

PHYSICAL LAYER SECURITY OF HYBRID MILLIMETER-WAVE AND FREE-SPACE  
OPTICAL SYSTEMS FOR 5G AND BEYOND NETWORKS

A Dissertation

by

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## ABSTRACT

Wireless communication technologies have evolved significantly over the past decades. The explosive growth of the wireless communications market is predicted to continue in the future, with the increasing demand for all types of wireless services. Besides providing higher data rates in comparison with previous technologies, next-generation wireless networks are expected to have advanced capabilities such as ultra-low latency, high reliability, interoperability, efficient spectrum utilization along with a wide variety of applications over various domains, e.g., public safety and military, aeronautical networks, femtocells, etc.

On the other hand, security flaws have also been serious problems in the community of wireless communications. The information-theoretic security of wireless communications goes long way back to 1949, Shannon's leading work, in which a random secret key is shared to secure the communication between a legitimate pair in the presence of an eavesdropper. After many years, Wyner presented his model, aka the wiretap channel, where the fading channel impairments take place to secure the communication in the physical layer without the need for a secret key. Since then, the interest in wiretap channels has remarkably increased and also extended to other systems.

Therefore, considering the quality of service (QoS) requirements of 5G and beyond networks, hybrid Free-Space Optical (FSO) and millimeter Wave (mmWave) systems have emerged as a promising remedy due to the unique complementary properties against the different channel and environmental conditions. Consequently, in this dissertation, hybrid FSO-mmWave systems are investigated from a physical-layer security point of view in the presence of different types of eavesdroppers, where the communication between two legitimate peers takes place over both FSO and mmWave links simultaneously. Practical scenarios are examined to eavesdrop on the legitimate communication, and the effects of random radio power of mmWave links and optical irradiance of FSO links are discussed on the probability of achieving a secure transmission. The impact of fundamental physical layer parameters on the secrecy performance of the hybrid system is analyzed by obtaining analytical derivations of several performance metrics.

## DEDICATION

To my wife for her endless love, support and encouragement.

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## NOMENCLATURE

|               |  |
|---------------|--|
| AF            | amplify-and-forward                    |
| AWGN          | additive white Gaussian noise          |
| BER           | bit-error-rate                         |
| BPSK          | binary phase shift keying              |
| CDF           | cumulative density function            |
| CNN           | convolutional neural network           |
| CSI           | channel state information              |
| DL            | deep learning                          |
| EGBMG         | extended generalized binary Meijer's G |
| EST           | effective secrecy throughput           |
| FSO           | Free-space optical                     |
| GG            | Gamma-Gamma                            |
| IM            | index modulation                       |
| IM/DD         | intensity-modulation/direct-detection  |
| $k$ -NN       | $k$ -nearest neighbors                 |
| LCR           | level crossing rate                    |
| LOS           | line-of-sight                          |
| MGF           | moment generating function             |
| MIMO          | multiple-input and multiple-output     |
| ML            | machine learning                       |
| MLi           | maximum likelihood                     |
| <i>mmWave</i> | millimeter wave                        |

|      |                                    |
|------|------------------------------------|
| MRC  | maximum ratio combining            |
| NB   | naive-Bayes                        |
| PDF  | probability density function       |
| PSK  | phase shift keying                 |
| RF   | radio frequency                    |
| RV   | random variable                    |
| SIMO | single-input and multiple-output   |
| SNR  | signal-to-noise ratio              |
| SOD  | secrecy outage duration            |
| SOP  | secrecy outage probability         |
| SOR  | secrecy outage rate                |
| SPSC | strictly positive secrecy capacity |
| SVM  | support vector machine             |

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## 1. INTRODUCTION

Wireless communication technologies have evolved significantly over the past decades. The explosive growth of the wireless communications market is predicted to continue in the future, with the increasing demand for all types of wireless services. Besides providing higher data rates in comparison with previous technologies, next-generation wireless networks are expected to have advanced capabilities such as ultra-low latency, high reliability, interoperability, efficient spectrum utilization along with a wide variety of applications over various domains, e.g., public safety, military, aeronautical networks, femtocells, picocells, microcells, macrocells, etc.

Therefore, due to the ever-increasing demand of wireless data volumes and scarcity concerns of RF spectrum, researchers exploit the higher frequency bands to fulfill the 5G era requirements, in which overall data volume is predicted to be at least a thousand fold greater compared to 4G. FSO communications is a promising candidate for future high-data-rate wireless transmissions because of its inherent advantages such as low energy consumption, a certain immunity to interference, and a high level of security compared to the traditional RF wireless communication systems. However, FSO systems are very susceptible to line-of-sight (LOS) alignments and are heavily affected by several atmospheric conditions including thermal expansion, wind load, and foggy weather. Alternatively, the higher RF band studies demonstrate that *mmWave* systems pave the way for multi-gigabit wireless transmissions. However, *mmWave* systems are dramatically affected by oxygen absorption, rainy atmosphere, and have high energy consumption, and are more vulnerable to security attacks. These mentioned complementary properties of both communication links led to the joint deployment of FSO and *mmWave* systems to provide reliable and high speed transmissions. Moreover, the supported data rates by each system are compatible, which provides the parallel utilization of both systems to improve transmit diversity or spectral efficiency.

## 1.1 Millimeter Wave Fading Channel Characteristics

The statistics of small-scale fading at *mmWave* frequencies have not been extensively investigated, compared to the large-scale path loss model parameters, in the open literature [1,2]. Beyond 6 GHz, the *mmWave* channel model in the 5G standard, provided by the 3GPP organization, is mathematically intractable [3]. The available small-scale fading measurements in millimeter wave bands have shown significant inference for spatial and temporal fading of multipath amplitudes. Therefore, motivated by a comprehensive literature search, it is widely accepted that Weibull [4–9] or Nakagami-*m* [10–13] channel models are the most widely considered to approximate small-scale propagation effects for the *mmWave* link.

### 1.1.1 Weibull Distribution

A random variable (RV)  $H$  is considered to represent the instantaneous RF channel power that can be modeled as Weibull distribution with parameters  $\delta$  and  $\lambda$ , denoted as  $H \sim \text{Wbl}(\delta, \lambda)$ . Its probability density function (PDF) is expressed as [14, (2.27)]

$$f_H(h) = \frac{\lambda}{\delta} h^{\lambda-1} \exp\left[-\frac{h^\lambda}{\delta}\right], \quad (1.1)$$

where  $h \geq 0$ , and  $\lambda > 0$  is the shape parameter which characterizes the severity of fading. The scale parameter  $\delta$  is associated with fading power  $\mathbb{E}\langle h \rangle$

$$\delta = \left( \frac{\mathbb{E}\langle h \rangle}{\Gamma\left(1 + \frac{1}{\lambda}\right)} \right)^{\frac{1}{\lambda}}, \quad (1.2)$$

where  $\mathbb{E}\langle \cdot \rangle$  denotes the expectation operator, and  $\Gamma(\cdot)$  is the gamma function, defined as  $\Gamma(z) = \int_0^\infty y^{z-1} e^{-y} dy$ . Accordingly, the  $n^{\text{th}}$  positive integer power of  $H$  is also a Weibull RV but with different parameters  $\delta^n$  and  $\lambda/n$ , denoted as  $\tilde{H} = H^n \sim \text{Wbl}(\delta^n, \lambda/n)$ . Therefore, the PDF in (1.1)

is re-written as

$$f_{\tilde{H}}(\tilde{h}) = \frac{\lambda}{n\delta^n} \tilde{h}^{\frac{\lambda}{n}-1} \exp\left[-\frac{\tilde{h}^{\frac{\lambda}{n}}}{\delta^n}\right]. \quad (1.3)$$

Therefore,  $\gamma_{x,f} \sim \text{Wbl}(\delta^2, \lambda/2)$ , and based on (1.1), the PDF of  $\gamma_{x,f}$  is expressed as

$$f_{\gamma_{x,f}}(\gamma_{x,f}) = \frac{\lambda_x}{2\delta_x^2} \gamma_{x,f}^{\frac{\lambda_x}{2}-1} \exp\left[-\frac{\gamma_{x,f}^{\lambda_x/2}}{\delta_x^2}\right], \quad (1.4)$$

and based on (1.2), the scale parameter  $\delta_x^2$  is expressed as

$$\delta_x^2 = \left(\frac{\bar{\gamma}_{x,f}}{\Gamma\left(1 + \frac{2}{\lambda_x}\right)}\right)^{2/\lambda_x}. \quad (1.5)$$

### 1.1.2 Nakagami- $m$ Distribution

Thus, a RV  $H$  is considered to denote the instantaneous RF channel power that is modeled by a Nakagami- $m$  distribution, and its well-known PDF is expressed as

$$f_H(h) = \frac{2m^m}{\Gamma(m)} h^{2m-1} e^{-mh^2}, \quad (1.6)$$

where  $m$  denotes the fading order/severity.

It is well-known, based on (1.6), that the instantaneous SNR over a Nakagami- $m$  fading channel is distributed according to a Gamma distribution expressed as [14, (2.21)]

$$f_{\gamma_f}(\gamma_{x,f}) = \frac{m^m}{\Gamma(m)\bar{\gamma}_{x,f}^m} \gamma_{x,f}^{m-1} \exp\left[-\frac{m}{\bar{\gamma}_{x,f}}\gamma_{x,f}\right], \quad (1.7)$$

accordingly, the cumulative density function (CDF) of SNR can be obtained as

$$F_{\gamma_f}(\gamma_{x,f}) = \frac{1}{\Gamma(m)} \Gamma_L\left(m, \frac{\gamma_{x,f}}{\bar{\gamma}_{x,f}}\right), \quad (1.8)$$

where  $\Gamma_L(\cdot, \cdot)$  represents the lower incomplete Gamma function, and alternatively, the CDF of SNR can be re-expressed as

$$F_{\gamma_f}(\gamma_{x,f}) = 1 - \sum_{k=0}^{\infty} \frac{1}{k!} \left( \frac{m_x}{\bar{\gamma}_{x,f}} \right)^k \gamma_{x,f}^k \exp \left[ -\frac{m_x}{\bar{\gamma}_{x,f}} \gamma_{x,f} \right], \quad (1.9)$$

$$= \frac{1}{\Gamma(m_x)} G_{1,2}^{1,1} \left( \frac{\gamma_{x,f}}{\bar{\gamma}_{x,f}} \middle| \begin{matrix} 1 \\ m_x, 0 \end{matrix} \right). \quad (1.10)$$

## 1.2 Free Space Optical Atmospheric Turbulence Characteristics

The statistical behavior of the received optical irradiance is characterized by means of small- and large-scale eddies. Therefore, in the open literature a high number of models are proposed to model the optical irradiance of FSO channels. An exponential model [15–20] is currently used to model the optical irradiance of a FSO system in specific environmental conditions. Also, a Gamma-Gamma [21–27] model has been widely used to model the FSO channel due to its doubly stochastic scintillation model, where the received intensity is expressed as the product of two independent Gamma RVs which represent the irradiance fluctuations caused by large- and small-scale atmospheric-turbulence.

### 1.2.1 Exponential Distribution

A RV  $I$  is considered to represent the instantaneous irradiance of the FSO channel that can be modeled as an exponential distribution with a scale parameter  $\beta$ , denoted as  $I \sim \text{Exp}(\beta)$ . Its PDF is expressed as

$$f_I(i) = \frac{1}{\beta} \exp \left[ -\frac{1}{\beta} i \right], \quad (1.11)$$

where  $i \geq 0$ , and  $\beta > 0$ . Furthermore, the  $m^{\text{th}}$  positive integer power of  $I$  is a Weibull RV with parameters  $\beta$  and  $1/m$ , denoted as  $\tilde{I} = I^m \sim \text{Wbl}(\beta^m, 1/m)$ . Therefore, the PDF in (1.11) is



re-written as

$$f_{\tilde{I}}(\tilde{i}) = \frac{1}{m\beta^m} \tilde{i}^{\frac{1}{m}-1} \exp\left[-\frac{\tilde{i}^{1/m}}{\beta^m}\right]. \quad (1.12)$$

It is worthy to note that the pointing errors at FSO links are extensively discussed in the literature as a combination of boresight and jitter effects [28–30]. However, due to the mathematical intractability, the pointing errors are not introduced to the FSO system. Therefore,  $\gamma_{b,o} \sim \text{Wbl}(\beta^2, 1/2)$ , and based on (1.11), the PDF of  $\gamma_{b,o}$  is expressed as

$$f_{\gamma_{b,o}}(\gamma_{b,o}) = \frac{1}{2\beta^2\sqrt{\gamma_{b,o}}} \exp\left[-\frac{\sqrt{\gamma_{b,o}}}{\beta^2}\right], \quad (1.13)$$

and based on (1.2), the scale parameter  $\beta^2$  is expressed as

$$\beta^2 = \left(\frac{\bar{\gamma}_{b,o}}{\Gamma(3)}\right)^2. \quad (1.14)$$

## 1.2.2 Gamma-Gamma Distribution

We consider a RV  $I$  to represent the instantaneous FSO channel power that is modeled as Gamma-Gamma distribution, and its PDF is expressed as [27, (57)]

$$f_I(i) = \frac{2(\alpha\beta)^{(\alpha+\beta)/2}}{\Gamma(\alpha)\Gamma(\beta)} i^{\frac{\alpha+\beta}{2}-1} K_{\alpha-\beta}(2\sqrt{\alpha\beta i}), \quad (1.15)$$

where  $i > 0$  due to the non-negativity property of light waves,  $\Gamma(\cdot)$  denotes the Gamma function,  $K_\nu(\cdot)$  represents the modified Bessel function of the second kind of the  $\nu^{\text{th}}$  order.  $\alpha$  and  $\beta$  denote the effective coefficients of small- and large-scale eddies of the atmospheric-turbulence environ-

ment, respectively, which are calculated as [27, (58)-(59)]

$$\alpha = \exp \left\{ \exp \left[ \frac{0.49\zeta^2}{(1 + 0.65\vartheta^2 + 1.11\zeta^{12/5})^{7/6}} \right] - 1 \right\}^{-1}, \quad (1.16)$$

$$\beta = \exp \left\{ \exp \left[ \frac{0.51\zeta^2(1 + 0.69\zeta^{12/5})^{-5/6}}{1 + 0.9\vartheta^2 + 0.62\vartheta^2\zeta^{12/5}} \right] - 1 \right\}^{-1}, \quad (1.17)$$

where  $\zeta^2 = 1.23C_n^2\Lambda^{7/6}d^{11/6}$  is the Rytov variance, and  $\vartheta = \sqrt{\Lambda A^2/4d}$ . Here,  $\Lambda = 2\pi/\lambda_o$  is the optical wave number,  $\lambda_o$  depicts the optical carrier wavelength,  $d$  represents the link distance,  $A$  denotes the lens aperture diameter of the receiving photodiode, and  $C_n^2$  stands for the weather-altitude depended refractive index (strength of turbulence). The atmospheric profile  $C_n^2$  is most widely defined by the Hufnagel-Valley (HV5/7) model [26].

Furthermore, by making a simple transformation of the RV  $I$  in (1.15), the PDF of the electrical SNR is expressed as

$$f_{\gamma_o}(\gamma_{x,o}) = \frac{(\alpha\beta)^{\frac{\alpha+\beta}{2}} \gamma_{x,o}^{\frac{\alpha+\beta}{4}-1}}{\Gamma(\alpha)\Gamma(\beta)\bar{\gamma}_{x,o}^{\frac{\alpha+\beta}{4}}} K_{\alpha-\beta} \left( 2\sqrt{\alpha\beta} \sqrt[4]{\frac{\gamma_{x,o}}{\bar{\gamma}_{x,o}}} \right), \quad (1.18)$$

accordingly, the CDF of SNR can be obtained as

$$F_{\gamma_o}(\gamma_{x,o}) = \frac{1}{\Gamma(\alpha)\Gamma(\beta)} G_{1,3}^{2,1} \left( \frac{\alpha\beta}{\sqrt{\bar{\gamma}_{x,o}}} \sqrt{\gamma_{x,o}} \middle| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right). \quad (1.19)$$

### 1.3 Average Signal-to-Noise Ratio Models

#### 1.3.1 mmWave Subsystems

For an RF channel that is modeled by Nakagami- $m$  distribution, the average electrical SNR of the RF link,  $\gamma_{x,f}$ , is expressed as

$$\gamma_{x,f} = \bar{\gamma}_{x,f} H_x^2, \quad (1.20)$$

where  $H_x$  depicts the instantaneous channel gain, and  $\bar{\gamma}_{x,f}$  is the average electrical SNR of the RF link, given as

$$\bar{\gamma}_{x,f} = \frac{P_t R_{x,f} L_{x,f}}{\sigma_x^2}, \quad (1.21)$$

where  $P_t$  denotes the transmit power from the legitimate transmitter Alice,  $R_{x,f} \in [0, 1)$  stands for the collected power, and  $L_{x,f}$  represents the path loss between Alice and the receiving side, which is given as [12]

$$L_{x,f} = \frac{G_T G_R \lambda_f^2}{(4\pi d_x)^2 (\varphi_{O_2} d_x) (\varphi_{\text{rain}} d_x)}, \quad (1.22)$$

where  $G_T$  and  $G_R$ , in turn, are the gains of transmitting and receiving antennas,  $\lambda_f$  denotes the RF carrier wavelength, and  $\varphi_{O_2}$  and  $\varphi_{\text{rain}}$  stands for the attenuation coefficients caused by the oxygen absorption and rainy weather condition, respectively.

### 1.3.2 FSO Subsystems

For an FSO channel that is modeled by Gamma-Gamma distribution, considering intensity-modulation/direct-detection (IM/DD) technique,  $\gamma_{x,o}$  is expressed as

$$\gamma_{x,o} = \bar{\gamma}_{x,o} I_x^2, \quad (1.23)$$

where  $I_x$  represents the normalized irradiance turbulence, and  $\bar{\gamma}_{x,o}$  is the average electrical SNR of the FSO link, given as

$$\bar{\gamma}_{x,o} = \left( \frac{\eta I_t R_{x,o} L_{x,o}}{\sigma_x} \right)^2, \quad (1.24)$$

$\eta$  denotes the optical-to-electrical conversion efficiency,  $I_t$  is the radiant emittance of the laser from Alice,  $R_{x,o}$  depicts the received power ( $0 \leq R_{x,o} \leq 1$ ), and  $L_{x,o}$  stands for the intensity attenuation

loss in the path between legitimate transmitter Alice and the receiving side

$$L_{x,o} = \frac{\pi A^2}{4(\psi d_x)^2} \exp[-\varphi_o d_x], \quad (1.25)$$

where  $A$  is the diameter of the photodetector's aperture,  $\psi$  represents the beam divergence of the laser,  $\varphi_o$  denotes the attenuation extinction coefficient, and  $d_x$  represents the distance between legitimate transmitter Alice and the receiving side.

### 1.3.3 Simulation Parameters

The results include various cases including different fundamental physical layer parameters such as average SNRs, link distances, weather conditions, correlation coefficients, and eavesdropper types and location. The simulation parameters for the system and channel models are given in Table 1.1 and Table 1.2 [3, 12, 31, 32]. It should be noted that for all results, the average electrical SNR of each link is assumed to be identical, and adjusted by fixing the electrical transmit power at the *mmWave* link, and computing the corresponding values of the noise power and the optical transmit power for the FSO link.

## 1.4 Performance Analysis Metrics for Wireless Transmissions

According to the information-theoretic point of view of physical layer security, the secrecy capacity is the maximum transmission rate at which an eavesdropper Eve is able to retrieve no information. Since the term of capacity is by definition a positive-valued metric, the secrecy capacity is set to zero, if the eavesdropper's channel gain is greater than the legitimate receiver's channel gain. Hence, the secrecy capacity is first time computed in [33] for the Gaussian wiretap channel and given by

$$C_S = [C_b - C_e]^+, \quad (1.26)$$

Table 1.1: Parameters of FSO and *mmWave* systems

| <b>FSO System</b>           |                 |               |
|-----------------------------|-----------------|---------------|
| <i>Parameters</i>           | <i>Symbols</i>  | <i>Values</i> |
| Wavelength                  | $\lambda_o$     | 1550 mm       |
| Optical Power               | $I_t$           | 40 mW         |
| Aperture's Diameter         | $A$             | 0.2 m         |
| Beam Divergence             | $\psi$          | 10 mrad       |
| Photosensitivity            | $\eta$          | 0.5 A/W       |
| <b><i>mmWave</i> System</b> |                 |               |
| <i>Parameters</i>           | <i>Symbols</i>  | <i>Values</i> |
| Wavelength                  | $\lambda_f$     | $c/60$ nm     |
| Electrical Power            | $P_t$           | 10 mW         |
| Transmitter Antenna Gain    | $G_T$           | 44 dBi        |
| Receiver Antenna Gain       | $G_R$           | 44 dBi        |
| Oxygen Absorption           | $\varphi_{O_2}$ | 15.1 dB/km    |

\* $c$  is the speed of light,  $c = 299,792,458$  m/s.

Table 1.2: Parameters for different turbulence conditions

| <b>Weather-Dependent Parameters</b> |                     |                          |                       |
|-------------------------------------|---------------------|--------------------------|-----------------------|
| <i>Weather Conditions</i>           | $\varphi_o$ (dB/km) | $\varphi_{rain}$ (dB/km) | $C_n^2$               |
| Clean Air                           | 0.43                | 0                        | $5 \times 10^{-14}$   |
| Haze                                | 4.2                 | 0                        | $1.7 \times 10^{-14}$ |
| Moderate Rain (12.5 mm/h)           | 5.8                 | 5.6                      | $5 \times 10^{-15}$   |
| Moderate Fog                        | 42.2                | 0                        | $2 \times 10^{-15}$   |

where  $[\cdot]^+$  denotes the maximum function  $\max\{0, \cdot\}$ , and the expressions  $C_b$  and  $C_e$  are the instantaneous capacity of the main and wiretap channels, respectively, and are expressed as

$$C_b = B \log_2 (1 + \gamma_b), \quad (1.27)$$

$$C_e = B \log_2 (1 + \gamma_e), \quad (1.28)$$

where  $\gamma_b$  and  $\gamma_e$  depict the instantaneous electrical SNRs of Bob and Eve, respectively. Note that, for the sake of simplicity, the normalized bandwidth of  $B = 1$  is assumed in the rest of this dissertation. Additionally, by substituting (1.27) and (1.28) into (1.26), the secrecy capacity can be re-expressed as

$$C_S = \begin{cases} \log_2(1 + \gamma_b) - \log_2(1 + \gamma_e), & \gamma_b > \gamma_e, \\ 0, & \gamma_b \leq \gamma_e. \end{cases} \quad (1.29)$$

#### 1.4.1 Ergodic Secrecy Capacity

The secrecy capacity given in (1.26) is for a single realization of the wireless fading channels. Therefore, by averaging the secrecy capacity over all available fading channel realizations, the ergodic secrecy capacity with full channel state information (CSI) is expressed as

$$\bar{C}_S = \int_0^\infty \int_{\gamma_e}^\infty (\log_2(1 + \gamma_b) - \log_2(1 + \gamma_e)) f_{\gamma_b}(\gamma_b) f_{\gamma_e}(\gamma_e) \cdot d\gamma_b d\gamma_e, \quad (1.30)$$

where  $f_{\gamma_b}(\gamma_b)$  and  $f_{\gamma_e}(\gamma_e)$  denote the PDFs of SNRs of the legitimate receiver and the eavesdropper, respectively. It is worthy to note that having the full CSI of both main and wiretap channels paves the way for perfect secrecy, in which the legitimate transmitter Alice can ensure that the wireless transmission occurs only when the SNR of Bob is greater than the SNR of Eve, i.e.,  $\gamma_b > \gamma_e$ . For the partial CSI case in which the CSI of the main channel is only available, the ergodic secrecy capacity is expressed as

$$\bar{C}_S = \int_0^\infty \int_0^\infty [\log_2(1 + \gamma_b) - \log_2(1 + \gamma_e)]^+ f_{\gamma_b}(\gamma_b) f_{\gamma_e}(\gamma_e) \cdot d\gamma_b d\gamma_e. \quad (1.31)$$

### 1.4.2 Secrecy Outage Probability

To characterize the secure communication between legitimate transmitter Alice and legitimate receiver Bob, the probabilistic metric of the SOP is widely used which is defined as

$$\begin{aligned} P_{SO}(\mathcal{R}_s) &= \text{Prob.}(C_S < \mathcal{R}_s), \\ &= \text{Prob.}(C_b - C_e < \mathcal{R}_s), \end{aligned} \quad (1.32)$$

which is the probability that the achievable secrecy rate is less than a target secrecy rate  $\mathcal{R}_s > 0$ .

### 1.4.3 Probability of Strictly Positive Secrecy Capacity

A special version of SOP, called the probability of SPSC, which is also known as the probability of existence of secure communications, defined as

$$\begin{aligned} P_S^+ &= 1 - P_{SO}(\mathcal{R}_s = 0), \\ &= 1 - \text{Prob.}(C_b - C_e < 0). \end{aligned} \quad (1.33)$$

### 1.4.4 Effective Secrecy Throughput

Based on the definition of SOP, the EST can be obtained by the product of secrecy rate and the probability of successful transmission. According to this definition, the EST can be formulated as

$$E_{ST}(\mathcal{R}_s) = \mathcal{R}_s (1 - P_{SO}(\mathcal{R}_s)). \quad (1.34)$$

### 1.4.5 Secrecy Outage Rate

The average secrecy outage rate (SOR), also known as the average secrecy level crossing rate (LCR), is defined by the instantaneous secrecy capacity at a level of  $\mathcal{R}_s$ . The average SOR provides the expected number of outage, downward crossings the secrecy capacity in terms of seconds. In other words, it provides the statistic at a specific threshold  $\mathcal{R}_s$  in time. The average SOR is

expressed based on the generic expression given in [34, (2.90)]

$$R_{SO}(\mathcal{R}_s) = \int_0^\infty \int_0^\infty \dot{\gamma} f_\gamma(\gamma_{th}) f_{\dot{\gamma}}(\dot{\gamma}) f_{\gamma_e}(y) \cdot d\dot{\gamma} dy, \quad (1.35)$$

where  $\gamma_{th} = \sqrt{2^{\mathcal{R}_s}(1 + \gamma_e)} - 1$ , and  $\dot{\gamma}$  is the time derivative of the signal amplitude process. It is worthy to note that the time derivative of the signal amplitude process  $\dot{\gamma}$  is always independent of the signal amplitude  $\gamma$  and is normally distributed with zero mean but different variance depending on the type of fading.

#### 1.4.6 Secrecy Outage Duration

The average secrecy outage duration (SOD) is another secrecy metric, which defines the expected average duration of the secrecy outage status for a wireless communication system. The average SOD is expressed based on the definition of the average outage duration, as given in [34, (2.106)]

$$D_{SO}(\mathcal{R}_s) = \frac{P_{SO}(\mathcal{R}_s)}{R_{SO}(\mathcal{R}_s)}. \quad (1.36)$$

### 1.5 Dissertation Outline

Here, a detailed outline of the following chapters in this dissertation are briefly introduced.

#### 1.5.1 Chapter 2 – Secrecy Analysis of Single-Hop Hybrid FSO-RF Systems

In this chapter, a detailed investigation on single-hop hybrid FSO-RF systems is established from a physical-layer security point of view in the presence of independent and dependent wiretap channels for different types of eavesdroppers, where the communication between two legitimate peers takes place over both FSO and *mmWave* links, simultaneously. Practical scenarios to eavesdrop the legitimate communication are examined, and the effects of random radio power of *mmWave* link and optical irradiance of FSO link are discussed on the probability of achieving a secure transmission. The outcomes of this chapter have been listed below for ease of reference:

- J1. S. C. Tokgoz, S. Althunibat, S. L. Miller, and K. A. Qaraqe, “On the Secrecy Capacity of Hybrid FSO-*mmWave* Wiretap Channels,” *IEEE Transactions on Vehicular Technology*,



2020, under revision.

J2. S. C. Tokgoz, S. Althunibat, S. L. Miller, and K. A. Qaraqe, "On the Secrecy Capacity of Hybrid FSO-mmWave Links with Correlated Wiretap Channels," *ELSEVIER Optics Communications*, vol. 499, 2021.

C1. S. C. Tokgoz, S. Althunibat, S. Yarkan, and K. A. Qaraqe, "Physical Layer Security of Hybrid FSO-mmWave Communications in presence of Correlated Wiretap Channels," *IEEE International Conference on Communications (ICC)*, pp. 1–7, Montreal, Canada, 2021.

### **1.5.2 Chapter 3 – Index Modulation-based Link Selection for Hybrid FSO-RF Systems**

In this chapter, a novel link selection mechanism is proposed and investigated in detail for hybrid FSO-*mmWave* systems based on the IM concept, which is recently introduced as an adaptive and efficient transmission scheme to exploit the index of system entities, e.g., antennas, sub-carriers, users, etc. In the proposed IM-based selection mechanism, there is no feedback or CSI required at the transmitter side. Specifically, the first bit of each transmission block is dedicated to select which link is to be activated, while the remaining bits are modulated and transmitted over the activated link, in which activating only one link at a time provides lower power consumption at the transmitter side, and does not require combining or multiplexing methods at the receiver side. The outcomes of this chapter have been listed below for ease of reference:

J3. S. C. Tokgoz, S. Althunibat, S. L. Miller, and K. A. Qaraqe, "Performance Analysis of Index Modulation based Link-Selection Mechanism for Hybrid FSO-mmWave Systems," *ELSEVIER Optics Communications*, vol. 479, 2020.

C2. S. C. Tokgoz, S. Althunibat, and K. A. Qaraqe, "A Link-Selection Mechanism for Hybrid FSO-mmWave Systems based on Index Modulation," *IEEE International Conference on Communications (ICC)*, pp. 1–7, Dublin, Ireland, 2020.

### 1.5.3 Chapter 4 – DL-based Secrecy Enhancement of MIMO Hybrid FSO-RF Systems

In this chapter, the transmitter link selection technique is investigated for multiple-input and multiple-output (MIMO) hybrid FSO-*mmWave* systems from a physical layer security point of view in the presence of different types of eavesdroppers. In particular, a convolutional neural network (CNN)-based link selection scheme is proposed to maximize the secrecy performance by activating the antennas and lasers at the transmitter side based on predefined configurations. The impact of fundamental physical layer parameters on the secrecy performance of a hybrid system is examined by taking the availability of CSI, channel estimation errors, weather conditions, pointing error in FSO system, link distances, SNRs, path loss models into account. The outcomes of this chapter have been listed below for ease of reference:

- J4. S. C. Tokgoz, S. Althunibat, S. Miller, and K. A. Qaraqe, “Learning-based Link Selection for MIMO FSO-*mmWave* Communications in Presence of Multiple Wiretap Channels,” *ELSEVIER Optics Communications*, 2021, under review.
- C3. S. C. Tokgoz, S. Althunibat, S. Miller, and K. A. Qaraqe, “Learning-based Link Selection for Secrecy Enhancement of Hybrid FSO-*mmWave* MIMO Wiretap Channels,” *IEEE Wireless Communications and Networking Conference (WCNC)*, Austin, USA, 2021, under review.

### 1.5.4 Chapter 5 – Secrecy Analysis of Relay-based Dual-Hop Hybrid FSO-RF Systems

In this chapter, dual-hop relaying schemes are combined with hybrid FSO-*mmWave* systems to extend the communication distance, and to satisfy the reliability, low latency and high capacity requirements of 5G and beyond era. Particularly, two well-known amplify-and-forward (AF) relaying schemes are examined during data transmission, in which the power amplification operation is based on partial and full channel state information of the system, namely, fixed-gain and variable-gain AF relaying methods, respectively, where the communication in each hop takes place over both FSO and *mmWave* links, simultaneously. The outcomes of this chapter have been listed below for ease of reference:

- J5. S. C. Tokgoz, S. Althunibat, S. Yarkan, S. Miller, and K. A. Qaraqe, "Physical Layer Security of Relay-based Dual-Hop Hybrid FSO-mmWave Systems," *IEEE Transactions on Communications*, 2021, under review.
- J6. S. C. Tokgoz, S. Althunibat, S. Miller, and K. A. Qaraqe, "Outage Analysis of Relay-based Dual-Hop Hybrid FSO-mmWave Systems," *IEEE Access Journal*, 2021, under revision.

### **1.5.5 Chapter 6 – A Unified MGF-based Framework for Physical Layer Security**

In this chapter, a unified moment generating function (MGF)-based framework is proposed for the physical layer security analysis of wireless communication systems over generalized fading channels. To characterize the secure communication between legitimate pairs, the secrecy capacity, SOP, probability of SPSC, EST, SOR, and SOD metrics are derived for SIMO systems over generalized fading channels in the presence of different types of eavesdroppers. The MGF-based approach proposed in this chapter is explicitly generic enough to unify on the direction of generalized fading channels (i.e., there is no need to separately analyze the security metrics of maximum ratio combining (MRC) diversity technique) in addition to the direction of different type eavesdroppers. The outcomes of this chapter have been listed below for ease of reference:

- J7. S. C. Tokgoz, F. Yilmaz, S. Yarkan, S. L. Miller, and K. A. Qaraqe, "A Unified MGF-based Framework for Physical Layer Security Analysis of Generalized Fading Channels," *IEEE Transactions on Wireless Communications*, 2021, under review.
- J8. S. C. Tokgoz, F. Yilmaz, S. L. Miller, and K. A. Qaraqe, "On the Investigations of Sum of Weibull Random Variables with Performance Analysis on Diversity Systems," *IEEE Wireless Communications Letter*, 2021, under review.

### **1.5.6 Other Works Done**

Apart from the works presented above, there are some other works, given in [35–42], that have been excluded from this dissertation. Although most of them are related to the elements of optical wireless communications, in order to keep the integrity of the text and flow, these works are not included in this dissertation.

## 2. SECRECY ANALYSIS OF SINGLE-HOP HYBRID FSO-RF SYSTEMS\*

### 2.1 Introduction

#### 2.1.1 Motivation

The performance of dual-hop mixed/relaying systems are dramatically decreased in specific atmospheric conditions. Therefore, as explained previously, one possible solution to overcome this problem is to employ parallel/simultaneous transmissions over both FSO and RF links due to their unique complementary properties with respect to different channel and environment conditions. Moreover, the growing demand of very high data rate in 5G and beyond networks require back-haul communications to have extreme reliability, low latency, and high capacity, in comparison with 3G and 4G, where the conventional RF back-haul systems are potentially limited by latency and throughput.

### 2.2 Related Works

The mixed, dual-hop, and/or relaying schemes for RF and FSO systems are extensively investigated in [45–72] under several scenarios and configurations. The authors in [55–63] consider a mixed RF-FSO dual-hop communication system for both fixed- and variable-gain relaying schemes in the presence of a single eavesdropper which only happens at RF link. A mixed single-input multiple-output simultaneous wireless information and power transfer based RF and FSO systems are investigated in [64–66] with a energy harvesting RF receiver that acts as a potential eavesdropper. The secrecy outage performance of a mixed RF-FSO transmission system with imperfect channel state information is analyzed in [67–72], for the single-input multiple-output wiretap model, where a relay forwards the transmit signal from a source to a destination, while an eavesdropper wiretaps the confidential information by using multiple antennas.

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\*Reprinted with permission from “On the secrecy capacity of hybrid FSO-mmWave links with correlated wiretap channels” by Sezer C. Tokgoz, Saud Althunibat, Scott L. Miller, and Khalid A. Qaraqe, 2021. *Optics Communications*, 499, 127252, Copyright [2021] by Elsevier [43], and from “Physical layer security of hybrid FSO-mmWave communications in presence of correlated wiretap channels” by Sezer C. Tokgoz, Saud Althunibat, Serhan Yarkan, and Khalid A. Qaraqe, 2021. *International Conference on Communications (ICC)*, 1–7, Copyright [2021] by IEEE [44].

On the other hand, security analysis of hybrid FSO and RF systems are examined in [73–77], considering a parallel/simultaneous transmission between legitimate pairs over both RF and FSO links. Additionally, considering high security assumption of FSO links, only RF type eavesdropper is investigated in these studies. For example, the authors in [73–75] examine a hybrid FSO-RF system under a modified selection combining scheme considering the pointing errors in the FSO transmission and the power amplifier (PA) inefficiency for the RF transmission. Furthermore, link blockage impairments are discussed in [76] for the secrecy performance of a cognitive underlay hybrid RF-FSO system with primary and secondary users. Power optimization and rate allocation in each link of a hybrid FSO-RF system are investigated in [77] while satisfying a constraint on the security of the communication, represented by the secrecy outage probability, and a constraint on its power budget. As distinct from others, the authors in [78] proposed an enhanced security algorithm for a wireless communication system deploying hybrid FSO-RF links in presence of hybrid eavesdropper, in which the activation of either links, FSO or RF, is determined through IM technique. A comprehensive physical layer security analysis of dual-hop mixed and hybrid parallel FSO-RF systems is investigated in [79] considering both RF and FSO eavesdroppers individually.

### 2.3 System Model

In this chapter, we consider a scenario where the classic Wyner’s wiretap channel takes place [80]. The legitimate transmitter, Alice, wants to send confidential information to the legitimate receiver, Bob, while an eavesdropper, Eve, tries to wiretap confidential information by sniffing the received signals, as illustrated in Fig. 2.1. As explained previously, to take the advantage of the unique complementary properties of FSO and RF transmissions by using diversity against different weather and environmental conditions, the communication between legitimate pairs Alice and Bob are accomplished through two parallel links, namely, an FSO link and an RF link. As such, it is assumed that Alice has a single transmit antenna and a single laser, while Bob has a single receive antenna and a single photodetector. Transmitted data are divided into  $\log_2(M)$  bit blocks, where  $M$  is the modulation order. For a simultaneous transmission, each block is modulated and emitted via both links. To provide identical bandwidth over the two links, the RF link operates over the *mmWave*

frequency range.

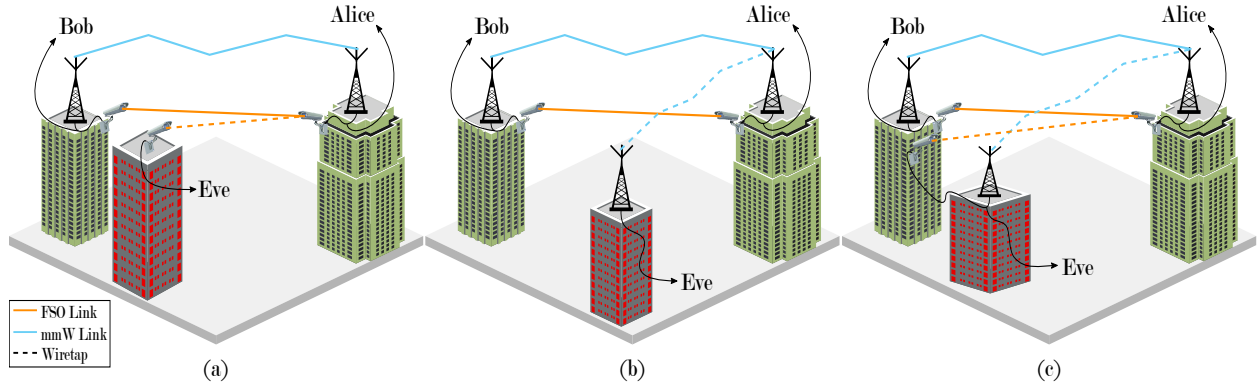


Figure 2.1: System model of hybrid FSO-*mm*Wave communications considering uncorrelated channels, between the legitimate transmitter Alice and receiver Bob in the presence of different types of eavesdroppers: (a) FSO-Eve, (b) RF-Eve, and (c) Hybrid-Eve.

Based on its ability and resources, three different types of Eve are considered, namely, Eve-RF, Eve-FSO and Eve-Hybrid. Eve-RF can eavesdrop only on the RF link using a single receive antenna, while Eve-FSO can eavesdrop only on the FSO link using a single photodetector. On the other hand, Eve-Hybrid is assumed to have a single receive antenna and a single photodetector, and hence, it can eavesdrop both links.

### 2.3.1 Channel Characteristics

The channel models that are used in this chapter are presented in subsections 1.1 and 1.2 for *mm*Wave and FSO links, respectively. In particular, Weibull and Nakagami-*m* distributions are considered for *mm*Wave fading channels, while exponential and Gamma-Gamma distributions are used for FSO turbulence channels.

