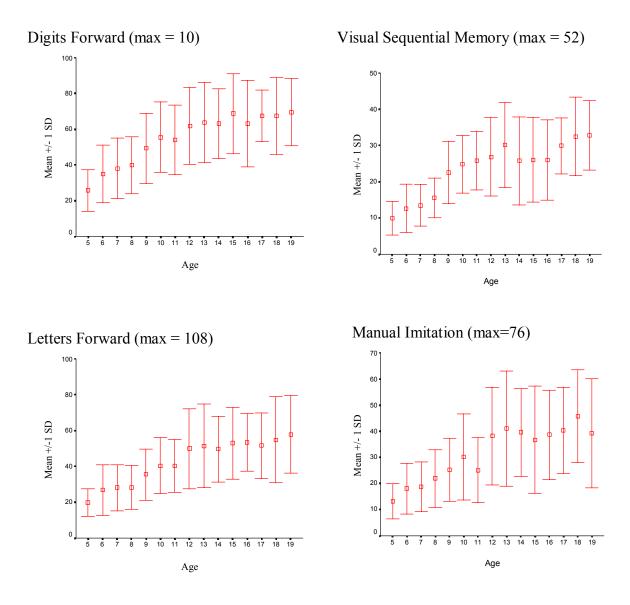
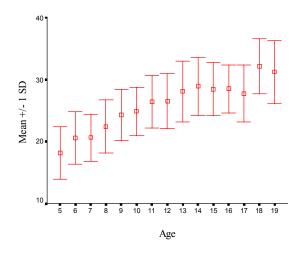
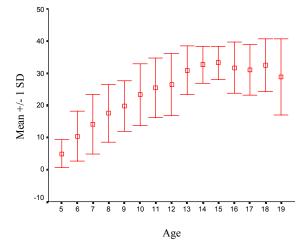


Figure 1. Mean scores and standard deviations (error bars) on the sequential memory subtests.

Facial Memory (max = 41)

Abstract Visual Memory (max = 40)





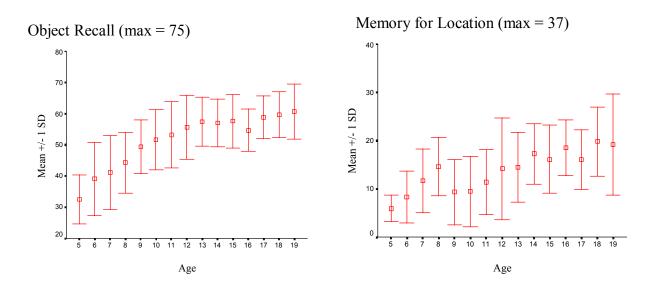


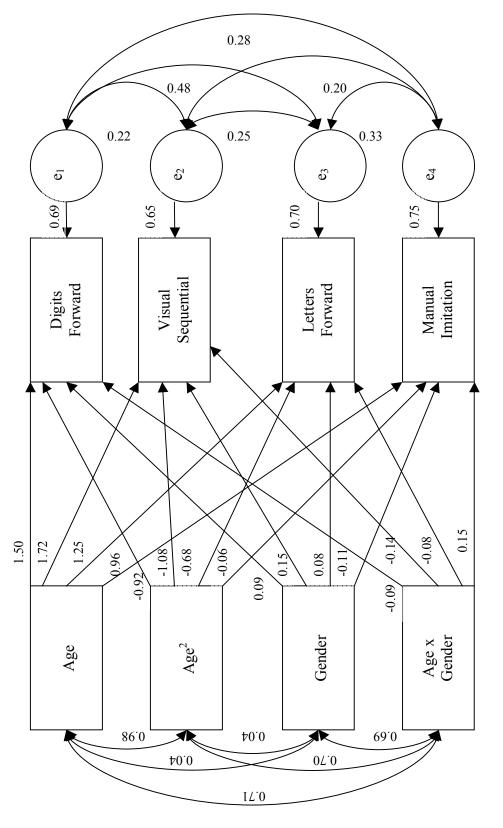
Figure 2. Mean scores and standard deviations (error bars) on the free recall subtests.

Subtests	Age	Effects
	df	F
Sequential Memory		
Digits Forward	14, 1296	40.64*
Letters Forward	14, 1297	39.05*
Visual Sequential	14, 1309	55.85*
Manual Imitation	14, 1298	35.88*
Free Recall		
Facial Memory	14, 1256	59.90*
Object Recall	14, 1304	60.86*
Abstract Visual	14, 1304	86.02*
Memory for Location	14, 1299	24.58*

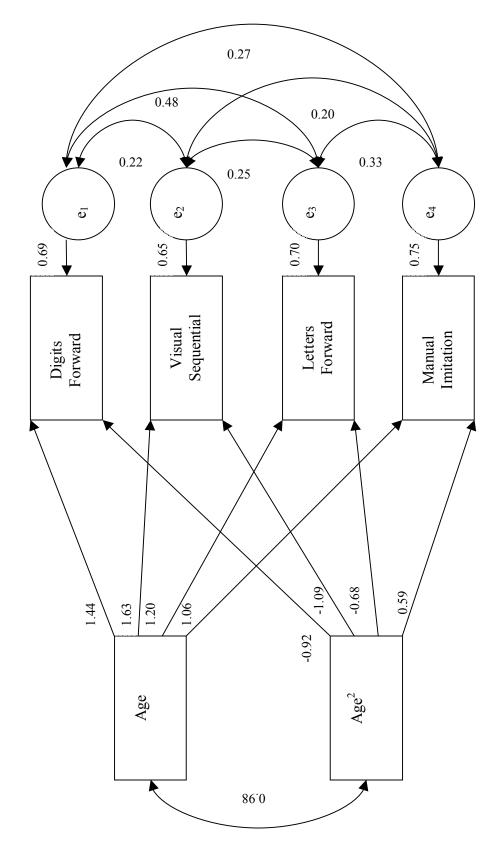
Table 4Age effects of sequential memory and free recall subtests

\**p*<.001

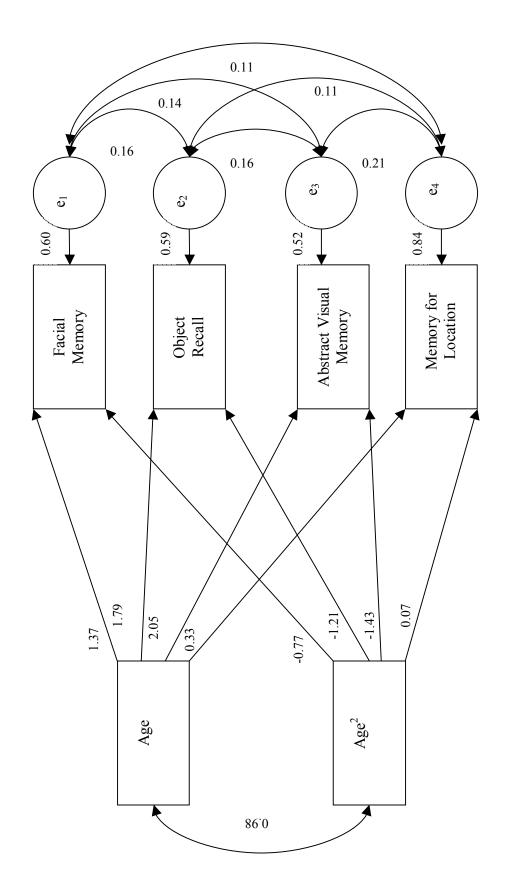
Results of the structural equation estimation provided a further look at the developmental patterns of sequential memory. An initial model posited the relationship between age and gender on sequential memory subtest performance. The results of this analysis are diagrammed in Figure 3. The effects of gender and the gender by age interaction were found to be non-significant, and thus, the model was modified and these variables were deleted (Figure 4). In this figure, the completely standardized solution is presented. For comparison purposes, a similar model was evaluated to examine the developmental patterns of free recall (Figure 5). Table 5 provides summaries of the analyses for the sequential and free recall structural equation models. Figures 6 and 7 display the developmental curves based on these quadratic functions derived from the simultaneous systems analyses.













## Table 5Summary table of the simultaneous equation analyses

### Sequential memory model

Structural equations Digits Forward = 21.09 + 4.04\*Age - 0.11 \*Age<sup>2</sup>; R<sup>2</sup> = 0.31Visual Sequential Memory = 18.48 + 4.49\*Age - 0.13 \*Age<sup>2</sup>; R<sup>2</sup> = 0.35Manual Imitation = 27.19 + 2.99\*Age - 0.07 \*Age<sup>2</sup>; R<sup>2</sup> = 0.25Letters Forward = 24.58 + 3.37\*Age - 0.08 \*Age<sup>2</sup>; R<sup>2</sup> = 0.30

Measures of goodness of fit Chi-square = 1365.13 Degrees of freedom = 6 P-value = 0.00000 RMSEA = 0.437

Free recall model

Structural equations Abstract Visual =  $10.81 + 5.70^{*}$ Age -  $0.17^{*}$ Age<sup>2</sup>; R<sup>2</sup> = 0.48Object Recall =  $15.34 + 4.97^{*}$ Age -  $0.14^{*}$ Age<sup>2</sup>; R<sup>2</sup> = 0.41Facial Memory =  $20.97 + 3.80^{*}$ Age -  $0.09^{*}$ Age<sup>2</sup>; R<sup>2</sup> = 0.40Memory for Location =  $39.05 + 0.90^{*}$ Age -  $0.009^{*}$ Age<sup>2</sup>; R<sup>2</sup> = 0.16Measures of goodness of fit

Chi-square = 425.11Degrees of freedom = 6P-value = 0.00000RMSEA = 0.243

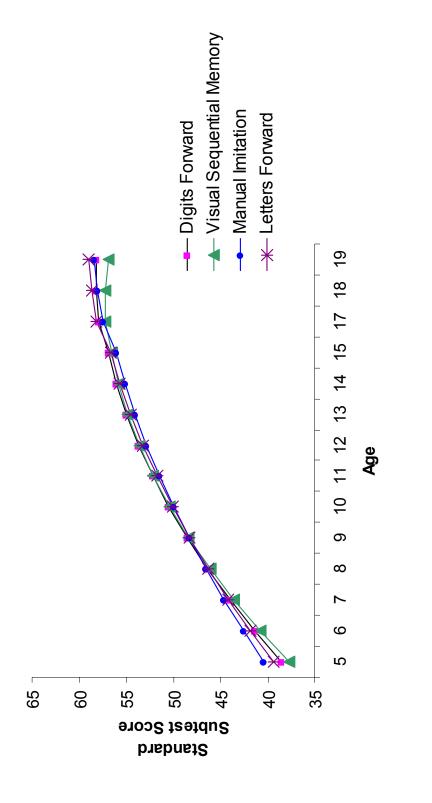


Figure 6. Plots of the developmental functions depicting the maturational increases in performance on the sequential memory subtests. Plots based upon the structural model depicted in Figure 4 and equations summarized in Table 5.

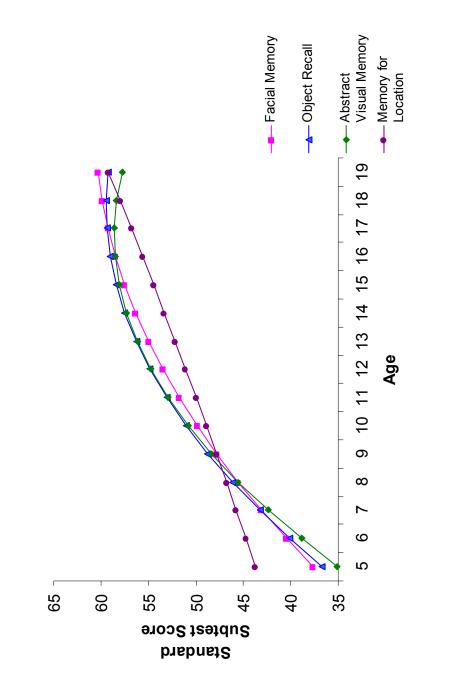


Figure 7. Plots of the developmental functions depicting the maturational increases in performance on the free recall subtests. Plots based upon the structural model depicted in Figure 5 and equations summarized in Table 5.