### 2.3.2 Signal-to-Noise Ratios

Both legitimate receiver Bob and eavesdropper Eve apply the MRC diversity method on the received signals over FSO and RF links, where subscript  $x \in \{b, e\}$  denotes the receiving side, i.e.,  $x = b$  for Bob, and  $x = e$  for Eve. Hence, the overall electrical SNR is in fact the sum of the

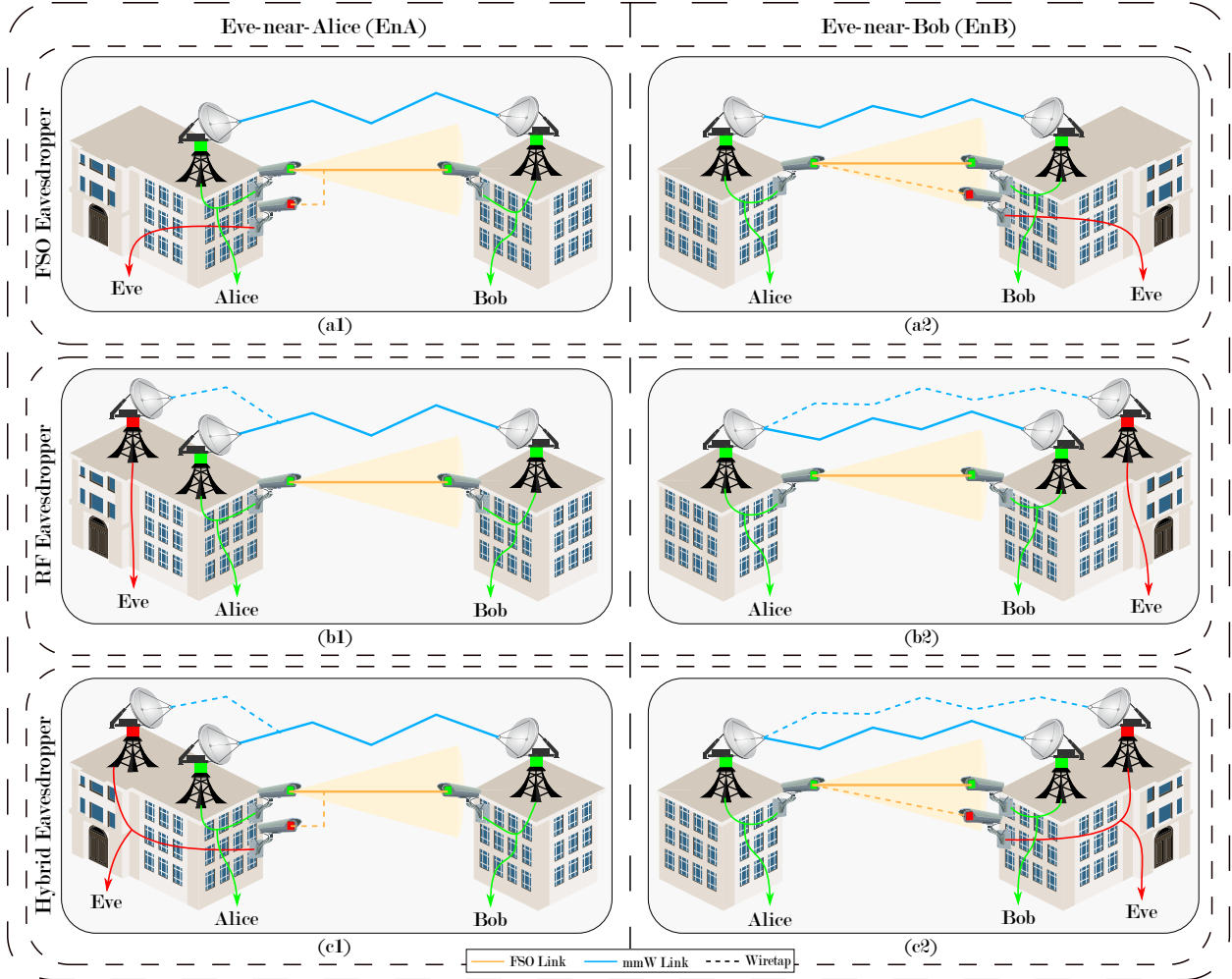


Figure 2.2: System model of hybrid FSO-*mm*Wave communications considering correlated channels, between the legitimate transmitter Alice and receiver Bob in the presence of different type eavesdroppers with different locations: (1) Eve-near-Alice (EnA), (2) Eve-near-Bob (EnB), (a) FSO-Eve, (b) RF-Eve, (c) Hybrid-Eve. Reprinted with permission from [43].

instantaneous electrical SNRs of both links for MRC receiver, and it is expressed as

$$\gamma_x = \gamma_{x,f} + \gamma_{x,o}, \quad (2.1)$$

where  $\gamma_{x,o}$  and  $\gamma_{x,f}$  denote the instantaneous electrical SNR of the RF and FSO links, respectively.

## 2.4 Secrecy Capacity Analysis

In this chapter, to characterize the secure communication between legitimate pairs Alice and Bob, the probabilistic metric of SPSC defined in (1.33) is used

$$\begin{aligned} P_S^+ &= 1 - \text{Prob.}(C_S < 0), \\ &= \text{Prob.}(C_b - C_e > 0). \end{aligned} \quad (2.2)$$

then by substituting (1.27) and (1.28), the expression in (2.2) is re-expressed as

$$P_S^+ = \text{Prob.} \left( B \log_2 \frac{1 + \gamma_b}{1 + \gamma_e} > 0 \right), \quad (2.3)$$

and it can be further simplified as

$$P_S^+ = \text{Prob.}(\gamma_f - \gamma_e > 0), \quad (2.4)$$

finally, by using (2.1), the probability of secure communication is expressed as

$$P_S^+ = \text{Prob.}((\gamma_{b,o} - \gamma_{e,o}) + (\gamma_{b,f} - \gamma_{e,f}) > 0). \quad (2.5)$$

In the following, the probability of SPSC,  $P_S^+$ , is derived for hybrid FSO-mmWave systems considering uncorrelated and correlated wiretap channels.

### 2.4.1 Non-Identical Independent Channels

In this subsection, *mmWave* fading and FSO turbulence channels are modeled by non-identical independent Weibull and exponential RVs, where the PDFs are given in (1.1.1) and (1.2.1), respectively.



### 2.4.1.1 Considering FSO Eavesdropper

FSO-type Eve can be described as a sensing device that collects a fraction of the optical irradiance sent by Alice. It is worthy noting that the presence of Eve-FSO should not affect the received power at Bob. Actually, blocking the LOS between Alice and Bob or decreasing the amount of received power at Bob makes Bob aware of the attack and, therefore, can terminate the communication for security reasons. Therefore, we consider that Eve-FSO collects a fraction  $R_{e,o}$  of the available power from the received laser beam whereas Bob receives a fraction  $R_{b,o}$ , where  $R_{b,o} + R_{e,o} \leq 1$ .

As Eve-FSO eavesdrops only on the FSO link, the electrical SNR at its end,  $\gamma_{e,o}$ , includes only contribution from the optical link, and can be expressed as

$$\gamma_{e,o} = \bar{\gamma}_{e,o} I_e^2, \quad (2.6)$$

where  $\bar{\gamma}_{e,o}$  is given in (1.24)

$$\bar{\gamma}_{e,o} = \left( \frac{\eta I_t R_{e,o} L_{e,o} I_e}{\sigma_e} \right)^2, \quad (2.7)$$

Further, letting  $\gamma_b = \gamma_{b,o} + \gamma_{b,f}$ , and using [81, (4)], the PDF of  $\gamma_b$  can be expressed as

$$f_{\gamma_b}(\gamma_b) = \sum_{l=0}^{\infty} \sum_{k=0}^l c_1 \gamma_b^{c_2-1} e^{-c_3 \gamma_b}, \quad (2.8)$$

where  $c_1$ ,  $c_2$ , and  $c_3$  are expressed as

$$\begin{aligned} c_1 &= (-1)^k \binom{l}{k} \Omega_l \frac{1}{\Gamma(c_2) \chi^{c_2}}, \\ c_2 &= k + 2, \\ c_3 &= 1/\chi, \end{aligned} \quad (2.9)$$

where  $\chi$  and  $\Omega_l$  are defined as

$$\chi = \Gamma\left(1 + \frac{1}{\lambda}\right) \delta^{1/\lambda} + 2\beta^2, \quad (2.10)$$

$$\Omega_l = \sum_{k_f+k_o=l} \sum_{k=0}^{k_f} (-1)^k \frac{1}{\chi^k k!} \binom{k_f}{k} \Gamma\left(1 + \frac{k}{\lambda}\right) \chi^{k/\lambda} \sum_{k=0}^{k_o} (-1)^k \frac{1}{\chi^k k!} \binom{k_o}{k} \Gamma(1 + 2k) \chi^{2k}, \quad (2.11)$$

since  $l$  and  $k$  are non-negative integers,  $\binom{l}{k}$  can be re-written as  $\Gamma(l+1)/\Gamma(k+1)\Gamma(l-k+1)$  to optimize the running time of program.  $k_f$  and  $k_o$  are the dummy variables that satisfy the condition of the summation over all possible non-negative integers for  $k_f + k_o = l$ .

Based on (1.13), the PDF expression of  $\gamma_e$  can be re-written as

$$f_{\gamma_e}(\gamma_e) = d_1 \gamma_e^{-1/2} \exp[-d_2 \gamma_e^{1/2}], \quad (2.12)$$

where  $d_1 = 1/2\beta_e^2$ , and  $d_2 = 1/\beta_e^2$ .

Accordingly,  $P_S^+$  given in (2.4) can be calculated as

$$P_S^+ = \text{Prob.}\{\gamma_b > \gamma_e\} = \int_0^\infty \int_{\gamma_e}^\infty f_{\gamma_b}(\gamma_b) f_{\gamma_e}(\gamma_e) \cdot d\gamma_b d\gamma_e, \quad (2.13)$$

then, by substituting (2.8) into (2.13), the probability of SPSC is expressed as

$$P_S^+ = \int_0^\infty \sum_{l=0}^\infty \sum_{k=0}^l c_1 \left( \underbrace{\int_{\gamma_e}^\infty \gamma_b^{c_2-1} e^{-c_3 \gamma_b} \cdot d\gamma_b}_{\mathcal{I}} \right) f_{\gamma_e}(\gamma_e) \cdot d\gamma_e, \quad (2.14)$$

and the interior integral  $\mathcal{I}$  can be solved as [82, (3.351-2)]

$$P_S^+ = \int_0^\infty \sum_{l=0}^\infty \sum_{k=0}^l c_1 c_3^{-c_2} \Gamma(c_2, c_3 \gamma_e) f_{\gamma_e}(\gamma_e) \cdot d\gamma_e, \quad (2.15)$$

next, by substituting (2.12), the equation (2.15) is expressed as

$$P_S^+ = \sum_{l=0}^{\infty} \sum_{k=0}^l c_1 c_3^{-c_2} d_1 \int_0^{\infty} \gamma_e^{-1/2} e^{-d_2 \gamma_e^{1/2}} \Gamma(c_2, c_3 \gamma_e) \cdot d\gamma_e, \quad (2.16)$$

and the resultant integral can be solved as [83, (2.10.1-5.2)]

$$P_S^+ = \sum_{l=0}^{\infty} \sum_{k=0}^l c_1 c_3^{-c_2} d_1 \left[ c_3^{-1/2} \left( \sum_{t=0}^{\infty} \frac{\Gamma(c_2 + (t+1)/2)}{t!(t+1)/2} \left( -\frac{d_2}{c_3^{1/2}} \right)^t \right) + \frac{2\Gamma(c_2)}{d_2} \right]. \quad (2.17)$$

#### 2.4.1.2 Considering RF Eavesdropper

RF-type Eve can be described as a sensing device that collects a fraction of the power radiated by Alice. It is worthy noting that the presence of Eve-RF should not affect the received power at Bob. Actually, jamming the link between Alice and Bob or decreasing the amount of received power at Bob makes Bob aware of the attack and, therefore, can stop the communication for security reasons. Therefore, we consider that Eve-RF collects a fraction  $P_e$  of the available power that is radiated by Alice whereas Bob receives a fraction  $R_b$ , where  $R_b + R_e \leq 1$ .

As RF-Eve eavesdrops only on the RF link, the electrical SNR at its end,  $\gamma_{e,f}$ , includes only contribution from the RF link, and can be expressed as

$$\gamma_{e,f} = \bar{\gamma}_{e,f} H_e^2, \quad (2.18)$$

where  $\bar{\gamma}_{e,f}$  is given in (1.21)

$$\gamma_{e,f} = \frac{P_t R_{e,f} L_{e,f} H_e^2}{\sigma_e^2}, \quad (2.19)$$

By letting  $\gamma_b = \gamma_{b,o} + \gamma_{b,f}$ , the PDF expression of  $\gamma_b$  is expressed in (2.8). Furthermore, based on (1.4), the PDF expression of  $\gamma_e$  can be re-written as

$$f_{\gamma_e}(\gamma_e) = d_1 \gamma_e^{\frac{\lambda_e}{2} - 1} \exp[-d_2 \gamma_e^{\lambda_e/2}], \quad (2.20)$$

where  $d_1 = \lambda_e/2\delta_e^2$ , and  $d_2 = 1/\delta_e^2$ . Therefore, to obtain  $P_S^+$  in the presence of an RF-type eavesdropper, the integral in (2.15) needs to be re-calculated by using (2.20)

$$P_S^+ = \sum_{l=0}^{\infty} \sum_{k=0}^l c_1 c_3^{-c_2} d_1 \int_0^{\infty} \gamma_e^{\frac{\lambda_e}{2}-1} e^{-d_2 \gamma_e^{\frac{\lambda_e}{2}}} \Gamma(c_2, c_3 \gamma_e) \cdot d\gamma_e, \quad (2.21)$$

and, the resultant integral can be solved as [83, (2.10.1-5.1)]

$$P_S^+ = \sum_{l=0}^{\infty} \sum_{k=0}^l c_1 c_3^{-c_2} d_1 \left[ \frac{-c_3^{c_2}/2}{\lambda_e d_2^{(2c_2+\lambda_e)/\lambda_e}} \left( \sum_{t=0}^{\infty} \frac{1}{t!(t+c_2)} \Gamma\left(\frac{\lambda_e + 2(c_2+t)}{\lambda_e}\right) \left(-\frac{c_3}{d_2^{2/\lambda_e}}\right)^t \right) + \frac{2\Gamma(c_2)}{d_2 \lambda_e} \right]. \quad (2.22)$$

### 2.4.1.3 Considering Hybrid Eavesdropper

Hybrid-type Eve can be described as two sensing devices that collect fractions of the power radiated by Alice in the two links. Alternatively, Eve-Hybrid can be considered as two cooperative eavesdroppers, one Eve-RF and one Eve-FSO.

As hybrid-Eve eavesdrops on both FSO and RF links, the electrical SNR at its end,  $\gamma_e$ , includes contributions from the FSO and RF links, and can be expressed as

$$\gamma_e = \gamma_{e,o} + \gamma_{e,f}, \quad (2.23)$$

where  $\gamma_{e,o}$  and  $\gamma_{e,f}$  are given in (2.7) and (2.19), respectively.

Likewise, by letting  $\gamma_b = \gamma_{b,o} + \gamma_{b,f}$ , the PDF expression of  $\gamma_b$  is given in (2.8). Similarly, the PDF of  $\gamma_e$  is also given in (2.8) but with different parameters  $\delta_e$  and  $\beta_e$  instead of  $\delta_b$  and  $\beta_b$ , respectively. It is worth noting that the subscript  $e$  is used instead of  $b$  for all constant of the PDF of  $\gamma_e$ , and  $d_1$ ,  $d_2$  and  $d_3$  are used instead of  $c_1$ ,  $c_2$  and  $c_3$ , respectively. Therefore, to obtain  $P_S^+$  for hybrid-type eavesdropper, the integral in (2.15) needs to be re-calculated by using (2.8)

$$P_S^+ = \sum_{l=0}^{\infty} \sum_{k=0}^l c_1 c_3^{-c_2} d_1 \sum_{l_e=0}^{\infty} \sum_{k_e=0}^{l_e} d_1 \left( \int_0^{\infty} \gamma_e^{d_2-1} e^{-d_3 \gamma_e} \Gamma(c_2, c_3 \gamma_e) \cdot d\gamma_e \right), \quad (2.24)$$

and the resultant integral can be solved as [83, (2.10.3-2)]

$$P_S^+ = \sum_{l=0}^{\infty} \sum_{k=0}^l c_1 c_3^{-c_2} \sum_{l_e=0}^{\infty} \sum_{k_e=0}^{l_e} d_1 \left[ \frac{-c_3^{c_2} \Gamma(d_2 + c_2)}{c_2 d_3^{c_2 + d_2}} {}_2F_1 \left( c_2, c_2 + d_2; c_2 + 1; -\frac{c_3}{d_3} \right) + \frac{\Gamma(c_2) \Gamma(d_2)}{d_3^{d_2}} \right]. \quad (2.25)$$

## 2.4.2 Non-Identical Dependent Channels

In this subsection, *mmWave* fading and FSO turbulence channels are modeled by non-identical dependent Nakagami- $m$  and Gamma-Gamma RVs, where the PDFs are given in (1.1.2) and (1.2.2), respectively.

## 2.4.3 Eve-near-Alice Scenarios

In this scenario, the eavesdropper Eve is assumed to locate very near to the legitimate transmitter Alice,  $d_e \approx 0$ , as shown with the left-column of Fig. 2.2. Accordingly, the intensity attenuation and power loss can be ignored, i.e.,  $L_{e,o} = L_{e,f} \approx 1$ . Moreover, due to the very short distance  $d_e$  between Alice and Eve, the turbulence and fading can be ignored, i.e.,  $I_e = H_e \approx 1$ . Consequently, the instantaneous electrical SNRs over FSO and *mmWave* links at Eve can be assumed constants, and respectively, given as

$$\gamma_{e,o}^0 = \left( \frac{\eta I_t R_{e,o}}{\sigma_e} \right)^2, \quad (2.26)$$

$$\gamma_{e,f}^0 = \frac{P_t R_{e,f}}{\sigma_e^2}, \quad (2.27)$$

can be readily seen, the probability of secrecy given in (2.5) is now characterized by only  $\gamma_{b,o}$  and  $\gamma_{b,f}$  RVs. Therefore, if we let  $\Delta = \gamma_{b,o} + \gamma_{b,f}$ , the CDF of  $\Delta$  can be calculated as

$$\begin{aligned} F_{\Delta}(\delta) &= \int_{-\infty}^{\infty} \int_{-\infty}^{\delta - \gamma_{b,f}} f_{\gamma_o}(\gamma_{b,o}) f_{\gamma_f}(\gamma_{b,f}) \cdot d\gamma_{b,o} d\gamma_{b,f}, \\ &= \int_0^{\infty} F_{\gamma_o}(\delta - \gamma_{b,f}) f_{\gamma_f}(\gamma_{b,f}) \cdot d\gamma_{b,f}, \end{aligned} \quad (2.28)$$

by substituting (1.7) and (1.19), the integral in (2.28) is re-written as

$$F_{\Delta}(\delta) = \frac{1}{\Gamma(\alpha)\Gamma(\beta)} \frac{m^m}{\Gamma(m)\bar{\gamma}_{b,f}^m} \int_0^{\infty} \gamma_{b,f}^{m-1} e^{-\frac{m}{\bar{\gamma}_{b,f}}\gamma_{b,f}} G_{1,3}^{2,1} \left( \frac{\alpha\beta}{\sqrt{\bar{\gamma}_{b,o}}} \sqrt{\delta - \gamma_{b,f}} \left| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right. \right) \cdot d\gamma_{b,f}, \quad (2.29)$$

by applying a change of variable  $u = \delta - \gamma_{b,f}$ , the integral can be re-written as

$$F_{\Delta}(\delta) = -\frac{1}{\Gamma(\alpha)\Gamma(\beta)} \frac{m^m}{\Gamma(m)\bar{\gamma}_{b,f}^m} e^{-\frac{m}{\bar{\gamma}_{b,f}}\delta} \int_0^{\infty} (\delta - u)^{m-1} e^{\frac{m}{\bar{\gamma}_{b,f}}u} G_{1,3}^{2,1} \left( \frac{\alpha\beta}{\sqrt{\bar{\gamma}_{b,o}}} \sqrt{u} \left| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right. \right) \cdot du, \quad (2.30)$$

where the polynomial term can be re-expressed by the use of binomial theorem

$$(\delta - u)^{m-1} = \sum_{\ell=0}^{m-1} \binom{m-1}{\ell} \delta^{m-\ell-1} (-u)^{\ell}, \quad (2.31)$$

accordingly, the integral can be re-written as

$$F_{\Delta}(\delta) = -\frac{1}{\Gamma(\alpha)\Gamma(\beta)} \frac{m^m}{\Gamma(m)\bar{\gamma}_{b,f}^m} e^{-\frac{m}{\bar{\gamma}_{b,f}}\delta} \sum_{\ell=0}^{m-1} \binom{m-1}{\ell} (-1)^{\ell} \delta^{m-\ell-1} \times \int_0^{\infty} u^{\ell} e^{\frac{m}{\bar{\gamma}_{b,f}}u} G_{1,3}^{2,1} \left( \frac{\alpha\beta}{\sqrt{\bar{\gamma}_{b,o}}} \sqrt{u} \left| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right. \right) \cdot du, \quad (2.32)$$

and by using [83, (2.24.3-1)], the resultant integral is solved as

$$F_{\Delta}(\delta) = -\frac{1}{\Gamma(\alpha)\Gamma(\beta)} \frac{m^m}{\Gamma(m)\bar{\gamma}_{b,f}^m} e^{-\frac{m}{\bar{\gamma}_{b,f}}\delta} \sum_{\ell=0}^{m-1} \binom{m-1}{\ell} (-1)^{\ell} \delta^{m-\ell-1} \frac{2^{\alpha+\beta-1}}{2\pi} \left( -\frac{m}{\bar{\gamma}_{b,f}} \right)^{-(\ell+1)} \times G_{3,6}^{4,3} \left( \frac{\bar{\gamma}_{b,f} \alpha^2 \beta^2}{\bar{\gamma}_{b,o} 16m} \left| \begin{matrix} -\ell, \frac{1}{2}, 1 \\ \frac{\alpha}{2}, \frac{\alpha+1}{2}, \frac{\beta}{2}, \frac{\beta+1}{2}, 0, \frac{1}{2} \end{matrix} \right. \right). \quad (2.33)$$

### 2.4.3.1 FSO Eavesdropper

FSO-type of eavesdropper is described in section 2.4.1.1, where Eve-FSO collects a fraction  $R_{e,o}$  of the available power from the received laser beam whereas Bob receives a fraction  $R_{b,o}$ , where  $R_{b,o} + R_{e,o} \leq 1$ . Accordingly, the probability of secrecy is calculated by considering only the instantaneous electrical SNR over FSO link at Eve

$$P_S^+ = 1 - F_{\Delta}(\gamma_{e,o}^0), \quad (2.34)$$

$$= 1 - F_{\Delta} \left( \left( \frac{\eta I_t R_{e,o} L_{e,o}}{\sigma_e} \right)^2 \right). \quad (2.35)$$

### 2.4.3.2 RF Eavesdropper

RF-type of eavesdropper is described in section 2.4.1.2, where Eve-RF collects a fraction  $R_{e,f}$  of the available power that is radiated by Alice whereas Bob receives a fraction  $R_{b,f}$ , where  $R_{b,f} + R_{e,f} \leq 1$ . Accordingly, the probability of secrecy is calculated by considering only the instantaneous electrical SNR over RF link at Eve

$$P_S^+ = 1 - F_{\Delta}(\gamma_{e,f}^0), \quad (2.36)$$

$$= 1 - F_{\Delta} \left( \frac{P_t R_{e,f} L_{e,f}}{\sigma_e^2} \right). \quad (2.37)$$

### 2.4.3.3 Hybrid FSO-RF Eavesdropper

As previously mentioned in section 2.4.1.3, Hybrid-type Eve can be considered as two sensing devices that collect fractions of the power radiated by Alice over both links. In another word, Eve-Hybrid can be considered as two cooperative eavesdroppers, i.e one for RF and other one for FSO. It is worthy noting that the presence of Eve should not affect the received power at Bob. Actually, blocking the LOS or jamming the link between Alice and Bob, or decreasing the amount of received power at Bob makes the legitimate pair aware of the attack and, therefore, can terminate the communication for security reasons. Consequently, we consider an Eve that collects

the fractions  $R_{e,o}$  and  $R_{e,f}$  of the available power from the received laser beam and the radiated by antenna, respectively, whereas Bob receives the fractions  $R_{b,o}$  and  $R_{b,f}$ , where  $R_{b,o} + R_{e,o} \leq 1$  and  $R_{b,f} + R_{e,f} \leq 1$ . We also consider that Bob and Eve equip the same photodetectors and antennas to provide the identical diameter of aperture, conversion efficiency, and antenna gain. Accordingly, the probability of secrecy is calculated by considering both instantaneous electrical SNRs over FSO link at Eve

$$P_S^+ = 1 - F_\Delta(\gamma_{e,o}^0 + \gamma_{e,f}^0), \quad (2.38)$$

$$= 1 - F_\Delta \left( \left( \frac{\eta I_t R_{e,o} L_{e,o}}{\sigma_e} \right)^2 + \frac{P_t R_{e,f} L_{e,f}}{\sigma_e^2} \right). \quad (2.39)$$

#### 2.4.4 Eve-near-Bob Scenarios

In this scenario, the eavesdropper Eve is assumed to locate very close to the legitimate receiver Bob,  $d_b \approx d_e$ , as shown with the right-column of Fig. 2.2. Due to the fact that this very close location of Eve with respect to Bob, the correlation needs to be introduced into both FSO and RF channels between the main and wiretap parties.

For the FSO links, the large-scale eddies can be assumed to be similar, considering the received signals are to be affected by the same effects [84]. On the other hand, as discussed in [85], the small-scale eddies are considered to be correlated and identically distributed due to the relatively close location of Eve with respect to Bob. Since we only consider the small-scale eddies [86], the FSO links can be modeled with one Gamma random variable [84]. By using the change of variable, we can state the instantaneous SNRs received at Bob and Eve as  $\Psi_b = \sqrt{\gamma_{b,o}} I_{b,s}$  and  $\Psi_e = \sqrt{\gamma_{e,o}} I_{e,s}$ , respectively, where  $I_{.,s}$  denotes the small-scale eddies of the FSO link. Notice that we now have two Gamma RVs denoted by  $\Psi_b \sim \text{Gam}(\beta, \beta/\sqrt{\gamma_{b,o}})$  and  $\Psi_e \sim \text{Gam}(\beta, \beta/\sqrt{\gamma_{e,o}})$ . Then, the joint PDF of  $\Psi = \Psi_b - \Psi_e$  can be expressed with the aid of McKay distribution [87,



(22a)], as follows

$$f_{\Psi}(\psi) = C_o |\psi|^{a_o-1} \exp[-b_o \psi] K_{a_o-1}(c_o |\psi|), \quad (2.40)$$

where  $\psi \neq 0$ , and coefficients  $C_o$ ,  $a_o$ ,  $b_o$  and  $c_o$  are expressed as

$$C_o = \frac{((\beta_1 + \beta_2)^2 - 4\beta_1\beta_2\rho_o)^{-(2\beta-1)/4}}{\Gamma(\beta)\sqrt{\pi\beta_1\beta_2(1-\rho_o)}}, \quad (2.41)$$

$$a_o = \beta + 1/2, \quad (2.42)$$

$$b_o = (\beta_2 - \beta_1)/(2\beta_1\beta_2(1-\rho_o)), \quad (2.43)$$

$$c_o = \sqrt{(\beta_1 + \beta_2)^2 - 4\beta_1\beta_2\rho_o}/(2\beta_1\beta_2(1-\rho_o)), \quad (2.44)$$

where  $\beta_1 = \sqrt{\bar{\gamma}_{b,o}}/\beta$ ,  $\beta_2 = \sqrt{\bar{\gamma}_{e,o}}/\beta$ , and  $\rho_o$  stands for the correlation coefficient between main (from Alice to Bob) and wiretap (from Alice to Eve) FSO channels.

Similarly, for the *mmWave* links, by applying the change of variable, we can express the instantaneous SNRs received at Bob and Eve as  $\Omega_b = \bar{\gamma}_{b,f} H_b^2$  and  $\Omega_e = \bar{\gamma}_{e,f} H_e^2$ , respectively. It is worthy to recall that the *mmWave* links are modeled by the RVs  $H_b$  and  $H_e$  that have Nakagami- $m$  distribution, as given in (1.6), and it is well-known that the square envelopes of Nakagami- $m$  distributed channels,  $H_b^2$  and  $H_e^2$ , follow a Gamma distribution. Therefore, we have two Gamma RVs denoted as  $\Omega_b \sim \text{Gam.}(m, \bar{\gamma}_{b,f}/m)$  and  $\Omega_e \sim \text{Gam.}(m, \bar{\gamma}_{e,f}/m)$ . Then, the joint CDF of  $\Omega = \Omega_b - \Omega_e$  can be also expressed as [87, (22a)]

$$f_{\Omega}(\omega) = C_f |\omega|^{a_f-1} \exp[-b_f \omega] K_{a_f-1}(c_f |\omega|), \quad (2.45)$$

where  $\omega \neq 0$ , and coefficients  $C_f$ ,  $a_f$ ,  $b_f$  and  $c_f$  are expressed as

$$C_f = \frac{((\theta_1 + \theta_2)^2 - 4\theta_1\theta_2\rho_f)^{-(2\theta-1)/4}}{\Gamma(\theta)\sqrt{\pi\theta_1\theta_2(1-\rho_f)}}, \quad (2.46)$$

$$a_f = \theta + 1/2, \quad (2.47)$$

$$b_f = (\theta_2 - \theta_1)/(2\theta_1\theta_2(1 - \rho_f)), \quad (2.48)$$

$$c_f = \sqrt{(\theta_1 + \theta_2)^2 - 4\theta_1\theta_2\rho_f/(2\theta_1\theta_2(1 - \rho_f))}, \quad (2.49)$$

where  $\theta_1 = \bar{\gamma}_{b,f}/m$ ,  $\theta_2 = \bar{\gamma}_{e,f}/m$ , and  $\rho_f$  stands for the correlation coefficient between main (from Alice to Bob) and wiretap (from Alice to Eve) RF channels.

#### 2.4.4.1 FSO Eavesdropper

This subsection defines the FSO eavesdropper case, in which wiretapping only happens on the FSO link. In other words, since FSO-Eve is equipped with a single photodetector, there is no contribution of  $\gamma_{e,f}$ , and therefore, the probability of SPSC given in (2.5) is expressed as

$$P_S^+ = \text{Prob.}((\Psi_b - \Psi_e) + \gamma_{b,f} > 0), \quad (2.50)$$

where  $\Psi_b$  and  $\Psi_e$ , as explained in the second paragraph of Subsection 2.4.4, denote the instantaneous electrical SNRs of FSO links at Bob and Eve, respectively. Then, by letting  $\Xi = \Psi + \gamma_{b,f}$ , where  $\Psi = \Psi_b - \Psi_e$ , the CDF of  $\Xi$  can be calculated as

$$\begin{aligned} F_{\Xi}^{\text{FSO}}(\xi) &= \int_{-\infty}^{\infty} \int_{-\infty}^{\xi-\psi} f_{\gamma_f}(\gamma_{b,f}) f_{\Psi}(\psi) \cdot d\gamma_{b,f} d\psi, \\ &= \int_{-\infty}^{\infty} F_{\gamma_f}(\xi - \psi) f_{\Psi}(\psi) \cdot d\psi, \end{aligned} \quad (2.51)$$

by substituting (1.9) and (2.40), the integral in (2.51) is re-written as

$$F_{\Xi}^{\text{FSO}}(\xi) = C_o \int_{-\infty}^{\infty} \left[ 1 - \sum_{k=0}^{\infty} \frac{1}{k!} \left( \frac{m}{\bar{\gamma}_{b,f}} \right)^k (\xi - \psi)^k e^{-\frac{m}{\bar{\gamma}_{b,f}}(\xi-\psi)} \right] |\psi|^{a_o-1} e^{-b_o\psi} K_{a_o-1}(c_o|\psi|) \cdot d\psi, \quad (2.52)$$

the integral can be broken into two parts due to the absolute operator

$$\begin{aligned} F_{\Xi}^{\text{FSO}}(\xi) &= C_o \int_{-\infty}^0 \left[ 1 - \sum_{k=0}^{\infty} \frac{1}{k!} \left( \frac{m}{\bar{\gamma}_{b,f}} \right)^k (\xi - \psi)^k e^{-\frac{m}{\bar{\gamma}_{b,f}}(\xi-\psi)} \right] (-\psi)^{a_o-1} e^{-b_o\psi} K_{a_o-1}(-c_o\psi) \cdot d\psi \\ &\quad + C_o \int_0^{\infty} \left[ 1 - \sum_{k=0}^{\infty} \frac{1}{k!} \left( \frac{m}{\bar{\gamma}_{b,f}} \right)^k (\xi - \psi)^k e^{-\frac{m}{\bar{\gamma}_{b,f}}(\xi-\psi)} \right] \psi^{a_o-1} e^{-b_o\psi} K_{a_o-1}(c_o\psi) \cdot d\psi, \end{aligned} \quad (2.53)$$

for  $\xi = 0$ , by using [82, 6.621-3], the integrals can be solved as

$$\begin{aligned} F_{\Xi}^{\text{FSO}} &= C_o \sqrt{\pi} (2c_o)^{a_o-1} \left[ \frac{\Gamma(2a_o - 1)}{\Gamma(a_o + 1/2)} \right. \\ &\quad \times \left( \frac{1}{(c_o - b_o)^{2a_o-1}} {}_2F_1 \left( 2a_o - 1, a_o - \frac{1}{2}; a_o + \frac{1}{2}; \frac{-b_o - c_o}{-b_o + c_o} \right) \right. \\ &\quad \left. + \frac{1}{(c_o + b_o)^{2a_o-1}} {}_2F_1 \left( 2a_o - 1, a_o - \frac{1}{2}; a_o + \frac{1}{2}; \frac{b_o - c_o}{b_o + c_o} \right) \right) \\ &\quad - \sum_{k=0}^{\infty} \frac{1}{k!} \left( \frac{m}{\bar{\gamma}_{b,f}} \right)^k \frac{\Gamma(2a_o + k - 1) \Gamma(k + 1)}{\Gamma(a_o + k + 1/2)} \\ &\quad \times \left( \frac{1}{(c_o - b_o + \frac{m}{\bar{\gamma}_{b,f}})^{2a_o+k-1}} {}_2F_1 \left( 2a_o + k - 1, a_o - \frac{1}{2}; a_o + k + \frac{1}{2}; \frac{m - \bar{\gamma}_{b,f}(b_o + c_o)}{m - \bar{\gamma}_{b,f}(b_o - c_o)} \right) \right. \\ &\quad \left. - \frac{1}{(c_o + b_o - \frac{m}{\bar{\gamma}_{b,f}})^{2a_o+k-1}} {}_2F_1 \left( 2a_o + k - 1, a_o - \frac{1}{2}; a_o + k + \frac{1}{2}; \frac{\bar{\gamma}_{b,f} - m(b_o - c_o)}{\bar{\gamma}_{b,f} - m(b_o + c_o)} \right) \right) \right]. \end{aligned} \quad (2.54)$$

Accordingly, the probability of secure communication for EnB scenario in presence of FSO-Eve is calculated by using (2.54) as follows

$$P_S^+ = 1 - F_{\Xi}^{\text{FSO}}. \quad (2.55)$$

#### 2.4.4.2 RF Eavesdropper

In a like manner, this subsection defines the RF eavesdropper case, in which wiretapping only happens on the RF link. Correspondingly, since RF-Eve is equipped with a receiving antenna, there is no contribution of  $\gamma_{e,o}$ , and therefore, the probability of SPSC given in (2.5) is expressed as

$$P_S^+ = \text{Prob.}(\gamma_{b,o} + (\Omega_b - \Omega_e) > 0), \quad (2.56)$$

where  $\Omega_b$  and  $\Omega_e$ , as explained in the third paragraph of Subsection 2.4.4, depict the instantaneous electrical SNRs of RF links at Bob and Eve, respectively. Then, by applying the change of variable as  $\Xi = \gamma_{b,o} + \Omega$ , where  $\Omega = \Omega_b - \Omega_e$ , the CDF of  $\Xi$  can be calculated as

$$\begin{aligned} F_{\Xi}^{\text{RF}}(\xi) &= \int_{-\infty}^{\infty} \int_{-\infty}^{\xi-\omega} f_{\gamma_o}(\gamma_{b,o}) f_{\Omega}(\omega) \cdot d\gamma_{b,o} d\omega, \\ &= \int_{-\infty}^{\infty} F_{\gamma_o}(\xi - \omega) f_{\Omega}(\omega) \cdot d\omega, \end{aligned} \quad (2.57)$$

by substituting (1.19) and (2.45), the integral in (2.57) is re-written as

$$F_{\Xi}^{\text{RF}}(\xi) = \frac{C_f}{\Gamma(\alpha)\Gamma(\beta)} \int_{-\infty}^{\infty} |\omega|^{a_f-1} e^{-b_f\omega} K_{a_f-1}(c_f|\omega|) G_{1,3}^{2,1} \left( \frac{\alpha\beta}{\sqrt{\gamma_{b,o}}} \sqrt{(\xi - \omega)} \left| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right. \right) \cdot d\omega, \quad (2.58)$$

by considering  $\xi = 0$ , the integral can be re-written as

$$F_{\Xi}^{\text{RF}} = \frac{C_f}{\Gamma(\alpha)\Gamma(\beta)} \left[ \int_{-\infty}^0 (-\omega)^{a_f-1} e^{-b_f\omega} K_{a_f-1}(-c_f\omega) G_{1,3}^{2,1} \left( \frac{\alpha\beta}{\bar{\gamma}_{b,o}}(-\omega) \middle| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right) \cdot d\omega \right. \\ \left. + \int_0^{\infty} \omega^{a_f-1} e^{-b_f\omega} K_{a_f-1}(c_f\omega) G_{1,3}^{2,1} \left( \frac{\alpha\beta}{\bar{\gamma}_{b,o}}(-\omega) \middle| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right) \cdot d\omega \right], \quad (2.59)$$

and it can be re-written as

$$F_{\Xi}^{\text{RF}} = \frac{C_f}{\Gamma(\alpha)\Gamma(\beta)} \left[ \int_0^{\infty} \omega^{a_f-1} e^{b_f\omega} K_{a_f-1}(c_f\omega) G_{1,3}^{2,1} \left( \frac{\alpha\beta}{\bar{\gamma}_{b,o}}\omega \middle| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right) \cdot d\omega \right. \\ \left. + \int_0^{\infty} \omega^{a_f-1} e^{-b_f\omega} K_{a_f-1}(c_f\omega) G_{1,3}^{2,1} \left( -\frac{\alpha\beta}{\bar{\gamma}_{b,o}}\omega \middle| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right) \cdot d\omega \right], \quad (2.60)$$

where exponential function can be expressed in terms of power series expansion

$$e^{\pm b_f\omega} = \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (\pm b_f)^{\ell} \omega^{\ell}, \quad (2.61)$$

then, the integral can be re-written as

$$F_{\Xi}^{\text{RF}} = \frac{C_f}{\Gamma(\alpha)\Gamma(\beta)} \left[ \sum_{\ell=0}^{\infty} \frac{1}{\ell!} b_f^{\ell} \int_0^{\infty} \omega^{a_f+\ell-1} K_{a_f-1}(c_f\omega) G_{1,3}^{2,1} \left( \frac{\alpha\beta}{\bar{\gamma}_{b,o}}\omega \middle| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right) \cdot d\omega \right. \\ \left. + \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^{\ell} \int_0^{\infty} \omega^{a_f+\ell-1} K_{a_f-1}(c_f\omega) G_{1,3}^{2,1} \left( -\frac{\alpha\beta}{\bar{\gamma}_{b,o}}\omega \middle| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right) \cdot d\omega \right], \quad (2.62)$$

by using [83, (2.24.4-3)], the resultant integral can be solved as

$$F_{\Xi}^{\text{RF}} = \frac{C_f 2^{a_f + \alpha + \beta - 4}}{\pi \Gamma(\alpha) \Gamma(\beta)} \left[ \sum_{\ell=0}^{\infty} \frac{1}{\ell!} \frac{b_f^\ell 2^\ell}{c_f^{a_f + \ell}} \left( G_{4,6}^{4,4} \left( \frac{\alpha^2 \beta^2}{2\bar{\gamma}_{b,o}^2} c_f^2 \middle| \begin{matrix} (3-2a_f-\ell)/2, (1-\ell)/2, 1/2, 1 \\ \alpha/2, \alpha, \beta, \beta/2, 0, 1/2 \end{matrix} \right) \right. \right. \\ \left. \left. + (-1)^\ell G_{4,6}^{4,4} \left( -\frac{\alpha^2 \beta^2}{2\bar{\gamma}_{b,o}^2} c_f^2 \middle| \begin{matrix} (3-2a_f-\ell)/2, (1-\ell)/2, 1/2, 1 \\ \alpha/2, \alpha, \beta, \beta/2, 0, 1/2 \end{matrix} \right) \right) \right]. \quad (2.63)$$

Consequently, the probability of secure communication for EnB scenario in presence of RF-Eve is calculated by using (2.63) as follows

$$P_S^+ = 1 - F_{\Xi}^{\text{RF}}. \quad (2.64)$$

#### 2.4.4.3 Hybrid Eavesdropper

Since Hybrid-Eve is equipped with a single photodetector and single receiving antenna, the probability of SPSC is expressed as given in (2.5). Afterward, using the notation adopted in subsections 2.4.4.1 and 2.4.4.2, which is  $\Xi = \Psi + \Omega$ , where  $\Psi = \Psi_b - \Psi_e$  and  $\Omega = \Omega_b - \Omega_e$ , the CDF of  $\Xi$  can be calculated as

$$F_{\Xi}^{\text{HYB}}(\xi) = \int_{-\infty}^{\infty} \int_{-\infty}^{\xi - \omega} f_{\Psi}(\psi) f_{\Omega}(\omega) \cdot d\psi d\omega, \quad (2.65)$$

by substituting (2.40) into (2.65), the integral can be written as

$$F_{\Xi}^{\text{HYB}}(\xi) = C_o \int_{-\infty}^{\infty} \left( \underbrace{\int_0^{\xi - \omega} \psi^{a_o - 1} e^{-b_o \psi} K_{a_o - 1}(c_o \psi) \cdot d\psi}_{\mathcal{I}} \right. \\ \left. + \int_{-\infty}^0 \psi^{a_o - 1} e^{-b_o \psi} K_{a_o - 1}(c_o \psi) \cdot d\psi \right) f_{\Omega}(\omega) \cdot d\omega, \quad (2.66)$$

for  $\xi = 0$ , the integral  $\mathcal{I}$  can be written as

$$\begin{aligned}\mathcal{I} &= \int_0^{-\omega} \psi^{a_o-1} e^{-b_o\psi} K_{a_o-1}(c_o\psi) \cdot d\psi \\ &= \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell \int_0^{-\omega} \psi^{a_o+\ell-1} K_{a_o-1}(c_o\psi) \cdot d\psi\end{aligned}\quad (2.67)$$

by changing the integral boundaries from 0 to 1, where  $x = -\psi/\omega$  and  $\psi = -x\omega$

$$\begin{aligned}\mathcal{I} &= \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell \int_0^{-\omega} \psi^{a_o+\ell-1} K_{a_o-1}(c_o\psi) \cdot d\psi \\ &= \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell (-\omega)^{a_o+\ell} \int_0^1 x^{a_o+\ell-1} K_{a_o-1}(-\omega c_o x) \cdot dx\end{aligned}\quad (2.68)$$

then by using [82, (6.592-2)], the integral is solved as

$$\begin{aligned}\mathcal{I} &= \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell (-\omega)^{a_o+\ell} \frac{2^{a_o-3}}{(-\omega c_o)^{a_o-1}} G_{1,3}^{2,1} \left( \frac{\omega^2 c_o^2}{4} \middle| \begin{matrix} (1-\ell)/2 \\ a_o-1, 0, -(\ell+1)/2 \end{matrix} \right) \\ &= \frac{2^{a_o-3}}{(c_o)^{a_o-1}} \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell (-\omega)^{\ell+1} G_{1,3}^{2,1} \left( \frac{\omega^2 c_o^2}{4} \middle| \begin{matrix} (1-\ell)/2 \\ a_o-1, 0, -(\ell+1)/2 \end{matrix} \right)\end{aligned}\quad (2.69)$$

by substituting (2.69), (2.66) can be written as

$$\begin{aligned}F_{\Xi}^{\text{HYB}} &= C_o \int_{-\infty}^{\infty} \left( \frac{2^{a_o-3}}{(c_o)^{a_o-1}} \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell (-\omega)^{\ell+1} G_{1,3}^{2,1} \left( \frac{\omega^2 c_o^2}{4} \middle| \begin{matrix} (1-\ell)/2 \\ a_o-1, 0, -(\ell+1)/2 \end{matrix} \right) \right. \\ &\quad \left. + (-1)^{a_o-1} \frac{\sqrt{\pi} (-2c_o)^{a_o-1} \Gamma(2a_o-1)}{(-b_o-c_o)^{2a_o-1} \Gamma(a_o+1/2)} \right. \\ &\quad \left. \times {}_2F_1 \left( 2a_o-1, a_o-1/2; a_o+1/2; \frac{-b_o+c_o}{-b_o-c_o} \right) \right) f_{\Omega}(\omega) \cdot d\omega,\end{aligned}\quad (2.70)$$

then, by substituting (2.45), (2.70) can be written as

$$\begin{aligned}
F_{\Xi}^{\text{HYB}} &= C_o C_f \frac{2^{a_o-3}}{(c_o)^{a_o-1}} \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell \\
&\times \int_{-\infty}^{\infty} (-\omega)^{\ell+1} G_{1,3}^{2,1} \left( \frac{\omega^2 c_o^2}{4} \middle| \begin{matrix} (1-\ell)/2 \\ a_o-1, 0, -(\ell+1)/2 \end{matrix} \right) |\omega|^{a_f-1} \exp[-b_f \omega] K_{a_f-1}(c_f |\omega|) \cdot d\omega \\
&+ C_o C_f (-1)^{a_o-1} \frac{\sqrt{\pi} (-2c_o)^{a_o-1}}{(-b_o - c_o)^{2a_o-1}} \frac{\Gamma(2a_o - 1)}{\Gamma(a_o + 1/2)} {}_2F_1 \left( 2a_o - 1, a_o - 1/2; a_o + 1/2; \frac{-b_o + c_o}{-b_o - c_o} \right) \\
&\times \int_{-\infty}^{\infty} |\omega|^{a_f-1} \exp[-b_f \omega] K_{a_f-1}(c_f |\omega|) \cdot d\omega, \tag{2.71}
\end{aligned}$$

the integral can be re-written as

$$\begin{aligned}
F_{\Xi}^{\text{HYB}} &= C_o C_f \frac{2^{a_o-3}}{(c_o)^{a_o-1}} \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell \\
&\times \left[ (-1)^{\ell+1} \int_0^{\infty} \omega^{\ell+a_f} G_{1,3}^{2,1} \left( \frac{\omega^2 c_o^2}{4} \middle| \begin{matrix} (1-\ell)/2 \\ a_o-1, 0, -(\ell+1)/2 \end{matrix} \right) \exp[b_f \omega] K_{a_f-1}(c_f \omega) \cdot d\omega \right. \\
&+ \left. (-1)^{\ell+1} \int_0^{\infty} \omega^{\ell+a_f} G_{1,3}^{2,1} \left( \frac{\omega^2 c_o^2}{4} \middle| \begin{matrix} (1-\ell)/2 \\ a_o-1, 0, -(\ell+1)/2 \end{matrix} \right) \exp[-b_f \omega] K_{a_f-1}(c_f \omega) \cdot d\omega \right] \\
&+ C_o C_f (-1)^{a_o-1} \frac{\sqrt{\pi} (-2c_o)^{a_o-1}}{(-b_o - c_o)^{2a_o-1}} \frac{\Gamma(2a_o - 1)}{\Gamma(a_o + 1/2)} {}_2F_1 \left( 2a_o - 1, a_o - 1/2; a_o + 1/2; \frac{-b_o + c_o}{-b_o - c_o} \right) \\
&\times \left[ \int_0^{\infty} \omega^{a_f-1} \exp[-b_f \omega] K_{a_f-1}(c_f \omega) \cdot d\omega + \int_0^{\infty} \omega^{a_f-1} \exp[b_f \omega] K_{a_f-1}(c_f \omega) \cdot d\omega \right], \tag{2.72}
\end{aligned}$$



by using [82, (6.592-2)], last two integrals are solved as in (2.73),

$$\begin{aligned}
F_{\Xi}^{\text{HYB}} &= C_o C_f \frac{2^{a_o-3}}{(c_o)^{a_o-1}} \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell (-1)^{\ell+1} \left[ \int_0^\infty \omega^{\ell+a_f} G_{1,3}^{2,1} \left( \frac{\omega^2 c_o^2}{4} \middle|_{a_o-1,0,-(\ell+1)/2}^{(1-\ell)/2} \right) \right. \\
&\quad \times e^{b_f \omega} K_{a_f-1}(c_f \omega) \cdot d\omega + \int_0^\infty \omega^{\ell+a_f} G_{1,3}^{2,1} \left( \frac{\omega^2 c_o^2}{4} \middle|_{a_o-1,0,-(\ell+1)/2}^{(1-\ell)/2} \right) e^{-b_f \omega} K_{a_f-1}(c_f \omega) \cdot d\omega \left. \right] \\
&\quad + C_o C_f (-1)^{a_o-1} \frac{\sqrt{\pi} (-2c_o)^{a_o-1}}{(-b_o - c_o)^{2a_o-1}} \frac{\Gamma(2a_o - 1)}{\Gamma(a_o + 1/2)} {}_2F_1 \left( 2a_o - 1, a_o - 1/2; a_o + 1/2; \frac{-b_o + c_o}{-b_o - c_o} \right) \\
&\quad \times \left[ \sqrt{\pi} 2c_f^{a_f-1} \frac{\Gamma(2a_f - 1)}{\Gamma(a_f + 1/2)} \left( \frac{1}{(b_f + c_f)^{2a_f-1}} {}_2F_1 \left( 2a_f - 1, a_f - 1/2; a_f + 1/2; \frac{b_f - c_f}{b_f + c_f} \right) \right. \right. \\
&\quad \left. \left. + \frac{1}{(-b_f + c_f)^{2a_f-1}} {}_2F_1 \left( 2a_f - 1, a_f - 1/2; a_f + 1/2; \frac{-b_f - c_f}{-b_f + c_f} \right) \right) \right], \tag{2.73}
\end{aligned}$$

where exponential and Bessel functions can be expressed in terms of power series expansion and Meijer-G function, respectively, as in (2.74).

$$\begin{aligned}
F_{\Xi}^{\text{HYB}} &= C_o C_f \frac{2^{a_o-4}}{(c_o)^{a_o-1}} \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell (-1)^{\ell+1} \left[ \sum_{k=0}^{\infty} \frac{1}{k!} b_f^k \int_0^\infty \omega^{\ell+a_f+k} G_{0,2}^{2,0} \left( \frac{\omega^2 c_f^2}{4} \middle|_{(a_f-1)/2,(a_f-1)/2}^{(a_f-1)/2,(a_f-1)/2} \right) \right. \\
&\quad \times G_{1,3}^{2,1} \left( \frac{\omega^2 c_o^2}{4} \middle|_{a_o-1,0,-(\ell+1)/2}^{(1-\ell)/2} \right) \cdot d\omega + \sum_{t=0}^{\infty} \frac{1}{t!} (-b_f)^t \int_0^\infty \omega^{\ell+a_f+t} G_{0,2}^{2,0} \left( \frac{\omega^2 c_f^2}{4} \middle|_{(a_f-1)/2,(a_f-1)/2}^{(a_f-1)/2,(a_f-1)/2} \right) \\
&\quad \times G_{1,3}^{2,1} \left( \frac{\omega^2 c_o^2}{4} \middle|_{a_o-1,0,-(\ell+1)/2}^{(1-\ell)/2} \right) \cdot d\omega \left. \right] + C_o C_f (-1)^{a_o-1} \frac{\sqrt{\pi} (-2c_o)^{a_o-1}}{(-b_o - c_o)^{2a_o-1}} \frac{\Gamma(2a_o - 1)}{\Gamma(a_o + 1/2)} \\
&\quad \times {}_2F_1 \left( 2a_o - 1, a_o - 1/2; a_o + 1/2; \frac{-b_o + c_o}{-b_o - c_o} \right) \left[ \sqrt{\pi} 2c_f^{a_f-1} \frac{\Gamma(2a_f - 1)}{\Gamma(a_f + 1/2)} \left( \frac{1}{(b_f + c_f)^{2a_f-1}} \right. \right. \\
&\quad \times {}_2F_1 \left( 2a_f - 1, a_f - 1/2; a_f + 1/2; \frac{b_f - c_f}{b_f + c_f} \right) + \frac{1}{(-b_f + c_f)^{2a_f-1}} \\
&\quad \left. \left. \times {}_2F_1 \left( 2a_f - 1, a_f - 1/2; a_f + 1/2; \frac{-b_f - c_f}{-b_f + c_f} \right) \right) \right], \tag{2.74}
\end{aligned}$$

Then, by using [83, (2.24.1-3)], integrals are solved as in (2.75)

$$\begin{aligned}
F_{\Xi}^{\text{HYB}} &= C_o C_f \frac{2^{a_o-4}}{(c_o)^{a_o-1}} \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (-b_f)^\ell (-1)^{\ell+1} \sum_{k=0}^{\infty} \frac{1}{k!} \left(\frac{c_f}{2}\right)^{-2(\ell+k+a_f+1)} \\
&\times \left[ b_f^k G_{3,3}^{2,3} \left( \frac{c_o^2}{c_f^2} \left| \begin{matrix} \frac{1-\ell}{2}, \frac{1-2\ell-2k-3a_f}{2}, \frac{1-2\ell-2k-3a_f}{2} \\ a_o-1, 0, -\frac{\ell+1}{2} \end{matrix} \right. \right) + (-b_f)^k G_{3,3}^{2,3} \left( \frac{c_o^2}{c_f^2} \left| \begin{matrix} \frac{1-\ell}{2}, \frac{1-2\ell-2k-3a_f}{2}, \frac{1-2\ell-2k-3a_f}{2} \\ a_o-1, 0, -\frac{\ell+1}{2} \end{matrix} \right. \right) \right] \\
&+ C_o C_f (-1)^{a_o-1} \frac{\sqrt{\pi} (-2c_o)^{a_o-1} \Gamma(2a_o-1)}{(-b_o-c_o)^{2a_o-1} \Gamma(a_o+1/2)} {}_2F_1 \left( 2a_o-1, a_o-1/2; a_o+1/2; \frac{-b_o+c_o}{-b_o-c_o} \right) \\
&\times \left[ \sqrt{\pi} 2c_f^{a_f-1} \frac{\Gamma(2a_f-1)}{\Gamma(a_f+1/2)} \left( \frac{1}{(b_f+c_f)^{2a_f-1}} {}_2F_1 \left( 2a_f-1, a_f-1/2; a_f+1/2; \frac{b_f-c_f}{b_f+c_f} \right) \right. \right. \\
&\left. \left. + \frac{1}{(-b_f+c_f)^{2a_f-1}} {}_2F_1 \left( 2a_f-1, a_f-1/2; a_f+1/2; \frac{-b_f-c_f}{-b_f+c_f} \right) \right) \right], \tag{2.75}
\end{aligned}$$

Thereupon, the probability of secure communication for EnB scenario in presence of Hybrid-Eve is calculated by using (2.75) as follows

$$P_S^+ = 1 - F_{\Xi}^{\text{HYB}}. \tag{2.76}$$

## 2.5 Results and Discussion

In this section, in order to validate the analytical accuracy of our derivations that are obtained in Section 2.4, Monte-Carlo simulations are presented along with related numerical results. In particular, a detailed characterization of the information-theoretic security of hybrid FSO-*mmWave* systems is investigated in terms of the probability of SPSC using the PDFs of SNRs at Bob and Eve. Further, we consider three different types of eavesdropper to discuss the main implications that arise in practical scenarios of interest. Additionally, unless otherwise stated, the upper bounds of all the summation terms are set to 150.

### 2.5.1 Non-Identical Independent Channels

The impact of upper limit of the integer  $l$  in (2.17) is investigated in Fig. 2.3 for FSO-type eavesdropper at 15 dB. The shape parameter of *mmWave* link between Alice and Bob is set as  $\delta_b = 3$  whereas it is  $\lambda_b = \lambda_e = 1/2$  for FSO links. As it is seen from the figure, the upper limit

of  $l$  is an important parameter for the analytical accuracy of the probability of SPSC metric. By selecting a small value like  $l = 50$ , we show a perfect approximation to the exact value. Hence, the upper limit of  $l$  is set to 50 in the remaining results of this study.

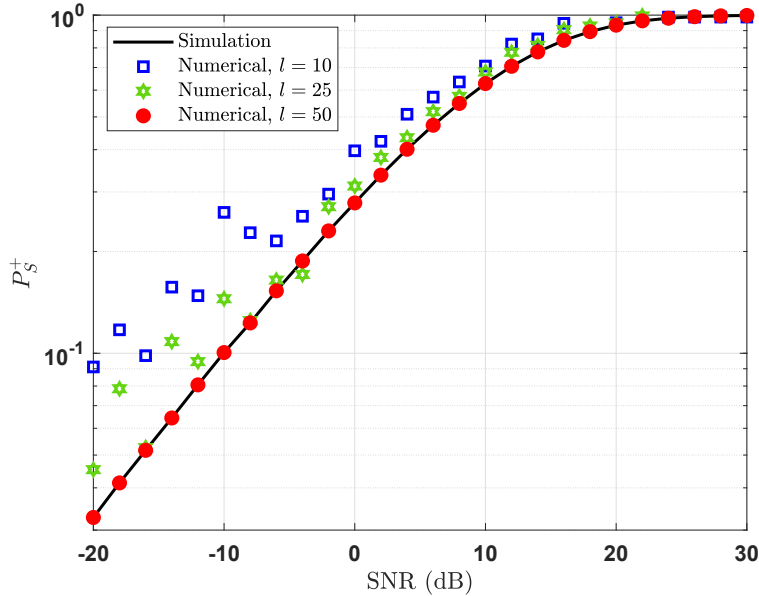


Figure 2.3: Effect of upper limit of  $l$  in (2.17) on the probability of SPSC versus average SNR at Bob, for FSO-type eavesdropper at 15 dB. ( $\delta_b = 3$ ,  $\lambda_b = \lambda_e = 1/2$ ,  $t = 100$ ,  $d_b = d_e = 1$  km,  $R_{b,f} = 0.95$ ,  $R_{b,o} = 0.85$ ,  $R_{e,o} = 0.05$ ).

Similarly, the impact of upper limit of  $t$  in (2.22) on the probability of SPSC versus SNR behavior of the system is presented in Fig. 2.4 for RF-type eavesdropper at 10 dB. The shape parameter of *mmWave* link between Alice and Bob is set as  $\delta_b = 2$  whereas it is  $\delta_e = 3$  for the link between Alice and Eve. Similarly to  $l$ , the upper limit of  $t$  is also an important parameter for the analytical accuracy of the security metric  $P_S^+$ . It is clear that adjusting a value like  $t = 100$ , we obtain a perfect match with the exact value. Correspondingly, the upper limit of  $t$  is set to 100 in the rest of results.

In Fig. 2.5, the probability of secrecy as a function of the average SNR at Bob is represented in the presence an FSO-type eavesdropper at different fixed SNRs values,  $-5$  dB,  $0$  dB,  $5$  dB, and  $10$  dB. The shape parameter of *mmWave* link between Alice and Bob is set to  $\delta_b = 2$  whereas

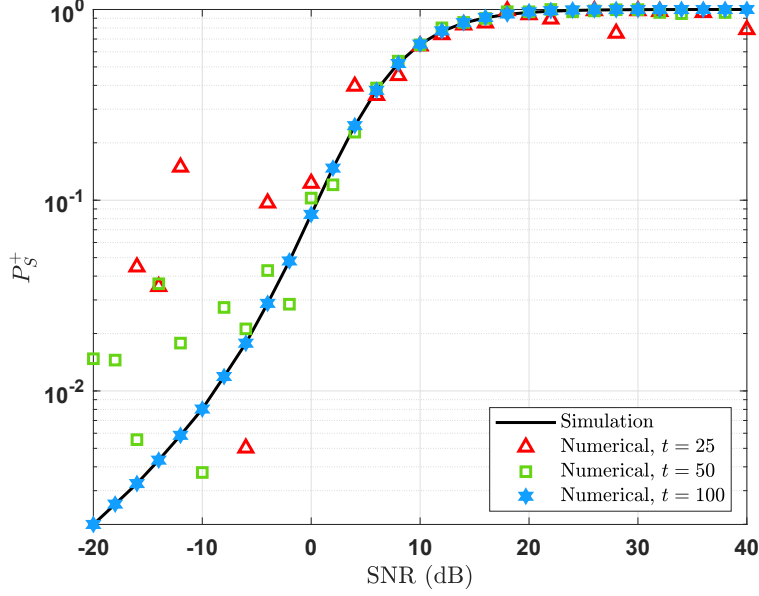


Figure 2.4: Effect of upper limit of  $t$  in (2.22) on the probability of SPSC versus average SNR at Bob, for RF-type eavesdropper at 10 dB. ( $\delta_b = 2$ ,  $\lambda_b = 1/2$ ,  $\delta_e = 3$ ,  $d_b = d_e = 1.5$  km,  $R_{b,f} = 0.8$ ,  $R_{e,f} = 0.1$ ,  $R_{b,o} = 0.9$ ).

it is  $\lambda_b = \lambda_e = 1/2$  for FSO links. The fraction of power collected by Bob over FSO and RF links are assumed as  $R_{b,o} = 0.9$  and  $R_{b,f} = 0.7$ , respectively, whereas the irradiance captured by Eve over FSO link is  $R_{e,o} = 0.03$ . As observed from the figure, the probability of secure communication decreases with the increment of average SNR of Eve. The analytical result is obtained by using (2.17), and it is clear that numerical findings and simulation results are in perfect agreement.

Likewise, in Fig. 2.6, the probability of SPSC versus average SNR performance of the system is illustrated for RF-type eavesdropper at different fixed SNRs values,  $-5$  dB,  $0$  dB,  $5$  dB, and  $10$  dB. The shape parameter of *mmWave* link between Alice and Bob is set to  $\delta_b = 3$  whereas it is  $\delta_e = 5$  for Eve's link. As expected, the security metric decreases with the increment of average SNR of Eve. The analytical results are obtained using (2.22), which exactly match the simulation results for the whole range of the SNR.

Hybrid eavesdroppers, in which Eve is able to eavesdrop both FSO and *mmWave* links, are investigated in Fig. 2.7. The probability of existence of secure communication between Alice and

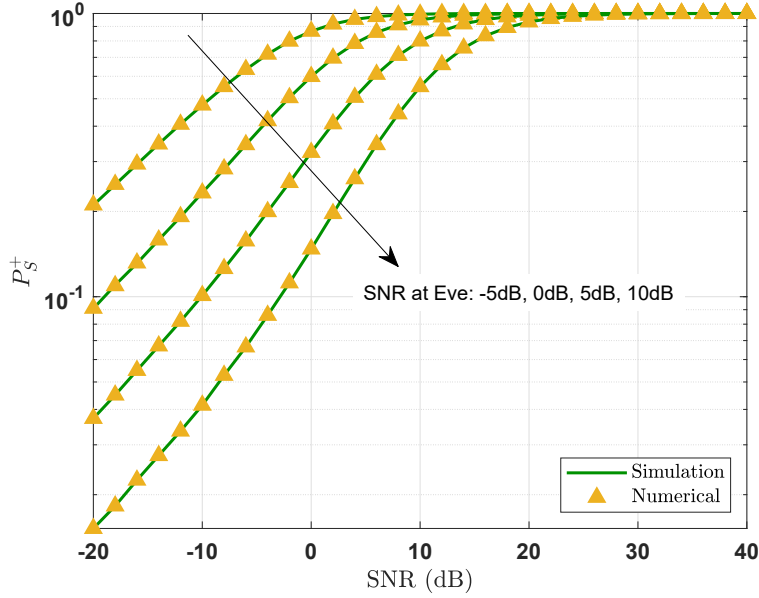


Figure 2.5: The probability of secure communication against average SNR at Bob, for weak turbulence condition, in presence of an FSO-type eavesdropper at different fixed SNRs. ( $\delta_b = 2$ ,  $\lambda_b = \lambda_e = 1/2$ ,  $d_b = d_e = 1.25$  km,  $R_{b,f} = 0.7$ ,  $R_{b,o} = 0.9$ ,  $R_{e,o} = 0.03$ ).

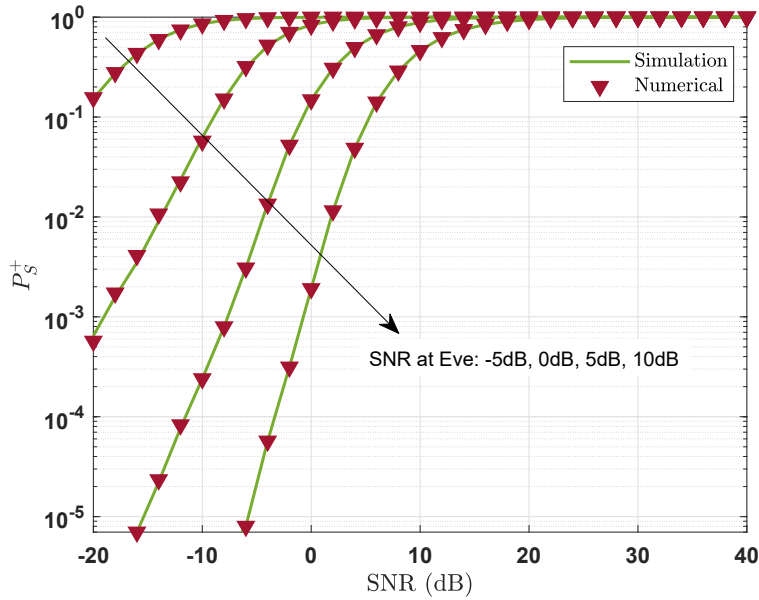


Figure 2.6: The probability of secure communication against average SNR at Bob, for moderate turbulence condition, in presence of an RF-type eavesdropper at different fixed SNRs. ( $\delta_b = 3$ ,  $\lambda_b = 1/2$ ,  $\delta_e = 5$ ,  $d_b = d_e = 0.75$  km,  $R_{b,f} = 0.8$ ,  $R_{b,o} = 0.7$ ,  $R_{e,o} = 0.1$ ).

Bob versus average SNR performance of the system is depicted in Fig. 2.7 considering a hybrid-type eavesdropper at different fixed SNRs values,  $-5$  dB,  $0$  dB,  $5$  dB, and  $10$  dB. As seen in the figure, security performance of the system reduces with the increase of Eve’s average SNR. The analytical result is obtained by using (2.25), and it is clear that numerical derivation and simulation are in perfect agreement.

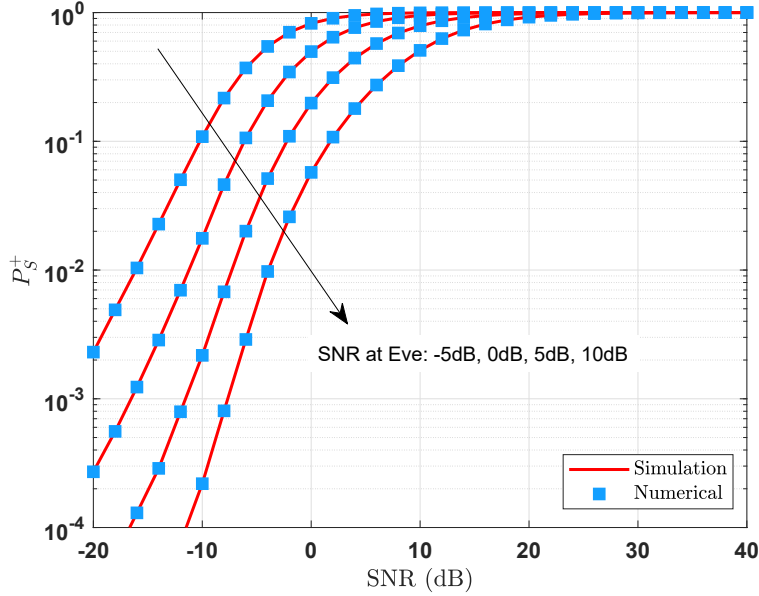


Figure 2.7: The probability of secure communication against average SNR at Bob, for weak turbulence condition, in presence of a hybrid-type eavesdropper at different fixed SNRs. ( $\delta_b = 4$ ,  $\delta_e = 5$ ,  $\lambda_b = \lambda_e = 1/2$ ,  $d_b = d_e = 1$  km,  $R_{b,f} = 0.9$ ,  $R_{e,f} = 0.05$ ,  $R_{b,o} = 0.8$ ,  $R_{e,o} = 0.03$ ).

A comparison of the probability of SPSC against the average SNR at Bob is presented in Fig. 2.8, in the presence of FSO-, RF- and hybrid-type eavesdroppers at fixed  $10$  dB SNR. The shape parameters of the communication links are adjusted as follows:  $\delta_b = 3$ ,  $\delta_e = 4$ ,  $\lambda_b = \lambda_e = 1/2$ . It can be observed that the hybrid-type eavesdropper significantly decrease the performance of secure communication between Alice and Bob. For instance, at  $15$  dB SNR, the probability of SPSC is approximately  $95\%$ ,  $87.5\%$  and  $45\%$  for FSO-, RF- and hybrid-Eve, respectively. There is a slight performance difference between FSO- and RF-type Eve due to the parameter selection of

this scenario. However, when we consider hybrid-type eavesdropper, the legitimate pairs experience remarkable loss in secure communication. This is because hybrid Eve is able to exploit both FSO and *mmWave* link to eavesdrop the communication.

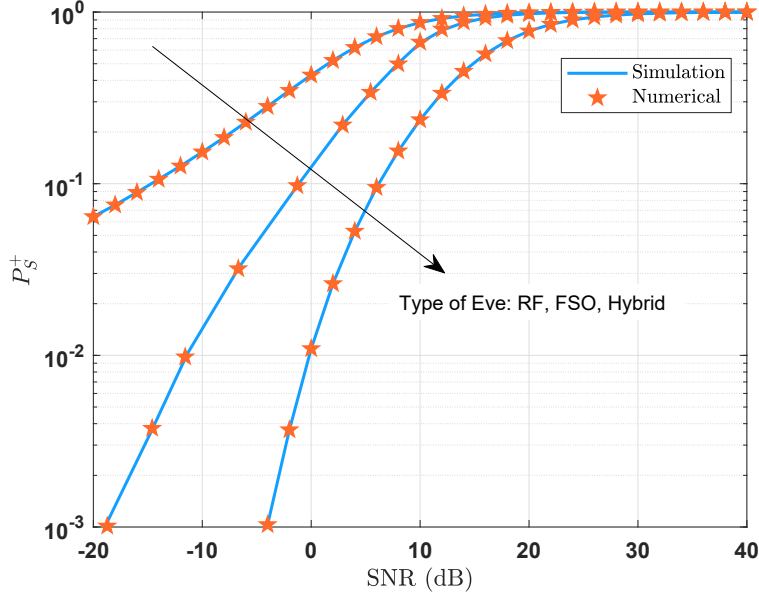


Figure 2.8: A comparison of the probability of secrecy capacity as a function of SNR at Bob, for FSO- RF- and hybrid-type eavesdroppers at fixed 10 dB SNR, over moderate turbulence condition. ( $\delta_b = 3$ ,  $\delta_e = 4$ ,  $\lambda_b = \lambda_e = 1/2$ ,  $d_b = d_e = 0.5$  km,  $R_{b,f} = 0.8$ ,  $R_{e,f} = 0.1$ ,  $R_{b,o} = 0.85$ ,  $R_{e,o} = 0.1$ ).

The secure communication metric is investigated in Fig. 2.9 as a function of the ratios  $R_{b,o}/R_{e,o} = R_{b,f}/R_{e,f}$ , for different turbulence conditions, in the presence of a hybrid-type eavesdropper. The distance between legitimate pairs is set to  $d_b = 1$  km whereas Eve is assumed to be in the middle,  $d_e = 0.5$  km. Notice that the best possible scenario is considered for Eve, which means that Eve is able collect the diverged part of the laser beam as well as the fraction of the radio power not captured by Bob, i.e.  $R_{b,o} = 1 - R_{e,o}$  and  $R_{b,f} = 1 - R_{e,f}$ . In other words, this corresponds to the worst scenario in terms of secure communication. A scenario in which the power received at Eve is greater than the one at Bob, i.e.  $R_{e,o} > R_{b,o}$  and  $R_{e,f} > R_{b,f}$ , is practically unrealistic. This is due to the fact that Alice and Bob could easily become aware of the presence of an eavesdropper

and stop the communication. In addition, when the rate is  $10^0$ , i.e.  $R_{b,o} = R_{e,o}$  and  $R_{b,f} = R_{e,f}$ , this scenario is also unattainable because this implies that Eve blocks 50% of the total power collected by Bob. From the feasibility point of view, we consider the scenarios in which the power received at Bob is far greater than the one at Eve, i.e.,  $R_{b,o} \gg R_{e,o}$  and  $R_{b,f} \gg R_{e,f}$ .

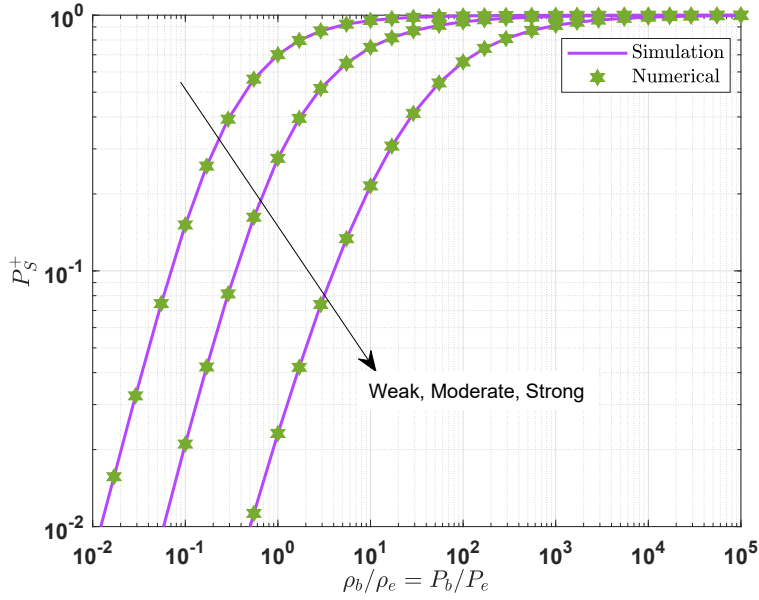


Figure 2.9: The probability of secure communication between Alice and Bob in presence of a hybrid-type eavesdropper as a function of the ratios  $R_{b,o}/R_{e,o} = R_{b,f}/R_{e,f}$  for different turbulence conditions. ( $\delta_b = 2$ ,  $\delta_e = 3$ ,  $\lambda_b = \lambda_e = 1/2$ ,  $d_b = 1$  km,  $d_e = 0.5$  km).

The probability of SPSC as a function of the distance  $d_b$  between legitimate pairs is represented in Fig. 2.10, in the presence of a hybrid-type eavesdropper, for different turbulence conditions. The distance between Alice and Eve is set to  $d_e = 0.4$  km. A value of the fraction of power received at Eve is assumed as  $R_{e,o} = R_{e,f} = 0.01$ , where  $R_{b,o} = 1 - R_{e,o}$  and  $R_{b,f} = 1 - R_{e,f}$ . In this scenario, we observe different behaviors that show highly informative inferences. As it is seen from the figure, for the range of distance where  $d_b \leq d_e$ , the perfect secrecy can be obtained regardless of atmospheric severity. This is due to the fact that power collected by Bob is much greater than Eve. However, for a distance  $d_b > d_e$ , we observe opposite and distinctive behaviors about the security metric. Secure communication begins diminishing first as the turbulence-dependent



attenuation becomes more severe. This behavior is also in agreement with the fact that strong turbulence conditions imply larger and frequent fluctuations in channel gains. Since the more severe the turbulence conditions, the lower the SNR, this explains why the slope of decay in  $P_S^+$  curves aggravate as the turbulence condition changes from weak to strong. One also should notice that in some cases, even the average SNR at Bob is larger than the one at Eve, there is an unignorable probability of eavesdropper having an instantaneous SNR better than Bob. For example, considering the distance  $d_b = 0.45$  km, the probability of secure communication is approximately 92%, 75% and 20% for weak, moderate and strong turbulence conditions, respectively.

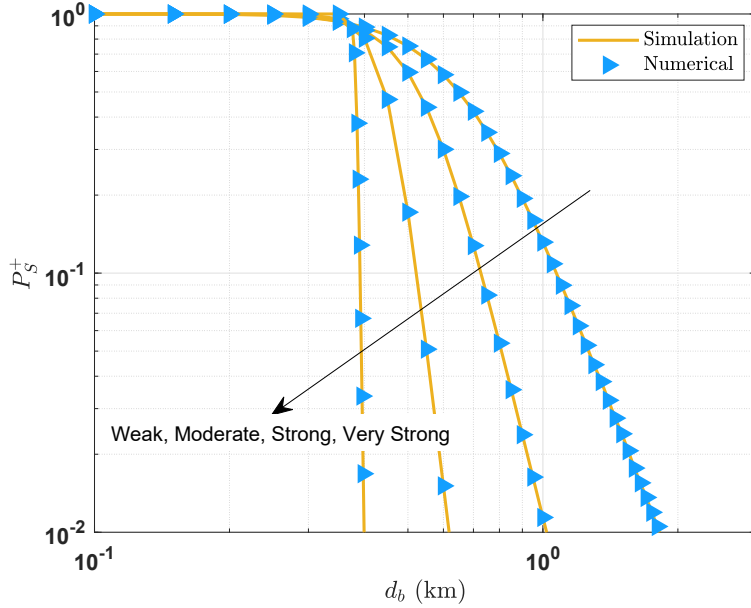


Figure 2.10: The probability of secure communication as a function of the distance  $d_b$  between legitimate pairs, for different turbulence conditions, in presence of a hybrid-type eavesdropper. ( $\delta_b = 2$ ,  $\delta_e = 3$ ,  $\lambda_b = \lambda_e = 1/2$ ,  $d_e = 0.4$  km,  $R_{b,o} = R_{b,f} = 0.99$ ,  $R_{e,o} = R_{e,f} = 0.01$ ).

The value of ratios  $R_{b,o}/R_{e,o} = R_{b,f}/P_e$  that leads to a certain value of the probability of strictly positive secrecy capacity is investigated in Fig. 2.11 as a function of the distance  $d_b$  between Alice and Bob, over moderate turbulence condition. The values for this metric are considered as 0.2, 0.5 and 0.8, meaning that in the presence of a hybrid-type eavesdropper, secure communication is only

possible with a 20%, 50% and 80% of probability at best, respectively. We observe a remarkable dependence between the probability of secure communication and the distance of legitimate pairs.