Meta-analysis of the Development of Frontal Lobe Functioning

The meta-analysis conducted in an effort to develop a model of frontal lobe functioning examined the patterns of age-related increase in performance on measures of frontal lobe functioning. A summary of the meta-analysis is provided in Table 6 and includes the effect sizes of the age related changes in performance on measures of the following frontal functions: planning, verbal fluency, design fluency, inhibition of perseveration, and set maintenance. The average age related changes across each of the frontal functions are provided in Table 7 and plotted in Figure 8. The model of the development of frontal lobe functioning is presented in Figure 9, that represents the developmental course of frontal functions based upon average effect sizes of age related change in performance on measures of frontal lobe functioning.

For means of comparison, the effect sizes of age-related change in performance on sequential memory and free recall subtests are provided in Tables 8 and 9, respectively. A developmental plot of the maturation of these functions is provided in Figure 10. Finally, a comparison of the developmental course of frontal functioning and sequential memory is provided in Figure 11.

ple Mean Score Effect Size of age e (Standard Deviation related performance in parentheses) difference (age group in	11.5 (0.96) 11.3 (1.00) 11.3 (0.59) 11.6 (1.00) 11.6 (0.80) 11.6 (0.75) <b>0.0</b> 0	1.8 (0.3)       0.80 (7.5-11 years)         1.6 (0.2)       0.80 (11-14 years)         1.4 (0.3)       0.80 (11-14 years)	61.34         (9.50)           65.50         (14.33) <b>0.35</b> (10-13 years)           80.16         (12.33) <b>1.10</b> (13-18 years)	12.0 (9.59) 22.7 (10.3) 19.6 (10.4) 27.9 (8.33) 33.3 (2.50) 31.3 (2.71) <b>0.62</b> (6-8 vears)
Sample size	25 25 25 16 16	17 17 18	29 29 39	10 10 10 10 10 10
Age groups	11 12 13 13 14 15 16-17	7-8 (M=7.47; SD=.60) 9-12 (M=11.06; SD=1.07) 13-15 (M=14.34; SD=.88)	8-10 (M=9.73; SD=.08) 11-14 (M=12.91; SD=1.31) 15-19 (M=17.74; SD=1.41)	с 4 v д L 8
Assessment measure	Tower of London – number correct	Tower of London – average trials to solution	Tower of London - % perfect solutions	Tower of Hanoi (3 discs) Quality of planning
Study	Anderson et al. (2001)	Levin et al. (1991)	De Luca et al. (2003)	Welsh et al. (1991)
Frontal function	Planning ability			

Table 6 Meta-analysis of frontal lobe functioning developmental studies

Effect Size of age	related performance	difference (age	group in parentheses)	<b>1.06</b> (8-12 years)	•	<b>1.0</b> (12-Adult)						<b>0.16</b> (8-11 years)		1.32 (11-Adult)				<b>1.07</b> (5-8 years)			<b>0.29</b> (8-11 years)
Mean Score	(Standard Deviation	ntheses)		(3.52)	(2.10)	(1.43)	(1.37)		(3.31)	(3.83)	(2.68)	(4.52)	(2.42)	(4.92)	7 (4.5) <sup>a</sup>	7.5 (4) <sup>a</sup>	$(4)^{a}$	$(3)^{a}$	$(3)^{a}$	$(3)^{a}$	$(4)^{a}$
Mean	(Standard	in parentheses)		32.0	33.8	33.5	34.9		7.17	7.13	6.78	7.78	7.10	14.0	7 (4	7.5	9.5	11 (	12 (	12 (	12 (
Sample	size			10	10	10	10		10	10	10	10	10	10	100	100	100	100	100	100	100
Age groups				10	11	12	Adult (M=22)		8	6	10	11	12	Adult (M=22)	5	9	7	8	6	10	11
Assessment	measure			Tower of	Hanoi (3	discs)	Quality of	planning	Tower of	Hanoi (4	discs)	Quality of	planning	)	NEPSY	Tower					
Study				Welsh et al. (1991)	~				Welsh et al. (1991)	х. т					Korkman et al.	(2001)					
Frontal	function			Planning	ability	1															

Effect Size of age	related performance	difference (age	group in narentheses)				-0.27 (11-14 years)		<b>0.54</b> (14-17 years)		<b>1.46</b> (7.5-11 years)	0.79 (11-14 years)						<b>1.85</b> (5-8 years)			<b>1.47</b> (8-11 years)		JET (11 A 414)
Mean Score	(Standard Deviation	in parentheses)		30.4 (8.63)	29.3(9.00)	27.4 (8.87)	28.1(8.50)	30.6(9.20)	32.7 (8.61)	15.9 (6.3)	26.3(7.9)	33.1 (9.3)	12.7 (4.60)	16.6(6.29)	19.5 (5.72)	28.7 (4.76)	29.6(6.80)	33.4 (9.32)	35.0 (5.23)	$39.0\ (8.93)$	45.1 (6.59)	54.9 (9.79)	
Sample	size			23	25	35	25	16	14	17	17	18	10	10	10	10	10	10	10	10	10	10	¢,
Age groups				11	12	13	14	15	16-17	7-8 (M=7.47; SD=.60)	9-12 (M=11.06; SD=1.07)	13-15 (M=14.34; SD=.88)	3	4	5	6	7	8	6	10	11	12	
Assessment	measure			FAS						Controlled	Oral Word	Fluency	Fixed	Fluency	(Animals,	food,	clothing,	things to	ride)				
Study				Anderson et al.	(2001)	~				Levin et al.	(1991)		Welsh, et al.	(1991)									
Frontal	function			Verbal	Fluency	à																	

Effect Size of age in related performance difference (age group in parentheses)	0.83 (7.5-11 years) 0.61 (11-14 years)	1.07 (5-8 years) 0.29 (8-11 years)	1.92 (7.5-11 years) 0.27 (11-14 years) 1.00 (7.5-11 years)	0.78 (11-14 years) 1.45 (5-8 years)
Mean Score (Standard Deviation in parentheses)	14.4 (4.7) 18.2 (4.5) 21.1 (5.0)	15 (4) <sup>a</sup> 17 (4) <sup>a</sup> 33 (9) <sup>a</sup> 35 (10) <sup>a</sup> 40 (10) <sup>a</sup> 48 (14) <sup>a</sup> 53 (14) <sup>a</sup>	$\begin{array}{c} 8.4 \ (3.0) \\ 18.1 \ (7.1) \\ 20.5 \ (10.5) \\ 7.7 \ (3.4) \\ 12.4 \ (6.0) \end{array}$	17.1 (6.1) 13 (6) 15 (6) 19 (5) 21 (5)
Sample size	17 17 18	$\begin{array}{c} 100\\ 100\\ 100\\ 100\\ 100\\ 100\\ 100\\ 100$	17 17 18 17 17	$18 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\ 100 \\$
Age groups	7-8 (M=7.47; SD=.60) 9-12 (M=11.06; SD=1.07) 13-15 (M=14.34; SD=.88)	6 5 7 6 5 1 1 0 2 1 1 0 2 1 1 0 2 1 1 0 2 1 0 0 2 1 0 0 2 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	7-8 (M=7.47; SD=.60) 9-12 (M=11.06; SD=1.07) 13-15 (M=14.34; SD=.88) 7-8 (M=7.47; SD=.60) 9-12 (M=11.06; SD=1.07)	13-15 (M=14.34; SD=.88) 5 6 8 8
Assessment measure	Fixed fluency – animals	Fixed fluency - animals, things to eat or drink, and words beginning with S and F	Free Fixed	NEPSY Design Fluency
nued) Study	Levin et al. (1991)	Korkman et al. (2001)	Levin et al. (1991) Levin et al. (1991)	Korkman et al. (2001)
Table 6 (continued) Frontal function	Verbal Fluency		Design Fluency	

Effect Size of age related performance difference (age group in parentheses)	<b>1.20</b> (8-11 years	<b>0.11</b> (8-10 years) <b>0.89</b> (10-13 years)	<b>0.85</b> (6-8 years)	<b>0.60</b> (8-11 years)	<b>0.22</b> (11-adult)
Mean Score (Standard Deviation in parentheses)	23 (7) 29 (10) 30 (10) 32 (10)	30.76 (20.74) 28.49 (21.13) 14.68 (9.78)	40.64 (28.03) 25.07 (18.43) 23.18 (13.23)	(65.11) 61.81 (05.3) 29.61 (15.17 (13.49)	12.30 (16.94) 12.6 (10.2)
Sample size	100 100 100	38 35 26	م ام ام اح	ا م م	اح اح
Age groups	9 10 12	73-106 months; M=93.54 109-143 months; M=124.03 144-192 months; M=163.29	6 (M=79.55 months; SD=2.46) 7 (M=89.07 months; SD=2.62) 8 (M=101.64 months; SD=3.20) 0 (M=114.60 months;	9 (M <sup>-1</sup> 14.09 monuts; SD=3.28) 10 (M=125.05 months; SD=3.87) 11 (M=136.83 months;	SD=3.61) 12 (M=147 months; SD=3.09) Adult (M=35.9 years;
Assessment measure	NEPSY Design Fluency	WCST – Perseverative errors	WCST – Perseverative errors		
Study	Korkman et al. (2001)	Riccio et al. (1994)	Chelune & Baer (1986)		
Frontal function	Design Fluency	Inhibition of Perseveration			

neasure Lin et al. WCST – (2000) Perseverative errors		Sample		Effect Size of age
WCST – Perseverative errors		SIZE	(Standard Deviation in parentheses)	related performance difference (age
WCST – Perseverative errors				group in parentheses)
Perseverative errors	13	240	23.0 (15.5)	
errors	14	320	20.6 (12.8)	
	15	257	21.0 (13.5)	<b>0.14</b> (13-15 years)
	ſ	¢.		
WEISH EL AI. W COI -		10	24.9 (20.1)	
Perseverative		10	20.0(11.6)	
responses	6	10	20.6 (12.7)	
I	10	10	11.9(7.09)	
	11	10	10.9 (7.48)	<b>0.95</b> (8-11 years)
	12	10	8.60 (4.38)	•
	Adult	10	8.90 (8.70)	<b>0.25</b> (11-adult)
Lin et al. WCST –	13	240	26.2 (20.5)	
(2000) Perseverative	14	320	23.2 (16.4)	
	15	257	23.5 (17.3)	0.14 (13-15 years)
Riccio et al. WCST –	73-106 months; M=93.54	38	3.68 (1.81)	
	109-143 months; M=124.03	35	3.81 (2.12)	<b>0.07</b> (8-10 years)
Achieved	144-192 months; M=163.29	26	5.16 (1.44)	<b>0.76</b> (10-13 years)
Levin et al. WCST –	7-8 (M=7.47; SD=.60)	17	3.1 (1.5)	
(1991) Categories	9-12 (M=11.06; SD=1.07)	17	4.9 (1.2)	1.33 (7.5-11 years)
Achieved	13-15 (M=14.34; SD=.88)	18	5.4 (1.3)	0.40 (11-14 years)