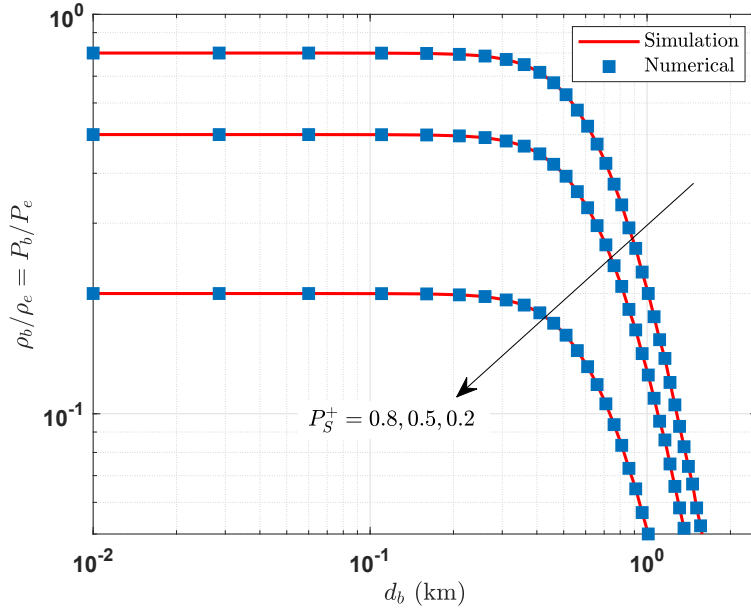


Figure 2.11: The value of ratios  $R_{b,o}/R_{e,o} = R_{b,f}/P_e$  that satisfies a certain probability of strictly positive secrecy capacity as a function of the distance  $d_b$  between Alice and Bob, in the presence of a hybrid-type eavesdropper. ( $\delta_b = 2$ ,  $\delta_e = 3$ ,  $\lambda_b = \lambda_e = 1/2$ ,  $d_e = 0.75$  km).

The probability of secrecy capacity as a function of the ratios  $R_{b,o}/R_{e,o}$  and  $R_{b,f}/P_e$  is investigated in Fig. 2.12, over weak turbulence condition, in the presence of different types of eavesdroppers. The shape parameters of *mmWave* links are set to  $\delta_b = 2$  and  $\delta_e = 3$ , whereas  $\lambda_b = \lambda_e = 1/2$  for FSO links. The distances between legitimate pairs and eavesdroppers are assumed to be the same as  $d_b = d_e = 1$  km. In all three scenarios, the best possible eavesdropper cases are considered for Eve. Then, we examine how the wiretapping ability changes for FSO-, RF and hybrid-type eavesdroppers which has a very important impact on the secrecy. It is clear from the figure, a hybrid-type Eve dramatically decreases the probability of secure communication, compared to an FSO- or RF-type. For the worst case considered in this scenario which is

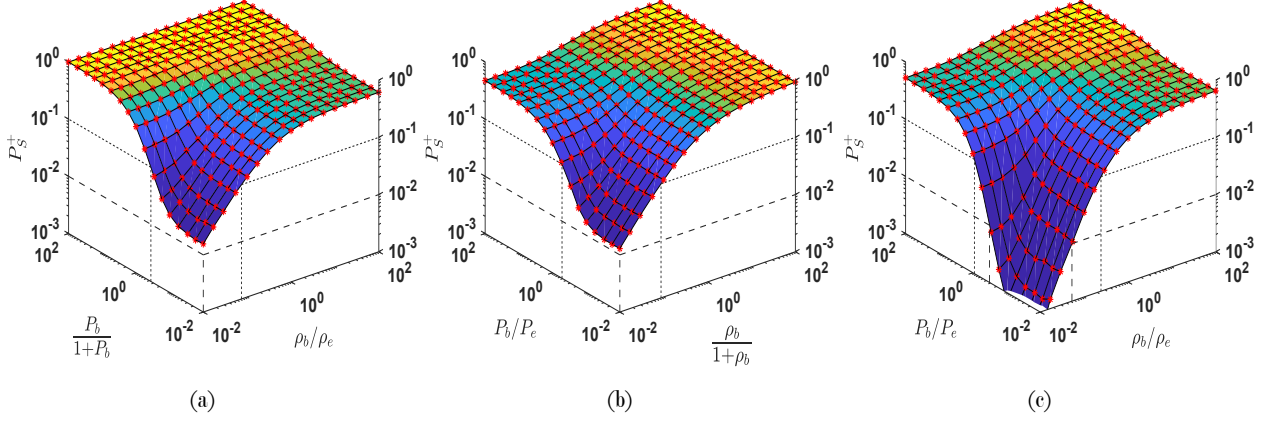


Figure 2.12: The probability of secure communication between legitimate pairs as a function of the ratios  $R_{b,o}/R_{e,o}$  and  $R_{b,f}/P_e$ , over weak turbulence condition, in the presence of different types of eavesdroppers: (a) FSO-type Eve, (b) RF-type Eve, (c) Hybrid-type Eve. Each intersection of solid-black lines on the surface and red-color markers represent the simulation and numerical results, respectively. ( $\delta_b = 3$ ,  $\delta_e = 2$ ,  $\lambda_b = \lambda_e = 1/2$ ,  $d_b = d_e = 1$  km).

$R_{b,o}/R_{e,o} = R_{b,f}/P_e = 0.01$ , the probabilities of secrecy capacity are approximately 1.5%, 1.3% and 0.03% for FSO-, RF- and hybrid-type Eve, respectively. Alternatively, when we consider the value of the ratios  $R_{b,o} = R_{e,o}$  and  $R_{b,f} = P_e$ , secure communication can be obtained with 70%, 65% and 42% probabilities at best for FSO-, RF- and hybrid-type Eve, respectively. On the other hand, if we fix the probability of having secure communication to  $P_S^+ = 0.1$  and consider the worst case scenario for received power by Bob over FSO or *mmWave* link, a set of the minimum required power that needs to be collected by Bob over other link is, in turn,  $\{R_{b,o}=0.07, R_{b,f}=0.26\}$ ,  $\{R_{b,o}=0.09, R_{b,f}=0.31\}$  and  $\{R_{b,o}=0.2, R_{b,f}=0.5\}$  for FSO-, RF- and hybrid-type Eve. In conclusion, we show that the hybrid eavesdropper has a powerful ability to wiretap the legitimate communication and the presence of this type of eavesdroppers should also be considered in designing a wireless system.

## 2.5.2 Non-Identical Dependent Channels

A comparison of the probability of SPSC against the average SNR at Bob is presented in Fig. 2.13 to compare the wiretapping ability of FSO-, RF- and hybrid-type eavesdroppers for Eve-near-Alice scenario. The distance between legitimate pairs  $d_b$  is assumed as 1 km, where

the received power fractions are considered as  $R_{b,f} = R_{b,o} = 0.8$ , and  $R_{e,f} = R_{e,o} = 0.1$ . This in practice implies that 10% of the transmitted/emitted power by Alice is lost during the transmission. Despite the high security assumption of FSO link, one can easily observe that an FSO-type eavesdropper has the ability of degrading the secrecy performance dramatically. Therefore, during the design of such a communication system, we also need to take the effects of FSO-Eve into account. On the other hand, it can be observed that a hybrid eavesdropper significantly decrease the performance of secure communication between Alice and Bob in comparison with FSO- and RF-Eve. For instance, considering a 10 dB SNR at Bob, the probability of SPSC is approximately 75%, 72% and 53% for RF-, FSO- and Hybrid-Eve, respectively. There is a slight performance difference between FSO- and RF-type Eve due to parameter selection of this scenario. However, when we consider hybrid-type eavesdropper, the legitimate pairs experience remarkable loss in secure communication. This is because hybrid Eve is able to exploit both FSO and *mmWave* link to eavesdrop the communication.

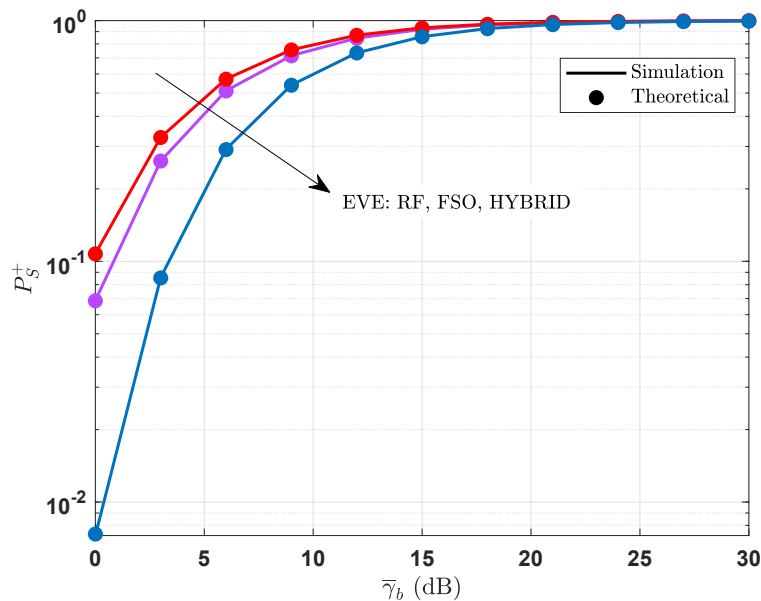


Figure 2.13: A comparison of the probability of secrecy capacity as a function of SNR at Bob, for RF- FSO- and hybrid-type eavesdroppers. (EnA Scenario, Hazy Weather,  $d_b = 1$  km,  $R_{b,f} = R_{b,o} = 0.8$ ,  $R_{e,f} = R_{e,o} = 0.1$ ) Reprinted with permission from [43].

The probability of secrecy capacity as a function of the received power ratios  $R_{b,o}/R_{f,o}$  and  $R_{b,f}/R_{e,f}$  is investigated in Fig. 2.14, for Eve-near-Alice scenario, over clean weather condition, in the presence of different types of eavesdroppers. The distances between legitimate pairs is assumed to be  $d_b = 0.75$  km. In all three scenarios, the best possible eavesdropper cases are considered for Eve, where  $R_{b,f} + R_{e,f} = 1$ . Then, we examine how the wiretapping ability changes for FSO-, RF and hybrid-type eavesdroppers which has a very important impact on the secrecy. It is clear from the figure, a hybrid-type Eve dramatically decreases the probability of secure communication, compared to an FSO- and/or RF-type. For the worst case considered in this scenario which is  $R_{b,o}/R_{e,o} = R_{b,f}/R_{e,f} = 0.01$ , the probabilities of secrecy capacity are approximately 2.8%, 2.2% and 0.2% for FSO-, RF- and hybrid-type Eve, respectively. Alternatively, when we consider the value of the ratios  $R_{b,o} = R_{e,o}$  and  $R_{b,f} = R_{e,f}$ , secure communication can be obtain with 75%, 68% and 41% probabilities at best for FSO-, RF- and hybrid-type Eve, respectively. On the other hand, if we fix the probability of having secure communication to  $P_S^+ = 0.7$  and consider the worst case scenario for received power by Bob over FSO or *mmWave* link, a set of the minimum required power that needs to be collected by Bob over other link is, in turn,  $\{R_{b,o} = 0.65, R_{b,f} = 0.72\}$ ,  $\{R_{b,o} = 0.65, R_{b,f} = 0.72\}$ ,  $\{R_{b,o} = 0.71, R_{b,f} = 0.69\}$ ,  $\{R_{b,o} = 0.81, R_{b,f} = 0.84\}$  for FSO-, RF- and hybrid-type Eve. In conclusion, we show that the hybrid eavesdropper has a powerful ability to wiretap the legitimate communication and the presence of this type of eavesdroppers should also be considered in designing a wireless system.

For Eve-near-Alice scenario, secrecy performance as a function of the distance  $d_b$  between legitimate pairs is represented in Fig. 2.15, considering the impact of different weather conditions on the system, with a fixed  $\gamma_b = 5$  dB SNR at Bob. A value of the fraction of power received at Bob is assumed as  $R_{b,o} = R_{b,f} = 0.8$ , where  $R_{b,o} = R_{b,f} = 0.15$ . As it is seen from the figure, the secrecy performance is heavily degraded for weather conditions when it goes from clean to moderate rain. As it is seen from the graph, for each weather scenario, the hybrid-type Eve mostly decreases the probability of secure communication compared to FSO- and RF-Eve. To express it in a different way, regardless of a weather condition, hybrid-Eve has the highest probability of

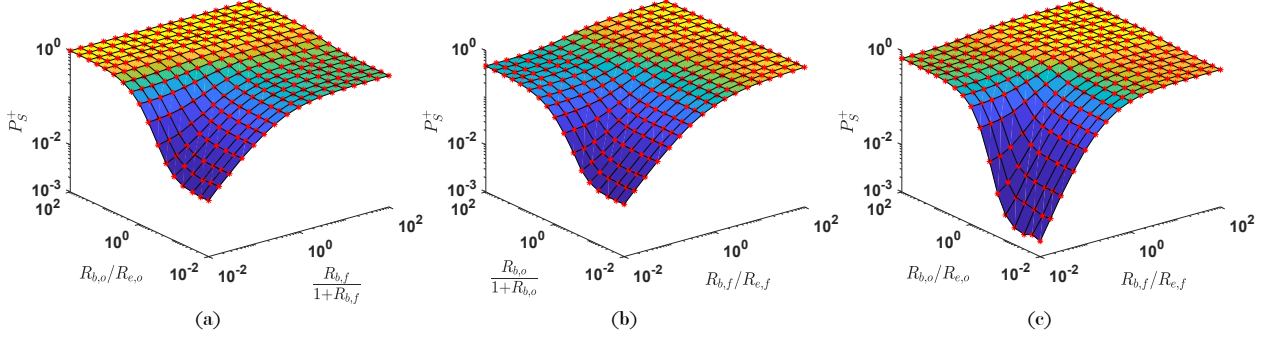


Figure 2.14: The probability of secure communication between legitimate pairs as a function of the ratios  $R_{b,o}/R_{e,o}$  and  $R_{b,f}/R_{e,f}$  for Eve-near-Alice scenario: (a) FSO-type Eve, (b) RF-type Eve, (c) Hybrid-type Eve. Each intersection of solid-black lines on the surface and red-color markers represent the simulation and numerical results, respectively. (EnA scenario, Hazy weather,  $d_b = 0.75$  km) Reprinted with permission from [43].

affecting the secure communication. However, when we investigate the RF- and FSO-type Eve, we observe that different turbulence conditions have distinct effects on them. Thus, this behavior practically answers why the different types of eavesdropper needs to be considered in a secure system design. For instance, if we want to achieve a secure communication with a probability of 85%, the minimum distance can be  $d_b = 0.25, 0.55$  and  $0.8$  km for clean, hazy and moderate rain weather conditions.

In Fig. 2.16, the value of  $R_{e,o} = R_{e,f}$  (fractions of the laser beam and radio power leaked to Eve) is investigated, which leads to a predetermined value of probability of strictly secrecy capacity, as a function of the distance  $d_b$  between Alice and Bob, for Eve-near-Alice scenario, over different weather conditions. The set of values for this probability is fixed at 0.2, 0.5 and 0.8, which implies that secure communication is only possible, in turn, with a 20%, 50% and 80% of probability at a given distance, in the presence of an eavesdropper. As it is seen from the figure, we can easily observe a significant dependence with the weather condition-related attenuation loss experienced by Bob. Additionally, we can express that Eve-near-Alice scenarios are very vulnerable to eavesdropping even for very low received powers ( $R_{e,o}$  and  $R_{e,f}$ ) by Eve due to the very close location of Eve with respect Alice. Therefore, this behavior needs to be considered in the design of secure wireless system with secrecy constraints. Moreover, the impact of different

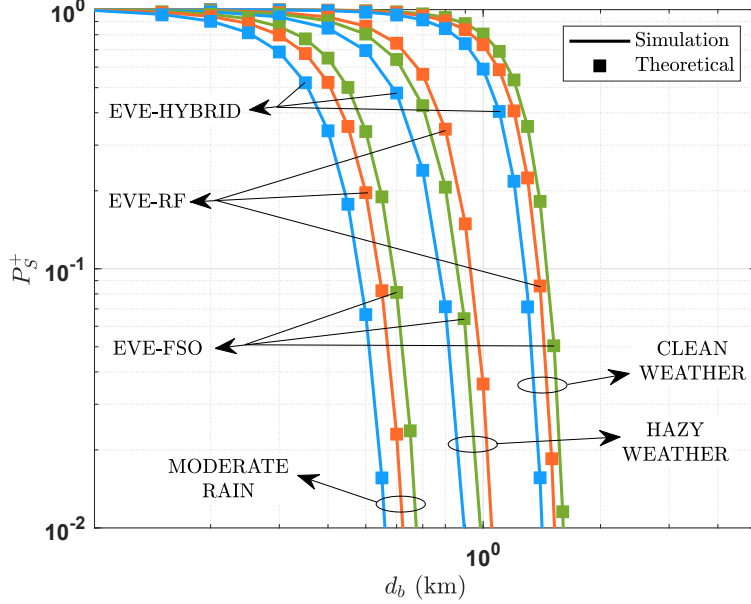


Figure 2.15: The probability of secure communication as a function of the distance  $d_b$  between legitimate pairs for different weather conditions in presence of FSO-, RF-, and hybrid-type eavesdropper. (EnA Scenario,  $\gamma_b = 5$  dB,  $R_{b,f} = R_{b,o} = 0.8$ ,  $R_{e,f} = R_{e,o} = 0.15$ ) Reprinted with permission from [43].

weather conditions on the secrecy performance of the system is also observed in this figure, which verifies the use of a hybrid communication system.

A comparison of the probability of SPSC against the average SNR at Bob is presented in Fig. 2.17, in the presence of FSO-, RF- and hybrid-type eavesdroppers for Eve-near-Bob scenario. We observed that the hybrid-type eavesdropper remarkably decreases the performance of secure communication between Alice and Bob. For instance, at 12 dB SNR, the probability of SPSC is approximately 92%, 87% and 76% for FSO-, RF- and Hybrid-Eve, respectively. There is a slight performance difference between FSO- and RF-type Eve due to parameter selection of this scenario. However, when we consider hybrid-type eavesdropper, the legitimate pairs experience remarkable loss in secure communication. This is because hybrid Eve is able to exploit both FSO and *mmWave* link to eavesdrop the communication.

As also discussed in [88–90], the non-monotonic impact of the correlation coefficients  $\rho_o$  and  $\rho_f$  on the secrecy performance is illustrated in Fig. 2.18, where the SPSC is plotted as a function

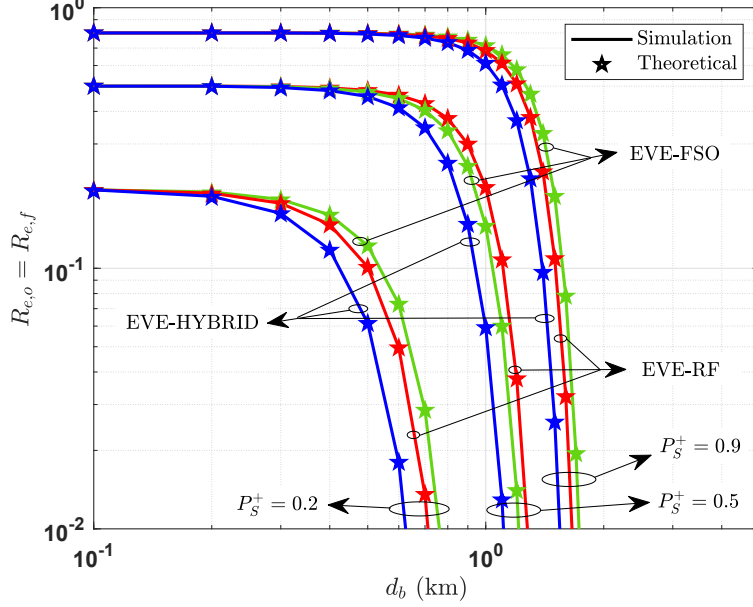


Figure 2.16: The received power value of  $R_{b,o} = R_{b,f}$  that satisfies a certain probability of strictly positive secrecy capacity as a function of the distance  $d_b$  between Alice and Bob, in presence of FSO-, RF-, and hybrid-type eavesdropper. (EnA Scenario, Clean Weather) Reprinted with permission from [43].

of the distance  $d_b = d_e$  for different types of eavesdroppers. Despite the correlation coefficients  $\rho_o$  and  $\rho_f$  are fixed to 0.7, varying the distance parameters change the channel distribution of the FSO system, based on (1.16) and (1.17). Therefore, the impact of the same correlation coefficient on different channel distributions has an interesting impact. For this figure, clean weather conditions are considered and the received powers are selected as  $R_{e,f} = 0.1$ ,  $R_{e,o} = 0.25$  and  $\rho_o = \rho_f = 0.65$  with the fixed average SNRs  $\gamma_b = 5$  dB and  $\gamma_e = 0$  dB. One can easily observe that as the distance parameters  $d_b = d_e$  increases, the secrecy performance of the system first decreases, however after a specific value of the distance, the secrecy performance starts to increase. Thus, this behavior implies that the correlation between the main and wiretap channels can be utilized to improve the secrecy performance of the system, and needs to be considered as an important security constraint in a practical system design.

Specifically, considering a hybrid-type eavesdropper, the impact of the correlation on both FSO and RF links is investigated in Fig. 2.19, in terms of the secrecy performance between legitimate



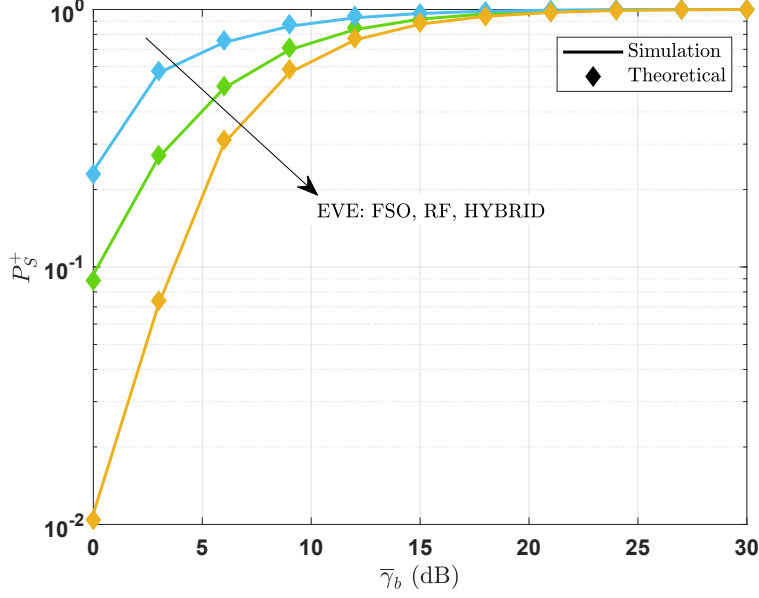


Figure 2.17: A comparison of the probability of secrecy capacity as a function of SNR at Bob, for RF- FSO- and hybrid-type eavesdroppers. (EnB Scenario, Clean Weather,  $\gamma_e = 5$  dB,  $d_b = d_e = 0.75$  km,  $R_{b,f} = R_{b,o} = 0.75$ ,  $R_{e,f} = R_{e,o} = 0.05$ ,  $\rho_o = \rho_f = 0.7$ ) Reprinted with permission from [43].

pairs as a function of both  $\rho_o$  and  $\rho_f$ , where we consider hazy weather conditions, the distance of  $d_b = d_e = 0.7$  km, the fixed SNRs of  $\gamma_b = 10$  dB and  $\gamma_e = 5$  dB, and the received powers of  $R_{b,f} = R_{b,o} = 0.65$  and  $R_{e,f} = R_{e,o} = 0.25$ . In this figure, to show the monotonic behavior of the correlation on the secrecy performance, we particularly select a distance of  $d_b = d_e = 0.7$  km. In other words, we exploit the correlation between the main and eavesdropper channels to take advantage of the similarities in each link [91, 92]. As shown in the figure, increasing the correlation coefficient of  $\rho_o$  and  $\rho_f$  increases the SPSC performance of the system. For instance, the probability of secure communication is obtained as 73.5%, 82.2%, and 97.3%, when we set  $\rho_o = \rho_f$  to 0.05, 0.5, and 0.95, respectively. Additionally, when we consider a high correlation in one link and a low correlation in the other, we observe the following results for the SPSC metric:  $P_S^+$  is 0.8211 for  $\rho_o = 0.05$  and  $\rho_f = 0.95$ , while  $P_S^+$  is 0.8085 for  $\rho_o = 0.95$  and  $\rho_f = 0.05$ .

The probability of secrecy capacity as a function of the ratios  $R_{b,o}/R_{f,o}$  and  $R_{b,f}/R_{e,f}$  is investigated in Fig. 2.20, over moderate rainy weather, in the presence of different types of eaves-

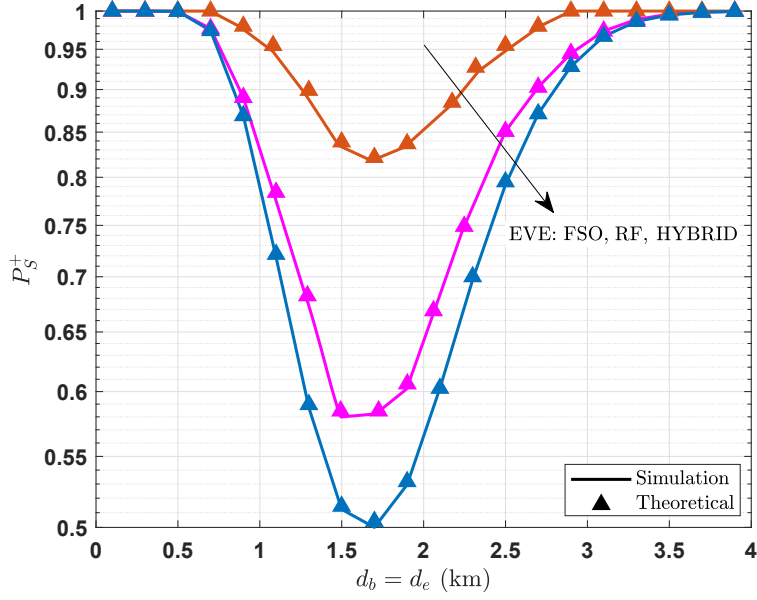


Figure 2.18: A comparison of the probability of secrecy capacity as a function of distance  $d_b = d_e$ , for RF- FSO- and hybrid-type eavesdroppers. (EnB Scenario, Clean Weather,  $\gamma_b = 5$  dB,  $\gamma_e = 0$  dB,  $R_{b,f} = R_{b,o} = 0.7$ ,  $R_{e,f} = 0.1$ ,  $R_{e,o} = 0.25$ ,  $\rho_o = \rho_f = 0.65$ ) Reprinted with permission from [43].

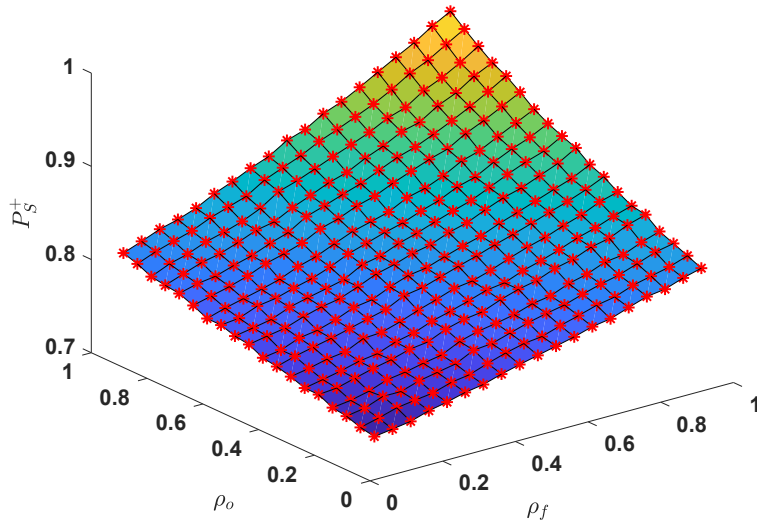


Figure 2.19: The probability of secrecy performance between legitimate pairs as a function of the correlation coefficients  $\rho_o$  and  $\rho_f$ . (EnB Scenario, Haze Weather,  $\gamma_b = 10$  dB,  $\gamma_e = 5$  dB,  $R_{b,f} = R_{b,o} = 0.65$ ,  $R_{e,f} = R_{e,o} = 0.25$ ,  $d_b = d_e = 0.7$  km) Reprinted with permission from [43].

droppers, for Eve-near-Bob scenario. The distances between legitimate pairs and eavesdroppers are assumed to be the same as  $d_b = d_e = 1$  km. In all three scenarios, the best possible eavesdropper cases are considered for Eve,  $R_{e,o} = 1 - R_{b,o}$  and  $R_{e,f} = 1 - R_{b,f}$ . Then, we examine how the wiretapping ability changes for FSO-, RF and hybrid-type eavesdroppers with a correlation coefficient of 0.7 for both RF and FSO links, which has a very important impact on the secrecy. It can be observed from the figure, a hybrid-type Eve remarkably decreases the probability of secure communication, compared to an FSO- or RF-types. For the worst case considered in this scenario which is  $R_{b,o}/R_{e,o} = R_{b,f}/R_{e,f} = 0.01$ , the probabilities of secrecy capacity are approximately 1.2%, 1.5% and 0.01% for FSO-, RF- and hybrid-type Eve, respectively. Alternatively, when we consider the value of the ratios  $R_{b,o} = R_{e,o}$  and  $R_{b,f} = R_{e,f}$ , secure communication can be obtain with 87%, 83% and 71% probabilities at best for FSO-, RF- and hybrid-type Eve, respectively. On the other hand, if we fix the probability of having secure communication to  $P_S^+ = 0.95$  and consider the worst case scenario for received power by Bob over FSO and *mmWave* link, a set of the minimum required power that needs to be collected by Bob over other link is, in turn,  $\{R_{b,o} = 0.78, R_{b,f} = 0.71\}$ ,  $\{R_{b,o} = 0.75, R_{b,f} = 0.76\}$ ,  $\{R_{b,o} = 0.86, R_{b,f} = 0.89\}$  for FSO-, RF- and hybrid-type Eve. In conclusion, we show that the hybrid eavesdropper has a powerful ability to wiretap the legitimate communication and the presence of this type of eavesdroppers should also be considered in designing a wireless system.

## 2.6 Concluding Remarks

In this chapter, the existence of secure communication between a legitimate transmitter Alice and a legitimate receiver Bob is investigated for hybrid FSO-*mmWave* systems. In particular, the communication between legitimate pairs is carried over FSO exponential turbulence and *mmWave* Weibull fading channels simultaneously for non-identical independent case, where FSO Gamma-Gamma turbulence and *mmWave* Nakagami- $m$  fading channels are considered for non-identical dependent case. The MRC combining technique is employed at both the legitimate receiver Bob and the eavesdropper Eve. As a security performance metric, the probability of SPSC is derived in the presence of different types of eavesdroppers, namely RF-, FSO- and hybrid-Eve, with two spe-

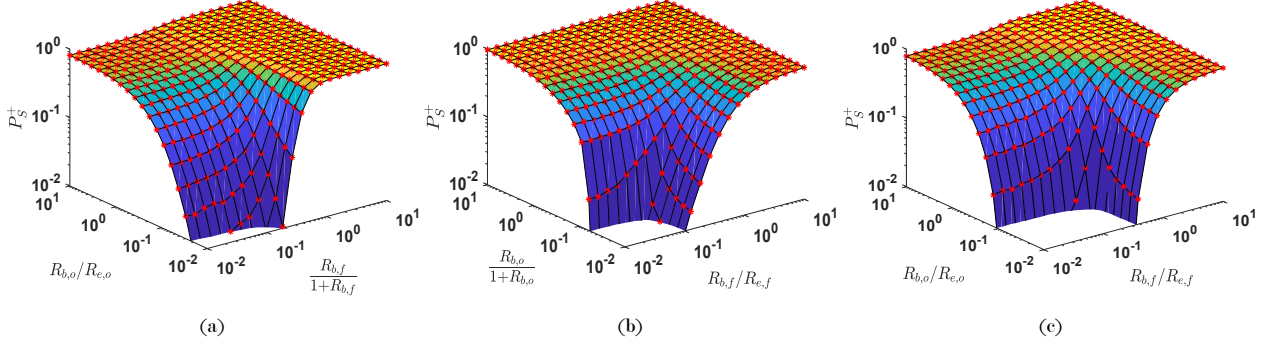


Figure 2.20: The probability of secure communication between legitimate pairs as a function of the ratios  $R_{b,o}/R_{e,o}$  and  $R_{b,f}/R_{e,f}$  for Eve-near-Bob scenario: (a) FSO-type Eve, (b) RF-type Eve, (c) Hybrid-type Eve. Each intersection of solid-black lines on the surface and red-color markers represent the simulation and numerical results, respectively. (EnB Scenario, Moderate Rainy Weather,  $d_b = 1$  km,  $\rho_o = \rho_f = 0.7$ ) Reprinted with permission from [43].

cific locations, i.e., Eve-near-Alice and Eve-near-Bob. Analytical results that are presented in this study allow us to understand how secure communication can be achieved in different scenarios of interest in the context of hybrid FSO-*mm*Wave communications, where the effects of different locations of Eve on the secure communication are discussed including several fundamental physical layer parameters. In the light of results, we show that the different types of eavesdroppers need to be taken into accounts in the design of a secure communication system due to their natural behavior under specific weather conditions. Additionally, we present the correlation between main and wiretap channel can be used to exploit and enhance the security performance of the designed system. Moreover, by investigating the impact of different weather conditions on each link, we verify the use of a hybrid system significantly improves the secrecy performance. As such, the analysis made in this study can be very necessary for the system designer to secure transmitted data and improve its confidentiality against eavesdroppers. Specifically, based on the type of eavesdropper and its expected location, a system designer can limit its transmit power to degrade the SNR at the eavesdropper and achieve the secrecy capacity at the legitimate receiver.

### 3. INDEX MODULATION-BASED LINK SELECTION FOR HYBRID FSO-RF SYSTEMS\*

#### 3.1 Introduction

##### 3.1.1 Motivation

One of the main problem in hybrid FSO-*mmWave* systems is the mechanism followed to activate one of the links. Most of the proposed selection mechanisms suffer from high overhead and required side information at the transmitter. In this chapter, a novel selection mechanism is proposed for hybrid FSO-*mmWave* systems without the need for any feedback or channel state information at the transmitter side. The activation of each link, either FSO or *mmWave*, is determined by the use of the IM concept.

#### 3.2 Related Works

The works [95–98] propose switching mechanisms for hybrid FSO-*mmWave* systems with different configurations, and the priority is always given to the FSO link. Specifically, in the work presented in [95], denoted as a single-threshold switching mechanism, the FSO link is only used until the instantaneous SNR falls below a defined threshold, at which point the *mmWave* link is activated. Then, the authors consider a dual-threshold to reduce the frequent on-off transitions, where lower and upper thresholds are defined. The FSO link is operational until the SNR falls below the lower threshold, and then the FSO link is only re-activated again if the SNR is above the upper threshold, otherwise the *mmWave* link is used. The authors in [99–101] propose a cooperative FSO-*mmWave* system for dual-hop decode-and-forward (DF) relaying transmissions with related mathematical derivations of average bit-error-rate (BER) and outage probability. The impact of different system and channel parameters on the system performance is also investigated. Since unmanned aerial vehicle (UAV)-based wireless communications is a very promising new technol-

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\*Reprinted with permission from “Performance analysis of index modulation based link-selection mechanism for hybrid FSO-*mmWave* systems” by Sezer C. Tokgoz, Saud Althunibat, Scott L. Miller, and Khalid A. Qaraqe, 2020. Optics Communications, 479, 126305, Copyright [2020] by Elsevier [93], and from “A Link-Selection Mechanism for Hybrid FSO-*mmWave* Systems based on Index Modulation” by Sezer C. Tokgoz, Saud Althunibat, and Khalid A. Qaraqe, 2020. International Conference on Communications (ICC), 1–7, Copyright [2020] by IEEE [94].

ogy that provides a wide range of new capabilities to current networks, the performance of hybrid and mixed FSO-*mmWave* communications for UAVs are investigated in [102–106] including the source-relay-destination link utilization, the trajectory optimization problem of buffer-constraints, and the impact of hovering. In [107–109], a *mmWave*-assisted FSO system is proposed for 5G vehicle-to-vehicle communications, where the unreliability of the FSO system due to misalignment is compensated by the help of a *mmWave* link in high mobility conditions.

### 3.3 System Model

In this chapter, a wireless communication system is considered with a single transmitter  $T_x$  and a single receiver  $R_x$ .  $T_x$  is equipped with a single transmitting antenna and a single laser light source, while  $R_x$  is equipped with a single receiving antenna and a single photodiode. Thus, the communication between both ends is performed through two parallel links: an RF link and an FSO link. Specifically, the two RF antennas are used to compose the RF link, while FSO transmission is accomplished using the laser and the photodiode. To provide identical bandwidth over the two links, the RF link is considered to operate over the *mmWave* frequency range.

The message signal to be transmitted is modulated by using a phase shift keying (PSK) modulation technique for both FSO and *mmWave* systems due to its implementation practicability. First, the serial information bits are converted into parallel streams which are, then, mapped onto complex symbols depending on the modulation order. The resultant electrical PSK signal is expressed as [110, (3.2-24)]

$$x[n] = \sum_k e^{j\phi_k} p_k(n - kT_p), \quad (3.1)$$

where  $p_k(\cdot)$  depicts the pulse shaping filter,  $T_p$  denotes the effective pulse width,  $\phi_k \in [0, 1, \dots, (m-1)\frac{2\pi}{M}]$  is the instantaneous phase for the  $k^{\text{th}}$  symbol,  $0 < m \leq M$ ,  $M$  stands for the modulation order, which specifies the bit rate  $B = \frac{\log_2 M}{T_p}$ . Hereafter, FSO and *mmWave* systems are described to investigate the proposed selection mechanism. Then, modulated signal is transmitted through either FSO or *mmWave* link based on the selection mechanism.

### 3.3.1 FSO Subsystem

After minor modifications due to the non-negativity of the light depending on the IM/DD technique, the electrical PSK signal can be transmitted through the FSO link. To avoid any clipping problem, the electrical PSK signal is scaled and then DC biased. The intensity-modulated optical PSK signal is expressed as [111]

$$x_o[n] = 1 + \mu x[n], \quad (3.2)$$

where  $\mu \in (0, 1]$  is the shrinking factor in order to ensure that the transmitter avoids over-modulation.

After passing through the FSO link, the incident optical signal is converted into an electrical signal which is expressed as

$$y_o = \eta I_r I x_o + w_o, \quad (3.3)$$

where  $\eta$  is the optical-to-electrical conversion ratio,  $I$  depicts the Gamma-Gamma (GG) atmospheric-turbulence fading channel, as defined in subsection 1.2.2,  $w_o$  is the additive white Gaussian noise (AWGN) with zero mean and variance  $\sigma^2$ ,  $w_o \sim \mathcal{N}(0, \sigma^2)$ , and  $I_r$  stands for the received optical power including the path loss:  $I_r = I_t L_o$ , where  $I_t$  denotes the transmitted optical power, and  $L_o$  depicts the path loss, as given in (1.25). Based on (3.2) and (3.3), the instantaneous electrical SNR at the FSO receiver is expressed as in (1.23).

### 3.3.2 mmWave Subsystem

In contrast to FSO transmission, the electrical PSK signal can be directly transmitted over the *mmWave* link and is expressed as

$$x_f[n] = x[n], \quad (3.4)$$

and after passing through the *mmWave* link, the received signal at the receive antenna,  $y_f$ , is expressed as

$$y_f = \sqrt{P_r} H x_f + w_f, \quad (3.5)$$

where  $H$  stands for the Nakagami- $m$  fading channel, as defined in subsection 1.1.2,  $w_f$  depicts the complex AWGN with  $\mathcal{CN}(0, 2\sigma^2)$ , of the form  $w_f = w_f^I + jw_f^Q$  with both  $w_f^I$  and  $w_f^Q$  being  $\mathcal{N}(0, \sigma^2)$ ,  $j = \sqrt{-1}$ , and  $P_r$  denotes the received average electrical power including the path loss,  $P_r = P_t L_f$ , where  $P_t$  denotes the transmitted electrical power, and  $L_f$  depicts the path loss, as given in (1.22). Based on (3.4) and (3.5), the instantaneous electrical SNR at the  $mm$ Wave receiver is expressed as in (1.20).

### 3.4 Proposed IM-based Selection Mechanism

The proposed selection mechanism in this study takes advantage of the IM concept. One bit among each bit block is reserved to determine whether the FSO or  $mm$ Wave link is to be activated at that particular instant. Therefore, the proposed link activation mechanism is an information-driven algorithm, which consequently improves the spectral efficiency. Block diagrams of the proposed IM-based link selection mechanism is illustrated in Figure 3.1 without an eavesdropper and in Figure 3.2 in the presence of an eavesdropper.

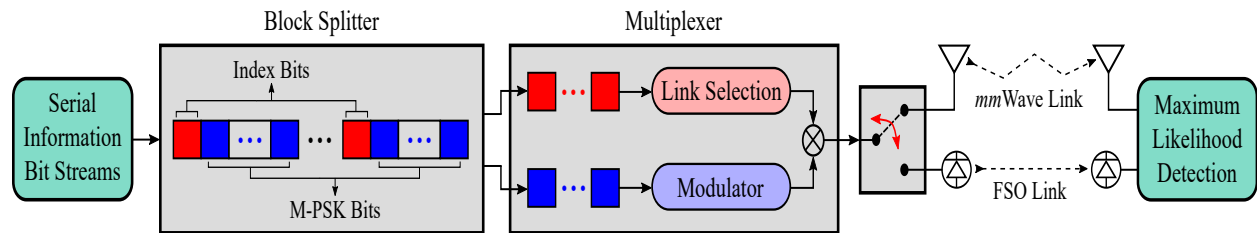


Figure 3.1: Block diagram of the proposed IM-based link selection mechanism. Reprinted with permission from [93].

The proposed IM-based selection mechanism for hybrid FSO- $mm$ Wave systems is described hereafter. The serial information bits to be transmitted are divided into modulation blocks, where the length of each block is  $\ell = 1 + \log_2(M)$ , and  $M$  denotes the PSK modulation order ( $M$ -PSK).



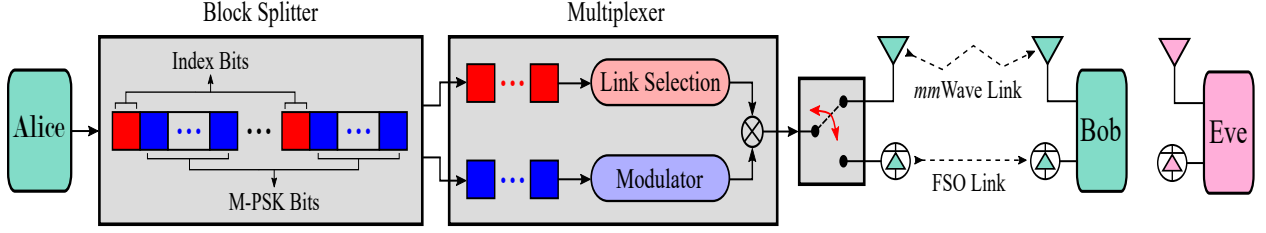


Figure 3.2: Block diagram of the proposed IM-based link selection mechanism in the presence of an eavesdropper.

Then, the transmitted block at each transmission time is

$$B = \left[ \underbrace{b_1}_1, \underbrace{b_2, \dots, b_\ell}_{\log_2(M)} \right]_{1 \times \ell}. \quad (3.6)$$

In the proposed selection mechanism, the first bit of each block,  $b_1$ , that determines which link is to be activated, is an also information bit. Notice that  $T_x$  has no pre-information about the channel state information at this time instance, therefore, information bit itself determines the value of  $b_1$ . Then, a decision function,  $\mathcal{D}(\cdot)$ , comes up with a binary decision  $\mathcal{D}(b_1) \in \{0, 1\}$  representing the activated link. Thereby, the selection process for the activation is

$$\mathcal{D}_i = \begin{cases} \text{FSO}, & \text{if } b_1 = 0, \\ \text{mmWave}, & \text{if } b_1 = 1, \end{cases}. \quad (3.7)$$

The remaining bits of each block,  $[b_2, \dots, b_\ell]$ , are modulated to generate a symbol that is transmitted through the activated link. Therefore, the transmitted signals,  $x_o$  and  $x_f$ , from both links can

be formulated as

$$(x_o, x_f) = \begin{cases} \begin{cases} x_o \text{ as defined in (3.2),} \\ x_f = 0, \end{cases} & \text{if } b_1 = 0, \\ \begin{cases} x_o = 0, \\ x_f \text{ as defined in (3.4),} \end{cases} & \text{if } b_1 = 1, \end{cases} . \quad (3.8)$$

Consequently, the received signals at Bob,  $y_o$  and  $y_f$ , from both links can be formulated as

$$(y_o, y_f) = \begin{cases} \begin{cases} y_o = \eta I_r I x_o + w_o, \\ y_f = w_f, \end{cases} & \text{if } b_1 = 0, \\ \begin{cases} y_o = w_o, \\ y_f = \sqrt{P_r} H x_f + w_f, \end{cases} & \text{if } b_1 = 1, \end{cases} . \quad (3.9)$$

Likewise, the received signals at Eve,  $y_{o,e}$  and  $y_{f,e}$ , from both links can be formulated as

$$(y_{o,e}, y_{f,e}) = \begin{cases} \begin{cases} y_{o,e} = \eta I_r I_e x_o + w_o, \\ y_{f,e} = w_f, \end{cases} & \text{if } b_1 = 0, \\ \begin{cases} y_{o,e} = w_o, \\ y_{f,e} = \sqrt{P_r} H_e x_f + w_f, \end{cases} & \text{if } b_1 = 1, \end{cases} . \quad (3.10)$$

At the  $R_x$  end, maximum likelihood (MLi) detection is performed to decode the transmitted blocks over both the FSO and *mmWave* links due to its efficiency and numerical stability against the multiple channel transmission systems [112]. Notice that  $R_x$  has no predetermined information about the link activation at this time instance, therefore, the receiver needs to perform a joint

estimation of the activated link and the modulated symbol using MLI detection as follows

$$\hat{i} = \min \{ \hat{i}_f, \hat{i}_o \}, \quad (3.11)$$

where  $\hat{i}_f$  and  $\hat{i}_o$  are, in turn, expressed as

$$\hat{i}_o = \arg \min_{i \in [0, 2M-1]} |y_o - \eta I_r I x_o^{(i)}|^2 + |y_f|^2, \quad (3.12)$$

$$\hat{i}_f = \arg \min_{i \in [0, 2M-1]} |y_o|^2 + |y_f - \sqrt{P_r} H x_f^{(i)}|^2, \quad (3.13)$$

where  $|\cdot|^2$  is the squared norm operator, and  $x_o^{(i)}$  and  $x_f^{(i)}$  represent the corresponding transmitted signals (computed by (3.2) and (3.4)), if the transmitted bit block is the binary representation of  $i$ . The detected integer  $\hat{i} \in [0, 2M - 1]$  is converted to binary form to retrieve the transmitted block.

### 3.4.1 Example

This section provides a simple example to explain the proposed selection mechanism. Assuming that  $M = 2$  (BPSK) is adopted at  $T_x$ , the transmitted data are grouped into two-bit blocks. A two-bit block has 4 different states, 00, 01, 10 and 11, as shown in Table 3.1. Following the proposed IM-based link selection mechanism, the first bit of the transmitted block determines which link is to be activated. Since the first bit of the transmitted block is an information bit, the proposed IM-based link selection is a data-driven algorithm. Notice that in the proposed mechanism, there is no feedback or channel-state-information required at the transmitter side at this time instance. As such, for the first two blocks in Table 3.1, i.e., 00 and 01, the FSO link is activated while the RF link will not be used. Similarly, for the other two blocks, i.e., 10 and 11, only the RF link will be activated. The rest of the transmitted block, a single bit in this example, is modulated using the BPSK modulator and sent over the activated link.

It is worth noting that, in this example, two bits are being sent at each transmission time over a single link using BPSK modulation. Using a single link should be more power-efficient than activating both links. Moreover, one more bit is conveyed without being modulated, which should

Table 3.1: An example of the proposed selection mechanism for BPSK. Reprinted with permission from [93].

| Two-bit Blocks | IM Bits | Modulated Bits | Active Link   |
|----------------|---------|----------------|---------------|
| 00             | 0       | 0              | FSO           |
| 01             | 0       | 1              | FSO           |
| 10             | 1       | 0              | <i>mmWave</i> |
| 11             | 1       | 1              | <i>mmWave</i> |

be more spectrally efficient.

### 3.5 CSI-based Precoding

A security level is provided by applying a precoding on the transmitted signal based on CSIs, which further enhances the secrecy robustness against eavesdroppers. Prior to the transmission, signal are multiplexed by the precoding vector of the active link. The precoding vector is determined as follows

$$P_c = \begin{cases} \frac{1}{\tilde{I}}, & \text{if } b_1 = 0, \\ \frac{\tilde{H}^*}{|H^2|}, & \text{if } b_1 = 1, \end{cases}, \quad (3.14)$$

where  $\tilde{X}$  stands for the estimated versions of  $X$ , and  $X^*$  depicts the complex conjugate of  $X$ . Similar to the equation (3.9), the received secure signals,  $y_{s,o}$  and  $y_{s,f}$ , from both links can be formulated as

$$(y_{s,o}, y_{s,f}) = \begin{cases} \begin{cases} y_{s,o} = \eta I_r \frac{I}{\tilde{I}} x_o + w_o, \\ y_{s,f} = w_f, \end{cases} & \text{if } b_1 = 0, \\ \begin{cases} y_{s,o} = w_o, \\ y_{s,f} = \sqrt{P_r} \frac{\tilde{H}^* H}{|\tilde{H}^2|} x_f + w_f, \end{cases} & \text{if } b_1 = 1, \end{cases}. \quad (3.15)$$

### 3.5.1 FSO Eavesdropper

FSO-type of eavesdropper is described in section 2.4.1.1. This type eavesdropper can only wiretap on the FSO link and has no power to attack on the data transmitted over the *mmWave* link. Therefore, FSO-Eve needs to obtain two quantities. First is the estimated version of the CSI for the FSO link between legitimate transmitter Alice and Eve, denoted by  $\tilde{I}_e$ . Second is the estimated version of the CSI for the FSO link between legitimate transmitter Alice and receiver Bob (i.e.,  $I$ ), denoted by  $\tilde{I}_{ab,e}$ .

Since eavesdropper also employs an MLI receiver, each transmitted symbol will be detected over the FSO link and will tried to be decoded as follows

$$\hat{i}_o = \arg \min_{i \in [0, 2M-1]} \left| y_{o,e} - \eta I_{r,e} \frac{\tilde{I}_e}{\tilde{I}_{ab,e}} x_o^{(i)} \right|^2, \quad (3.16)$$

where  $y_{o,e}$  is expressed as

$$y_{o,e} = \eta I_{r,e} \frac{I_e}{I} x_o + w_{o,e}, \quad (3.17)$$

where  $w_{o,e}$  is the AWGN at Eve with zero mean and variance  $\sigma_e^2$ , i.e.,  $w_{o,e} \sim \mathcal{N}(0, \sigma_e^2)$ .

### 3.5.2 RF Eavesdropper

RF-type of eavesdropper is described in section 2.4.1.2. This type eavesdropper can only wiretap on the *mmWave* link and has no power to attack on the data transmitted over the RF link. Thus, RF-Eve also needs to obtain two quantities. First is the estimated version of the CSI for the RF link between legitimate transmitter Alice and Eve, denoted by  $\tilde{H}_e$ . Second is the estimated version of the CSI for the RF link between legitimate transmitter Alice and receiver Bob (i.e.,  $H$ ), denoted by  $\tilde{H}_{ab,e}$ .

Since eavesdropper also employs an MLI receiver, each transmitted symbol will be detected over the RF link and will tried to be decoded as follows

$$\hat{i}_f = \arg \min_{i \in [0, 2M-1]} \left| y_{f,e} - \sqrt{P_{r,e}} \frac{\tilde{H}_{ab,e}^* \tilde{H}_e}{\tilde{H}_{ab,e}} x_f^{(i)} \right|^2, \quad (3.18)$$

where  $y_{f,e}$  is expressed as

$$y_{f,e} = \sqrt{P_{r,e}} \frac{\tilde{H}^* H_e}{|\tilde{H}^2|} x_f + w_{f,e}, \quad (3.19)$$

$w_{f,e}$  depicts the complex AWGN at Eve with  $\mathcal{CN}(0, 2\sigma^2)$ , of the form  $w_{f,e} = w_{f,e}^I + jw_{f,e}^Q$  with both  $w_{f,e}^I$  and  $w_{f,e}^Q$  being  $\mathcal{N}(0, \sigma_e^2)$ .

### 3.5.3 Hybrid Eavesdropper

Hybrid-type of eavesdropper is described in section 2.4.1.3. In contrast to FSO- and RF-Eves, this type eavesdropper can wiretap on the data transmitted over both FSO and *mmWave* link. Hybrid-Eve is more powerful than others, however, it needs to estimate all available CSI, i.e.,  $\tilde{I}_e$ ,  $\tilde{I}_{ab,e}$ ,  $\tilde{H}_e$  and  $\tilde{H}_{ab,e}$ . Therefore, hybrid-eve needs to perform a joint estimation using MLI detection as follows

$$\hat{i} = \min \{ \hat{i}_f, \hat{i}_o \}, \quad (3.20)$$

where  $\hat{i}_f$  and  $\hat{i}_o$  are, in turn, expressed as

$$\hat{i}_o = \arg \min_{i \in [0, 2M-1]} \left| y_{o,e} - \eta I_{r,e} \frac{\tilde{I}_e}{\tilde{I}_{ab,e}} x_o^{(i)} \right|^2 + |y_{f,e}|^2, \quad (3.21)$$

$$\hat{i}_f = \arg \min_{i \in [0, 2M-1]} |y_{o,e}|^2 + \left| y_{f,e} - \sqrt{P_{r,e}} \frac{\tilde{H}_{ab,e}^* \tilde{H}_e}{\tilde{H}_{ab,e}} x_f^{(i)} \right|^2, \quad (3.22)$$

where  $y_{o,e}$  and  $y_{f,e}$  are defined in (3.17) and (3.19), respectively.

## 3.6 Performance Analysis

In this section, analytical expressions for the average BER, asymptotic BER, outage probability, and ergodic capacity of the proposed IM-based system are derived in terms of closed-form expressions.

### 3.6.1 Average Bit-Error-Rate

The average pairwise error probability (PEP) is defined as the probability that the block  $k$  is detected given that the block  $q$  is transmitted, where  $\{k, q\} \in [0, 2M - 1]$  represent the decimal

values of the transmitted bit blocks. The average PEP, denoted by  $\text{PEP}_{kq}$  can be formulated as

$$\text{PEP}_{kq} = \text{Prob.} \left\{ \left( \left| y_o - \eta I_r I x_o^{(q)} \right|^2 + \left| y_f - \sqrt{P_r} H x_f^{(q)} \right|^2 \right) > \left( \left| y_o - \eta I_r I x_o^{(k)} \right|^2 + \left| y_f - \sqrt{P_r} H x_f^{(k)} \right|^2 \right) \right\}. \quad (3.23)$$

As  $(x_o^{(q)}, x_f^{(q)})$  have been transmitted, the above equation can be simplified to

$$\text{PEP}_{kq} = \text{Prob.} \left\{ (|w_o|^2 + |w_f|^2) > \left( |w_o - \eta I_r I \Delta_o|^2 + |w_f - \sqrt{P_r} H \Delta_f|^2 \right) \right\}, \quad (3.24)$$

where  $\Delta_o = x_o^{(q)} - x_o^{(k)}$  and  $\Delta_f = x_f^{(q)} - x_f^{(k)}$ . By expanding the squared norms at the right hand side of the probability above and canceling the identical terms, it can be re-written as

$$\text{PEP}_{kq} = \text{Prob.} \left\{ \left( 2\Re\{w_o \eta I_r I \Delta_o + w_f \sqrt{P_r} H \Delta_f\} \right) > \left( |\eta I_r I \Delta_o|^2 + |\sqrt{P_r} H \Delta_f|^2 \right) \right\}. \quad (3.25)$$

This can be expressed by the Q-function for a given  $H$  and  $I$  as

$$\text{PEP}_{kq/H,I} = Q \left( \sqrt{\frac{|\eta I_r I \Delta_o|^2 + |\sqrt{P_r} H \Delta_f|^2}{2\sigma^2}} \right), \quad (3.26)$$

which can be further expressed using the alternative formula of the Q-function, aka Craig formula [113], as

$$\text{PEP}_{kq/H,I} = \frac{1}{\pi} \int_0^{\frac{\pi}{2}} \exp \left( -\frac{\varphi_o |I|^2 + \varphi_f |H|^2}{4\sigma^2 \sin^2 \theta} \right) \cdot d\theta, \quad (3.27)$$

where  $\varphi_o = |\eta I_r \Delta_o|^2$ ,  $\varphi_f = |\sqrt{P_r} \Delta_f|^2$ . The average value of (3.27) can be computed by performing integrations over the PDF of  $H$  and  $I$  as given in (1.6) and (1.15)

$$\text{PEP}_{kq} = \frac{1}{\pi} \int_0^\infty \int_0^\infty \int_0^{\frac{\pi}{2}} \exp \left( -\frac{\varphi_o |I|^2 + \varphi_f |H|^2}{4\sigma^2 \sin^2 \theta} \right) f_I(I) f_{|H|^2}(|H|^2) \cdot d\theta dI d|H|^2. \quad (3.28)$$

This integral can be solved in a closed form expression as shown in (3.29), where the derivation is detailed in A along with the definition of  $\chi$ ,  $A'_T$ , and  $c'$ .

$$\text{PEP}_{kq} = \begin{cases} \chi^m \sum_{t=0}^{m-1} \binom{m-1+t}{t} (1-\chi)^t, & \text{for } \Delta_o = 0 \ \& \ \Delta_f \neq 0, \\ \frac{\xi}{8\pi} \left(\frac{\varphi_o}{4\sigma^2}\right)^{-\frac{\mu}{2}} H_{4,4}^{3,3} \left( c' \left| \begin{array}{l} (\frac{1}{2} - \frac{\mu}{2}, \frac{1}{2}), (\frac{1}{2}, 0), (1 - \frac{\mu}{2}, \frac{1}{2}), (0, 1) \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (0, 1), (\frac{-\mu}{2}, \frac{1}{2}) \end{array} \right. \right), & \text{for } \Delta_o \neq 0 \ \& \ \Delta_f = 0, \\ \frac{\xi}{8\pi} \sum_{t=0}^{\infty} A'_t H_{4,4}^{3,3} \left( c' \left| \begin{array}{l} (\frac{1}{2} - m - t - \frac{\mu}{2}, \frac{1}{2}), (\frac{1}{2}, 0), (1 - \frac{\mu}{2}, \frac{1}{2}), (0, 1) \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (0, 1), (-m - t - \frac{\mu}{2}, \frac{1}{2}) \end{array} \right. \right), & \text{for } \Delta_o \neq 0 \ \& \ \Delta_f \neq 0. \end{cases} \quad (3.29)$$

The average BER can be approximated using the union bounding technique

$$\text{BER} \approx \frac{1}{2^B} \sum_{p=1}^{2^B} \sum_{q=1}^{2^B} \frac{\tau_{pq}}{B} \text{PEP}_{kq}, \quad (3.30)$$

where  $\tau_{pq}$  is the Hamming distance between the transmitted blocks  $p$  and  $q$ , and  $\text{PEP}_{kq}$  is substituted from (3.29).

### 3.6.2 Asymptotic Bit-Error-Rate

To compute the asymptotic BER, we first need to calculate the asymptotic  $\text{PEP}_{kq}$ . We start from the PDF of the FSO channel given in (1.15), where the Bessel function of the second kind can be approximated for small arguments by [114]

$$K_{\alpha-\beta}(2\sqrt{\alpha\beta I}) \approx \frac{|\alpha-\beta|}{2} \left( \frac{1}{\sqrt{\alpha\beta I}} \right)^{|\alpha-\beta|}. \quad (3.31)$$



Therefore, the PDF of the FSO link given in (1.15) can be approximated as

$$f_I(I) \approx \bar{\xi} I^{\beta-1}, \quad (3.32)$$

where  $\bar{\xi} = \frac{(\alpha\beta)^\beta \Gamma(\alpha-\beta)}{\Gamma(\alpha)\Gamma(\beta)}$ , and  $\alpha > \beta$  for a practical GG atmospheric-turbulence channel model [115].

On the other hand, the PDF of the *mmWave* link given in (1.6) can be approximated as [116]

$$f_{|H|^2}(\gamma) \approx \frac{m^m}{\Gamma(m)} \gamma^{m-1}. \quad (3.33)$$

Further, using (3.32) and (3.33) into (3.28), a closed form expression of asymptotic PEP can be obtained as given in (3.35), where the derivation is presented in B.

The asymptotic BER can be computed using the union bounding technique to be

$$\text{BER}^{\text{Asym}} \approx \frac{1}{2^B} \sum_{p=1}^{2^B} \sum_{q=1}^{2^B} \frac{\tau_{pq}}{B} \text{PEP}_{kq}^{\text{Asym}}, \quad (3.34)$$

where  $\text{PEP}_{kq}^{\text{Asym}}$  can be substituted from (3.35), given as

$$\text{PEP}_{kq}^{\text{Asym}} = \begin{cases} \frac{m^m}{2} \left( \frac{P_r |\Delta_f|^2}{4\sigma^2} \right)^{-m}, & \text{for } \Delta_o = 0 \ \& \ \Delta_f \neq 0, \\ \frac{\bar{\xi}}{4} \Gamma\left(\frac{\beta}{2}\right) \left( \frac{\eta^2(I_r)^2 |\Delta_o|^2}{4\sigma^2} \right)^{-\frac{\beta}{2}}, & \text{for } \Delta_o \neq 0 \ \& \ \Delta_f = 0, \\ m^m \frac{\bar{\xi}}{4} \Gamma\left(\frac{\beta}{2}\right) \left( \frac{P_r |\Delta_f|^2}{4\sigma^2} \right)^{-m} \left( \frac{\eta^2(I_r)^2 |\Delta_o|^2}{4\sigma^2} \right)^{-\frac{\beta}{2}}, & \text{for } \Delta_o \neq 0, \Delta_f \neq 0. \end{cases} \quad (3.35)$$

### 3.6.3 Outage Probability

Outage probability is defined as the probability that information rate is less than the required threshold information rate

$$P_{\text{OUT}} = \text{Prob.} \{h_o\} \times \text{Prob.} \{\log_2(1 + \gamma_o) < \mathcal{R}_o\} + \text{Prob.} \{h_f\} \times \text{Prob.} \{\log_2(1 + \gamma_f) < \mathcal{R}_f\}, \quad (3.36)$$

where  $\text{Prob.} \{h_o\} = \text{Prob.} \{h_f\} = 0.5$  denote the average link usage probabilities, and  $\mathcal{R}_o$  and  $\mathcal{R}_f$  depict the required threshold information rate for FSO and *mmWave* links, respectively. Then, (3.36) can be re-written as

$$P_{\text{OUT}} = \frac{1}{2} \left[ \text{Prob.} \{\gamma_o < 2^{\mathcal{R}_o} - 1\} + \text{Prob.} \{\gamma_f < 2^{\mathcal{R}_f} - 1\} \right]. \quad (3.37)$$

Therefore, the outage probability can be expressed in terms of CDFs as

$$P_{\text{OUT}} = \frac{1}{2} \left[ F_{\gamma_o} \left( \sqrt{\frac{2^{\mathcal{R}_o} - 1}{\bar{\gamma}_o}} \right) + F_{\gamma_f} \left( \sqrt{\frac{2^{\mathcal{R}_f} - 1}{\bar{\gamma}_f}} \right) \right]. \quad (3.38)$$

Finally, the outage probability can be expressed as

$$P_{\text{OUT}} = \frac{1}{2} \left[ \frac{1}{\Gamma(\alpha)\Gamma(\beta)} G_{1,3}^{2,1} \left( \alpha\beta\sigma \frac{\sqrt{2^{\mathcal{R}_o} - 1}}{\eta I_t L_o} \middle| \begin{matrix} 1 \\ \alpha, \beta, 0 \end{matrix} \right) + \frac{1}{\Gamma(m)} \Gamma \left( m, m\sigma^2 \frac{2^{\mathcal{R}_f} - 1}{P_t L_f} \right) \right]. \quad (3.39)$$

Additionally, the asymptotic outage probability can be obtained by approximating the CDFs of the FSO and *mmWave* links. An approximation for the CDF of the FSO link can be calculated by using (3.32)

$$F_I(i) \approx \bar{\xi} \int_0^i i^{\beta-1} \cdot di. \quad (3.40)$$

Then, the resultant integral can be solved as

$$F_I(i) \approx \frac{\bar{\xi}}{\beta} i^\beta. \quad (3.41)$$

The CDF of the *mmWave* link can be obtained by approximating the lower incomplete Gamma function as [117]

$$F_H(\gamma) \approx \frac{1}{\Gamma(m+1)} (m\gamma^2)^m. \quad (3.42)$$

Therefore, the asymptotic outage probability can be computed by substituting (3.41) and (3.42) into (3.38) resulting in

$$P_{\text{OUT}}^{\text{Asym}} \approx \frac{1}{2} \left[ \frac{\bar{\xi}}{\beta} \sqrt{\frac{2^{\mathcal{R}_o} - 1}{\bar{\gamma}_o}} + \frac{1}{\Gamma(m+1)} \left( m \frac{2^{\mathcal{R}_f} - 1}{\bar{\gamma}_f} \right)^m \right]. \quad (3.43)$$

Finally, the the asymptotic outage probability can be expressed as

$$P_{\text{OUT}}^{\text{Asym}} \approx \frac{1}{2} \left[ \frac{\bar{\xi}}{\beta} \left( \sigma \frac{\sqrt{2^{\mathcal{R}_o} - 1}}{\eta I_t L_o} \right)^\beta + \frac{1}{\Gamma(m+1)} \left( m \sigma^2 \frac{2^{\mathcal{R}_f} - 1}{P_t L_f} \right)^m \right]. \quad (3.44)$$

### 3.6.4 Ergodic Capacity

The overall channel capacity of the system can be expressed as weighted average of the capacities of the FSO and *mmWave* links

$$C = \frac{1}{2}(C_o + C_f), \quad (3.45)$$

where  $C_o$  and  $C_f$  denote the instantaneous channel capacities of the FSO and *mmWave* links, respectively. According to Shannon's theory, the ergodic capacity of the fading channel can be

expressed as

$$C = \frac{1}{2}B \left[ \int_0^\infty \log_2(1 + \gamma_o)p(\gamma_o) \cdot d\gamma_o + \int_0^\infty \log_2(1 + \gamma_f)p(\gamma_f) \cdot d\gamma_f \right]. \quad (3.46)$$

The channel capacity for FSO link can be expressed as

$$C_o = \frac{2(\alpha\beta)^{(\alpha+\beta)/2}}{\Gamma(\alpha)\Gamma(\beta)} \frac{1}{\ln(2)} \int_0^\infty \ln(1 + \gamma_o)\gamma_o^{\frac{\alpha+\beta}{2}-1} K_{\alpha-\beta}(2\sqrt{\alpha\beta\gamma_o}) \cdot d\gamma_o, \quad (3.47)$$

where the terms  $\ln(1 + \gamma_o)$  and  $K_{\alpha-\beta}(2\sqrt{\alpha\beta\gamma_o})$  can be expressed in terms of the Meijer's G-function [118, (11)-(14)]. Then, (3.47) can be re-written as

$$C_o = \frac{(\alpha\beta)^{(\alpha+\beta)/2}}{\Gamma(\alpha)\Gamma(\beta)} \frac{1}{\ln(2)} \int_0^\infty (i^2)^{\frac{\alpha+\beta}{2}-1} G_{0,2}^{2,0} \left( \alpha\beta i^2 \left| \begin{matrix} \\ \frac{\alpha-\beta}{2}, \frac{\alpha-\beta}{2} \end{matrix} \right. \right) G_{2,2}^{1,2} \left( \bar{\gamma}_o i^2 \left| \begin{matrix} 1, 1 \\ 1, 0 \end{matrix} \right. \right) \cdot di^2. \quad (3.48)$$

After a simple power transformation of the random variable in order to achieve a linear relation and using [83, (2.24.1-1)], a closed-form expression of  $C_o$  can be derived as

$$C_o = \frac{1}{\ln(2)\Gamma(\alpha)\Gamma(\beta)} G_{4,2}^{1,4} \left( \frac{\bar{\gamma}_o}{\alpha\beta} \left| \begin{matrix} 1, 1, 1 - \alpha, 1 - \alpha \\ 1, 0 \end{matrix} \right. \right). \quad (3.49)$$

The channel capacity for the *mmWave* link can be expressed as

$$C_f = \frac{m^m}{\Gamma(m)} \frac{1}{\ln(2)} \int_0^\infty \ln(1 + \gamma_f)\gamma_f^{m-1} \exp(-m\gamma_f) \cdot d\gamma_f, \quad (3.50)$$

where the logarithm term  $\ln(1 + \gamma_f)$  can be expressed in terms of the Meijer's G-function [118, (11)]. Then, (3.50) can be re-written as

$$C_f = \frac{m^m}{\Gamma(m)} \frac{1}{\ln(2)} \int_0^\infty (|h|^2)^{m-1} \exp(-m|h|^2) G_{2,2}^{1,2} \left( \bar{\gamma}_f |h|^2 \left| \begin{matrix} 1, 1 \\ 1, 0 \end{matrix} \right. \right) \cdot d|h|^2. \quad (3.51)$$

After a simple power transformation of the random variable in order to achieve a linear relation and using [82, (7.813-1)], a closed-form expression of  $C_f$  can be derived as

$$C_f = \frac{1}{\ln(2)\Gamma(m)} G_{3,2}^{1,3} \left( \frac{\bar{\gamma}_f}{m} \left| \begin{matrix} 1 - m, 1, 1 \\ 1, 0 \end{matrix} \right. \right). \quad (3.52)$$

Finally, by combining (3.49) and (3.52) into (3.45), the ergodic capacity of the system can be expressed as

$$C = \frac{1}{2} \left[ \frac{1}{\ln(2)\Gamma(\alpha)\Gamma(\beta)} G_{4,2}^{1,4} \left( \frac{\bar{\gamma}_o}{\alpha\beta} \left| \begin{matrix} 1, 1, 1 - \alpha, 1 - \alpha \\ 1, 0 \end{matrix} \right. \right) + \frac{1}{\ln(2)\Gamma(m)} G_{3,2}^{1,3} \left( \frac{\bar{\gamma}_f}{m} \left| \begin{matrix} 1 - m, 1, 1 \\ 1, 0 \end{matrix} \right. \right) \right]. \quad (3.53)$$

### 3.7 Results and Discussion

In this section, Monte Carlo simulations and theoretical verification are presented to evaluate performance of the proposed hybrid FSO-*mmWave* system. Specifically, the impact of different physical layer parameters on the performance of the proposed IM-based link selection mechanism is discussed. Note that Monte Carlo simulations are made through MATLAB<sup>®</sup> software in which random bits are generated using a random number generator with a predefined seed. The generated information bits are, firstly, divided into transmit blocks based on the modulation order, as defined in (3.6). The first bit of each modulation block determines which link to be activated, and the remaining bits are modulated to symbols. Then, the modulated symbols are sent over the FSO or *mmWave* links that are determined by the first bit of the corresponding modulation block. At the receiver side, MLI detection is performed to jointly decode the transmitted blocks over both links, as given (3.11). Once the transmitted blocks are retrieved, the statistics are, finally, obtained for performance evaluation. Additionally, results of the conventional threshold-based selection mechanism [95] are also presented as a benchmark. Comparison results include various scenarios including different spectral efficiencies, link distances, and weather conditions.

Recall that in the threshold-based selection mechanism [95] the FSO link has priority unless its SNR falls below a predefined threshold, denoted by  $\gamma_{th}$ , in which case it switches to the *mmWave* link. The received SNR of the FSO link is checked every  $L$  transmission symbols, called a feedback period. The impact of both  $\gamma_{th}$  and  $L$  will be investigated later in this section.

A comparison of the average BER against the average SNR is illustrated in Fig. 3.3 for the proposed IM-based and threshold-based selection mechanisms with different spectral efficiencies,  $S = 2, 3, 4,$  and  $5$  bps/Hz, over a distance of  $2.5$  km in hazy atmospheric conditions. In the figure, theoretical and asymptotic BER curves are obtained using (3.30) and (3.34), respectively. The turbulence parameters are calculated as  $\alpha = 3.2018,$  and  $\beta = 2.9275,$  while the Nakagami- $m$  parameter is set to  $1.$  Here, the values of  $\alpha$  and  $\beta$  are obtained using (1.16) and (1.17) based on the parameters in Table 1.1 and 1.2, and link distance. The proposed mechanism shows much better BER performance at all spectral efficiencies considered. For instance, at BER of  $10^{-4},$  the SNR gain of the proposed mechanism is  $5, 6, 7$  and  $7.5$  dB for  $S = 2, 3, 4$  and  $5$  bps/Hz, respectively, compared to the threshold-based mechanism. The reason behind such an improvement is that the use of the IM mechanism exploits link selection to increase spectral efficiency thereby lowering modulation order.

Fig. 3.4 presents the comparison of average BER performance against the link distance for a fixed value of noise power  $3 \times 10^{-11} \text{ A}^2$  with  $3$  bps/Hz in moderate rain conditions. The turbulence parameters,  $\alpha$  and  $\beta,$  change depending on the link distance. As seen from the figure, the proposed system provides lower BER than the conventional system. For example, assuming the forward error correction (FEC) limit to be  $10^{-3},$  the threshold-based system is able to satisfy this limit at a link distance around  $2.1$  km, while the proposed system approximately provides  $2.6$  km which is about  $500$  m longer.

In Fig. 3.5, the proposed mechanism is compared to the conventional threshold-based mechanism at different values of  $L$  with  $4$  bps/Hz spectral efficiency over a distance of  $1.75$  km in clean air atmospheric conditions. The turbulence parameters are calculated as  $\alpha = 2.9846,$  and  $\beta = 2.5254.$  The threshold  $\gamma_{th}$  is set to  $10$  dB, and the feedback period is varied among  $L = 1, 100, 200$  and

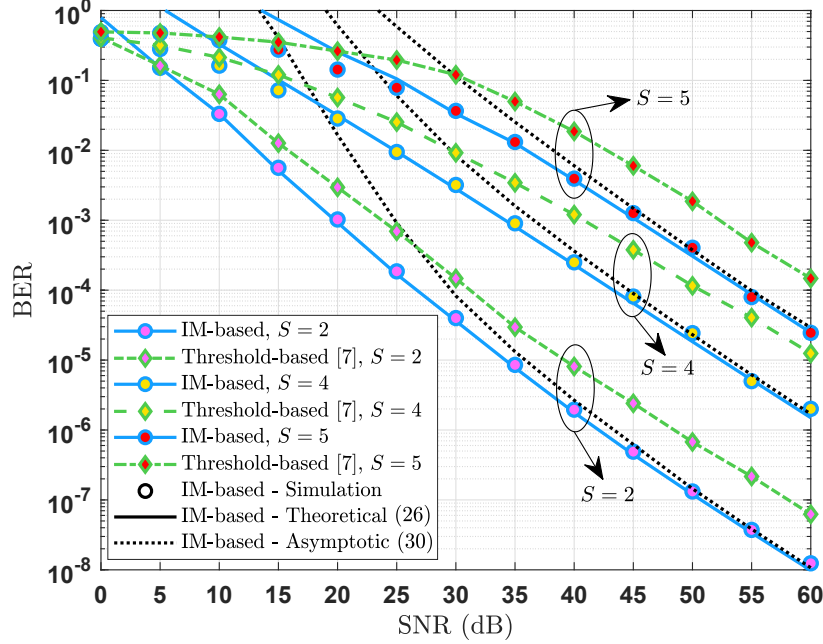


Figure 3.3: The average BER versus average SNR for the proposed IM-based scheme and threshold-based mechanisms at different spectral efficiencies. (Haze,  $d = 2.5$  km,  $\gamma_{th} = 15$  dB, and  $L = 150$  symbols). Reprinted with permission from [93].

300 symbols. As is seen from the figure and as expected, an increment in period  $L$  decreases the BER performance of the conventional threshold-based mechanism. The main reason is that frequently checking the instantaneous SNR ensures the use of a higher quality link, however, as a tradeoff, this frequent control also increases the power consumption and overall complexity of the system.

Fig. 3.6 presents a comparison between the proposed IM-based and the conventional threshold-based mechanisms at different values of  $\gamma_{th}$  with a feedback period of 100 symbols and 4 bps/Hz spectral efficiency over a link distance of 1.5 km in moderate rain atmospheric conditions. The turbulence parameters are calculated as  $\alpha = 23.9161$ , and  $\beta = 22.1541$ . The threshold value for the conventional scheme is varied among  $\gamma_{th} = 0, 5, 10$  and 15 dB. The average BER performance is degraded as the value of  $\gamma_{th}$  increases for the threshold-based scheme. This is due to the fact that increasing  $\gamma_{th}$  increases the probability to switch to the *mmWave* link which is worse than the FSO link based on the considered scenario parameters. Also, as seen from the figure, the achievable

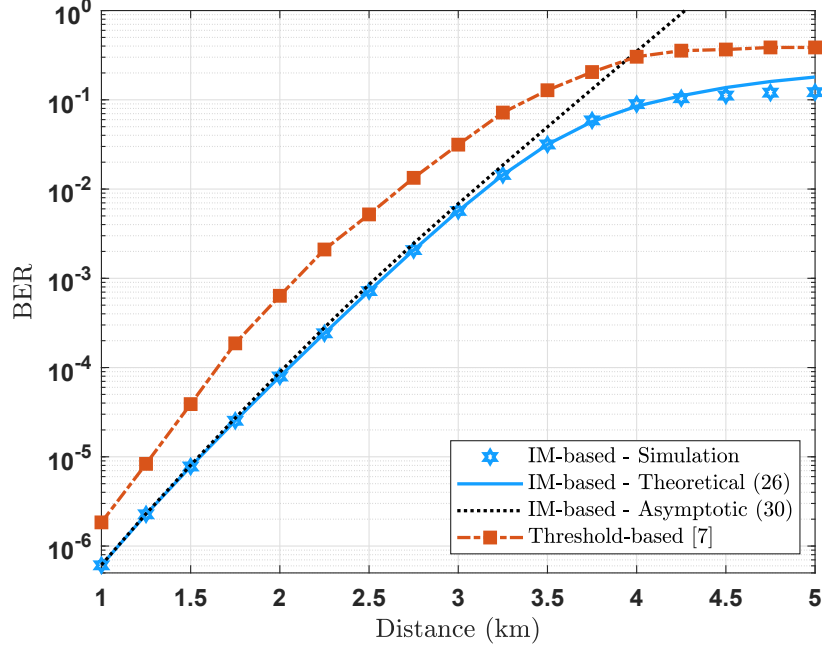


Figure 3.4: The average BER versus link distance for the proposed IM-based and threshold-based mechanisms. (Haze,  $S = 3$  bps/Hz,  $\gamma_{th} = 10$  dB, and  $L = 150$  symbols). Reprinted with permission from [93].

BER performance of the proposed mechanism is much better than the conventional mechanism.

In Fig. 3.7, the outage probability of the proposed IM-based mechanism is illustrated against the average SNR values for different information threshold rates. The link distance is set to 2.5 km, and a hazy weather condition is considered. The theoretical and asymptotic curves in the figure are obtained by using (3.39) and (3.44), respectively. As is seen from the figure, the outage probability decreases for all information rates considered with the increase of average SNR. An information threshold of  $-5$  dB, as expected, results in the lowest outage probability among those considered.

The ergodic capacity of the proposed IM-based scheme is presented in Fig. 3.8 against the average SNR for clear, hazy, and moderate rain weather conditions, where the theoretical curves are obtained by using (3.53). The link distance is set to 3 km. As is seen from the figure, the ergodic capacity increases for all weather conditions considered with the increase of average SNR. The clean air conditions, as expected, results in the highest capacity among the cases considered.