Frontal function	Study	Assessment measure	Age groups	Sample size	Mean Score (Standard Deviation in parentheses)	Effect Size of age related performance difference (age group in parentheses)
Set maintenance	Chelune & Baer (1986)	WCST – Categories	6 (M=79.55 months; SD=2.46)	م م	2.73 (2.10)	
		Achieved	/ (M=59.07 monuns; SD=2.62) 8 (M=101.64 months;	، م ا	4.07 (1.94) 4.05 (2.01)	<b>0.64</b> (6-8 years)
			SD=3.20) 9 (M=114.69 months; SD=3.28)	٩	4.81 (1.47)	
			10 (M=125.05 months; SD=3 87)	۹ <b>.</b>	5.60 (.75)	
			11 (M=136.83 months; SD=3.61)	۹.	5.58 (.79)	<b>1.09</b> (8-11 years)
			12 (M=147 months; SD=3.09)	٩.	5.70 (.95)	
			Adult (M=35.9 years; SD=15.3)	٦	5.4 (1.3)	-0.17 (11-adult)
	Lin et al.	WCST –	13	240	5.0 (2.5)	
	(2000)	Categories	14	320	5.5 (2.7)	
		achieved	15	257	5.7 (2.7)	<b>0.27</b> (13-15 years)

2 à ģ provided; total sample size is 105 participants with 16 to 20 individuals making up each age group

			Η	Effect Sizes		
Age	Planning	Verbal fluency	Design fluency	Inhibition of perseveration	Set maintenance	Average Effect Size
range 5-8	1.43	1.46	1.45	0.85	.64	across frontal functions 1.17
8-11	0.57	1.01	1.22	0.78	.83	0.88
11-14	0.45	0.38	0.53	0.24	.76	0.47
14-17	0.55	0.54	a	0	0	0.27
17-adult	0.77 mean adult age = 22	1.65 mean adult age = 22	_a	0mean adult age = 35.9	0mean adult age = 35.9	0.61

## Table 7 Average effect sizes of age related change in performance on measures of frontal lobe functioning

age = 22 age = 22 <sup>a</sup>Meta-analysis did not yield information regarding performance on design fluency measures after age 14

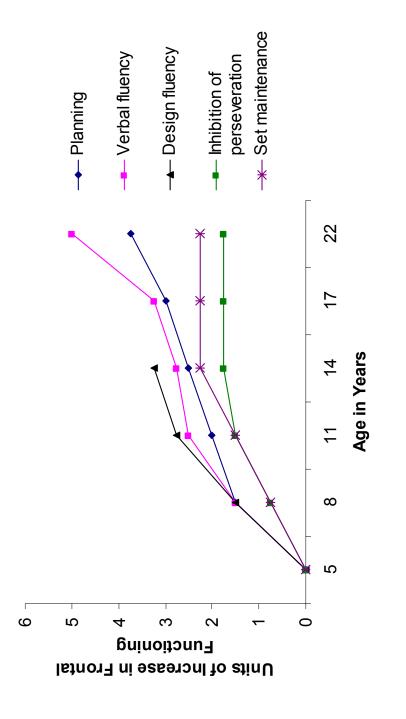


Figure 8. Developmental course of frontal functions based upon average effect sizes of age related change in performance on measures of frontal lobe functioning.

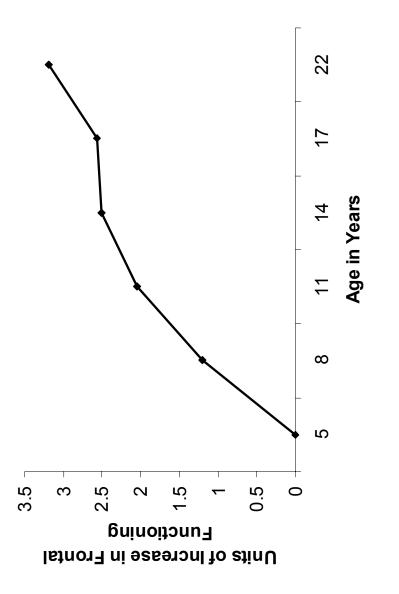


Figure 9. Developmental course of frontal functions based upon average effect sizes across frontal functions.

			Effect Sizes		
Age range	Digits Forward	Letters Forward	Visual Sequential	Manual Imitation	Mean Effect Size across subtests
5-8	1.02	0.87	1.11	0.99	1.00
8-11	0.80	0.89	1.51	0.27	0.87
11-14	0.47	0.56	0.00	0.99	0.51
14-17	0.26	0.11	0.42	0.04	0.21
17-19	0.13	0.32	0.33	-0.06	0.18

## Table 8 Effect sizes of age related increases in performance on sequential memory subtests

	Effect Sizes				
Age range	Facial Memory	Object Recall	Abstract Visual Memory	Memory for Location	Mean Effect Size across subtests
5-8	1.00	1.34	1.90	1.95	1.55
8-11	0.93	0.89	0.87	-0.51	0.55
11-14	0.56	0.41	0.95	0.91	0.71
14-17	-0.25	0.25	-0.23	-0.19	-0.11
17-19	0.71	0.23	-0.22	0.37	0.27

# Table 9Effect sizes of age related increases in performance on free recall subtests

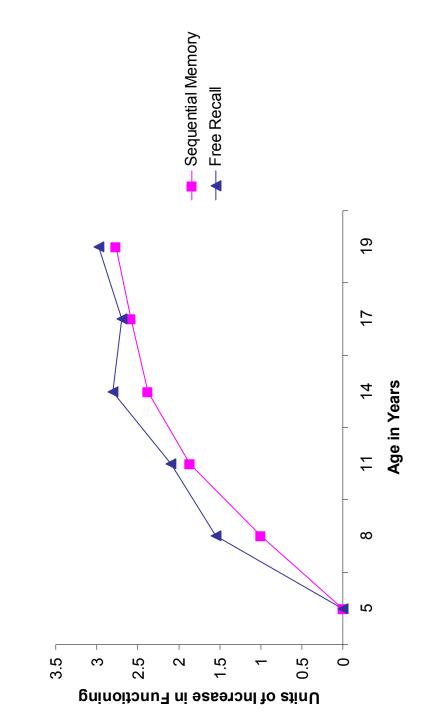


Figure 10. Developmental course of sequential memory and free recall based upon average effect sizes across subtests (Tables 9 and 10).

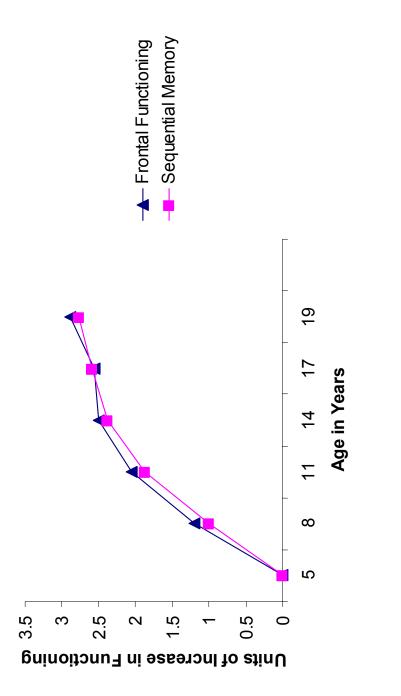


Figure 11. Comparison of developmental course of frontal functioning and sequential memory.

#### CHAPTER V

#### CONCLUSIONS

This study sought to elucidate the developmental pattern of sequential memory. As a cognitive ability believed to be mediated by the frontal lobes, it was hypothesized that a protracted course of development would characterize the maturation of such an ability. The results of the present study suggest a staging of development that begins in early childhood with the maturation of sequential memory continuing, although at a decreased rate, into adolescence. The greatest period of development in sequential memory was evident between 5 and 8 years of age. The rate of development then decreased, and a continued deceleration continued throughout adolescence. The results of the present study are consistent with previous findings that have suggested that the development of frontal functions occurs in a step-wise fashion with the greatest period of development in frontal lobe functioning occurring at the 6- and 8-year old levels, with more moderate effects between the ages of 9 and 12 and performance approximating adult levels during adolescence and sometimes even into the early 20s.

It is believed that the development of sequential memory during adolescence parallels the maturation of frontal lobe development. The continued increase in performance throughout adolescence may be reflective of more efficient and integrative functioning, as well as an increased ability to sequence and organize information. This prolonged development likely parallels the increased myelination and organization of neural mechanisms, as well as changes in the regulation of neurotransmitters and receptor synthesis within the frontal lobes. Previous research examining the development of sequential memory has been limited. The findings of one earlier study examining the development of sequential verbal memory did not find much increase in performance after age 10. However, in the present study a small increase in performance was evident throughout adolescence with an increase equivalent to 0.2 of a standard deviation increase between the mean performance of 14-year-olds compared to 17-year-olds, and an additional .18 standard deviation increase between 17-years-of age and adulthood. Although the increase in performance throughout adolescence and into adulthood was small in size, there was continued development of performance throughout this age period.

In comparison to the developmental trajectories of sequential memory tasks, greater variability characterized those of the Free Recall subtests. The developmental patterns of sequential memory tasks were quite uniform suggesting a similar developmental process underlying the maturation of tasks involving sequential memory. The patterns characterizing each of the free recall tasks were more disparate. A more linear pattern of development was detected for performance on the Facial Memory and Memory for Location subtests. The developmental patterns of the other two free recall tasks, Object Recall and Abstract Visual Memory, were more similar to growth curves portraying the development of sequential memory. The similarity between the development of sequential memory and two of the free recall tasks is likely related to the role of frontal functioning in overall memory development. Memory retrieval requires a strategic search and thus places significant demands on executive control including the ability to select, manipulate, and update retrieved memories. The continued development

at ages 12 and above on both sequential memory and free recall is likely associated with more effective processing and greater capacity as well as an increasing ability to actively control memory and learning, to develop and implement memory strategies, and to organize material. The nature and timing of this developmental progress is consistent with evidence of ongoing myelination and frontal lobe maturation.

The meta-analysis of age-based changes in performance on common measures of frontal lobe functioning provided an overall representation of frontal functioning maturation and a model of comparison for the development of sequential memory. General trends included medium to large age-related increases in performance between 5 and 8 years of age. Similarly, medium to large effects were found between the span of 8 to 11 years of age. Small to medium age-related increases were evident between the 11 to 14 year age range. Changes in mean performance between 14 and 17 years of age, across the frontal abilities reviewed, ranged from no age-related change in performance to medium size change. Variability in age related increase between the 17 year to adulthood span emerged with some frontal functions displaying no age related increase and others demonstrating a large increase in mean performance.

Although past research has not yielded a model of overall frontal lobe development, descriptions of trends have been provided. Previous descriptions of frontal lobe development have suggested that between 5 and 8 years of age, such abilities as concept formation, set-shifting, and rudimentary planning skills are present. Furthermore, this age period is marked by rapid increases in the development of problem solving. The present study found that across the areas of planning, verbal fluency, design

97

fluency, and inhibition of perseveration the greatest period of development was between the ages of 5 and 8 years. During the 8 to 11 age span notable increases were evident across all frontal functions. Past descriptions have suggested that by 10 years of age, the ability to inhibit attention to irrelevant stimuli and perseveratory responses is fairly complete with mastery by age 12. The present meta-analysis found a small increase in performance in inhibition of perseveration between 11 and 14 years of age; however, no age related increase in performance was evident in this ability after this age period. Continued development of planning and verbal fluency was noted throughout adolescence with improvement in performance even in the 17 years of age to early adulthood period.

Research has shown physiological changes in frontal lobe neurophysiology, but how this impacts the continued development of frontal functioning has been less clear. A better understanding of the developmental trajectories of putative frontal functions provides a better understanding of the overall development of frontal lobe functioning. The uniformity in the development of frontal functions suggests a common underlying mediating process to the development of such functions. In addition, the growth curves for frontal lobe functioning and sequential memory are a good fit, visually, suggesting similar developmental patterns. These results provide additional support for the role of the frontal lobes in sequential memory.

It is important to consider developmental research within the context of overall cognitive development. Some of these developmental trends can be placed in the larger context of normal cognitive development. An improvement in prefrontal-like skills at

age 6 parallels an intense period of development between 5 and 7 years during which time rapid advances in systematic problem solving occur; these advances have been attributed to increases in logical thought (Piaget, 1954), verbal mediation (Kendler & Kendler, 1962; Luria, 1973), working memory (Case, 1985), and selective attention (Miller & Weiss, 1981). The pattern of changes in brain structure and function, particularly in the frontal lobes and their connections to other parts of the brain, plays an important role in the development of thinking. The pattern of brain changes during middle childhood allows the frontal lobes to coordinate the activities of other brain centers in a qualitatively more complex way, enabling children to control their attention, to form explicit plans, and to engage in self-reflection, all behaviors that appear to undergo significant development in the transition to middle childhood. During middle childhood, children begin to more routinely think through actions and manipulate them mentally so that they can see them from two sides. Piaget's period of concrete operations involved coordinated mental actions that fit into a logical system in a way that creates greater unity of thinking. During the transition from early to middle childhood, concrete operations transforms different aspects of psychological functioning in that the world becomes more predictable because certain physical aspects of objects remain the same even when other aspects of the objects' appearances have changed. Children's thinking becomes more organized and flexible and they can think about alternatives and reverse their thinking when they try to solve problems. Sequential memory can be conceptualized within this overall period of cognitive development in that serial ordering can be considered one of the organized systems of concrete operations. The transition

from middle childhood to adulthood, in turn, is accompanied by the development of a new quality of cognition, characterized by the ability to think systematically, logically, and hypothetically. The development of formal operational thought during adolescence allows the individual to think systematically about all logical relations within a problem. This ability allows one to solve problems systematically.

Previous discussions (e.g. Goldberg, 2001) have emphasized potential gender differences in frontal lobe functioning. Given the gender related morphological and biochemical differences evident in the frontal lobes that have been reported in previous studies, there certainly exists the possibility that the frontal lobes are functionally different in males and females and that development occurs at different rates. The present study, however, did not find gender to be a significant predictor of developmental performance on sequential memory tasks. Results of the simultaneous equation analysis did not find gender nor an interaction between gender and age to be significant predictors of sequential memory performance. Past hypotheses regarding the possibility of gender differences in the development of frontal functioning have emphasized that the difference may be attributed to increased verbal skills favoring girls, while males outperform females on executive function tasks of a more visuo-spatial nature. The four sequential memory tasks of the present study involved two verbal tasks and two visual tasks.

## Limitations of the Study

A limitation of the study involves the cross-sectional design. The changes in sequential memory across the age span of 5 through 19 years portray the average performance

across age for the general population at the time the TOMAL was normed; they do not reflect progression of individuals across time. Although this can be helpful in gaining a picture of the general overall development of sequential memory throughout childhood and adolescence, it is likely that individuals show different patterns of development.

Previous research findings had shown individual differences in the development of children's learning skills, and with such findings have come the realization that models, methodologies, and analyses that include consideration of individual differences are needed (Molfese & Molfese, 2002). It has been found that individual brain biochemistry is highly variable, with difference particularly pronounced in the frontal lobes (Ebstein et al., 1996). Thus, it is reasonable to hypothesize that individuals would display different patterns of development in the maturation of different frontal functions, including sequential memory.

Other limitations of the study involve the formulation of the model of frontal functioning development. The model was subjectively derived, based on the cumulative findings of past research on the development of different frontal functions. Because of the limited research regarding the development of different frontal functions throughout adolescence and into early adulthood, the model is limited to the extent to which it potentially represents the overall development of frontal functioning. In addition, the patterns of development for each of the respective frontal functions were based on specific measures and thus may not adequately represent the development course for each of the respective functions. For example, the developmental increases in performance on different Wisconsin Card Sorting Test variables may not adequately represent the development of inhibition of perseveration.

## Important Considerations

There are a number of important considerations that need to be kept in mind when investigating frontal lobe functioning. The integrative and organizational nature of frontal lobe functioning makes it inherently difficult to tease apart the cognitive functions mediated by this region of the brain. The concepts of attention, executive functions, and different components of memory overlap, and each contributes to performance on various frontal functioning tasks. Thus, in the present study, the tasks used to measure sequential memory similarly tap attentional and organizational components. For example, one could not encode information into memory without adequate attention or without an adequate strategy (i.e., executive function). Similarly, executive functions would not be able to emerge if memory systems could not operate to register, store, and make available diverse forms of knowledge and experience. Such interrelatedness makes it difficult to separate and individually assess each of these functions. It may be impossible to obtain a pure test of frontal functions because an element of theoretical constraint of frontal functions is that they involve simultaneous management of a variety of different cognitive functions.

Although previous research has suggested parallels in the patterns of emergence of frontal functioning, because frontal functions include a number of diverse cognitive abilities, they may be divided into a number of subcomponents possessing different developmental trajectories and potentially maturing at different rates. Results of the

102

present study indicated that some differences characterized the individual developmental patterns of various frontal functions including planning ability, verbal fluency, design fluency, inhibition of perseveration and set maintenance. For example, whereas age related increase in performance continued into early adulthood for planning and verbal fluency, there was not significant change in performance after 14 years of age in inhibition of perseveration and set maintenance. Such differing patterns may reflect mediation by specific areas within the frontal lobes, each of which matures at different rates. Certainly, an important consideration in regards to the development of frontal functioning is the fact that such development is intertwined with the development of the interacting systems including memory, language, emotions, and attention. Therefore, in describing the developmental trajectories of sequential memory, certainly the development of other abilities contributes to the evident patterns.

Further considerations relevant to developmental studies, including this investigation of the development of sequential memory, include the involvement of the maturational processes of other regions of the brain on the development of frontal functioning. The neural transmission between the frontal regions with other regions of the brain such as posterior and subcortical regions likely has an impact on the functioning of the frontal and prefrontal cortex, which have rich connections with all cerebral areas. The maturation of these other regions may enhance the functioning of anterior cerebral areas. Other areas of the brain often are activated simultaneously during performance on many "frontal" tasks. For example, the anterior cingulate cortex also has been linked to many of these same cognitive functions (Carter, Botvinick, & Cohen,

103

1999; Cohen, Botvinick, & Carter, 2000; Posner & Rothbart, 1998). The cerebellum also is consistently activated during cognitive tasks in which the dorsolateral prefrontal cortex is activated (Diamond, 2000). Thus, it is inherently difficult to tease the contributory parts of different regions apart. The prefrontal cortex does not subserve any of its functions in isolation from other neural regions.

### Implications of Research

Developmental changes in cognitive abilities in childhood have long been of interest to psychologists. In order to understand more fully the role of memory deficits and frontal lobe dysfunction in children, it is important to discuss normal frontal lobe development, including the development of the temporal organization of memory. An understanding of normal maturational processes occurring within the central nervous system and the associated development of cognitive abilities provides a backdrop for interpreting the possible impairments of children who have sustained frontal injuries or who are diagnosed with disorders associated with frontal lobe dysfunction or delays. Damage to frontal regions during childhood may interrupt normal maturational processes, leading to irreversible changes in brain structure and organization and associated impairments in neurobehavioral development; such impairments may hinder the child's capacity to function in day-to-day life, to acquire new skills, and to benefit from the educational setting. As discussed, a wide variety of behavior and learning problems including many clinical conditions that affect children and adolescents have been found to be related to frontal lobe function deficits. This suggests that having a developmental dysfunction on various difficulties could facilitate identifying core

cognitive problems for which psychologists can directly or indirectly intervene. Although more research needs to be done to delineate specific patterns of executive dysfunction according to particular disorders or problems, some recent work on the role of executive function in developmental psychopathology suggests that distinctive executive function profiles may exist across different clinical conditions and problems (Pennington & Ozonoff, 1996; Welsh, 2002). By targeting particular executive skills, which are beyond the skills typically tapped by measures of general cognitive abilities such as measures of intelligence, psychologists would be able to more effectively intervene with children exhibiting various behavior and learning problems.

Sequential memory is an important component process of learning and an important aspect of frontal functioning. Deficits in sequential memory result in difficulties remembering the order or sequence of items one sees or hears and may lead to impairment in learning to read, following directions, copying from the board, and applying the steps to carry out a mathematic calculation. Sequential memory falls within a broader domain of frontal functioning, the temporal organization of memory that involves the encoding and representation of temporal information. A breakdown in the temporal organization of memory leads to an inability to order actions in appropriate temporal sequences, and in turn, can lead to difficulty with planning, goal-directed behavior, and sequencing. When assessing a child's or adolescent's learning difficulties, it is important to assess where the breakdown is occurring, or which sub-skills are not playing their role in the learning process. The assessment of sequential memory can be an important component of the neuropsychological evaluation in determining areas of

cognitive strengths and weaknesses. Sequential memory, or the ability to retain the order of steps, events, or other sequences, serves as a prerequisite for higher order domains of temporal organization including time management (e.g. the efficient use of time) and the application of serial order to concept development and problem solving.

Because sequential memory is an important aspect of the learning process and an integral part of the broader domain of the temporal organization of cognition and behavior, the developmental patterns of sequential memory that have been revealed in the present study can help to define the acquisition of these abilities throughout childhood and adolescence. Furthermore, knowledge of such developmental patterns can contribute to an increased understanding of overall cognitive development, creating a clearer picture of the acquisition of abilities that aid in the learning process. By gaining a better understanding of the normal development of such abilities and sequential ability, interventions and methods of instruction can be better geared towards age-appropriate abilities. For example, given that there is a boost in sequential memory between 6 and 8 years of age, the encouragement of sequential processing during this timeframe may be of benefit. Furthermore, knowing that such development continues to develop throughout adolescence suggests that sequential processing potentially contributes to increased sequential problem solving and higher order cognitive abilities.

### Directions for Future Research

There certainly is a need for continued research to further explore frontal lobe functioning, its course of maturation in the developing child, and its association with common psychological and neurological disorders. There remain many unanswered questions about the development of the prefrontal cortex and the abilities it subserves. This region is important for so many diverse functions. As stated by Stuss and Knight (2002), knowledge of the prefrontal cortex "holds the key to understanding normal and disordered cognition with profound implications for both the individual and society" (pg. 591).

Establishing a link between brain systems and behavior is a complex and difficult task, with the difficulty compounded in a developing system. It is of great importance that continued efforts are characterized by communication between different disciplines and the integration of interdisciplinary research findings in order to improve the overall understanding of brain-behavior relationships in the developing child. For example, the fusion of neuropsychological approaches informed by cognitive theory and techniques to measure brain physiology will help increase overall knowledge regarding the frontal lobes. In studying the frontal lobes' involvement in such cognitive functions as sequential memory, it is important to uncover such information as the wiring pattern and neurochemical bases of such functions. Such continued research has the potential to provide further elucidation of the relationships between the development of frontal functions and frontal structures. For example, such an examination may include combining imaging data on structural and functional properties of the brain and looking at correlations between white matter maturation and neural activity, helping to identify functional networks involved in tasks completion.