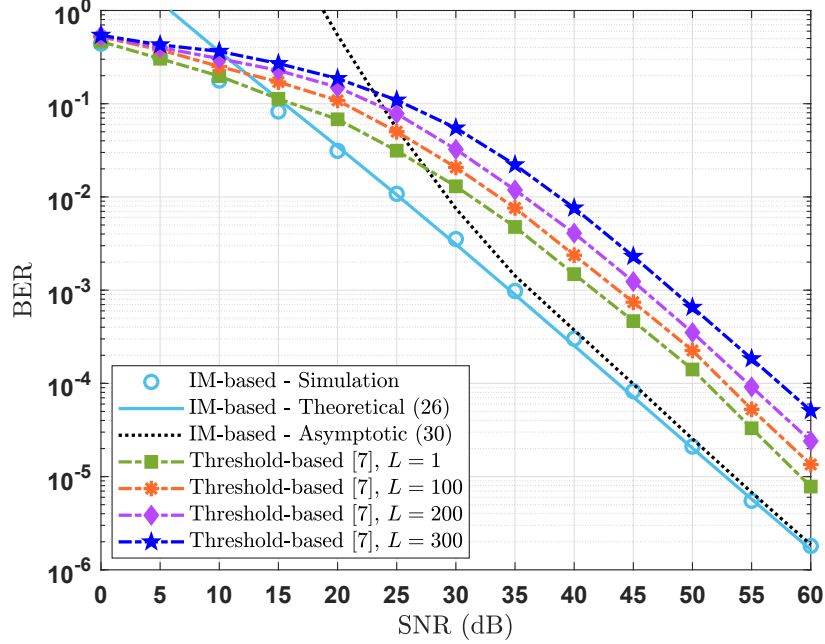


Figure 3.5: The average BER versus average SNR for the proposed IM-based scheme and conventional threshold-based scheme with different values of  $L$ . (Clean air,  $S = 4$  bps/Hz,  $d = 1.75$  km, and  $\gamma_{th} = 10$  dB). Reprinted with permission from [93].

### 3.8 Concluding Remarks

In this chapter, a novel selection mechanism is proposed and analyzed for hybrid FSO-*mmWave* systems without a need for any feedback or channel state information at the transmitter side. Thus, the proposed mechanism does not suffer from high overhead and required side information at the transmitter, which automatically decreases the complexity and energy consumption. Notice that the proposed link selection mechanism is a data-driven algorithm, therefore, the link selection is made by information bits. The system takes advantage of the IM concept to activate the communication link, either FSO or *mmWave*, at each point in time. IM uses information based link selection to maintain spectral efficiency while lowering the modulation order. Consequently, the proposed switching mechanism can be implemented with a very simple switching circuitry whose input directly connected to the first bit of each transmit block which determines the link activation. At the receiver side, maximum likelihood detection is employed to jointly detect the modulated symbol and the active link, which is, consequently, used to retrieve the whole transmitted bit block.

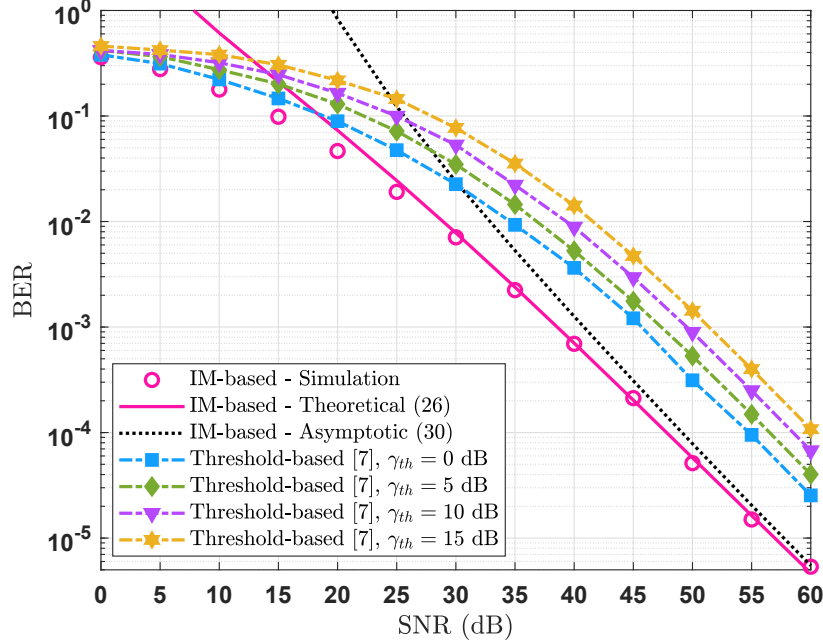


Figure 3.6: The average BER versus average SNR for the proposed IM-based scheme and conventional threshold-based scheme at different thresholds values. (Moderate Rain,  $S = 4$  bps/Hz,  $d = 1.25$  km, and  $L = 100$  symbol). Reprinted with permission from [93].

Compared to other studies in the literature, activating only one link at a time provides lower power consumption at the transmitter side, and does not require the combining or multiplexing methods at the receiver side. The average BER, outage probability and ergodic capacity are derived in closed-form analytical expressions, and related Monte Carlo simulations are made under several scenarios including different modulation orders, link distances and weather conditions. In the light of results, it is shown that the proposed mechanism is able to outperform the threshold-based mechanism in terms of both spectral efficiency and BER under all scenarios considered.

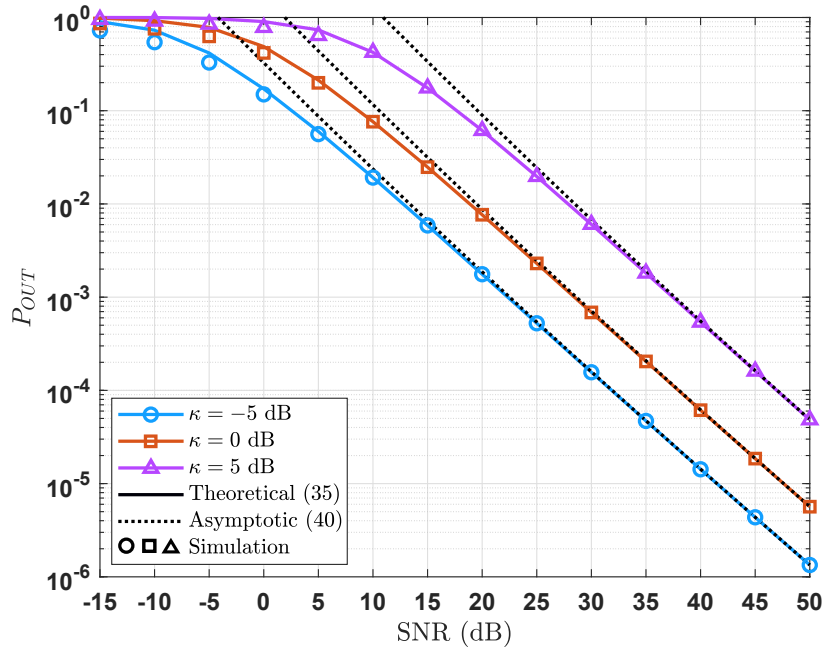


Figure 3.7: Outage probability versus average SNR for the proposed IM-based scheme at different information rates. ( $\kappa = \mathcal{R}_o = \mathcal{R}_f$ , Haze, and  $d = 2.5$  km). Reprinted with permission from [93].

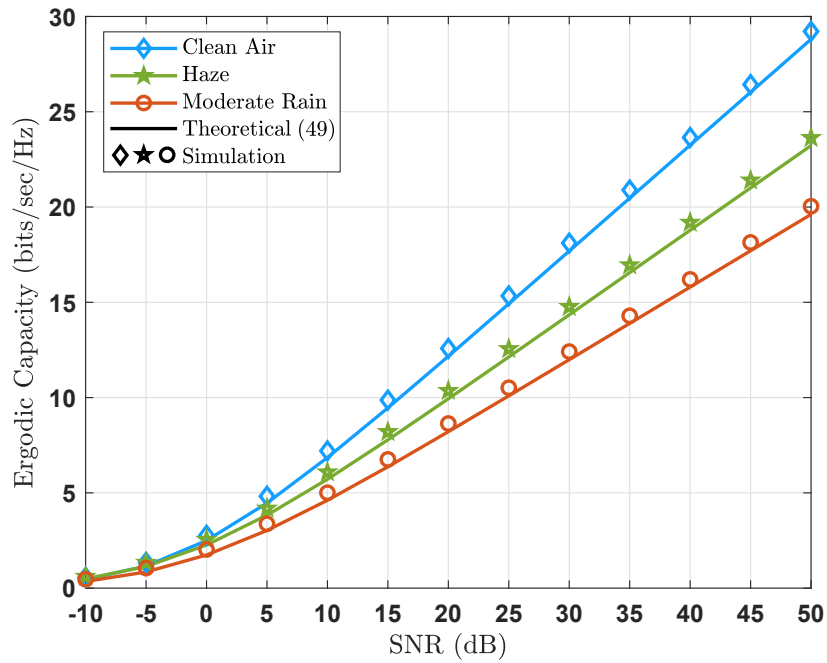


Figure 3.8: Ergodic capacity versus average SNR for the proposed IM-based scheme at different weather conditions. ( $d = 3$  km). Reprinted with permission from [93].

## 4. DL-BASED SECRECY ENHANCEMENT OF MIMO HYBRID FSO-RF SYSTEMS

### 4.1 Introduction

#### 4.1.1 Motivation

The impressive improvements in capacity performance motivates the recent interest in MIMO systems. In addition to the high gains, however, there exist a price in hardware complexity as a tradeoff. A very promising method for reducing this complexity while satisfying a reasonably large capacity of a MIMO transmission is to employ some form of antenna selection. Thus a lower number of transmitters and receivers can be employed for an optimal allocation. In this case only the best set of transmitters or receivers is used, while the remaining ones are not activated, thus reducing the number of required overall power. Therefore, in this chapter, a convolutional neural network (CNN)-based link selection schemes is provided to maximize the secrecy performance by activating the antennas and lasers at the transmitter side based on predefined configurations.

#### 4.1.2 Related Works

The number of studies in the literature has been increased over the past few years for link selection schemes for MIMO systems [119–130], in which comprehensive analyses are presented along with related discussions and conclusions. Moreover, physical layer security area is introduced to MIMO systems taking link selection mechanisms into account [131–140], including detailed secrecy analyses for different configurations and scenarios.

Furthermore, for the first time, considering a link selection process as a multiclass-classification problem, the authors in [141] investigate the data-driven machine learning (ML) algorithms by exploiting SVM and  $k$ -nearest neighbors ( $k$ -NN). The authors also compare the learning-based antenna selection systems with a conventional system that maximizes the minimum of either the eigenvalue or the norm of channels. Hereupon, the number of studies are boosted [142–151] in which ML and deep learning (DL) techniques are employed to optimize the transmit link selection in MIMO systems.

On top of that, the authors in [152] attempt to conflate ML-based link selection in MIMO with physical layer security to enhance secrecy performance, where they propose NB-based link selection scheme. The authors consider a scenario, where the CSIs of the legitimate receiver is available to the legitimate transmitter, while the CSIs of the eavesdropper can be either known or not known. Then, in compared with the conventional antenna selection scheme, the authors show that the proposed schemes can achieve almost the same secrecy performance with a small feedback overhead. Afterwards, a deep reinforcement learning framework of deep-Q-network (DQN) is proposed in [153] to predict the optimal transmit antenna in a MIMO wiretap channel based on outdated CSI, in which the legitimate receiver captures the pilot signals radiated by each transmit antenna of legitimate transmitter, and obtains related SNRs by employing a MRC receiver, and then, uses the DQN to predict the transmitting antenna at the next moment according to these SNRs values.

Alternatively, other than antenna selection, the authors in [154–156] employ DL techniques for MIMO systems to solve precoding, uplink transmission, and beamforming problems in terms of a physical layer security perspective. For instance, in [154], a supervised DL-based novel precoding technique is introduced for MIMO systems considering Gaussian wiretap channels. It is shown that compared to traditional precoding methods, the proposed DL-based precoding is remarkably faster and reaches almost the same secrecy rate. In the presence of massive MIMO eavesdroppers, the authors in [155] adopt the uplink original symbol phase rotated (UOSPR) scheme to secure the uplink transmission for lightweight single-antenna user equipments, which randomly rotate the original information bearing symbols before they are transmitted to the base station on the uplink. In work [156], the authors propose an artificial-noise beamforming based secure transmission scheme for a full-duplex unmanned aerial vehicle (UAV) relaying scenario to combat active eavesdroppers, in which a UAV-relay equipped with multiple antennas to securely serve multiple ground users in the presence of randomly located active eavesdroppers.

## 4.2 System Model

In this chapter, we consider a multiple-input multiple-output system with multi-receiver-eavesdropper (MIMOME) scenario, in which the legitimate transmitter, Alice, wants to send confidential information to the legitimate receiver, Bob, while an eavesdropper, Eve, tries to wiretap confidential information by sniffing the received signals by Bob, as illustrated in Fig. 4.1. The communication between legitimate pairs is accomplished through parallel links, namely, FSO links and RF links. It is assumed that Alice has  $N_T$  transmit antennas and  $N_T$  lasers, while Bob has  $n_B$  receive antennas and  $n_B$  photodetectors, and Eve has  $n_E$  receive antennas and  $n_E$  photodetectors. Moreover, due to the resource efficiency or availability, Alice can use only  $n_A$  ( $N_T \geq n_A \geq 1$ ) antennas and  $n_A$  ( $N_T \geq n_A \geq 1$ ) lasers at each transmission time. Consequently, to maximize the secrecy capacity, in other words, to increase the probability of having secure transmission, Alice wants to select/activate best set of  $n_A$  antennas out of  $\binom{N_T}{n_A}$  and  $n_A$  lasers out of  $\binom{N_T}{n_A}$  options. We also assume that all the channels are subject to identical and independent distributed (i.i.d) fading and the channel distributions are presented in the following subsection. It is worthy to note that transmitted data are divided into  $\log_2(M)$  bit blocks, where  $M$  is the modulation order. Each block is modulated and emitted via each active link. To provide identical bandwidth as with the FSO links, the RF links operate over the mmWave frequency range.

### 4.2.1 Channel Characteristics

A RV  $H$  is considered to denote the instantaneous RF channel power that is modeled as Nakagami- $m$  distribution as defined in 1.1.2. Likewise, an another RV  $G$  is considered to represent the instantaneous FSO channel power that is modeled as Gamma-Gamma distribution as defined in 1.2.2. Furthermore, regarding the impact of pointing errors, we consider the most widely used misalignment model [157], called as zero boresight error model<sup>1</sup>, in which the effects of beam width, detector size and jitter variance are taken into account, where the radial displacement

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<sup>1</sup>It is worthy to note that the non-zero boresight error (i.e., Beckmann model) can be treated by the same PDF given in (4.1) using the approximation provided in [158].

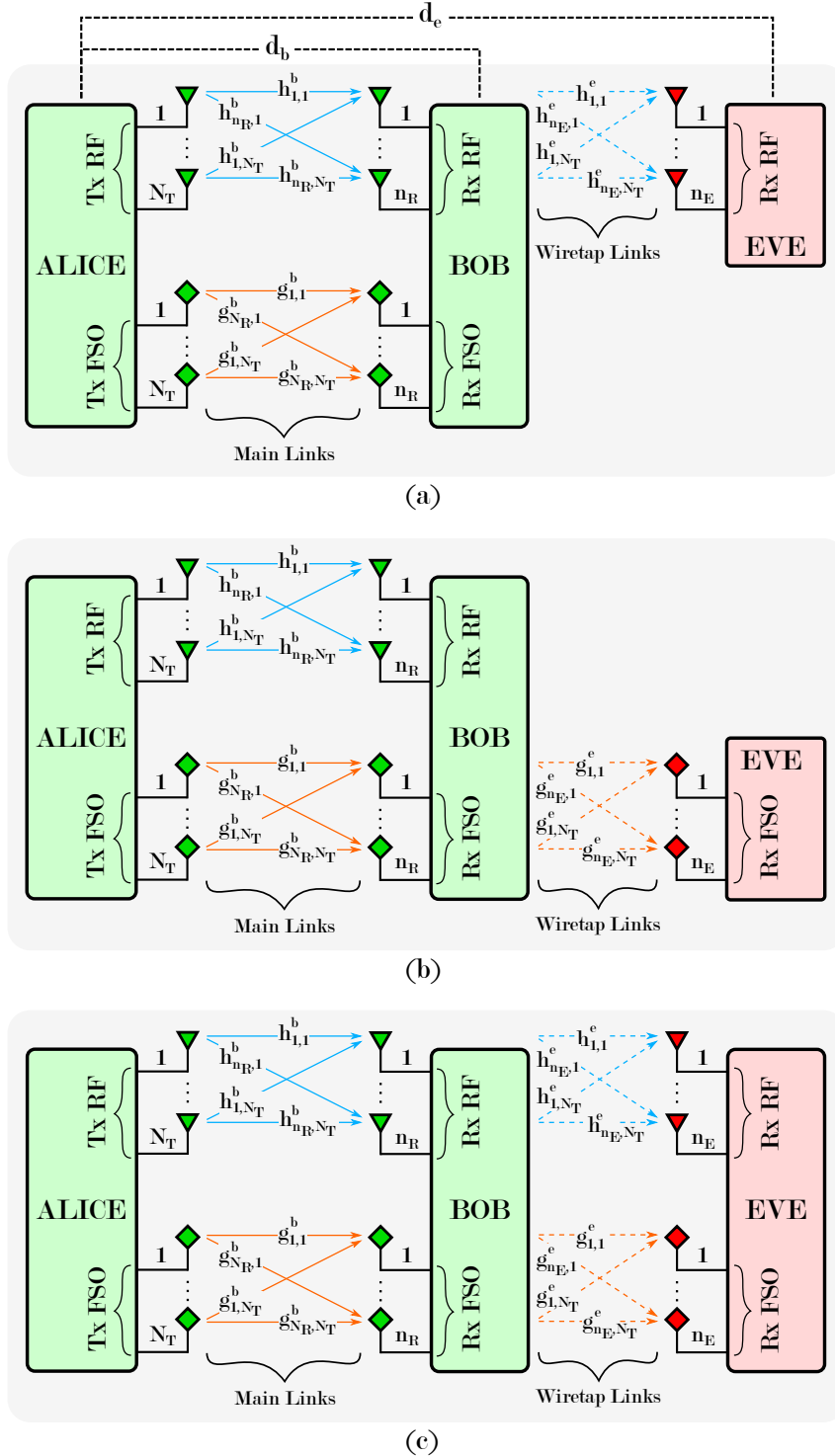


Figure 4.1: Block diagrams of hybrid FSO-*mm*Wave multiple input multiple-output communication system between the legitimate transmitter Alice and receiver Bob in presence of different types of eavesdroppers: (a) Eve-RF, (b) Eve-FSO, and (c) Eve-Hybrid.

follows a Rayleigh distribution. Thus, the PDF of the pointing error impairment is expressed as

$$f_{P_o}(p_o) = \frac{\xi^2}{A_0^{\xi^2}} p_o^{\xi^2-1}, \quad (4.1)$$

where  $A_0$  denotes the maximum fraction of the collected power,  $\xi = \omega_{\xi eq}/2\sigma_\xi$ ,  $\omega_{\xi eq}$  depicts the equivalent beamwidth, and  $\sigma_\xi$  stands for the jitters variance. Therefore, using the PDFs for turbulence fading given in (1.15) and misalignment error given in (4.1), a closed-form expression of the PDF of instantaneous FSO channel power with pointing errors is expressed as

$$f_{G_p}(g_p) = \frac{\xi^2}{g_p \Gamma(\alpha) \Gamma(\beta)} G_{1,3}^{3,0} \left( \alpha \beta \frac{g_p}{A_0} \left| \begin{array}{c} 1 + \xi^2 \\ \xi^2, \alpha, \beta \end{array} \right. \right), \quad (4.2)$$

where  $G[\cdot]$  denotes the Meijer's G function.

#### 4.2.2 Average Signal-to-Noise Ratios

Both Bob and Eve apply the MRC method on the received signals over FSO and RF links, where subscript  $x \in \{b, e\}$  denotes the receiving side, i.e.,  $x = b$  for Bob, and  $x = e$  for Eve. The average electrical SNRs of the RF link  $\bar{\gamma}_{x,f}$  and FSO link  $\bar{\gamma}_{x,o}$  are expressed in (1.21) and (1.24), respectively.

#### 4.2.3 Eavesdropper Models

To present a fair comparison in terms of secrecy performance, we consider that the legitimate receiver Bob and eavesdropper Eve equip identical photodetectors and antennas to provide the equivalent conversion efficiency, and antenna gain.

##### 4.2.3.1 FSO-type Eavesdropper

FSO-type of eavesdropper is described in section 2.4.1.1, where it can be described as a sensing device that collects a fraction of the optical irradiance emitted by a laser at legitimate transmitter Alice. It is worthy noting that the presence of Eve-FSO should not affect the received power at Bob. Actually, blocking the LOS between Alice and Bob or decreasing the amount of received



power at Bob makes Bob aware of the attack and, therefore, can terminate the communication for security reasons. Thus, we consider that Eve-FSO collects a fraction of the available power that is radiated by a laser at Alice.

#### 4.2.3.2 *RF-type Eavesdropper*

RF-type of eavesdropper is described in section 2.4.1.2, where it can be described as a sensing device that collects a fraction of the power radiated by an antenna at Alice. It is worthy noting that the presence of Eve-RF should not affect the received power at Bob. Actually, jamming the link between Alice and Bob or decreasing the amount of received power at Bob makes Bob aware of the attack and, therefore, can stop the communication for security reasons. Thus, we consider that Eve-RF collects a fraction of the available power that is radiated by an antenna at Alice.

#### 4.2.3.3 *Hybrid-type Eavesdropper*

Hybrid-type of eavesdropper is described in section 2.4.1.3, where it can be considered as multiple sensing devices that collect fractions of the power radiated by Alice over both links. In another word, Hybrid-Eve can be considered as two cooperative eavesdroppers, i.e one for RF and other one for FSO. Therefore, based on its ability and resources, this kind of eavesdropper can simultaneously wiretap both FSO and RF links. It is worthy noting that the presence of eavesdropper should not affect the received power at Bob. Actually, blocking the LoS or jamming the link between Alice and Bob, or decreasing the amount of received power at Bob makes the legitimate pair aware of the attack and, therefore, can terminate the communication for security reasons.

### 4.3 **Secrecy Capacity**

Based on (1.26), the secrecy capacity is defined as

$$C_S = [C_B - C_E]^+, \quad (4.3)$$

where  $C_B$  and  $C_E$  are the instantaneous secrecy capacities of the channels between Alice and Bob and between Alice and Eve, respectively, and are expressed as

$$C_B = \log_2 \left( \det \left[ \mathbf{I}_{n_B} + \frac{\bar{\gamma}_{b,o}}{n_A} \mathbf{G}_b \mathbf{G}_b^\top + \frac{\bar{\gamma}_{b,f}}{n_A} \mathbf{H}_b \mathbf{H}_b^\dagger \right] \right), \quad (4.4)$$

$$C_E = \begin{cases} \log_2 \left( \det \left[ \mathbf{I}_{n_E} + \frac{\bar{\gamma}_{e,f}}{n_A} \mathbf{H}_e \mathbf{H}_e^\dagger \right] \right), & \text{for RF-Eve,} \\ \log_2 \left( \det \left[ \mathbf{I}_{n_E} + \frac{\bar{\gamma}_{e,o}}{n_A} \mathbf{G}_e \mathbf{G}_e^\top \right] \right), & \text{for FSO-Eve,} \\ \log_2 \left( \det \left[ \mathbf{I}_{n_E} + \frac{\bar{\gamma}_{e,o}}{n_A} \mathbf{G}_e \mathbf{G}_e^\top + \frac{\bar{\gamma}_{e,f}}{n_A} \mathbf{H}_e \mathbf{H}_e^\dagger \right] \right), & \text{for Hybrid-Eve,} \end{cases} \quad (4.5)$$

where  $\log_2$  is the logarithm of basis two, and  $\mathbf{I}_x$  denotes the identity matrix of rank  $x$ ,  $\mathbf{X}^\top$  stands for the transpose of matrix  $\mathbf{X}$ , and  $\mathbf{X}^\dagger$  depicts the Hermitian transpose of matrix  $\mathbf{X}$ .  $\bar{\gamma}_{x,o}$  and  $\bar{\gamma}_{x,f}$  represent the average electrical SNR of FSO and RF links, respectively, as given in (1.24) and (1.21).  $\mathbf{G}_x$  and  $\mathbf{H}_x$  stand for the FSO and RF channel matrices, respectively. Note that, for the sake of simplicity, we assumed a normalized bandwidth. To characterize the secure communication between Alice and Bob, we use the probabilistic metric of the SOP, defined as

$$P_{SO}(\mathcal{R}) = \text{Prob.}(C_S < \mathcal{R}), \quad (4.6)$$

which is the probability that the achievable secrecy rate is less than a target secrecy rate  $\mathcal{R} > 0$ . Additionally, we examine another security metric, called as EST, which is known as the average effective rate of transmitted information that can be correctly retrieved by the legitimate receiver Bob while satisfying perfect secrecy, defined as

$$E_{ST}(\mathcal{R}) = \mathcal{R}(1 - P_{SO}(\mathcal{R})). \quad (4.7)$$

## 4.4 Link Selection

### 4.4.1 Conventional Link Selection

As well-known rules of the conventional link selection scheme, the index of the selected transmitter set is obtained as [120]

$$n^* = \begin{cases} \operatorname{argmax}_{1 \leq n \leq N} C_{S,n}, & \text{for Full CSI,} \\ \operatorname{argmax}_{1 \leq n \leq N} C_{B,n}, & \text{for Partial CSI,} \end{cases} \quad (4.8)$$

where  $n^*$  is the index of best set of selected  $n_A$  transmit antennas and  $n_A$  lasers out of  $N = \binom{N_T}{n_A} \binom{N_T}{n_A}$  options.

### 4.4.2 CNN-based Link Selection

In this section, the proposed CNN architecture is described as a link selection scheme, which consists of four kind of layers such as convolution (Conv), fully-connected (FC), rectified linear units (ReLU), and batch-normalization (BN). It is worthy to note that after each Conv layer, BN and FC layers are applied in turn, and in the last layer of each architecture, a softmax activation layer is used to transform the outputs of the last FC layer into a probability distribution, and ADAM optimizer is used in all CNN architectures. The number of activations and learnable parameters are weights  $\mathbf{W}$ , biases  $\mathbf{b}$ , and scalars  $\mathbf{s}$ . It should be noted that the proposed architectures are achieved in an empirical way to get best accuracy by employing the Bayesian optimization method, where Conv layers, FC layers, number of filters, and initial learning rate are selected as hyperparameters.

#### 4.4.2.1 CNN for $(N_T, n_A, n_B, n_E) = (2, 1, 1, 1)$

This CNN architecture is the simplest one since the number classes and features are 4 and 8, respectively. The input size is  $(4 \times 2)$  for full CSI, and  $(2 \times 2)$  for partial CSI case. In total we have 4 Conv layer, and the number of filters in each layer is 16, 32, 32, 8, respectively. Then, 2 FC layer is applied with the sizes of 32 and 4.

#### 4.4.2.2 CNN for $(N_T, n_A, n_B, n_E) = (3, 1, 1, 1)$

In this CNN, we have 9 total number classes, while features and input size  $\{\cdot, \cdot\}$  are  $\{12, (3 \times 4)\}$  and  $\{6, (3 \times 2)\}$  for full and partial CSI cases, respectively. In total we have 5 Conv layer, and the number of filters in each layer is 16, 32, 32, 16, 8, respectively. Then, 2 FC layer is applied with the sizes of 48 and 16.

#### 4.4.2.3 CNN for $(N_T, n_A, n_B, n_E) = (4, 1, 1, 1)$

In this CNN, we have 16 total number classes, while features and input size  $\{\cdot, \cdot\}$  are  $\{16, (4 \times 4)\}$  and  $\{8, (4 \times 2)\}$  for full and partial CSI cases, respectively. In total we have 6 Conv layer, and the number of filters in each layer is 16, 32, 32, 16, 16, respectively. Then, 2 FC layer is applied with the sizes of 48 and 16.

#### 4.4.2.4 CNN for $(N_T, n_A, n_B, n_E) = (3, 2, 2, 2)$

In this CNN, we have 9 total number classes, while features and input size  $\{\cdot, \cdot\}$  are  $\{48, (8 \times 6)\}$  and  $\{24, (6 \times 4)\}$  for full and partial CSI cases, respectively. In total we have 8 Conv layer, and the number of filters in each layer is 32, 32, 32, 64, 64, 32, 16, 16 respectively. Then, 3 FC layer is applied with the sizes of 32, 16, and 8.

#### 4.4.2.5 CNN for $(N_T, n_A, n_B, n_E) = (4, 2, 2, 2)$

In this CNN, we have 36 total number classes, while features and input size  $\{\cdot, \cdot\}$  are  $\{96, (12 \times 8)\}$  and  $\{48, (8 \times 6)\}$  for full and partial CSI cases, respectively. In total we have 12 Conv layer, and the number of filters in each layer is 16, 16, 32, 32, 32, 64, 64, 32, 32, 32, 16, 16 respectively. Then, 3 FC layer is applied with the sizes of 32, 16, and 16.

#### 4.4.2.6 Dataset Generation

To train the proposed CNN-based link selection scheme and make a fair comparison, we create the training dataset based on CSIs, as explained in [141, 152]: (1) generate CSIs based on pre-defined scenarios, (2) specify performance indicator, and (3) label the dataset based on selected metric, i.e., index of the best set of transmitters.

Recall that the channel matrices  $\mathbf{G}_x \in \mathbb{R}_{\geq 0}^{n_x \times n_A}$  and  $\mathbf{H}_x \in \mathbb{C}^{n_x \times n_A}$  can be expressed as

$$\mathbf{H}_x = \begin{bmatrix} h_{11}^x & \cdots & h_{1n_A}^x \\ \vdots & \ddots & \vdots \\ h_{n_x 1}^x & \cdots & h_{n_x n_A}^x \end{bmatrix}, \quad \mathbf{G}_x = \begin{bmatrix} g_{11}^x & \cdots & g_{1n_A}^x \\ \vdots & \ddots & \vdots \\ g_{n_x 1}^x & \cdots & g_{n_x n_A}^x \end{bmatrix}, \quad (4.9)$$

and by letting  $T = 10^7$  be the number of iterations, we generate an  $1 \times F$  feature vector based on channel gains for each iteration time  $t$ , denoted as  $\mathbf{f}^{(t)} = [f_1^{(t)}, f_2^{(t)}, \dots, f_F^{(t)}]$ , where  $F = 2Nn_A(n_B + n_E)$  for full CSI case, and  $F = 2Nn_An_B$  for partial CSI case

$$\begin{aligned} \mathbf{f}_{\text{Full}}^{(t)} = & \left[ g_{1,1}^{b,(t)}, \dots, g_{1,n_A}^{b,(t)}, g_{2,1}^{b,(t)}, \dots, g_{n_B,n_A}^{b,(t)}, \dots, g_{1,1}^{e,(t)}, \dots, g_{1,n_A}^{e,(t)}, g_{2,1}^{e,(t)}, \dots, g_{n_E,n_A}^{e,(t)}, \dots, \right. \\ & \left. |h_{1,1}^{b,(t)}|, \dots, |h_{1,n_A}^{b,(t)}|, |h_{2,1}^{b,(t)}|, \dots, |h_{n_B,n_A}^{b,(t)}|, \dots, |h_{1,1}^{e,(t)}|, \dots, |h_{1,n_A}^{e,(t)}|, |h_{2,1}^{e,(t)}|, \dots, |h_{n_E,n_A}^{e,(t)}| \right], \end{aligned} \quad (4.10)$$

and

$$\mathbf{f}_{\text{Partial}}^{(t)} = \left[ g_{1,1}^{b,(t)}, \dots, g_{1,n_A}^{b,(t)}, g_{2,1}^{b,(t)}, \dots, g_{n_B,n_A}^{b,(t)}, \dots, |h_{1,1}^{b,(t)}|, \dots, |h_{1,n_A}^{b,(t)}|, |h_{2,1}^{b,(t)}|, \dots, |h_{n_B,n_A}^{b,(t)}| \right], \quad (4.11)$$

where  $g_{k,\ell}^{x,(t)}$  and  $h_{k,\ell}^{x,(t)}$  denote the  $(k, \ell)$ <sup>th</sup> element of  $\mathbf{G}_x^{(t)}$  and  $\mathbf{H}_x^{(t)}$ , respectively, where  $x = b$  for Bob and  $x = e$  for Eve. Then, in order to avoid bias, row based feature normalization is applied to the training dataset as follows

$$\hat{f}_\ell^{(t)} = \left( f_\ell^{(t)} - \mu_{\mathbf{f}^{(t)}} \right) / \left( \max [\mathbf{f}^{(t)}] - \min [\mathbf{f}^{(t)}] \right), \quad (4.12)$$

where  $f_\ell^{(t)}$  is the  $\ell$ <sup>th</sup> element of  $\mathbf{f}^{(t)}$ , and  $\mu_{\mathbf{x}}$  denotes the mean of vector  $\mathbf{x}$ . Since our aim is to maximize the capacity between legitimate pair Alice and Bob by selecting/activating the best set of transmitters, capacity metric is chosen as performance indicator. Then, in order to determine the correct label of each training vector  $\mathbf{f}^{(t)}$ , we calculate the index of best transmitter set that

maximizes the capacity, based on (4.8).

#### 4.5 Results and Discussion

In this section, in order to validate the accuracy of the CNN architectures proposed in the previous section, Monte-Carlo simulations are presented along with related discussions. In particular, a detailed characterization of link selection scheme for hybrid FSO-*mm*Wave MIMO system is investigated in the presence of different types of eavesdroppers in terms of secrecy capacity, SOP and effective secrecy throughput. Additionally, results of the conventional [120], SVM-based [141], and NB-based [152] link selection mechanisms are also presented as a benchmark. The results include various scenarios including different SNRs, link distances, weather conditions, channel estimation error, pointing error, and availability of CSI.

It is worthy to note that the estimated channels,  $\tilde{\mathbf{H}}_x$  and  $\tilde{\mathbf{G}}_x$  can be modeled as

$$\begin{cases} \tilde{\mathbf{H}}_x = \mathbf{H}_x + \mathbf{E}_{x,f}, \\ \tilde{\mathbf{G}}_x = \mathbf{G}_x + \mathbf{E}_{x,o}, \end{cases} \quad (4.13)$$

where  $\mathbf{E}_{x,f}$  and  $\mathbf{E}_{x,o}$  denotes the amounts of the estimation error matrices due to channel estimation algorithm. Without loss of generality,  $\mathbf{E}_{x,f}$  and  $\mathbf{E}_{x,o}$  are modeled as complex and real Gaussian random variables, respectively, with zero means and  $\varepsilon\sigma_x^2$  variances, where  $\varepsilon \geq 0$ , and  $x \in \{b, e\}$ .

A comparison is made in Fig. 4.2 for the proposed CNN-based link selection scheme in terms of overall/average misclassification errors. In addition, the results of SVM- and NB-based link selection schemes are presented as a benchmark. In this scenarios, the communication is carried over a distance of 0.75 km in haze atmospheric conditions with 5 dB SNR at Eve. Additionally, the perfect channel estimation error is assumed on both systems, and no pointing error is considered in FSO links. The turbulence parameters are calculated as  $\alpha = 26.0860$  and  $\beta = 24.0784$ , while the Nakagami- $m$  parameter is set to  $m = 2$ . As it is clear from the figure, we observed that the errors of SVM- and NB-based schemes increase when we use higher transmit and receive diversity orders, where the proposed CNN-based scheme remains almost the same. We consider the conventional

link selection as optimal.

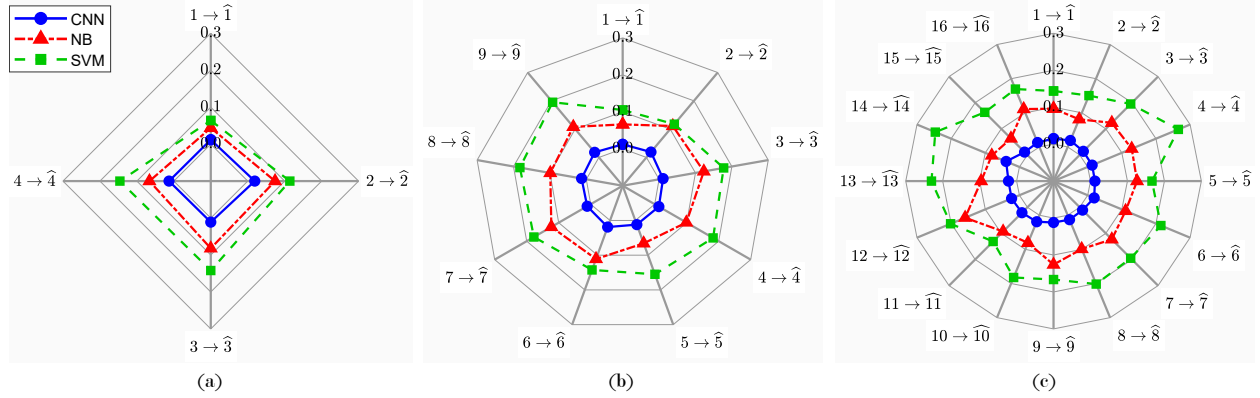


Figure 4.2: Overall misclassification errors of the proposed CNN-based link selection scheme in comparison with the SVM-based and NB-based schemes for different diversity orders,  $(N_T, n_A, n_B, n_E)$ : (a)  $(2, 1, 1, 1)$ , (b)  $(3, 2, 2, 2)$ , (c)  $(4, 1, 1, 1)$ . (Haze, Full CSI,  $\alpha = 26.0860$ ,  $\beta = 24.0784$ ,  $m = 2$ ,  $d_b = d_e = 0.75$  km,  $\varepsilon = 0$ ,  $\gamma_b \in [-6, 12]$  dB,  $\gamma_e = 5$  dB)

Another performance comparison of the SOP with  $\mathcal{R} = 1$  bit threshold against the average SNR of Bob is illustrated Fig. in 4.3 for the proposed CNN-based, conventional, SVM-based, and NB-based link selection schemes over a distance of 1 km in clean atmospheric conditions with 0 dB SNR at Eve. The turbulence parameters are calculated as  $\alpha = 5.0096$  and  $\beta = 4.7489$ , while the Nakagami- $m$  parameter is set to  $m = 1$ . In this figure, we consider three different transmitter and receiver configurations as  $(N_T, n_T, n_B, n_E) = \{(2, 1, 1, 1), (3, 2, 2, 2), (4, 2, 2, 2)\}$ , where the number of classes and features  $(\cdot, \cdot)$  are  $(4, 8)$ ,  $(9, 48)$ , and  $(36, 96)$ , respectively. It is clear that SOP dramatically decreases with the increase of number of transmitters and receivers. Also, one can easily observe that the proposed CNN-based architecture performs the same performance with conventional scheme by outperforming SVM- and NB-based link selection schemes.

Fig. 4.4 illustrates how different types of eavesdropper can degrade the system's security performance in terms of SOP with a threshold of  $\mathcal{R} = 1$  bit over a distance of 1.5 km in hazy weather conditions. Additionally, we consider full CSI, Nakagami- $m$  parameter as 1, no channel estimation and pointing errors, and set the average SNR of Eve to 3 dB. The turbulence parameters  $\alpha$

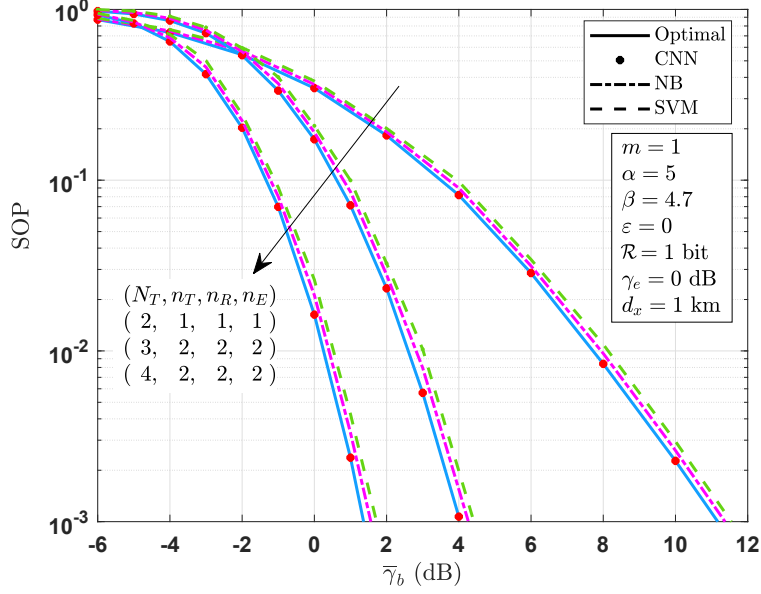


Figure 4.3: SOP versus average SNR performance of the system for the proposed CNN-based, conventional, SVM-based, and NB-based link selection schemes. (Clean, Full CSI,  $d_b = d_e = 1$  km,  $\kappa = 1$  bit,  $\varepsilon = 0$ ,  $\gamma_e = 0$  dB,  $(N_T, n_T, n_B, n_E) = \{(2, 1, 1, 1), (3, 2, 2, 2), (4, 2, 2, 2)\}$ )

and  $\beta$  are calculated as 14.6608 and 14.0573, respectively. One can easily observe from the figure, hybrid-Eve dramatically degrade the secrecy performance in comparison with RF- and FSO-type Eves. For example, considering a target SOP of  $10^{-3}$ , we can satisfy this requirement at 8, 8.5 and 10 dB for FSO-, RF- and hybrid-Eve, respectively. We also observe a slight difference between FSO and RF type eavesdroppers because of hazy turbulence condition, in which RF links perform better than FSO links. It is also clear that the proposed CNN network gives the same results as the conventional link selection.