It also is important to better understand the developmental timetable in the functional connectivity between the prefrontal cortex and other neural regions with 107

which it is interconnected. Such an area of future research is important because of the integrative nature of frontal lobe functioning The neural transmission between the frontal regions with other regions of the brain such as posterior and subcortical regions likely has an impact on the functioning of the frontal and prefrontal cortex.

Another area in need of continued research involves further exploration of the hypothesized protracted course of development believed to characterize frontal lobe functioning. To date, there has been little evidence regarding the maturation of frontal functions during adolescence and into early adulthood. It is difficult to know whether the slowing of maturation and only moderate age effects in adolescence really reflects a relative slowing of the development of neurocognitive functions, or whether they merely reflect psychometric aspects of the tests. For example, the presence of ceiling effects may characterize many of the common measures of frontal functioning. Continued efforts may attempt to further elucidate the patterns of development with an investigation of additional measures of frontal functioning.

With the increasing recognition of the role of the frontal lobes in organizing thought and behavior over time, there is need for continued examination of the different theories regarding the processing of temporal information. A number of theories have been put forward and with continued research and interdisciplinary collaboration a clearer picture of the frontal lobes involvement in temporal domains can be gained. Stuss and Knight (2002) have proposed that Tulving's idea of mental time travel should be considered in the context of Fuster's temporal integration and contrasted with the different temporal domains considered in the workings of memory. The consideration of sequential memory within this context may be of benefit in providing elaboration and further insight into this important role of the frontal regions of the brain.

A final potential area of future research involves continued investigations of the role of deficits in frontal functioning, including sequential memory, in common psychological and neurological disorders. For example, further research can examine whether a deficit in, or delayed developmental course of sequential memory is associated with disorders commonly linked with frontal lobe dysfunction including attention-deficit hyperactivity disorder. Longitudinal and cross-sectional studies of children with disorders commonly associated with frontal lobe dysfunction could provide information regarding the rate and extent of development of such frontal lobe skills as the temporal ordering of memory. Such information could help determine whether the cognitive dysfunctions associated with such disorders represent a maturational lag or a permanent impairment. Longitudinal studies can help to better identify individual differences in the development of sequential memory.

#### REFERENCES

- Anderson, V. A., Anderson, P., Northam, E., Jacobs, R., & Catroppa, C. (2001).
  Development of executive functions through late childhood and adolescence in an Australian sample. *Developmental Neuropsychology*, 20(1), 385-406.
- Anderson, V. A., Levin, H. S., & Jacobs, R. (2002). Executive functions after frontal lobe injury: A developmental perspective. In D. T. Stuss & R. T. Knight (Eds.), *Principles of frontal lobe function* (pp. 504-527). New York: Oxford University Press.
- Anderson, V. A., & Lajoie, G. (1996). Development of memory and learning skills in school-aged children: A neuropsychological perspective. *Applied Neuropsychology*, 3/4, 128-139.
- Ardila, A., & Rosselli, M. (1994). Development of language, memory, and visuospatial abilities in 5- to 12-year-old children using a neuropsychological battery.
   Developmental Neuropsychology, 10(2), 97-120.
- August, G. J., & Garfinkel, B. D. (1990). Comorbidity of ADHD and reading disability among clinic-referred children. *Journal of Abnormal Child Psychology*, 18, 29-45.
- Bachevalier, J., & Hagger, C. (1991). Sex differences in the development of learning abilities in primates. *Psychoneuroendocrinolgy*, 16, 177-188.
- Baddeley, A. D. (1992). Working memory. Science, 255, 556-559.
- Baddeley, A. D. (2001). Is working memory still working? *American Psychologist*, 56(11), 851-864.

- Baddeley, A. D., & Hitch, G. J. (1974). Working memory. In G. H. Bower (Ed.), *The psychology of learning and motivation* (Vol. 8, pp. 47-89). New York: Academic Press.
- Barkley, R. A. (1997). Behavioral inhibition, sustained attention, and executive functions: Constructing a unifying theory of ADHD. *Psychological Bulletin, 121,* 65-94.
- Barkley, R. A. (2001). The executive functions and self-regulation: An evolutionary neuropsychological perspective. *Neuropsychology Review*, *11*(1), 1-29.
- Barringer, M. S., & Reynolds, C. R. (1995, November). Behavior ratings of frontal lobe dysfunction. Paper presented at the annual meeting of the National Academy of Neuropsychology, Orlando, FL.
- Becker, M. G., Isaac, W., & Hynd, G. W. (1987). Neuropsychological development of nonverbal behaviors attributed to "frontal lobe" functioning. *Developmental Neuropsychology*, 3, 275-298.
- Bishop, J., Knights, R. M., & Stoddart, C. (1990). Rey Auditory-Verbal Learning Test:
  Performance of English and French children aged 5 to 16. *The Clinical Neuropsychologist*, 4(2), 133-140.
- Bjork, E. L., & Cummings, E. M. (1984). Infant search errors: Stage of concept development or stage of memory development. *Memory and Cognition*, 12(1), 1-19.
- Bjorklund, D. F., & Douglas, R. N. (1997). The development of memory strategies. N. Cowan (Ed.), *The development of memory in childhood: Studies in*

*developmental psychology* (pp. 201-246). Hove, England: Psychology Press/Erlbaum.

- Brown, J. W. (1990). Psychology of time awareness. *Brain and Cognition*, *14*(2), 144-164.
- Buckner, R. L., & Petersen, S. E. (1996). What does neuroimaging tell us about the role of prefrontal cortex in memory retrieval? *Seminars in the Neurosciences*, *8*, 47-55.
- Burchinal, M., & Appelbaum, M.I. (1991). Estimating individual developmental functions: Methods and their assumptions. *Child Development*, *62*, 23-43.
- Butters, N., & Cermak, L. S. (1974). The role of cognitive factors in the memory disorders of alcoholic patients with the Korsakoff syndrome. *Annals of the New York Academy of Sciences*, 233, 61-75.
- Carter, C. S., Botvinick, M. M., Cohen, J. D. (1999). The contribution of the anterior cingulate cortex to executive processes in cognition. *Reviews in the Neurosciences*, 10, 49-57.
- Case, R. (1985). Intellectual development: Birth to adulthood. Orlando, FL: Academic.
- Case, R. (1992). The role of the frontal lobes in the regulation of cognitive development. *Brain & Cognition, 20*, 51-73.
- Caviness, V. S., Jr., Kennedy, D. N., Richelme, C., Rademacher, J., & Filipek, P. A. (1996). The human brain age 7-11 years: A volumetric analysis based on magnetic resonance images. *Cerebral Cortex*, 6, 726-736.

- Cermak, L. S., Butters, N., & Moreines, J. (1974). Some analyses of the verbal encoding deficit of alcoholic Korsakoff patients. *Brain and Language*, *1*(2), 141-150.
- Chelune, G. J., & Baer, R. A. (1986). Developmental norms for the Wisconsin Card Sorting Test. *Journal of Clinical and Experimental Neuropsychology*, *8*, 219-228.
- Chelune, G. J., Ferguson, W., Koon, R., & Dickey, T. O. (1986). Frontal lobe disinhibition in attention deficit disorder. *Child Psychiatry and Human Development, 16*, 221-234.
- Chugani, H.T. (1994). Development of regional brain glucose metabolism in relation to behavior and plasticity. In G. Dawson & K.W. Fischer (Eds.), *Human behavior* and the developing brain (pp. 153-175). New York: Guilford.
- Chugani, H. T., & Phelps, M. E. (1986). Maturational changes in cerebral function in infants determined by <sup>18</sup>FDG positron emission tomography. *Science*, 231, 840-843.
- Cohen, J. D., Botvinick, M., & Carter, C. S. (2000). Anterior cingulate and prefrontal cortex: Who's in control? *Nature Neuroscience*, *3*, 421-423.
- Corkin, S. (1965). Tactually-guided image learning in man: Effect of unilateral cortical excisions and bilateral hippocampal lesions. *Neuropsychologia*, *3*, 339-351.
- Cummings, J. L. (1993). Frontal-subcortical circuits and human behavior. *Archives of Neurology*, *50*(8), 873-880.

- Damasio, A. R., Tranel, D., & Damasio, H. (1990). Individuals with sociopathic behavior caused by frontal lobe damage fail to respond autonomically to social stimuli. *Behavioral Brain Research*, 41(2), 81-94.
- Daum, I., Schugens, M. M., Spieker, S., Poser, U., Schönle, P. W., & Birbaumer, N. (1995). Memory and skill acquisition in Parkinson's disease and frontal lobe dysfunction. *Cortex*, 31(3), 413-432.
- Davies, P. L., & Rose, J. D. (1999). Assessment of cognitive development in adolescents by means of neuropsychological tasks. *Developmental Neuropsychology*, 15(2), 227-248.
- De Luca, C. R., Wood, S. J., Anderson, V., Buchanan, J. A., Proffitt, T. M., Mahony, K., et al. (2003). Normative data from the Cantab. I: Development of executive function over the lifespan. *Journal of Clinical and Experimental Neuropsychology*, 25(2), 242-254.
- Dempster, F. N. (1992). The rise and fall of the inhibitory mechanism: Toward a unified theory of cognitive development and aging. *Developmental Review*, *12*(1), 45-75.
- Denckla, M. B. (1994). Measurement of executive function. In G. R. Lyon (Ed), Frames of reference for the assessment of learning disabilities: New views on measurement issues (pp. 117-142). Baltimore, MD: Paul H. Brookes.
- Denckla, M. B. (1996). A theory and model of executive function: A neuropsychological perspective. In G. R. Lyon & N. A. Krasnegor (Eds.), *Attention, memory, and executive function* (pp. 263-278). Baltimore, MD: Paul H. Brookes.

- Dery, M., Toupin, J., Pauze, R., Mercier, H., & Fortin, L. (1999). Neuropsychological characteristics of adolescents with conduct disorder: Association with attentiondeficit-hyperactivity and aggression. *Journal of Abnormal Child Psychology*, 27(3), 225-236.
- Diamond, A. (1985). Development of the ability to use recall to guide action, as indicated by infants' performance on AB. *Child Development*, *56*(4), 868-83.
- Diamond, A. (1990). The development and neural bases of memory functions as indexed by the AB and delayed response tasks in human infants and infant monkeys.
   Annals of the New York Academy of Sciences, 608, 267-317.
- Diamond, A. (2000). Close interrelation of motor development and cognitive development and of the cerebellum and prefrontal cortex. *Child Development*, *71*, 44-56.
- Diamond, A., & Doar, B. (1989). The performance of human infants on a measure of frontal cortex function, the delayed response task. *Developmental Psychobiology*, 22, 271-294.
- Diamond, A., & Goldman-Rakic, P. S. (1989). Comparison of human infants and rhesus monkeys on Piaget's AB task: Evidence for dependence on dorsolateral prefrontal cortex. *Experimental Brain Research*, 74, 24-40.
- Duncan, T. E., & Duncan, S. C. (1995). Modeling the processes of development via latent variable growth curve methodology. *Structural Equation Modeling*, *2*, 187-213.