The impact of different turbulence conditions on the system's secrecy performance is presented in Fig. 4.5 over a distance of 0.5 km with a SOP threshold of 2 bits in presence of a hybrid-type eavesdropper, where the configuration of transmitters and receivers is selected as  $(N_T, n_A, n_B, n_E) = (3, 1, 1, 1)$ . Considering an average 2 dB fixed SNR at Eve, if the secure system is required a SOP of  $10^{-3}$ , the average SNR of Bob needs to be approximately 6, 7, 8 and 11 dB for clean, haze, moderated rain and moderate fog weather conditions, respectively. As seen from the figure, the proposed CNN-based link selection performs as good as the optimal link selection



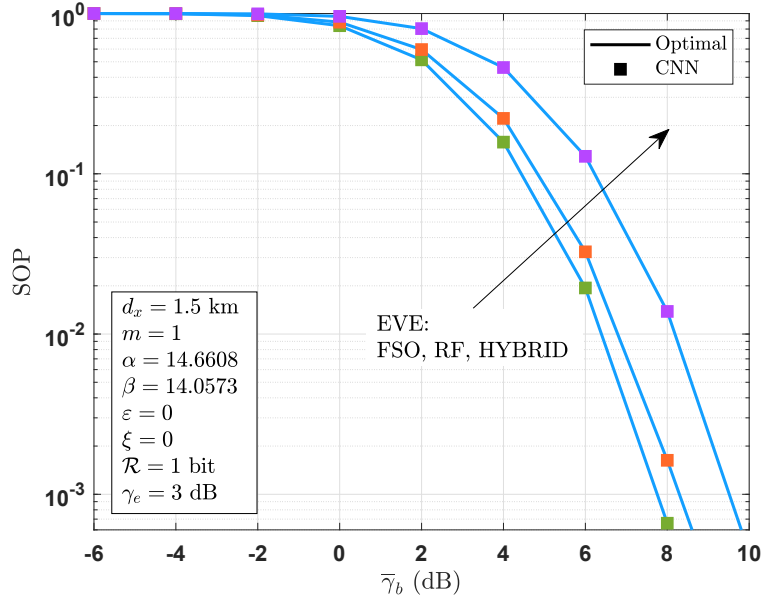


Figure 4.4: SOP versus average SNR performance of the system for different types of eavesdroppers. (Haze, Full CSI,  $d_b = d_e = 1.5$  km,  $\mathcal{R} = 1$  bit,  $\xi = 0$ ,  $\varepsilon = 0$ ,  $\gamma_e = 3$  dB,  $(N_T, n_A, n_B, n_E) = (3, 2, 2, 2)$ )

mechanism.

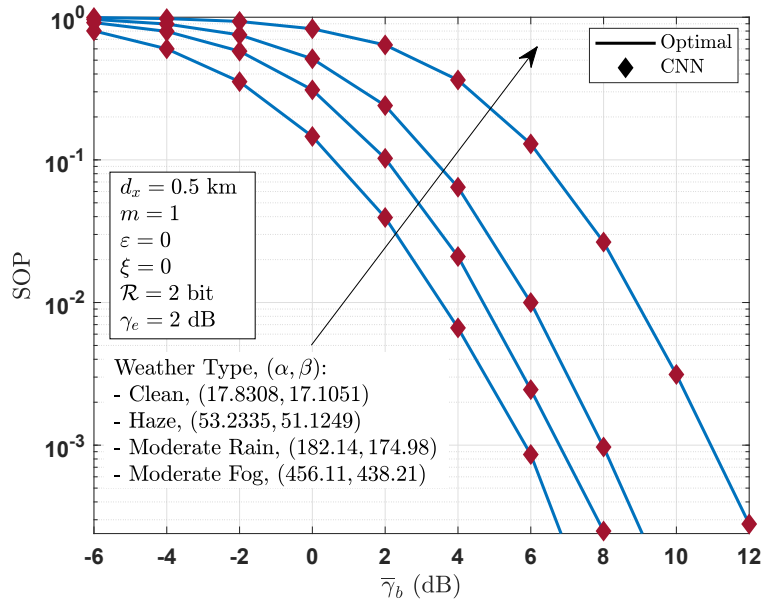


Figure 4.5: SOP versus average SNR performance of the system for different weather conditions in the presence of a hybrid eavesdropper. (Full CSI,  $d_b = d_e = 0.5$  km,  $\mathcal{R} = 1$  bit,  $\xi = 0$ ,  $\varepsilon = 0$ ,  $\gamma_e = 2$  dB,  $(N_T, n_A, n_B, n_E) = (3, 1, 1, 1)$ )

In Fig. 4.6, the effect of Nakagami- $m$  parameter is investigated in terms of SOP with a threshold of 1 bit over a distance of 0.75 km considering the configuration of transmitters and receivers of  $(N_T, n_A, n_B, n_E) = (2, 1, 1, 1)$ . The turbulence parameters  $\alpha$  and  $\beta$  are calculated as 8.3701 and 8.0023, respectively. Additionally, the pointing and channel estimation errors are considered as zero. Since we consider a fixed 3 dB SNR at Eve, we observe opposite behavior for secrecy performance of the system when Bob's average SNR becomes greater than Eve. In other words, increasing the Nakagami- $m$  parameter implies the fading in RF channel becomes less severe. Therefore, this explains the opposite behavior in the figure, in which eavesdropper takes the advantage in low SNR region of Bob. Additionally, a target 1% secrecy outage can be satisfied after 8, 9.2 and 12.3 dB SNR of Bob for Nakagami- $m$  parameters of 3, 2 and 1, respectively.

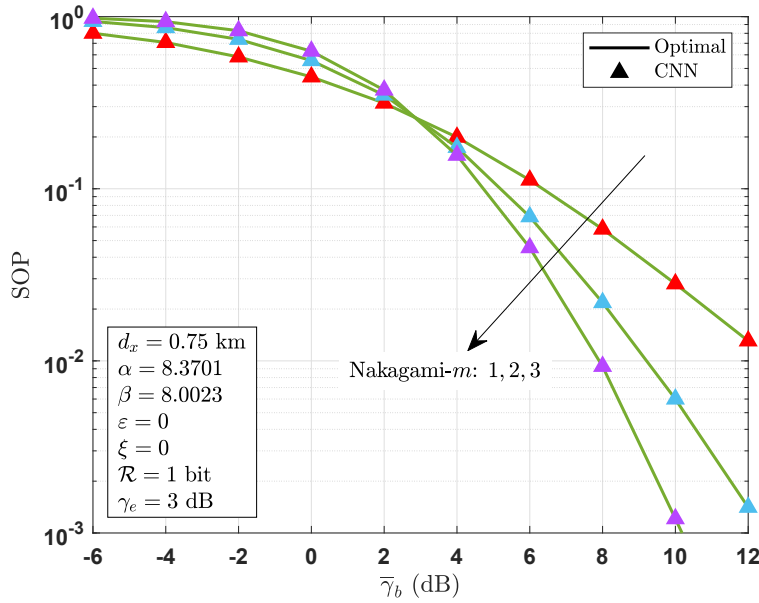


Figure 4.6: SOP versus average SNR performance of the system for different Nakagami- $m$  parameter in the presence of a hybrid eavesdropper. (Full CSI,  $d_b = d_e = 0.75$  km,  $\mathcal{R} = 1$  bit,  $\xi = 0$ ,  $\varepsilon = 0$ ,  $\gamma_e = 3$  dB,  $(N_T, n_A, n_B, n_E) = (2, 1, 1, 1)$ )

Since one of the main limitation in FSO systems is the pointing errors which exist because of its inherent line-of-sight requirement. In Fig. 4.7, the impact of pointing errors on the secrecy performance is illustrated for several distance values with a SOP threshold of 2 bits. The SNRs

of Bob and Eve are fixed at 15 and 5 dB, respectively, and then, full CSI and perfect channel estimation are assumed in this scenario. Nakagami- $m$  parameter is set to 1, and the configuration of transmitters-receivers are selected as  $(N_T, n_A, n_B, n_E) = (4, 2, 2, 2)$ . As seen from the figure, the pointing error dramatically decreases overall system's secrecy performance. For instance, considering a target outage of  $10^{-2}$ , the distance between legitimate pairs can be approximately 185, 300, 425, 550 and 680 meters for pointing errors of 0.33, 0.4, 0.5, 0.75 and without pointing errors, respectively.

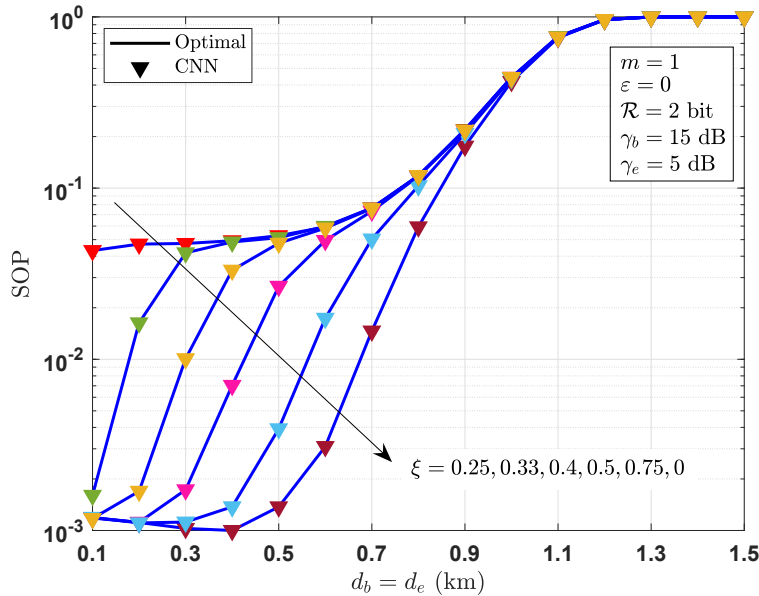


Figure 4.7: SOP versus distance performance of the system for different pointing errors at FSO link in the presence of a hybrid eavesdropper. (Full CSI,  $\mathcal{R} = 2$  bit,  $\varepsilon = 0$ ,  $\gamma_b = 15$  dB,  $\gamma_e = 5$  dB,  $(N_T, n_A, n_B, n_E) = (4, 2, 2, 2)$ )

The effect of full and partial of CSI on the system's secrecy performance versus distance is illustrated in Fig. 4.8 for different weather conditions over a distance of 1.25 km with 3 dB SNR at Eve. The secrecy rate  $\mathcal{R}$  is set to 1 bit and number of transmitter and receivers is considered as  $(N_T, n_A, n_B, n_E) = (4, 2, 2, 2)$ . Comparison with partial CSI, having full CSI increases secrecy performance of the system for all scenarios considered. For instance, considering hazy atmospheric condition and  $10^{-3}$  of outage probability, we will need approximately 2 dB more SNR at Bob for

partial CSI case. Also, as shown in the figure, the proposed CNN-based scheme achieves almost the same performance as the conventional scheme for both full and partial CSI cases.

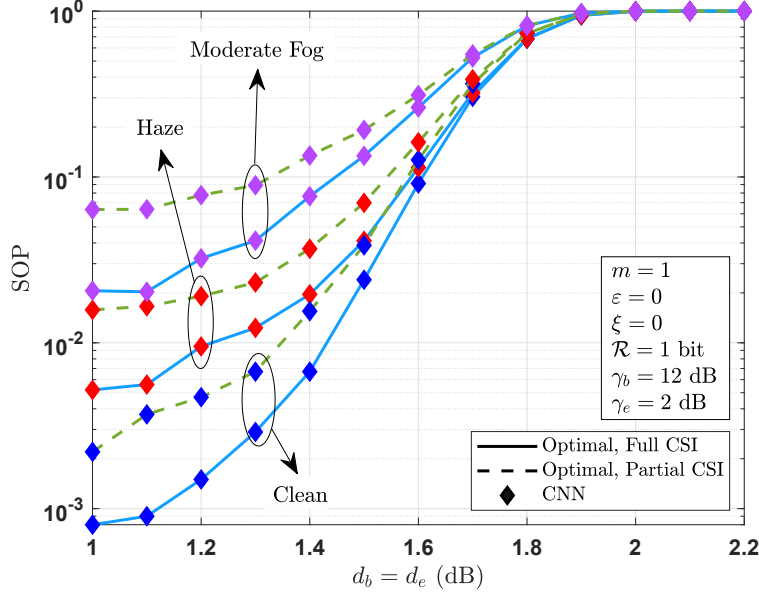


Figure 4.8: Impact of full and partial CSI on the secrecy performance for different weather conditions in presence of a hybrid eavesdropper. ( $d_b = d_e = 1.25$  km,  $\mathcal{R} = 3$  bits,  $\varepsilon = 0$ ,  $\xi = 0$ ,  $\gamma_e = 3$  dB,  $(N_T, n_A, n_B, n_E) = (4, 2, 2, 2)$ )

It is worth highlighting that since instantaneous SNRs are random variables based on channel distributions and are used to characterize the secrecy capacity, channel estimation is an important process for system analysis. Therefore, the impact of channel estimation error is investigated in Fig. 4.9 for different link distances in moderate foggy condition at fixed 10 dB and 5 dB SNRs of Bob and Eve, respectively. For example, to obtain average 6 bits of secrecy capacity, the maximum supported link distance increase 0.75 km to 1.2 km when the channel estimation error increases from  $\varepsilon = 0$  to  $\varepsilon = 2$ . It is also revealed that the proposed CNN architecture satisfy the same performance as the conventional scheme for all cases considered.

Secrecy throughput performance of the hybrid system is investigated in Fig. 4.10 for different types of eavesdroppers over a distance of 2 km in clean weather conditions, where we consider fixed SNRs of 10 and 5 dB at Bob and Eve, respectively. Nakagami- $m$  parameter is set to 1, and

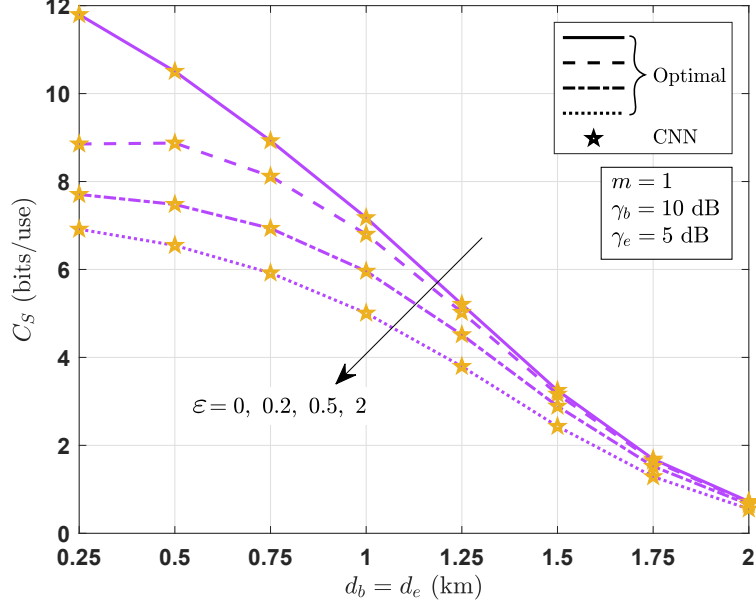


Figure 4.9: Impact of channel estimation on the secrecy capacity for different error values in presence of a hybrid eavesdropper. (Moderate fog, Full CSI,  $\varepsilon = 0$ ,  $\xi = 0$ ,  $\gamma_b = 10$  dB,  $\gamma_e = 5$  dB,  $(N_T, n_A, n_B, n_E) = (3, 2, 2, 2)$ )

the turbulence parameters  $\alpha$  and  $\beta$  are calculated as 3.3166 and 2.5891, respectively. Additionally, the channel estimation and pointing errors are, in turn, introduced as 0.1 and 0.8. As it is seen from the figure, hybrid-Eve significantly degrades the secrecy throughput performance of the system in comparison with RF- and FSO-Eve. For all curves, it can be also observed that the EST monotonically increases with the increase of the secrecy threshold  $\mathcal{R}$  until a specific point, and then it starts decreasing. This behavior clearly shows the dependency of EST to secrecy threshold, in which after a specific point of  $\mathcal{R}$ , secure communication between legitimate pairs cannot be satisfied due to the increase in target bit rate requirement.

As illustrated and discussed in Figs. 4.3–4.10, results of the proposed CNN networks for link selection are in exact agreement with results of the conventional link selection mechanism, where the accuracy and correctness are validated by Monte-Carlo simulations.

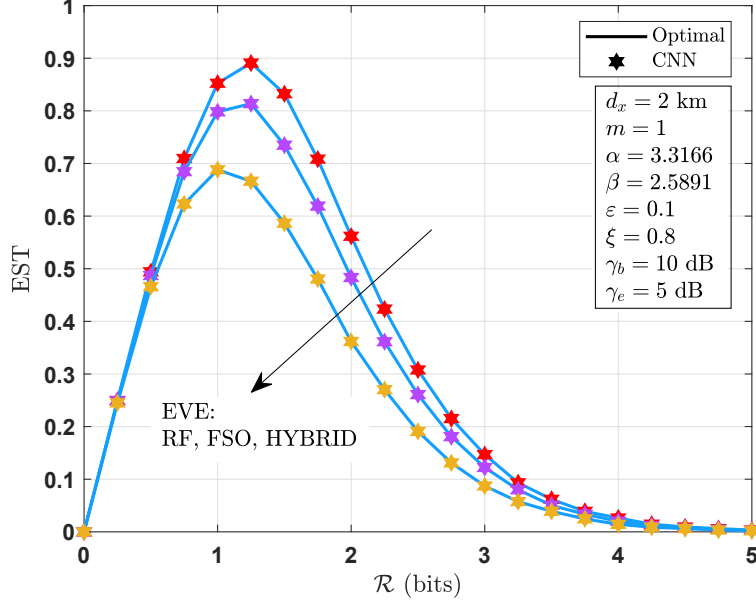


Figure 4.10: EST versus average the threshold of SOP performance of the system in presence of different types of eavesdroppers. (Clean, Full CSI,  $\gamma_b = 10$  dB,  $\gamma_e = 5$  dB,  $\varepsilon = 0.1$ ,  $\xi = 0.8$ ,  $(N_T, n_A, n_B, n_E) = (4, 2, 2, 2)$ )

#### 4.6 Concluding Remarks

In this chapter, the use of DL-based link selection schemes is investigated for a hybrid FSO-*mmWave* MIMO system in the presence of multiple eavesdroppers, where the communication between legitimate pair is carried over FSO gamma-gamma turbulence and *mmWave* Nakagami-*m* fading channels simultaneously, and the MRC receiver is employed at the receiving side. In particular, CNN-based link selection schemes are proposed to maximize the secrecy performance by selecting/activating the antennas and lasers at the transmitter side. Considering the predefined total and active numbers of antennas and lasers at the legitimate transmitter, we examine the impact of fundamental physical layer parameters on the secrecy performance of a hybrid system by taking the availability of channel state information (CSI), channel estimation errors, weather conditions, link distances, signal-to-noise ratios, path loss models into account. In the light of the results, we show that the proposed CNN-based link selection scheme achieves the same performance as the conventional link selection scheme.

## 5. SECRECY ANALYSIS OF RELAY-BASED DUAL-HOP HYBRID FSO-RF SYSTEMS

### 5.1 Introduction

#### 5.1.1 Motivation

Relaying schemes is widely used as an energy saving technique to increase the coverage area and transmission reliability as well as the system capacity. Therefore, considering high demands/requirements of 5G and beyond era, relay-based methods have been extensively employed to improve quality of services. In such systems, the message signal is transmitted over either FSO or RF link from source to relay node which is then forwards this message over other link to the destination node.

### 5.2 Related Works

In addition to the works [45–72] that are discussed in 2.2, there are also other works in which the mixed and/or dual-hop schemes are investigated in [159–166] for RF and FSO systems considering both fixed- and variable-gain AF relaying schemes taking several fundamental physical layer parameters into account under various scenarios and configurations. The authors in [167–170] examine a partial AF relay selection is assumed for mixed system, in which is based on outdated channel state information, where RF link is subject to Rayleigh fading and the FSO link is affected by Gamma-Gamma atmospheric turbulence. Further, generalized performance analyses of mixed FSO-RF systems is in detail examined in [171–173] considering cooperative transmissions, in which the effect of co-channel interference is considered at both relay and destination, threshold-based selective switching schemes, higher order moments, amount of fading, and moment generating function are also taken into account.

### 5.3 System Model

A scenario is taken into consideration in which dual-hop relaying systems are considered for data transmissions. The source wants to send an information signal to the destination by the use

of a relay, as illustrated in Fig. 5.1-(a). The communication in first hop (from source to relay) and second hop (from relay to destination) are established over two parallel links, called as, FSO and RF links. Therefore, it is presumed that transmitter nodes (source and relay) have a single transmitting antenna and laser, while receiver nodes (relay and destination) have a single receiving antenna and photodetector.

Additionally, another scenario is considered for a relay-based dual-hop hybrid FSO-*mmWave* system, where the classic Wyner's wiretap channels take place [80]. The legitimate transmitter, Alice, wants to send a confidential information to the legitimate receiver, Bob, by the aid of a relay node, while an eavesdropper, Eve, tries to wiretap on the legitimate communication by sniffing the received signals at the Bob's side, as illustrated in Fig. 5.1(b-d). The communication in first-hop (from Alice to relay) and second-hop (from relay to Bob) are accomplished through two parallel links, namely, an FSO link and an RF link. Accordingly, it is assumed that Alice has a single transmit antenna and a single laser, while Bob has a single receive antenna and a single photodetector. For the relay node, two well-known AF relaying schemes are considered, in which the power amplification operation is based on partial and full channel state information of the first- and second-hops, namely, fixed-gain and variable-gain AF relaying methods.

Information bits to be transmitted are divided into  $\log_2(\varpi)$  bit chunks, where  $\varpi$  denotes the modulation order, and then, each chunk is emitted and modulated over FSO and RF links, respectively. Additionally, the RF systems are operated on the *mmWave* bands to provide equal bandwidth to exploit the diversity. Furthermore, we employ two well-known AF relaying schemes during data transmission. In the AF relay methods, the power amplification operation is on the basis of partial and full channel state information of the source-relay and relay-destination channels, called as, fixed- and variable-gain AF relaying methods, respectively.

### 5.3.1 Channel Characteristics

The channel models that are used in this chapter are presented in subsections 1.1.2 and 1.2.2 for *mmWave* and FSO links, respectively. In particular, Nakagami- $m$  and Gamma-Gamma distributions are considered for *mmWave* fading and Gamma-Gamma turbulence channels, respectively.



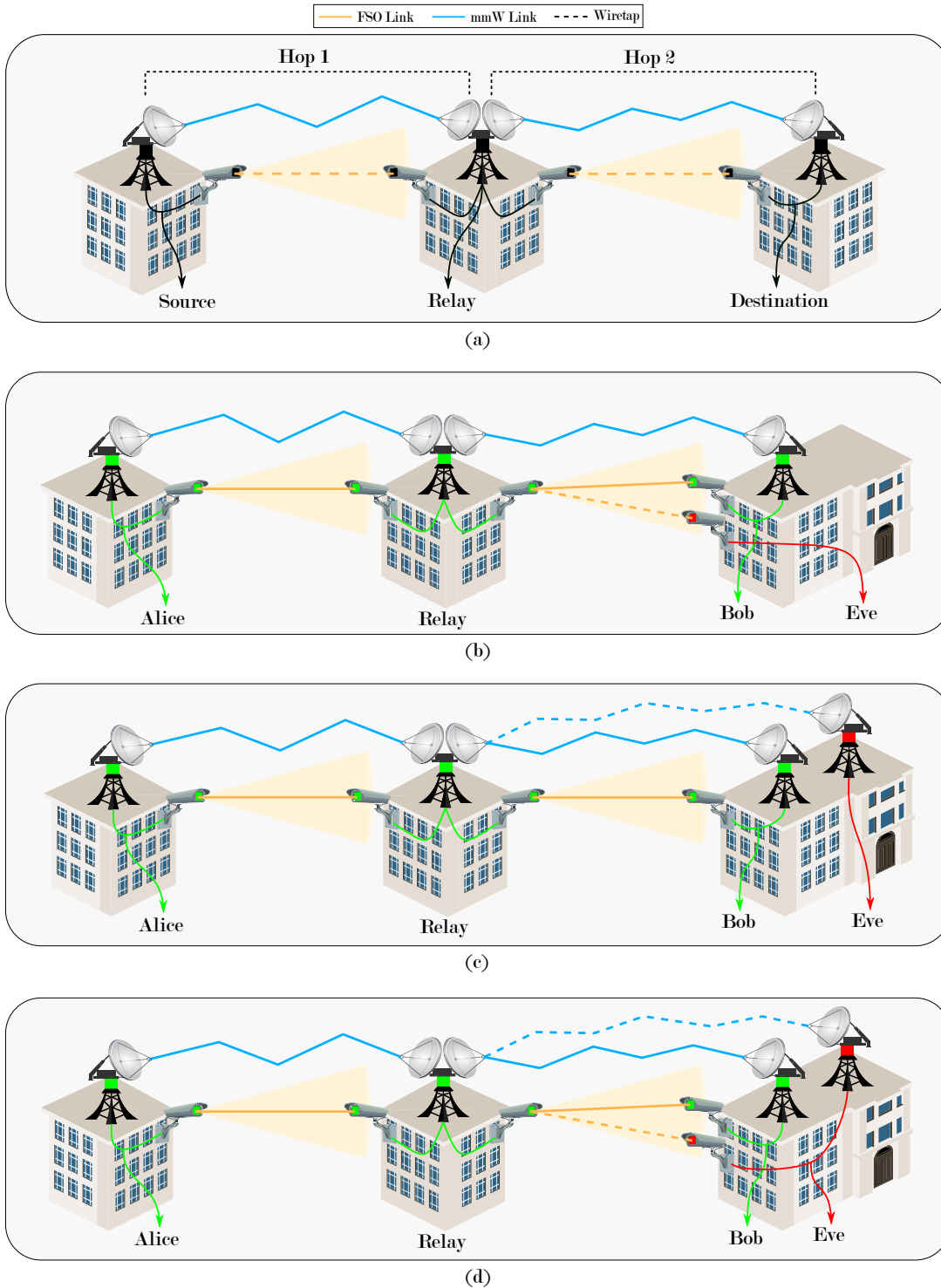


Figure 5.1: System model of relay-based dual hop hybrid FSO-*mm*Wave communications between the legitimate transmitter Alice and receiver Bob in the presence of different type eavesdroppers: (a) Without Eve, (b) FSO-Eve, (c) RF-Eve, and (d) Hybrid-Eve.

### 5.3.2 Average Signal-to-Noise Ratios

Relay and destination nodes use the MRC diversity technique on the captured signals through RF and FSO systems, where the subscript  $x \in \{1, 2\}$  represents receiver node, i.e.,  $x = 1$  for the first hop, and  $x = 2$  for the second hop. Therefore, in each hop, the total electrical SNR is calculated by summing the instantaneous electrical SNRs for MRC receiver

$$\gamma_x = \gamma_{x,o} + \gamma_{x,f}, \quad (5.1)$$

where  $\gamma_{x,o}$  and  $\gamma_{x,f}$  are the instantaneous electrical SNR of FSO and RF links, respectively. The expression of  $\gamma_{x,o}$  is given as

$$\gamma_{x,o} = \Upsilon_{\tau,x} I_x^2, \quad (5.2)$$

where  $I_x$  depicts the normalized irradiation,  $\Upsilon_{\tau,x}$  denotes the average electrical SNR received from the FSO link, and the parameter  $\tau \in \{1, 2\}$  defines the optical signal detection technique, i.e.,  $\tau = 1$  indicates the heterodyne detection ( $\Upsilon_{1,x} = \Upsilon_{\text{Heterodyne}}$ ) while  $\tau = 2$  indicates the IM/DD detection ( $\Upsilon_{2,x} = \Upsilon_{\text{IM/DD}}$ ), defined as

$$\Upsilon_{1,x} = \bar{\gamma}_{x,o}, \quad \text{for } \tau = 1, \quad (5.3)$$

$$\Upsilon_{2,x} = \frac{\bar{\gamma}_{x,o} \alpha_x \beta_x (\xi_x^2 + 2)}{(\alpha_x + 1)(\beta_x + 1)(\xi_x^2 + 1)^2}, \quad \text{for } \tau = 2, \quad (5.4)$$

where  $\bar{\gamma}_{x,o}$  is given in (1.24), and  $\xi$  denotes the ratio between the equivalent beam radius and the pointing error displacement standard deviation, called as jitter. Notice that the impact of pointing errors is considered as negligible when  $\xi \rightarrow \infty$ . Afterwards, by using a change of variable on the

RV  $I$  in (1.15), the PDF of  $\gamma_o$  is obtained as

$$f_{\gamma_o}(\gamma_{x,o}) = \frac{\mathcal{P}_0(x)}{\gamma_{x,o}} G_{1,3}^{3,0} \left( \alpha_x \beta_x \left( \frac{\gamma_{x,o}}{\Upsilon_{\tau,x}} \right)^{\frac{1}{\tau}} \left| \begin{array}{l} \xi_x^2 + 1 \\ \xi_x^2, \alpha_x, \beta_x \end{array} \right. \right), \quad (5.5)$$

then, its CDF is expressed as

$$F_{\gamma_o}(\gamma_{x,o}) = \mathcal{P}_1(x) G_{\tau+1,3\tau+1}^{3\tau,1} \left( \frac{(\alpha_x \beta_x)^\tau}{\tau^{2\tau} \Upsilon_{\tau,x}} \gamma_{x,o} \left| \begin{array}{l} 1, \mathcal{P}_2(x) \\ \mathcal{P}_3(x), 0 \end{array} \right. \right). \quad (5.6)$$

where the parameters  $\mathcal{P}_0(x)$ ,  $\mathcal{P}_1(x)$ ,  $\mathcal{P}_2(x)$  and  $\mathcal{P}_3(x)$  are given as

$$\begin{aligned} \mathcal{P}_0(x) &= (\xi_x^2) / (\tau \Gamma(\alpha_x) \Gamma(\beta_x)), \\ \mathcal{P}_1(x) &= (\tau^{\alpha_x + \beta_x - 2} \xi_x^2) / ((2\pi)^{\tau-1} \Gamma(\alpha_x) \Gamma(\beta_x)), \\ \mathcal{P}_2(x) &= \Delta(\tau, \xi_x^2 + 1), \\ \mathcal{P}_3(x) &= \Delta(\tau, \xi_x^2), \Delta(\tau, \alpha_x), \Delta(\tau, \beta_x). \end{aligned}$$

Likewise, the expression of  $\gamma_{x,f}$  is given as

$$\gamma_{x,f} = \bar{\gamma}_{x,f} H_x^2, \quad (5.7)$$

where  $H_x$  stands for the instantaneous channel power, as given in (1.6) and,  $\bar{\gamma}_{x,f}$  represents the average electrical SNR of the RF link, as given in (1.21).

### 5.3.2.1 Mixed SNR of a Hop

Since the overall SNR for each hop is given in (5.1), we can obtain the CDF of the overall SNR in each hop by using a simple variable transformation as follows. If we let  $\Delta = \gamma_{x,o} + \gamma_{x,f}$ , the

CDF of  $\Delta$  is expressed as

$$\begin{aligned} F_{\Delta}(\delta) &= \int_{-\infty}^{\infty} \int_{-\infty}^{\delta - \gamma_{x,f}} f_{\gamma_o}(\gamma_{x,o}) f_{\gamma_f}(\gamma_{x,f}) \cdot d\gamma_{x,o} d\gamma_{x,f}, \\ &= \int_0^{\infty} F_{\gamma_o}(\delta - \gamma_{x,f}) f_{\gamma_f}(\gamma_{x,f}) \cdot d\gamma_{x,f}, \end{aligned} \quad (5.8)$$

the integral in (5.8) is re-expressed by using (1.7) and (5.6)

$$F_{\Delta}(\delta) = \mathcal{P}_1(x) \frac{m_x^{m_x}}{\Gamma(m_x) \bar{\gamma}_{x,f}^{m_x}} \int_0^{\infty} \gamma_{x,f}^{m_x-1} e^{-\frac{m_x}{\bar{\gamma}_{x,f}} \gamma_{x,f}} G_{\tau+1,3\tau+1}^{3\tau,1} \left( \frac{(\alpha_x \beta_x)^{\tau}}{\tau^{2\tau} \Upsilon_{\tau,x}} (\delta - \gamma_{x,f}) \middle| \begin{matrix} 1, \mathcal{P}_2(x) \\ \mathcal{P}_3(x), 0 \end{matrix} \right) \cdot d\gamma_{x,f}, \quad (5.9)$$

then, by letting  $u = \delta - \gamma_{x,f}$ , the integral is re-expressed as

$$F_{\Delta}(\delta) = -\mathcal{P}_1(x) \frac{m^m}{\Gamma(m) \bar{\gamma}_{x,f}^m} e^{-\frac{m}{\bar{\gamma}_{x,f}} \delta} \int_0^{\infty} (\delta - u)^{m-1} e^{\frac{m}{\bar{\gamma}_{x,f}} u} G_{\tau+1,3\tau+1}^{3\tau,1} \left( \frac{(\alpha_x \beta_x)^{\tau}}{\tau^{2\tau} \Upsilon_{\tau,x}} u \middle| \begin{matrix} 1, \mathcal{P}_2(x) \\ \mathcal{P}_3(x), 0 \end{matrix} \right) \cdot du, \quad (5.10)$$

here, the polynomial expression is re-organized by the aid of binomial expansion

$$(\delta - u)^{m-1} = \sum_{\ell=0}^{m-1} \binom{m-1}{\ell} \delta^{m-\ell-1} (-u)^{\ell}, \quad (5.11)$$

since the summation is upper limited by the parameter  $m_x$  of Nakagami- $m$  distribution because of the binomial expansion, only the integer values of  $m_x$  are used in the results. Then, the integral is

re-expressed as

$$\begin{aligned}
F_{\Delta}(\delta) &= -\mathcal{P}_1(x) \frac{m_x^{m_x}}{\Gamma(m_x) \bar{\gamma}_{x,f}^{m_x}} e^{-\frac{m_x}{\bar{\gamma}_{x,f}} \delta} \sum_{\ell=0}^{m_x-1} \binom{m_x-1}{\ell} (-1)^\ell \delta^{m_x-\ell-1} \\
&\quad \times \int_0^\infty u^\ell e^{\frac{m_x}{\bar{\gamma}_{x,f}} u} G_{\tau+1,3\tau+1}^{3\tau,1} \left( \frac{(\alpha_x \beta_x)^\tau}{\tau^{2\tau} \Upsilon_{\tau,x}} u \middle| \begin{array}{l} 1, \mathcal{P}_2(x) \\ \mathcal{P}_3(x), 0 \end{array} \right) \cdot du,
\end{aligned} \tag{5.12}$$

and the integral is solved by using [82, (7.813-1)]

$$\begin{aligned}
F_{\Delta}(\delta) &= \mathcal{P}_1(x) \frac{m_x^{m_x}}{\Gamma(m_x) \bar{\gamma}_{x,f}^{m_x}} e^{-\frac{m_x}{\bar{\gamma}_{x,f}} \delta} \sum_{\ell=0}^{m_x-1} \binom{m_x-1}{\ell} \delta^{m_x-\ell-1} \left( \frac{\bar{\gamma}_{x,f}}{m_x} \right)^{\ell+1} \\
&\quad \times G_{\tau+2,3\tau+1}^{3\tau,2} \left( -\frac{(\alpha_x \beta_x)^\tau \bar{\gamma}_{x,f}}{\tau^{2\tau} m_x \Upsilon_{\tau,x}} \middle| \begin{array}{l} -\ell, 1, \mathcal{P}_2(x) \\ \mathcal{P}_3(x), 0 \end{array} \right).
\end{aligned} \tag{5.13}$$

further, by using a change of variable, (5.13) can be re-expressed as

$$F_{\Delta}(\delta) = C_0(x) \sum_{\ell=0}^{m_x-1} C_1(x) \delta^{m_x-\ell-1} e^{-\frac{m_x}{\bar{\gamma}_{x,f}} \delta}, \tag{5.14}$$

where

$$C_0(x) = \mathcal{P}_1(x) \frac{m_x^{m_x}}{\Gamma(m_x) \bar{\gamma}_{x,f}^{m_x}}, \tag{5.15}$$

$$C_1(x) = \binom{m_x-1}{\ell} \left( \frac{\bar{\gamma}_{x,f}}{m_x} \right)^{\ell+1} G_{\tau+2,3\tau+1}^{3\tau,2} \left( -\frac{(\alpha_x \beta_x)^\tau \bar{\gamma}_{x,f}}{\tau^{2\tau} m_x \Upsilon_{\tau,x}} \middle| \begin{array}{l} -\ell, 1, \mathcal{P}_2(x) \\ \mathcal{P}_3(x), 0 \end{array} \right). \tag{5.16}$$

Accordingly, the PDF of the overall SNR in each hop is calculated as

$$\begin{aligned}
f_{\Delta}(\delta) &= \frac{d}{d\delta} F_{\Delta}(\delta), \\
&= \frac{d}{d\delta} \left( C_0(x) \sum_{\ell=0}^{m_x-1} C_1(x) \delta^{m_x-\ell-1} e^{-\frac{m_x}{\gamma_{x,f}} \delta} \right), \\
&= C_0(x) \sum_{\ell=0}^{m_x-1} C_1(x) (m_x - \ell - 1) \delta^{m_x-\ell-2} e^{-\frac{m_x}{\gamma_{x,f}} \delta} - \frac{m_x}{\gamma_{x,f}} \delta^{m_x-\ell-1} e^{-\frac{m_x}{\gamma_{x,f}} \delta}. \quad (5.17)
\end{aligned}$$

## 5.4 Performance Analysis

In this section outage probability and effective throughput are derived for the fixed-gain (FG) and variable-gain (VG) dual-hop relaying schemes.