- Ebstein, R. B., Novick, O., Umansky, R., Priel, B., Osher, Y., Blaine, D., et al. (1996). Dopamine D4 receptor (D4DR) exon III polymorphism associated with the human personality trait of novelty seeking. *Nature Genetics*, *12*(1), 78-80.
- Eckstein, S.G. (1999). A dynamic model of cognitive growth in a population: Spatial tasks and conservation. *Journal of Mathematical Psychology*, *43*, 34-70.
- Eckstein, S.G. (2000). Growth of cognitive abilities: Dynamic models and scaling. Developmental Review, 20, 1-28.
- Eslinger, P. J. (1996). Conceptualizing, describing, and measuring components of executive function: A summary. In G. R. Lyon, & N. A. Krasnegor (Eds.), *Attention, memory, and executive function* (pp. 367-396). Baltimore, MD: Paul H. Brookes.
- Espy, K. A., Kaufmann, P. M., Glisky, M. L., & McDiarmid, M. D. (2001). New Procedures to assess executive functions in preschool children. *The Clinical Neuropsychologist*, 15(1), 46-58.
- Fischer, K. W., & Rose, S. P. (1997). Dynamic growth cycles of brain and cognitive development. In R. W. Thatcher, G. R. Lyon, J. Rumsey, & N. Krasnegor (Eds.), *Developmental Neuroimaging: Mapping the Development of Brain and Behavior* (pp. 263-279). San Diego, CA: Academic Press.
- Fletcher, P. C., Shallice, T., & Dolan, R. J. (1998). The functional roles of prefrontal cortex in episodic memory I: Encoding. *Brain*, 121, 1239-1248.

- Fletcher, P. C., Shallice, T., Frith, C. D., Frackowiak, R. S. J., & Dolan, R. J. (1998). The functional roles of prefrontal cortex in episodic memory II: Retrieval. *Brain*, *121*, 1249-1256.
- Forrester, G., & Geffen, G. (1991). Performance measures of 7- to 15-year-old children on the Auditory Verbal Learning Test. *The Clinical Neuropsychologist*, 5(4), 345-359.
- Funahashi, S. (2001). Neuronal mechanisms of executive control by the prefrontal cortex. *Neuroscience Research, 39*, 147-165.
- Fuster, J. M. (1997). The prefrontal cortex: Anatomy, Physiology, and
   Neuropsychology of the Frontal Lobe (3<sup>rd</sup> ed.). Philadelphia, PA: Lippincott-Raven.
- Fuster, J. M. (2002). Physiology of executive functions: The perception-action cycle. In
  D. T. Stuss & R. T. Knight (Eds.), *Principles of frontal lobe function* (pp. 96-108). New York: Oxford University Press.
- Gathercole, S.E. (1998). The development of memory. *Journal of Child Psychology and Psychiatry*, *39*(1), 3-27.
- Giancola, P. R., Martin, C. S., Tarter, R. W., Pelham, W. E., & Moss, H. B. (1996).
  Executive cognitive functioning and aggressive behavior in preadolescent boys at high risk for substance abuse/dependence. *Journal of Studies on Alcohol, 57*, 352-359.

- Giedd, J. N., Snell, J. W., Lange, N., Rajapakse, J. C., Casey, B. J., Kozuch, P. L., et al. (1996). Quantitative magnetic resonance imaging of human brain development: Ages 4-18. *Cerebral Cortex, 6*(4), 551-560.
- Gioia, G. A., Isquith, P. K., & Guy, S. C. (2001). Assessment of executive functions in children with neurological impairment. In R. J. Simeonsson & S. L. Rosenthal (Eds.), *Psychological and developmental assessment: Children with disabilities and chronic conditions* (pp. 317-356). New York: Guilford.
- Gibson, K. R. (1991). Myelination and behavioral development: A comparative perspective on questions of neoteny, altriciality and intelligence. In K. R. Gibson & A. C. Petersen (Eds.), *Brain maturation and cognitive development: Comparative and cross-cultural perspectives* (pp. 29-63). Hawthorne, NY: Aldine de Gruyter Press.
- Glick, S. D., Ross, D. A., & Hough, L. B. (1982). Lateral asymmetry of neurotransmitters in human brain. *Brain Research*, 234(1), 53-63.
- Godbout, L., & Doyon, J. (1995). Mental representation of knowledge following frontallobe or postrolandic lesions. *Neuropsychologia*, *33*(12), 1671-1696.
- Goldberg, E. (2001). *The executive brain: Frontal lobes and the civilized mind*. New York: Oxford.
- Golden, C. J. (1981). The Luria-Nebraska Children's Battery: Theory formulation. In G.
  W. Hynd & J. Obrzut (Eds.), *Neuropsychological assessment and the school-age child: Issues and procedures* (pp. 277-302). New York: Grune & Stratton.

- Goldman, P. S. (1971). Functional development of the prefrontal cortex in early life and the problem of neuronal plasticity. *Experimental Neurology*, *32*, 366-387.
- Goldman-Rakic, P. S. (1987). Development of cortical circuitry and cognitive function. *Child Development*, *58*(3), 601-622.
- Goldman-Rakic, P. S., & Brown, R. M. (1982). Postnatal development of monoamine content and synthesis in the cerebral cortex of rhesus monkeys. *Developmental Brain Research*, 4(3), 339-349.
- Goldstein, S. (1999). Attention-deficit/hyperactivity disorder. In S. Goldstein & C. R. Reynolds (Eds.), *Handbook of neurodevelopmental and genetic disorders in children* (pp. 154-184). New York: Guilford.
- Gorenstein, E. E., Mammato, C. A., & Sandy, J. M. (1989). Performance of inattentiveoveractive children on selected measures of prefrontal-type function. *Journal of Clinical Psychology*, 45(4), 619-632.
- Graham, S., & Harris, K. R. (1993). Teaching writing strategies to students with learning disabilities: Issues and recommendations. In L. J. Meltzer (Ed.), *Strategy assessment and instruction for students with learning disabilities* (pp. 271-292).
  Austin, TX: Pro-Ed.
- Grattan, L. M., & Eslinger, P. J. (1991). Frontal lobe damage in children and adults: A comparative review. *Developmental Neuropsychology*, 7(3), 283-326.
- Griffith, E. M., Pennington, B. F., Wehner, E. A., & Rogers, S. J. (1999). Executive functions in young children with autism. *Child Development*, *70*(4), 817-832.

- Heaton, R. K., Chelune, G. J., Talley, J. L., Kay, G. G., & Curtiss, G. (1993). Wisconsin Card Sorting Test manual: Revised and expanded. Odessa, FL: Psychological Assessment Resources.
- Heilman, K. M., Voeller, K. K., & Nadeau, S. E. (1991). A possible pathophysiologic substrate of attention deficit hyperactivity disorder. *Journal of Child Neurology,* 6 (Suppl), S76-S81.
- Howes, N. L., Bigler, E. D., Lawson, J. S., & Burlingame, G. M. (1999). Reading disability subtypes and the Test of Memory and Learning. *Archives of Clinical Neuropsychology*, 14(3), 317-339.
- Hulme, C., Muir, C., Thompson, N., & Lawrence, A. (1984). Speech rate and the development of short-term memory span. *Journal of Experimental Child Psychology*, 38, 241-253.
- Huttenlocher, P. R. (1979). Synaptic density in human frontal cortex-developmental change and effects of aging. *Brain Research*, *163*, 195-205.
- Huttenlocher, P. R. (1994). Synaptogenesis in human cerebral cortex. In G. Dawson & K. W. Fischer (Eds.), *Human behavior and the developing brain* (pp. 137-152). New York: Guilford Press.
- Huttenlocher, P. R., & de Courten, C. (1987). The development of synapses in striate cortex of man. *Human Neurobiology*, *6*(1), 1-9.
- Incisa della Rocchetta, A. (1986). Classification and recall of pictures after unilateral frontal or temporal lobectomy. *Cortex, 22,* 189-211.

- Incisa della Rocchetta, A., & Milner, B. (1993). Strategic search and retrieval inhibition: The role of the frontal lobes. *Neuropsychologia*, *31*(6), 503-524.
- Isaacs, E. B., & Vargha-Khadem, F. (1989). Differential course of development of spatial and verbal memory span: A normative study. *British Journal of Developmental Psychology*, 7, 377-380.
- Jacobsen, C. F. (1935). Functions of the frontal association area in primates. *Archives* of Neurology & Psychiatry, 33, 558-569.
- Jacobsen, C. F., & Nissen, H. W. (1937). Studies of cerebral function in primates IV:
   The effects of frontal-lobe lesions on the delayed alternation habit in primates.
   *Journal of Comparative and Physiological Psychology, 23,* 101-112.
- Janowsky, J. S., Shimamura, A. P., Kritchevsky, M., & Squire, L. R. (1989). Cognitive impairment following frontal lobe damage and its relevance to human amnesia. *Behavioral Neuroscience*, 103(3), 548-560.
- Janowsky, J. S., Shimamura, A. P., & Squire, L. R. (1989). Source memory impairment in patients with frontal lobe lesions. *Neuropsychologia*, *27*, 1043-1056.
- Jedidi, K., Ramaswamy, V., DeSarbo, W. S., & Wedel, M. (1996). On estimating finite mixtures of multivariate regression and simultaneous equation models. *Structural Equation Modeling*, 3(3), 266-289.
- Jernigan, T. L., Trauner, D. A., Hesselink, J. R., & Tallal, P. A. (1991). Maturation of human cerebrum observed in vivo during adolescence. *Brain, 114,* 2037-2049.
- Jetter, W., Poser, U., Freeman, R. B., & Markowitsch, H. J. (1986). A verbal long term memory deficit in frontal lobe damaged patients. *Cortex, 22*, 229-242.

Joseph, R. (1996). *Neuropsychiatry, neuropsychology, and clinical neuroscience: Emotion, evolution, cognition, language, memory, brain damage, and abnormal behavior* (2<sup>nd</sup> ed.). Baltimore, MD: Williams & Wilkins.

Kapur, S., Craik, F. I. M., Jones, C., Brown, G. M., Houle, S., & Tulving, E. (1995).
Functional role of the prefrontal cortex in retrieval of memories: A PET study. *Neuroreport: An International Journal for the Rapid Communication of Research in Neuroscience*, 6(14), 1880-1884.

- Kapur, S., Craik, F. I. M., Tulving, E., Wilson, A. A., Houle, S., & Brown, G. M. (1994). Neuroanatomical correlates of encoding in episodic memory: Levels of processing effect. *Proceedings of the National Academy of Sciences, USA, 91,* 2008-2011.
- Kelejian, H. (1971). Two stage least squares and econometric systems: Linear in parameters but nonlinear in the endogenous variables. *Journal of the American Statistical Association*, 66, 373-374.
- Kelly, M. S., Best, C. T., & Kirk, U. (1989). Cognitive processing deficits in reading disabilities: A prefrontal cortical hypothesis. *Brain and Cognition*, 11, 275-293.
- Kendler, T. S., & Kendler, H. H. (1962). Inferential behavior in children as a function of age and subgoal constancy. *Journal of Experimental Psychology*, 64(5), 460-466.
- Kennedy, C., Grave, G. D., Jehle, J. W., & Sokoloff, L. (1970). Blood flow to white matter during maturation of the brain. *Neurology*, *20*, 613-618.
- Kesner, R. P., Hopkins, R. O., & Fineman, B. (1994). Item and order dissociation in humans with prefrontal cortex damage. *Neuropsychologia*, 32(8), 881-891.

Kimura, D. (1992). Sex differences in the brain. Scientific American, 267(3), 118-125.

- Kinney, H. C., Brody, B. A., Kloman, A. S., & Gilles, F. H. (1988). Sequence of central nervous system myelination in human infancy. *Journal of Neuropathology and Experimental Neurology*, 47, 217-234.
- Klenberg, L., Korkman, M., & Lahti-Nuuttila, P. (2001). Differential development of attention and executive function in 3- to 12-year-old Finnish children. *Developmental Neuropsychology*, 20(1), 407-428.
- Knight, R. T., & Stuss, D. T. (2002). Prefrontal cortex: The present and the future. In D.T. Stuss & R. T. Knight (Eds.), *Principles of frontal lobe function* (pp. 573-596).New York: Oxford University Press.
- Kolb, B., & Milner, B. (1981). Performance of complex arm and facial movements after focal brain lesions. *Neuropsychologia*, 19, 505-514.
- Konorski, J. (1959). A new method of physiological investigation of recent memory in animals. *Bulletin de l'Academie polonaise des sciences*, *7*, 115-117.
- Korkman, M., Kemp, S. L., & Kirk, U. (2001). Effects of age on neurocognitive measures of children ages 5 to 12: A cross-sectional study on 800 children from the United States. *Developmental Neuropsychology*, 20(1), 331-354.
- Kramer, J. H., Delis, D. C., Kaplan, E., O'Donnell, L., & Prifitera, A. (1997).
  Developmental sex differences in verbal learning. *Neuropsychology*, 11(4), 577-584.

- Lee, M., Vaughn, B. E., & Kopp, C. B. (1983). Role of self-control in the performance of very young children on a delayed-response memory-for-location task. *Developmental Psychology*, 19(1), 40-44.
- Lehto, J. (1996). Are executive function tests dependent on working memory capacity? Quarterly Journal of Experimental Psychology: Human Experimental Psychology. Special Issue: Working Memory, 49A(1), 29-50.
- Levin, H. S., Culhane, K. A., Hartmann, J., Evankovich, K., Mattson, A. J., Harward, H., et al. (1991). Developmental changes in performance on tests of purported frontal lobe functioning. *Developmental Neuropsychology*, *7*, 377-395.
- Lewinsohn, P. M., Zieler, R. E., Libet, J., Eyeberg, S., & Nielson, G. (1972). Short-term memory: A comparison between frontal and nonfrontal right- and lefthemisphere brain-damaged patients. *Journal of Comparative and Physiological Psychology*, 81(2), 248-255.
- Lezak, M. D. (1995). *Neuropsychological assessment*. New York: Oxford University Press.
- Lin, C. C. H., Chen, W. J., Yang, H., Hsiao, C. K., & Tien, A.Y. (2000). Performance on the Wisconsin Card Sorting Test among adolescents in Taiwan: Norms, factorial structure, and relation to schizotypy. *Journal of Clinical and Experimental Neuropsychology*, 22(1), 69-79.
- Luciana, M., & Nelson, C. A. (1998). The functional emergence of prefrontally-guided working memory systems in four- to eight-year-old children. *Neuropsychologia*, 36(3), 273-293.

- Lueger, R. J., & Gill, K. J. (1990). Frontal-lobe cognitive dysfunction in conduct disorder adolescents. *Journal of Clinical Psychology*, *46*(6), 696-706.
- Luria, A. R. (1966). Higher cortical functions in man. New York, NY: Basic.
- Luria, A. R. (1969). Cerebral organization of conscious acts: A frontal lobe function.
   Invited address to the 19<sup>th</sup> International Congress of Psychology, London,
   England.
- Luria, A. R. (1973). *The working brain: An introduction to neuropsychology*. New York: Basic Books.
- Lustig, C., May, C. P., & Hasher, L. (2001). Working memory span and the role of proactive interference. *Journal of Experimental Psychology: General*, 130(2) 199-207.
- Lyon, G. R., & Krasnegor, N. A. (1996). *Attention, memory, and executive function*. Baltimore, MD: Paul H. Brookes.
- Malmo, R. B. (1942). Interference factors in delayed response in monkeys after removal of the frontal lobes. *Journal of Neurophysiology*, *5*, 295-308.
- Mangels, J. A., Gershberg, F. B., Shimamura, A. P., & Knight, R. T. (1996). Impaired retrieval from remote memory in patients with frontal lobe damage. *Neuropsychology*, 10(1), 32-41.
- Massman, P. J., Delis, D. C., Butters, N., Levin B. E., & Salmon, D. P. (1990). Are all subcortical dementias alike? Verbal learning and memory in Parkinson's and Huntington's disease patients. *Journal of Clinical and Experimental Neuropsychology*, 12(5), 729-744.

- Mattes, J. A. (1980). The role of frontal lobe dysfunction in childhood hyperkinesis. *Comprehensive Psychiatry*, 21, 358-369.
- May, C. P., Hasher, L., & Kane, M. J. (1999). The role of interference in memory span. *Memory and Cognition, 27,* 759-767.
- McAndrews, M. P., & Milner, B. (1991). The frontal cortex and memory for temporal order. *Neuropsychologia*, 29(9), 849-859.
- McDonald, C. R., Bauer, R. M., Grande, L., Gilmore, R., & Roper, S. (2001). The role of the frontal lobes in memory: Evidence from unilateral frontal resections for relief of intractable epilepsy. *Archives of Clinical Neuropsychology*, 16, 571-585.
- Meltzer, L. J. (1993). Strategy use in students with learning disabilities: The challenge of assessment. In L. J. Meltzer (Ed.), *Strategy assessment and instruction for students with learning disabilities* (pp. 93-139). Austin, TX: Pro-Ed.
- Meudell, P. R., Mayes, A. R., Ostergaard, A., & Pickering, A. (1985). Recency and frequency judgements in alcoholic amnesics and normal people with poor memory. *Cortex, 21,* 487-511.
- Michon, J. A., & Jackson, J. L. (1984). Attentional effort and cognitive strategies in the processing of temporal information. In J. Gibbon & L. G. Allen (Eds.), *Annals of the New York Academy of Sciences: Vol. 423. Time and time perception* (pp. 298-321). New York: New York Academy of Sciences.

- Miller, P. H., & Weiss, M. G. (1981). Children's attention allocation, understanding of attention, and performance on the incidental learning task. *Child Development*, 52, 1183-1190.
- Milner, B. (1963). Effects of different brain lesions on card sorting: The role of the frontal lobes. *Archives of Neurology*, *9*, 100-110.
- Milner, B. (1968). Memory. In L. Weiskrantz (Ed.), *Analysis of behavioral change* (pp. 328-356). New York: Harper and Row.
- Milner, B. (1971). Interhemispheric differences in the localization of psychological processes in man. *British Medical Journal*, *27*, 272-277.
- Milner, B. (1995). Aspects of human frontal lobe function. In H.H. Jasper, S. Riggio, &
  P.S. Goldman-Rakic (Eds). *Epilepsy and the functional anatomy of the frontal lobe* (pp. 67-84). New York, NY: Raven Press.
- Milner, B., Corsi, P., & Leonard, G. (1991). Frontal-lobe contribution to recency judgments. *Neuropsychologia*, 29(6), 601-618.
- Milner, B., & Petrides, M. (1984). Behavioural effects of frontal-lobe lesions in man. *Trends in Neurosciences*, 7(11), 403-407.
- Milner, B., Petrides, M., & Smith, M. L. (1985). Frontal lobes and the temporal organization of memory. *Human Neurobiology*, *4*, 137-142.
- Mishkin, M., & Manning, F. J. (1978). Non-spatial memory after selective prefrontal lesions in monkeys. *Brain Research*, 143, 313-323.

- Mishkin, M., & Pribram, K. H. (1955). Analysis of the effects of frontal lesions in monkey: I. Variations of delayed alternation. *Journal of Comparative & Physiological Psychology*, 48, 492-495.
- Mishkin, M., & Pribram, K. H. (1956). Analysis of the effects of frontal lesions in monkey: II. Variations of delayed response. *Journal of Comparative & Physiological Psychology*, 49, 36-40.
- Molfese, D. L., & Molfese, V. J. (2002). Developmental variations in learning:
  Applications to social, executive function, language, and reading skills. Mahwah,
  NJ: Lawrence Erlbaum Associates.
- Morris, R. D. (1996). Relationships and distinctions among the concepts of attention, memory, and executive function: A developmental perspective. In G. R. Lyon and N. A. Krasnegor (Eds.), *Attention, memory, and executive function (pp. 11-16)*. Baltimore, MD: Paul H. Brooks.
- Moscovitch, M. (1989). Confabulation and the frontal system: Strategic versus associative retrieval in neuropsychological theories of memory. In H. L. Roediger III & F. I. M. Craik (Eds.), *Varieties of memory & consciousness: Essays in honor of Endel Tulving* (pp. 133-160). Hillsdale, NJ: Lawrence Erlbaum Associates.
- Moscovitch, M. (1992). Memory and working with memory: A component process model based on modules and central system. *Journal of Cognitive Neuroscience*, *4*(3), 257-267.

- Nairne, J. S. (1990). Similarity and long-term memory for order. *Journal of Memory and Language*, *29*(6), 733-746.
- Nelson, C. A. (1995). The ontogeny of human memory: A cognitive neuroscience perspective. *Developmental Psychology*, *31*(5), 723-738.
- Nyberg, L., Cabeza, R., & Tulving, E. (1996). PET studies of encoding and retrieval: The HERA model. *Psychonomic Bulletin & Review*, *3*(2), 135-148.
- Nyberg, L., Tulving, E., Habib, R., Nilsson, L. G., Kapur, S. Houle, S., et al.(1995).
  Functional brain maps of retrieval mode and recovery of episodic information. *Neuroreport: An International Journal for the Rapid Communication of Research in Neuroscience, 7*(1), 249-252.
- Pandya, D. N., & Yeterian, E. H. (1998). Comparison of prefrontal architecture and connections. In A. C. Roberts, T. W. Robbins, et al. (Eds.), *The prefrontal cortex: Executive and cognitive functions* (pp. 51-66). London: Oxford University Press.
- Paniak, C., Miller, H. B., Murphy, D., Patterson, L., & Keizer, J. (1996). Canadian developmental norms for 9 to 14 year-olds on the Wisconsin Card Sorting Test, *Canadian Journal of Rehabilitation*, 9(4), 233-237.
- Passler, M., Isaac, W., & Hynd, G. W. (1985). Neuropsychological behavior attributed to frontal lobe functioning in children. *Developmental Neuropsychology*, 1, 349-370.
- Pennington, B. F. (1994). The working memory function of the prefrontal cortices: Implications for developmental and individual differences in cognition. In M. M.

Haith, J. B. Benson, R. J. Roberts, Jr., & B. F. Pennington (Eds.), *The development of future-oriented processes* (pp. 243-289). Chicago: University of Chicago Press.

- Pennington, B. F., Bennetto, L., McAleer, O., & Roberts, R. J. Jr. (1996). Executive functions and working memory: Theoretical and measurement issues. In G. R. Lyon & N. A. Krasnegor (Eds.), *Attention, memory, and executive function* (327-348). Baltimore, MD: Paul H. Brookes.
- Pennington, B. F., & Ozonoff, S. (1996). Executive functions and developmental psychopathology. *Journal of Child Psychology and Psychiatry*, *37* (1), 51-87.
- Petrides, M. (1991). Functional specialization within the dorsolateral frontal cortex for serial order memory. *Proceedings of the Royal Society of London. Series B. Biological sciences, 246*, 299-306.
- Petrides, M., & Milner, B. (1982). Deficits on subject-ordered tasks after frontal- and temporal-lobe lesions in man. *Neuropsychologia*, *20*(3), 249-262.

Piaget, J. (1954). The construction of reality in the child. New York: Basic Books.

- Posner, M. I., & Rothbart, M. K. (1998). Attention, self-regulation and consciousness. Philosophical Transactions of the Royal Society of London. Series B: Biological Sciences, 353, 1915-1927.
- Pribram, K. H., Plotkin, H. C., Anderson, R. M., & Leong, D (1977). Information sources in the delayed alternation task for normal and frontal monkeys. *Neuropsychologia*, 15, 329-340.

- Pribram, K. H., & Tubbs, W. E. (1967). Short-term memory, parsing, and the primate frontal cortex. *Science*, 156, 1765-1767.
- Prisko, L. H. (1963). *Short-term memory in focal cerebral damage*. Unpublished doctoral dissertation, McGill University, Montreal, Quebec.
- Quintana, J., & Fuster, J. M. (1999). From perception to action: Temporal integrative functions of prefrontal and parietal neurons. *Cerebral Cortex, 9*, 213-221.
- Rakic, P., Bourgeois, J. P., Zecevic, N., Eckenhoff, M. F., & Goldman-Rakic, P. S.
  (1986). Isochronic overproduction of synapses in diverse regions of the primate cerebral cortex. *Science*, 232, 232-235.
- Ramsay, M. C., & Reynolds, C. R. (1995). Separate digit tests: A brief history, a literature review, and a reexamination of the factor structure of the Test of Memory and Learning. *Neuropsychology Review*, 5(3), 151-171.
- Raskin, S. (2000). Treatment of cognitive sequelae: Memory. In S. A. Raskin & C. A.
  Mateer (Eds.), *Neuropsychological management of mild traumatic brain injury* (pp. 93-107). New York: Oxford University Press.
- Reynolds, C. R. (1981). The neuropsychological basis of intelligence. In G. W. Hynd & J. Obrzut (Eds.), *Neuropsychological assessment and the school-age child (pp. 87-124)*. New York: Grune & Stratton.
- Reynolds, C. R. (1997). Forward and backward memory span should not be combined for clinical analysis. *Archives of Clinical Neuropsychology*, *12*(1), 29-40.
- Reynolds, C. R., & Bigler, E.D. (1994a). Test of Memory and Learning. Austin, TX: Pro-Ed.

- Reynolds, C. R., & Bigler, E.D. (1994b). *Manual for the Test of Memory and Learning*. Austin, TX: Pro-Ed.
- Reynolds, C.R., & Bigler, E.D. (1996). Factor structure, factor indexes, and other useful statistics for interpretation of the Test of Memory and Learning (TOMAL). *Archives of Clinical Neuropsychology*, 11(1), 29-43.
- Reynolds, C. R., & Kamphaus, R. W. (2002). *The clinician's guide to the Behavior* Assessment System for Children: BASC. New York: Guilford.
- Riccio, C. A., Hall, J., Morgan, A., Hynd, G. W., Gonzalez, J. J., & Marshall, R. M. (1994). Executive function and the Wisconsin Card Sorting Test: Relationship with behavioral ratings and cognitive ability. *Developmental Neuropsychology*, *10*(3), 215-229.
- Roberts, R. J., Hager, L. D., & Heron, C. (1994). Prefrontal cognitive processes:
  Working memory and inhibition in the antisaccade task. *Journal of Experimental Psychology: General, 123,* 374-393.
- Roberts, R. J., & Pennington, B. F. (1996). An interactive framework for examining prefrontal cognitive processes. *Developmental Neuropsychology*, 12(1), 105-126.
- Romine, C. B., & Reynolds, C. R. (2004). Sequential memory: A developmental perspective of its relation to frontal lobe functioning. *Neuropsychology Review*, 14, 43-64.

- Rugg, M. D., Fletcher, P. C., Chua, P. M., & Dolan, R. J. (1999). The role of the prefrontal cortex in recognition memory and memory for source: An fMRI study. *NeuroImage*, 10, 520-529.
- Rybash, J. M., & Colilla, J. L. (1994). Source memory deficits and frontal lobe functioning in children. *Developmental Neuropsychology*, 10(1), 67-73.
- Schacter, D. L. (1987). Memory, amnesia, and frontal lobe dysfunction. *Psychobiology*, *15*, 21-36.
- Schacter, D. L., Harbluk, J. L., & McLachlan, D. R. (1984). Retrieval without recollection: An experimental analysis of source amnesia. *Journal of Verbal Learning and Verbal Behavior, 23*, 593-611.
- Schneider, W., & Pressley, M. (1997). *Memory development between two and twenty* (2<sup>nd</sup> ed.). Mahwah, NJ: Lawrence Erlbaum Associates.
- Shapiro, J., Nix, G. W., & Foster, S. F. (1990). Auditory perceptual processing in reading disabled children. *Journal of Research in Reading, 13,* 123-132.
- Shimamura, A. P. (1995). Memory and frontal lobe function. In M. S. Gazzaniga (Ed.), *The cognitive neurosciences* (pp. 803-813). Cambridge, MA: The MIT Press.
- Shimamura, A. P. (2002). Memory retrieval and executive control processes. In D. T.
  Stuss & R. T. Knight (Eds.), *Principles of frontal lobe function* (pp. 210-220).
  New York: Oxford University Press.
- Shimamura, A. P., Janowsky, J. S., & Squire, L. R. (1990). Memory for the temporal order of events in patients with frontal lobe lesions and amnesic patients. *Neuropsychologia*, 28(8), 803-813.

- Shimamura, A. P., Janowsky, J. S., & Squire, L. R. (1991). What is the role of frontal lobe damage in memory disorders? In H. S. Levin, H. M. Eisenberg, & A. L. Benton (Eds.), *Frontal lobe function and dysfunction* (pp.173-195). London: Oxford University Press.
- Shute, G. E., & Huertas, V. (1990). Developmental variability in frontal lobe function. Developmental Neuropsychology, 6(1), 1-11.
- Siegel, L. S. (1994). Working memory and reading: A life-span perspective. International Journal of Behavioral Development, 17(1), 109-124.
- Slattery, M. J., Garvey, M. A., & Swedo, S. E. (2001). *Frontal-subcortical circuits: A functional developmental approach*. In D.G. Lichter & J.L. Cummings (Eds.),
  Frontal-subcortical circuits in psychiatric and neurological disorders (pp. 314-333). New York: Guilford Press.
- Sowell, E. R., Delis, D., Stiles, J., & Jernigan, T. L. (2001). Improved memory functioning and frontal lobe maturation between childhood and adolescence: A structural MRI study. *Journal of the International Neuropsychological Society*, 7, 312-322.
- St. James-Roberts, I. (1979). Neurological plasticity, recovery from brain insult, and child development. *Advances in Child Development and Behavior, 14*, 253-319.
- Stuss, D. T. (1992). Biological and psychological development of executive functions. Brain and Cognition, 20, 8-23.
- Stuss, D. T., & Alexander, M. P. (2000). Executive functions and the frontal lobes: A conceptual view. *Psychological Research*, 63, 289-298.

- Stuss, D. T., & Knight, R. T. (2002). Principles of frontal lobe function. New York: Oxford University Press.
- Stuss, D. T., & Levine, B. (2002). Adult clinical neuropsychology: Lessons from studies of the frontal lobes. *Annual Review of Psychology*, 53, 401-433.
- Taylor, A. E. Saint-Cyr, J. A., & Lang, A. E. (1990). Memory and learning in early Parkinson's disease: Evidence for a 'frontal lobe syndrome'. *Brain and Cognition*, 13(2), 211-232.
- Thatcher, R. W. (1992). Cyclic cortical reorganization during early childhood. *Brain and Cognition, 20,* 24-50.
- Thatcher, R.W., Walker, R.A., & Giudice, S. (1987). Human cerebral hemispheres develop at different rates and ages. *Science*, *236*, 1110-1113.
- Trahan, D. E., & Quintana, J. W. (1990). Analysis of gender effects upon verbal and visual memory performance in adults. *Archives of Clinical Neuropsychology*, 5, 325-334.
- Tulving, E. (2002). Chronesthesia: Conscious awareness of subjective time. In D. T.Stuss & R. T. Knight (Eds.), *Principles of frontal lobe function* (pp. 311-325).New York: Oxford University Press.
- Tulving, E., Kapur, S., Craik, F. I. M., Moscovitch, M., & Houle, S. (1994).
  Hemispheric encoding/retrieval asymmetry in episodic memory: Positron
  emission tomography findings. *Proceedings of the National Academy of Science*, *91*, 2016-2020.

- Vakil, E., & Blachstein, H. (1994). A supplementary measure in the Rey AVLT for assessing incidental learning of temporal order. *Journal of Clinical Psychology*, 50(2), 240-245.
- Vakil, E., Blachstein, H., & Sheinman, M. (1998). Rey AVLT: Developmental norms for children and the sensitivity of different memory measures to age. *Child Neuropsychology*, 4(3), 161-177.
- Waldron, K. A., & Saphire, D. G. (1990). An analysis of WISC-R factors for gifted students with learning disabilities. *Journal of Learning Disabilities*, 23, 491-498.
- Watson, C., & Willows, D. M. (1995). Information-processing patterns in specific reading disability. *Journal of Learning Disabilities*, 28(4), 216-231.
- Welsh, M. C. (2002). Developmental and clinical variations in executive functions. In D.
  L. Molfese & V. J. Molfese (Eds.), *Developmental variations in learning: Applications to social, executive function, language, and reading skills* (pp. 139-185). Mahwah, NJ: Lawrence Erlbaum Associates.
- Welsh, M. C., & Pennington, B. F. (1988). Assessing frontal lobe functioning in children: Views from developmental psychology. *Developmental Neuropsychology*, 4(3), 199-230.
- Welsh, M. C., Pennington, B. F., & Groisser, D. B. (1991) A normative-developmental study of executive function: A window on prefrontal function in children. *Developmental Neuropsychology*, 7(2), 131-149.

- Wheeler, M. A., Stuss, D. T., & Tulving, E. (1995). Frontal lobe damage produces episodic memory impairment. *Journal of the International Neuropsychological Society*, 1(6), 525-536.
- White, D. A., Nortz, M. J., Mandernach, T., Huntington, K., & Steiner, R. D. (2001).
  Deficits in memory strategy use related to prefrontal dysfunction during early development: Evidence from children with phenylketonuria. *Neuropsychology*, *15*(2), 221-229.
- Wiers, R. W., Gunning, W. B., & Sergeant, J. A. (1998). Is a mild deficit in executive functions in boys related to childhood ADHD or to parental multigenerational alcoholism? *Journal of Abnormal Child Psychology*, 26 (6), 415-430.
- Winograd, E., & Soloway, R. M. (1985). Reminding as a basis for temporal judgments. Journal of Experimental Psychology: Human Learning and Memory, 11, 262-271.
- Yntema, D. B., & Trask, F. P. (1963). Recall as a search process. *Journal of Verbal Learning and Verbal Behavior, 2,* 65-74.
- Zellner, A. (1962). An efficient method of estimating seemingly unrelated regressions and tests for aggregation bias. *Journal of the American Statistical Association*, 57, 348-368.
- Zellner, A., & Theil, H. (1962). Three stage least squares: Simultaneous estimation of simultaneous relations. *Econometrica*, 30, 54-78.

# VITA

# Cassandra Burns Romine 336 West Broadway #315 Salt Lake City, Utah 84101

Education	
1999-2004	Doctor of Philosophy College of Education Texas A&M University College Station, TX Department of Educational Psychology Major: School Psychology
1997-1998	Bachelor of Science College of Social and Behavioral Science University of Utah Salt Lake City, UT Major: Psychology
1991-1995	Bachelor of Science College of Science University of Utah Salt Lake City, UT Major: Biology
Pre-doctoral Internship	
2003-2004	Primary Children's Medical Center Salt Lake City, UT (APA Accredited)