### 5.4.1 Outage Probability

The outage probability  $P_{\text{out}}$  is widely used to statistically characterize outage performance of a system when the overall end-to-end SNR of the system is lower than a predefined threshold value  $\mathcal{R}$ . It is defined as

$$\begin{aligned}
P_{\text{out}}(\mathcal{R}) &= \text{Prob}(C < \mathcal{R}), \\
&= \text{Prob}(B \log_2(1 + \gamma_s) < \mathcal{R}), \\
&= \text{Prob}(\gamma_s < 2^{\mathcal{R}/B} - 1), \quad (5.18)
\end{aligned}$$

where the bandwidth is considered as unity,  $B = 1$ , and therefore, the outage probability can be expressed in terms of the CDF of overall end-to-end system SNR

$$P_{\text{out}}(\mathcal{R}) = F_{\gamma_s}(2^{\mathcal{R}} - 1). \quad (5.19)$$

### 5.4.1.1 Fixed-Gain Relaying

In the fixed-gain relaying scheme, the received SNR at destination is expressed as

$$\gamma_s^{\text{FG}} = \frac{\gamma_1 \gamma_2}{\gamma_2 + A}, \quad (5.20)$$

where  $A$  denotes the gain of relaying scheme. Accordingly, the CDF of the SNR at destination is expressed as

$$\begin{aligned} F_{\gamma_s}^{\text{FG}}(\gamma_s) &= \text{Prob.} \left( \frac{\gamma_1 \gamma_2}{\gamma_2 + A} \leq \gamma_s \right), \\ &= \int_0^\infty F_{\gamma_1} \left( \left( 1 + \frac{A}{\gamma_2} \right) \gamma_s \right) f_{\gamma_2}(\gamma_2) \cdot d\gamma_2. \end{aligned} \quad (5.21)$$

the integral (5.21) is re-expressed by using (5.14) and (5.17)

$$\begin{aligned} F_{\gamma_s}^{\text{FG}}(\gamma_s) &= \int_0^\infty e^{-\frac{m_1}{\bar{\gamma}_{1,f}} \gamma_s} C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1) \left( \gamma_s + \frac{A\gamma_s}{\gamma_2} \right)^{m_1-\ell_1-1} e^{-\frac{m_1}{\bar{\gamma}_{1,f}} \frac{A\gamma_s}{\gamma_2}} \\ &\quad \times C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) (m_2 - \ell_2 - 2) \gamma_2^{m_2-\ell_2-3} e^{-\frac{m_2}{\bar{\gamma}_{2,f}} \gamma_2} - \frac{m_2}{\bar{\gamma}_{2,f}} \gamma_2^{m_2-\ell_2-2} e^{-\frac{m_2}{\bar{\gamma}_{2,f}} \gamma_2} \cdot d\gamma_2, \end{aligned} \quad (5.22)$$

by using binomial theorem, the polynomial term can be re-expressed as

$$\left( \gamma_s + \frac{A\gamma_s}{\gamma_2} \right)^{m_1-\ell_1-1} = \sum_{k=0}^{m_1-\ell_1-1} \binom{m_1-\ell_1-1}{k} \gamma_s^{m_1-\ell_1-1} A^k \gamma_2^{-k}, \quad (5.23)$$

further, the integral (5.22) is re-expressed as

$$\begin{aligned} F_{\gamma_s}^{\text{FG}}(\gamma_s) &= e^{-\frac{m_1}{\bar{\gamma}_{1,f}} \gamma_s} C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1) C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_1-\ell_1-1} \binom{m_1-\ell_1-1}{k} A^k \gamma_s^{m_1-\ell_1-1} \\ &\quad \times \left[ (m_2 - \ell_2 - 2) \int_0^\infty \gamma_2^{m_2-\ell_2-k-3} e^{-\frac{m_1 A \gamma_s}{\bar{\gamma}_{1,f} \gamma_2} - \frac{m_2}{\bar{\gamma}_{2,f}} \gamma_2} \cdot d\gamma_2 - \frac{m_2}{\bar{\gamma}_{2,f}} \int_0^\infty \gamma_2^{m_2-\ell_2-k-2} e^{-\frac{m_1 A \gamma_s}{\bar{\gamma}_{1,f} \gamma_2} - \frac{m_2}{\bar{\gamma}_{2,f}} \gamma_2} \cdot d\gamma_2 \right], \end{aligned} \quad (5.24)$$

accordingly, the CDF of the SNR at destination is given as

$$\begin{aligned}
F_{\gamma_s}^{\text{FG}}(\gamma_s) &= e^{-\frac{m_1}{\bar{\gamma}_{1,f}}\gamma_s} C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1) C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_1-\ell_1-1} \binom{m_1-\ell_1-1}{k} A^k \gamma_s^{m_1-\ell_1-1} \\
&\times \left[ (m_2 - \ell_2 - 2) \int_0^\infty \gamma_2^{m_2-\ell_2-k-3} e^{-\frac{m_1 A \gamma_s}{\bar{\gamma}_{1,f} \gamma_2} - \frac{m_2}{\bar{\gamma}_{2,f}} \gamma_2} \cdot d\gamma_2 - \frac{m_2}{\bar{\gamma}_{2,f}} \int_0^\infty \gamma_2^{m_2-\ell_2-k-2} e^{-\frac{m_1 A \gamma_s}{\bar{\gamma}_{1,f} \gamma_2} - \frac{m_2}{\bar{\gamma}_{2,f}} \gamma_2} \cdot d\gamma_2 \right],
\end{aligned} \tag{5.25}$$

thereafter, by using [174, (2.5.37-2)], the resultant integrals can be solved as follows

$$\begin{aligned}
F_{\gamma_s}^{\text{FG}}(\gamma_s) &= 2e^{-\frac{m_1}{\bar{\gamma}_{1,f}}\gamma_s} C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1) C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_1-\ell_1-1} \binom{m_1-\ell_1-1}{k} A^k \gamma_s^{m_1-\ell_1-1} \\
&\times \left( \frac{A m_1 \bar{\gamma}_{2,f}}{m_2 \bar{\gamma}_{1,f}} \gamma_s \right)^{\frac{m_2-\ell_2-k-1}{2}} \left[ (m_2 - \ell_2 - 2) \left( \frac{m_2 \bar{\gamma}_{1,f}}{A m_1 \bar{\gamma}_{2,f} \gamma_s} \right) \right. \\
&\times \left. K_{m_2-\ell_2-k-2} \left( 2 \sqrt{\frac{A m_1 m_2}{\bar{\gamma}_{1,f} \bar{\gamma}_{2,f}} \gamma_s} \right) - \frac{m_2}{\bar{\gamma}_{2,f}} K_{m_2-\ell_2-k-1} \left( 2 \sqrt{\frac{A m_1 m_2}{\bar{\gamma}_{1,f} \bar{\gamma}_{2,f}} \gamma_s} \right) \right].
\end{aligned} \tag{5.26}$$

$$\begin{aligned}
F_{\gamma_s}^{\text{FG}}(\gamma_s) &= C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1) C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_1-\ell_1-1} B_1 \\
&\times \left[ B_2 \gamma_s^{\frac{2m_1+m_2-2\ell_1-\ell_2-k-5}{2}} e^{-\frac{m_1}{\bar{\gamma}_{1,f}}\gamma_s} G_{0,2}^{2,0} \left( \frac{\mathcal{G} m_1 m_2}{\bar{\gamma}_{1,f} \bar{\gamma}_{2,f}} \gamma_s \left| \begin{array}{c} - , - \\ \frac{m_2-\ell_2-k-2}{2}, \frac{m_2-\ell_2-k-2}{2} \end{array} \right. \right) \right. \\
&\left. - B_3 \gamma_s^{\frac{2m_1+m_2-2\ell_1-\ell_2-k-3}{2}} e^{-\frac{m_1}{\bar{\gamma}_{1,f}}\gamma_s} G_{0,2}^{2,0} \left( \frac{\mathcal{G} m_1 m_2}{\bar{\gamma}_{1,f} \bar{\gamma}_{2,f}} \gamma_s \left| \begin{array}{c} - , - \\ \frac{m_2-\ell_2-k-1}{2}, \frac{m_2-\ell_2-k-1}{2} \end{array} \right. \right) \right].
\end{aligned} \tag{5.27}$$

$$\begin{aligned}
F_{\gamma_s}^{\text{FG}}(\gamma_s) &= C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1) C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_1-\ell_1-1} B_1 \\
&\times \left[ \hat{B}_2 G_{0,1}^{1,0} \left( \frac{m_1}{\bar{\gamma}_{1,f}} \gamma_s \left| \begin{array}{c} - \\ \frac{2m_1+m_2-2\ell_1-\ell_2-k-5}{2} \end{array} \right. \right) G_{0,2}^{2,0} \left( \frac{\mathcal{G} m_1 m_2}{\bar{\gamma}_{1,f} \bar{\gamma}_{2,f}} \gamma_s \left| \begin{array}{c} - , - \\ \frac{m_2-\ell_2-k-2}{2}, \frac{m_2-\ell_2-k-2}{2} \end{array} \right. \right) \right. \\
&\left. - \hat{B}_3 G_{0,1}^{1,0} \left( \frac{m_1}{\bar{\gamma}_{1,f}} \gamma_s \left| \begin{array}{c} - \\ \frac{2m_1+m_2-2\ell_1-\ell_2-k-3}{2} \end{array} \right. \right) G_{0,2}^{2,0} \left( \frac{\mathcal{G} m_1 m_2}{\bar{\gamma}_{1,f} \bar{\gamma}_{2,f}} \gamma_s \left| \begin{array}{c} - , - \\ \frac{m_2-\ell_2-k-1}{2}, \frac{m_2-\ell_2-k-1}{2} \end{array} \right. \right) \right].
\end{aligned} \tag{5.28}$$



### 5.4.1.2 Variable-Gain Relaying

In the variable-gain relaying scheme, the relay part exploits the channel state information and the received SNR at destination is expressed as

$$\gamma_s^{\text{VG}} = \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2 + 1} \approx \min(\gamma_1, \gamma_2), \quad (5.29)$$

and, the CDF of the SNR at destination is given as

$$\begin{aligned} F_{\gamma_s}^{\text{VG}}(\gamma_s) &= \text{Prob.}(\min(\gamma_1, \gamma_2) \leq \gamma_s) \\ &= F_{\gamma_1}(\gamma_s) + F_{\gamma_2}(\gamma_s) - F_{\gamma_1}(\gamma_s)F_{\gamma_2}(\gamma_s), \end{aligned} \quad (5.30)$$

then, by substituting (5.13) into (5.30), the CDF of the SNR at destination for variable-gain relaying is expressed as

$$\begin{aligned}
F_{\gamma_s}^{\text{VG}}(\gamma_s) = & - \frac{m_1^{m_1} e^{-\frac{m_1}{\bar{\gamma}_{1,f}} \gamma_s}}{\Gamma(\alpha_1)\Gamma(\beta_1)\Gamma(m_1)\bar{\gamma}_{1,f}^{m_1}} \sum_{\ell=0}^{m_1-1} \binom{m_1-1}{\ell} (-1)^\ell \gamma_s^{m_1-\ell-1} \frac{2^{\alpha_1+\beta_1-1}}{2\pi} \left(-\frac{m_1}{\bar{\gamma}_{1,f}}\right)^{-(\ell+1)} \\
& \times G_{3,6}^{4,3} \left( \begin{matrix} \bar{\gamma}_{2,f} \alpha_1^2 \beta_1^2 \\ \bar{\gamma}_{2,o} 16m_1 \end{matrix} \middle| \begin{matrix} -\ell, \frac{1}{2}, 1 \\ \frac{\alpha_1}{2}, \frac{\alpha_1+1}{2}, \frac{\beta_1}{2}, \frac{\beta_1+1}{2}, 0, \frac{1}{2} \end{matrix} \right) - \frac{1}{\Gamma(\alpha_2)\Gamma(\beta_2)} \frac{m_2^{m_2}}{\Gamma(m_2)\bar{\gamma}_{2,f}^{m_2}} e^{-\frac{m_2}{\bar{\gamma}_{2,f}} \gamma_s} \\
& \times \sum_{\ell=0}^{m_2-1} \binom{m_2-1}{\ell} (-1)^\ell \gamma_s^{m_2-\ell-1} \frac{2^{\alpha_2+\beta_2-1}}{2\pi} \left(-\frac{m_2}{\bar{\gamma}_{2,f}}\right)^{-(\ell+1)} \\
& \times G_{3,6}^{4,3} \left( \begin{matrix} \bar{\gamma}_{2,f} \alpha_2^2 \beta_2^2 \\ \bar{\gamma}_{2,o} 16m_2 \end{matrix} \middle| \begin{matrix} -\ell, \frac{1}{2}, 1 \\ \frac{\alpha_2}{2}, \frac{\alpha_2+1}{2}, \frac{\beta_2}{2}, \frac{\beta_2+1}{2}, 0, \frac{1}{2} \end{matrix} \right) \\
& - \frac{m_1^{m_1}}{\Gamma(\alpha_1)\Gamma(\beta_1)\Gamma(m_1)\bar{\gamma}_{1,f}^{m_1}} \frac{m_2^{m_2}}{\Gamma(\alpha_2)\Gamma(\beta_2)\Gamma(m_2)\bar{\gamma}_{2,f}^{m_2}} e^{\left(-\frac{m_1}{\bar{\gamma}_{1,f}} - \frac{m_2}{\bar{\gamma}_{2,f}}\right) \gamma_s} \\
& \times \sum_{\ell=0}^{m_1-1} \binom{m_1-1}{\ell} (-1)^\ell \gamma_s^{m_1-\ell-1} \frac{2^{\alpha_1+\beta_1-1}}{2\pi} \left(-\frac{m_1}{\bar{\gamma}_{1,f}}\right)^{-(\ell+1)} \\
& \times G_{3,6}^{4,3} \left( \begin{matrix} \bar{\gamma}_{2,f} \alpha_1^2 \beta_1^2 \\ \bar{\gamma}_{2,o} 16m_1 \end{matrix} \middle| \begin{matrix} -\ell, \frac{1}{2}, 1 \\ \frac{\alpha_1}{2}, \frac{\alpha_1+1}{2}, \frac{\beta_1}{2}, \frac{\beta_1+1}{2}, 0, \frac{1}{2} \end{matrix} \right) \\
& \times \sum_{\ell=0}^{m_2-1} \binom{m_2-1}{\ell} (-1)^\ell \gamma_s^{m_2-\ell-1} \frac{2^{\alpha_2+\beta_2-1}}{2\pi} \left(-\frac{m_2}{\bar{\gamma}_{2,f}}\right)^{-(\ell+1)} \\
& \times G_{3,6}^{4,3} \left( \begin{matrix} \bar{\gamma}_{2,f} \alpha_2^2 \beta_2^2 \\ \bar{\gamma}_{2,o} 16m_2 \end{matrix} \middle| \begin{matrix} -\ell, \frac{1}{2}, 1 \\ \frac{\alpha_2}{2}, \frac{\alpha_2+1}{2}, \frac{\beta_2}{2}, \frac{\beta_2+1}{2}, 0, \frac{1}{2} \end{matrix} \right). \tag{5.31}
\end{aligned}$$

## 5.4.2 Effective Throughput

While conveying the information from source to destination over a relay, the system needs to satisfy a specific reliability level. To characterize this behavior of the system, we consider a metric called effective throughput  $T_{\text{Eff}}$ , which defined in terms of the outage probability, and it can be

expressed as

$$T_{\text{Eff}}(\mathcal{R}) = \mathcal{R} \times (1 - P_{\text{out}}(\mathcal{R})). \quad (5.32)$$

#### 5.4.2.1 Fixed-Gain Relaying

For the fixed-gain relaying scheme, the effective throughput is calculated by using overall end-to-end SNR

$$T_{\text{Eff}}^{\text{FG}}(\mathcal{R}) = \mathcal{R} \times (1 - F_{\gamma_s}^{\text{FG}}(2^{\mathcal{R}} - 1)). \quad (5.33)$$

where  $F_{\gamma_s}^{\text{FG}}(\gamma_s)$  is given in (5.26).

#### 5.4.2.2 Variable-Gain Relaying

For the variable-gain relaying scheme, the effective throughput is calculated by using overall end-to-end SNR

$$T_{\text{Eff}}^{\text{VG}}(\mathcal{R}) = \mathcal{R} \times (1 - F_{\gamma_s}^{\text{VG}}(2^{\mathcal{R}} - 1)). \quad (5.34)$$

where  $F_{\gamma_s}^{\text{VG}}(\gamma_s)$  is given in (5.31).

### 5.5 Secrecy Performance Analysis

In this section, the physical layer security analysis are presented for the variable-gain (VG) and fixed-gain (FG) dual-hop relaying schemes using average secrecy capacity, secrecy outage probability, and effective secrecy throughput metrics.

### 5.5.1 Average Secrecy Capacity

Since the wireless communication channels have time-varying nature, secrecy capacity is obtained by averaging the end-to-end instantaneous secrecy capacity that is expressed as [45, (15)]

$$C_S = \int_0^{\infty} \frac{1}{1 + \gamma_b} F_{\gamma_e}(\gamma_b) [1 - F_{\gamma_b}(\gamma_b)] \cdot d\gamma_b, \quad (5.35)$$

where the term  $1/(1 + \gamma_b)$  can be expressed in terms of Meijer-G function [83, (8.4.2-5)], and (5.35) can be re-written as

$$C_S = \int_0^{\infty} G_{1,1}^{1,1}(\gamma_b | 0) F_{\gamma_e}(\gamma_b) [1 - F_{\gamma_b}(\gamma_b)] \cdot d\gamma_b. \quad (5.36)$$

#### 5.5.1.1 FSO Eavesdropper for Variable-Gain

For the FSO-type eavesdropper, the CDF of SNR  $F_{\gamma_e}(\gamma_e)$  is given in (5.6). Therefore, by substituting (5.6) and (5.31) into (5.36), the ASC is obtained as (5.53), in terms of  $\mathcal{I}_{1,o}^{\text{VG}}$ ,  $\mathcal{I}_{2,o}^{\text{VG}}$ ,  $\mathcal{I}_{3,o}^{\text{VG}}$  and  $\mathcal{I}_{4,o}^{\text{VG}}$ , which are derived in the following.

The derivation of integral  $\mathcal{I}_{1,o}^{\text{VG}}$  is made as follows

$$\mathcal{I}_{1,o}^{\text{VG}} = \int_0^{\infty} \frac{1}{1 + \gamma_b} G_{1,3}^{2,1} \left( \frac{\alpha_e \beta_e}{\sqrt{\gamma_{e,o}}} \sqrt{\gamma_b} \middle| \begin{matrix} 1 \\ \alpha_e, \beta_e, 0 \end{matrix} \right) \cdot d\gamma_b, \quad (5.37)$$

then, the resultant integral is solved by using [83, 2.24.2-4]

$$\mathcal{I}_{1,o}^{\text{VG}} = \frac{2^{\alpha_e + \beta_e - 2}}{\pi} G_{3,7}^{5,3} \left( \frac{\alpha_e^2 \beta_e^2}{8\gamma_{e,o}} \middle| \begin{matrix} 0, \frac{1}{2}, 1 \\ 0, \frac{\alpha_e}{2}, \frac{\alpha_e + 1}{2}, \frac{\beta_e}{2}, \frac{\beta_e + 1}{2}, 0, \frac{1}{2} \end{matrix} \right). \quad (5.38)$$

Since the integrals  $\mathcal{I}_{2,o}^{\text{VG}}$ ,  $\mathcal{I}_{3,o}^{\text{VG}}$  and  $\mathcal{I}_{4,o}^{\text{VG}}$  are in the same form with different parameters, their

derivations can be made as

$$\mathcal{I}_{v,o}^{\text{VG}} = \int_0^\infty \gamma_b^{a_1} e^{-a_2 \gamma_b} G_{1,1}^{1,1} \left( \gamma_b \middle| \begin{matrix} 0 \\ 0 \end{matrix} \right) G_{1,3}^{2,1} \left( \frac{\alpha_e \beta_e}{\sqrt{\gamma_{e,o}}} \sqrt{\gamma_b} \middle| \begin{matrix} 1 \\ \alpha_e, \beta_e, 0 \end{matrix} \right) \cdot d\gamma_b, \quad (5.39)$$

where the power and exponential terms can be expressed in terms of Meijer-G function by using [83, 8.2.2-15] and [118, (11)]

$$e^{-a_2 \gamma_b} = G_{0,1}^{1,0} \left( a_2 \gamma_b \middle| \begin{matrix} - \\ 0 \end{matrix} \right), \quad (5.40)$$

$$\gamma_b^{a_1} G_{1,1}^{1,1} \left( \gamma_b \middle| \begin{matrix} 0 \\ 0 \end{matrix} \right) = G_{1,1}^{1,1} \left( \gamma_b \middle| \begin{matrix} a_1 \\ a_1 \end{matrix} \right), \quad (5.41)$$

therefore, the integral in (5.39) is written as

$$\mathcal{I}_{v,o}^{\text{VG}} = \int_0^\infty G_{1,1}^{1,1} \left( \gamma_b \middle| \begin{matrix} a_1 \\ a_1 \end{matrix} \right) G_{0,1}^{1,0} \left( a_2 \gamma_b \middle| \begin{matrix} - \\ 0 \end{matrix} \right) G_{1,3}^{2,1} \left( \frac{\alpha_e \beta_e}{\sqrt{\gamma_{e,o}}} \sqrt{\gamma_b} \middle| \begin{matrix} 1 \\ \alpha_e, \beta_e, 0 \end{matrix} \right) \cdot d\gamma_b, \quad (5.42)$$

and the resultant integral can be solved in terms of extended generalized binary Meijer's G (EGBMG) function by using [175, (20)]

$$\mathcal{I}_{v,o}^{\text{VG}} = G_{1,1:0,1:1,3}^{1,1:1,0:2,1} \left( a_1 + 1 \middle| \begin{matrix} - \\ a_1 + 1 \end{matrix} \middle| \begin{matrix} 1 \\ \alpha_e, \beta_e, 0 \end{matrix} \middle| a_2, \frac{\alpha_e \beta_e}{\sqrt{\gamma_{e,o}}} \right), \quad (5.43)$$

where  $v \in \{2, 3, 4\}$ , and the parameters  $a_1$  and  $a_2$  are given in Appendix C with (C.1).

### 5.5.1.2 RF Eavesdropper for Variable-Gain

For the RF-type eavesdropper, the CDF of SNR  $F_{\gamma_e}(\gamma_e)$  is given in (1.9). Therefore, by substituting (1.9) and (5.31) into (5.36), the ASC is obtained as (5.54), in terms of  $\mathcal{I}_{1,f}^{\text{VG}}$ ,  $\mathcal{I}_{2,f}^{\text{VG}}$ ,  $\mathcal{I}_{3,f}^{\text{VG}}$  and

$\mathcal{I}_{4,f}^{\text{VG}}$ , which are derived in the following.

The derivation of integral  $\mathcal{I}_{1,f}^{\text{VG}}$  is made as follows

$$\mathcal{I}_{1,f}^{\text{VG}} = \int_0^\infty G_{1,1}^{1,1} \left( \gamma_b \middle| \begin{matrix} 0 \\ 0 \end{matrix} \right) G_{1,2}^{1,1} \left( \frac{\gamma_b}{\bar{\gamma}_{e,f}} \middle| \begin{matrix} 1 \\ m_e, 0 \end{matrix} \right) \cdot d\gamma_b, \quad (5.44)$$

then, the resultant integral is solved by using [82, 7.811-1]

$$\mathcal{I}_{1,f}^{\text{VG}} = G_{2,3}^{2,2} \left( \frac{1}{\bar{\gamma}_{e,f}} \middle| \begin{matrix} 0, 1 \\ 0, m_e, 0 \end{matrix} \right). \quad (5.45)$$

Since the integrals  $\mathcal{I}_{2,f}^{\text{VG}}$ ,  $\mathcal{I}_{3,f}^{\text{VG}}$  and  $\mathcal{I}_{4,f}^{\text{VG}}$  are in the same form with different parameters, their derivations can be made as

$$\mathcal{I}_{v,f}^{\text{VG}} = \int_0^\infty \gamma_b^{a_1} e^{-a_2 \gamma_b} G_{1,1}^{1,1} \left( \gamma_b \middle| \begin{matrix} 0 \\ 0 \end{matrix} \right) G_{1,2}^{1,1} \left( \frac{\gamma_b}{\bar{\gamma}_{e,f}} \middle| \begin{matrix} 1 \\ m_e, 0 \end{matrix} \right) \cdot d\gamma_b, \quad (5.46)$$

where the power and exponential terms can be expressed in terms of Meijer-G function by using [83, 8.2.2-15]

$$\gamma_b^{a_1} G_{1,1}^{1,1} \left( \gamma_b \middle| \begin{matrix} 0 \\ 0 \end{matrix} \right) = G_{1,1}^{1,1} \left( \gamma_b \middle| \begin{matrix} a_1 \\ a_1 \end{matrix} \right), \quad (5.47)$$

$$e^{-a_2 \gamma_b} = G_{0,1}^{1,0} \left( a_2 \gamma_b \middle| \begin{matrix} - \\ 0 \end{matrix} \right), \quad (5.48)$$

therefore, the integral in (5.46) is written as

$$\mathcal{I}_{v,f}^{\text{VG}} = \int_0^\infty G_{0,1}^{1,0} \left( a_2 \gamma_b \middle| \begin{matrix} - \\ 0 \end{matrix} \right) G_{1,1}^{1,1} \left( \gamma_b \middle| \begin{matrix} a_1 \\ a_1 \end{matrix} \right) G_{1,2}^{1,1} \left( \frac{\gamma_b}{\bar{\gamma}_{e,f}} \middle| \begin{matrix} 1 \\ m_e, 0 \end{matrix} \right) \cdot d\gamma_b, \quad (5.49)$$

and the resultant integral can be solved in terms of EGBMG function by using [175, (20)]

$$\mathcal{I}_{v,f}^{\text{VG}} = G_{1,1:0,1:1,2}^{1,1:1,0:1,1} \left( a_1 + 1 \left| - \right. \left| \begin{array}{c} 1 \\ m_e, 0 \end{array} \right| a_2, \frac{1}{\bar{\gamma}_{e,f}} \right), \quad (5.50)$$

where  $v \in \{2, 3, 4\}$ , and the parameters  $a_1$  and  $a_2$  are given in Appendix C with (C.1).

### 5.5.1.3 Hybrid Eavesdropper for Variable-Gain

For the hybrid-type eavesdropper, the CDF of SNR  $F_{\gamma_e}(\gamma_e)$  is given in (5.14). Therefore, by substituting (5.14) and (5.31) into (5.36), the ASC is obtained as (5.55), in terms of  $\mathcal{I}_{1,h}^{\text{VG}}$ ,  $\mathcal{I}_{2,h}^{\text{VG}}$ ,  $\mathcal{I}_{3,h}^{\text{VG}}$  and  $\mathcal{I}_{4,h}^{\text{VG}}$ , which are derived in the following.

Since the integrals  $\mathcal{I}_{1,h}^{\text{VG}}$ ,  $\mathcal{I}_{2,h}^{\text{VG}}$ ,  $\mathcal{I}_{3,h}^{\text{VG}}$  and  $\mathcal{I}_{4,h}^{\text{VG}}$  are in the same form with different parameters, their derivations can be made as

$$\mathcal{I}_{v,h}^{\text{VG}} = \int_0^\infty \gamma_b^{a_1} e^{-a_2 \gamma_b} G_{1,1}^{1,1} \left( \gamma_b \left| \begin{array}{c} 0 \\ 0 \end{array} \right. \right) \cdot d\gamma_b, \quad (5.51)$$

then, the resultant integral is solved by using [82, 7.813-1]

$$\mathcal{I}_{v,h}^{\text{VG}} = a_2^{-a_1-1} G_{2,1}^{1,2} \left( \frac{1}{a_2} \left| \begin{array}{c} -a_1, 0 \\ 0 \end{array} \right. \right), \quad (5.52)$$

where  $v \in \{1, 2, 3, 4\}$ , and the parameters  $a_1$  and  $a_2$  are given in Appendix C with (C.2).

$$C_S^{\text{FSO, VG}} = \frac{1}{\Gamma(\alpha_e)\Gamma(\beta_e)} \left[ \mathcal{I}_{1,o}^{\text{VG}} - C_0(1) \sum_{\ell=0}^{m_1-1} C_1(1) \mathcal{I}_{2,o}^{\text{VG}} - C_0(2) \sum_{\ell=0}^{m_2-1} C_1(2) \mathcal{I}_{3,o}^{\text{VG}} \right. \\ \left. + C_0(1)C_0(2) \sum_{\ell=0}^{m_1-1} C_1(1) \sum_{\ell=0}^{m_2-1} C_1(2) \mathcal{I}_{4,o}^{\text{VG}} \right], \quad (5.53)$$

$$C_S^{\text{RF, VG}} = \frac{1}{\Gamma(m_e)} \left[ \mathcal{I}_{1,f}^{\text{VG}} - C_0(1) \sum_{\ell=0}^{m_1-1} C_1(1) \mathcal{I}_{2,f}^{\text{VG}} - C_0(2) \sum_{\ell=0}^{m_2-1} C_1(2) \mathcal{I}_{3,f}^{\text{VG}} \right. \\ \left. + C_0(1)C_0(2) \sum_{\ell=0}^{m_1-1} C_1(1) \sum_{\ell=0}^{m_2-1} C_1(2) \mathcal{I}_{4,f}^{\text{VG}} \right], \quad (5.54)$$

$$C_S^{\text{HYB, VG}} = C_0(e) \sum_{\ell_e=0}^{m_e-1} C_1(e) \left[ \mathcal{I}_{1,h}^{\text{VG}} - C_0(1) \sum_{\ell=0}^{m_1-1} C_1(1) \mathcal{I}_{2,h}^{\text{VG}} - C_0(2) \sum_{\ell=0}^{m_2-1} C_1(2) \mathcal{I}_{3,h}^{\text{VG}} \right. \\ \left. + C_0(1)C_0(2) \sum_{\ell=0}^{m_1-1} C_1(1) \sum_{\ell=0}^{m_2-1} C_1(2) \mathcal{I}_{4,h}^{\text{VG}} \right]. \quad (5.55)$$

#### 5.5.1.4 FSO Eavesdropper for Fixed-Gain

For the FSO-type eavesdropper considering fixed-gain relay scheme, the CDF of SNR  $F_{\gamma_e}(\gamma_e)$  is given in (5.6). Therefore, by substituting (5.6) and (5.27) into (5.36), the ASC is obtained as (5.65), in terms of  $\mathcal{I}_{1,o}^{\text{FG}}$ ,  $\mathcal{I}_{2,o}^{\text{FG}}$  and  $\mathcal{I}_{3,o}^{\text{FG}}$ , which are derived in the following.

The derivation of integral  $\mathcal{I}_{1,o}^{\text{FG}}$  is the same as  $\mathcal{I}_{1,o}^{\text{VG}}$  and is derived in (5.38).

Since the integrals  $\mathcal{I}_{2,o}^{\text{FG}}$  and  $\mathcal{I}_{3,o}^{\text{FG}}$  are in the same form with different parameters, their derivations can be made as follows

$$\mathcal{I}_{v,o}^{\text{FG}} = \int_0^\infty \gamma_s^{a_1} e^{-b_1 \gamma_s} G_{1,1}^{1,1} \left( \gamma_s \middle| \begin{matrix} 0 \\ \gamma_s \\ 0 \end{matrix} \right) G_{0,2}^{2,0} \left( b_2 \gamma_s \middle| \begin{matrix} -, - \\ a_2, a_2 \end{matrix} \right) G_{1,3}^{2,1} \left( \alpha_e \beta_e \sqrt{\gamma_s} \middle| \begin{matrix} 1 \\ \alpha_e, \beta_e, 0 \end{matrix} \right) \cdot d\gamma_s, \quad (5.56)$$

then, by using the series expansion of exponential function and the equality in [83, 8.2.2-15], the



integral is re-expressed as

$$\mathcal{I}_{v,o}^{\text{FG}} = \sum_{n=0}^{\infty} \frac{(-b_1)^n}{n!} \int_0^{\infty} G_{0,2}^{2,0} \left( b_2 \gamma_s \left| \begin{matrix} -, - \\ a_2, a_2 \end{matrix} \right. \right) G_{1,1}^{1,1} \left( \gamma_s \left| \begin{matrix} n + a_1 \\ n + a_1 \end{matrix} \right. \right) G_{1,3}^{2,1} \left( \alpha_x \beta_x \sqrt{\gamma_s} \left| \begin{matrix} 1 \\ \alpha_x, \beta_x, 0 \end{matrix} \right. \right) \cdot d\gamma_s, \quad (5.57)$$

and the resultant integral can be solved in terms of EGBMG function by using [175, (20)]

$$\mathcal{I}_{v,o}^{\text{FG}} = \sum_{n=0}^{\infty} \frac{(-b_1)^n}{n!} G_{1,1:0:2:1,3}^{1,1:2,0:2,1} \left( n + a_1 + 1 \left| \begin{matrix} -, - \\ a_2, a_2 \end{matrix} \right. \left| \begin{matrix} 1 \\ \alpha_e, \beta_e, 0 \end{matrix} \right. \left| \begin{matrix} b_2, \frac{\alpha_e \beta_e}{\sqrt{\gamma_{e,o}}} \end{matrix} \right. \right), \quad (5.58)$$

where  $v \in \{2, 3\}$ , and the parameters  $a_1, a_2, b_1, b_2$  are given in Appendix C with (C.3).

#### 5.5.1.5 RF Eavesdropper for Fixed-Gain

For the RF-type eavesdropper considering fixed-gain relay scheme, the CDF of SNR  $F_{\gamma_e}(\gamma_e)$  is given in (1.9). Therefore, by substituting (1.9) and (5.27) into (5.36), the ASC is obtained as (5.66), in terms of  $\mathcal{I}_{1,f}^{\text{FG}}$ ,  $\mathcal{I}_{2,f}^{\text{FG}}$  and  $\mathcal{I}_{3,f}^{\text{FG}}$ , which are derived in the following.

The derivation of integral  $\mathcal{I}_{1,f}^{\text{FG}}$  is the same as  $\mathcal{I}_{1,f}^{\text{VG}}$  and is derived in (5.45).

Since the integrals  $\mathcal{I}_{2,f}^{\text{FG}}$  and  $\mathcal{I}_{3,f}^{\text{FG}}$  are in the same form with different parameters, their derivations can be made as follows

$$\mathcal{I}_{v,f}^{\text{FG}} = \int_0^{\infty} \gamma_s^{a_1} e^{-b_1 \gamma_s} G_{1,1}^{1,1} \left( \gamma_s \left| \begin{matrix} 0 \\ 0 \end{matrix} \right. \right) G_{0,2}^{2,0} \left( b_2 \gamma_s \left| \begin{matrix} -, - \\ a_2, a_2 \end{matrix} \right. \right) G_{1,2}^{1,1} \left( \frac{\gamma_s}{\bar{\gamma}_{e,f}} \left| \begin{matrix} 1 \\ m_x, 0 \end{matrix} \right. \right) \cdot d\gamma_s \quad (5.59)$$

then, by using the series expansion of exponential function and the equality in [83, 8.2.2-15], the integral is re-expressed as

$$\mathcal{I}_{v,f}^{\text{FG}} = \sum_{n=0}^{\infty} \frac{(-b_1)^n}{n!} \int_0^{\infty} G_{0,2}^{2,0} \left( b_2 \gamma_s \left| \begin{matrix} -, - \\ a_2, a_2 \end{matrix} \right. \right) G_{1,1}^{1,1} \left( \gamma_s \left| \begin{matrix} n + a_1 \\ n + a_1 \end{matrix} \right. \right) G_{1,2}^{1,1} \left( \frac{\gamma_s}{\bar{\gamma}_{e,f}} \left| \begin{matrix} 1 \\ m_e, 0 \end{matrix} \right. \right) \cdot d\gamma_s \quad (5.60)$$

and the resultant integral can be solved in terms of EGBMG function by using [175, (20)]

$$\mathcal{I}_{v,f}^{\text{FG}} = \sum_{n=0}^{\infty} \frac{(-b_1)^n}{n!} G_{1,1:0,2:1,3}^{1,1:2,0:2,1} \left( n+a_1+1 \left| \begin{array}{c} - \\ - \end{array} \right. \left| \begin{array}{c} 1 \\ \alpha_e, \beta_e, 0 \end{array} \right. \left| \begin{array}{c} b_2 \\ \frac{1}{\gamma_{e,f}} \end{array} \right. \right), \quad (5.61)$$

where  $v \in \{2, 3\}$ , and the parameters  $a_1, a_2, b_1, b_2$  are given in Appendix C with (C.3).

### 5.5.1.6 Hybrid Eavesdropper for Fixed-Gain

For the hybrid-type eavesdropper, the CDF of SNR  $F_{\gamma_e}(\gamma_e)$  is given in (5.14). Therefore, by substituting (5.14) and (5.27) into (5.36), the ASC is obtained as (5.67), in terms of  $\mathcal{I}_{1,h}^{\text{FG}}$ ,  $\mathcal{I}_{2,h}^{\text{FG}}$  and  $\mathcal{I}_{3,h}^{\text{FG}}$ , which are derived in the following.

The derivation of integral  $\mathcal{I}_{1,h}^{\text{FG}}$  is the same as  $\mathcal{I}_{1,h}^{\text{VG}}$  and is derived in (5.52).

Since the integrals  $\mathcal{I}_{2,h}^{\text{FG}}$  and  $\mathcal{I}_{3,h}^{\text{FG}}$  are in the same form with different parameters, their derivations can be made as

$$\mathcal{I}_{v,o}^{\text{FG}} = \int_0^{\infty} \gamma_b^{a_1} e^{-b_1 \gamma_b} G_{1,1}^{1,1} \left( \gamma_b \left| \begin{array}{c} 0 \\ 0 \end{array} \right. \right) G_{0,2}^{2,0} \left( b_2 \gamma_b \left| \begin{array}{c} - \\ - \end{array} \right. \left| \begin{array}{c} a_2 \\ a_2 \end{array} \right. \right) \cdot d\gamma_b, \quad (5.62)$$

by using [118, (11)] and [83, (8.2.2-15)], the integral can be re-expressed as

$$\mathcal{I}_{v,o}^{\text{FG}} = b_1^{-a_1} \int_0^{\infty} G_{0,1}^{1,0} \left( b_1 \gamma_b \left| \begin{array}{c} - \\ a_1 \end{array} \right. \right) G_{1,1}^{1,1} \left( \gamma_b \left| \begin{array}{c} 0 \\ 0 \end{array} \right. \right) G_{0,2}^{2,0} \left( b_2 \gamma_b \left| \begin{array}{c} - \\ - \end{array} \right. \left| \begin{array}{c} a_2 \\ a_2 \end{array} \right. \right) \cdot d\gamma_b, \quad (5.63)$$

and the resultant integral can be solved in terms of EGBMG function by using [175, (20)]

$$\mathcal{I}_{v,f}^{\text{FG}} = b_1^{-a_1} G_{1,1:0,1:0,2}^{1,1:1,0:2,0} \left( 1 \left| \begin{array}{c} - \\ - \end{array} \right. \left| \begin{array}{c} - \\ a_1, a_2 \end{array} \right. \left| \begin{array}{c} b_1, b_2 \end{array} \right. \right), \quad (5.64)$$

where  $v \in \{2, 3\}$ , and the parameters  $a_1, a_2, b_1, b_2$  are given in Appendix C with (C.4).

$$C_S^{\text{FSO,FG}} = \frac{1}{\Gamma(\alpha_e)\Gamma(\beta_e)} \left( \mathcal{I}_{1,o}^{\text{FG}} - C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1)C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \right. \\ \left. \times \sum_{k=0}^{m_1-\ell_1-1} B_1 \left[ B_2 \mathcal{I}_{2,o}^{\text{FG}} - B_3 \mathcal{I}_{3,o}^{\text{FG}} \right] \right), \quad (5.65)$$

$$C_S^{\text{RF,FG}} = \frac{1}{\Gamma(m_e)} \left( \mathcal{I}_{1,f}^{\text{FG}} - C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1)C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \right. \\ \left. \times \sum_{k=0}^{m_1-\ell_1-1} B_1 \left[ B_2 \mathcal{I}_{2,f}^{\text{FG}} - B_3 \mathcal{I}_{3,f}^{\text{FG}} \right] \right), \quad (5.66)$$

$$C_S^{\text{HYB,FG}} = C_0(e) \sum_{\ell_e=0}^{m_e-1} C_1(e) \left( \mathcal{I}_{1,h}^{\text{FG}} - C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1)C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \right. \\ \left. \times \sum_{k=0}^{m_1-\ell_1-1} B_1 \left[ B_2 \mathcal{I}_{2,h}^{\text{FG}} - B_3 \mathcal{I}_{3,h}^{\text{FG}} \right] \right). \quad (5.67)$$

## 5.5.2 Secrecy Outage Probability

The metric SOP is widely used to characterize the secure communication between legitimate pairs, which is described the probability that the instantaneous secrecy capacity falls below a target secrecy rate  $\mathcal{R}$ , defined as [25, (10)]

$$P_{SO} = \text{Prob.}(C_S(\gamma_b, \gamma_e) \leq \mathcal{R}), \\ = \text{Prob.}(\gamma_b \leq 2^{\mathcal{R}}(\gamma_e + 1) - 1), \\ = \int_0^\infty F_{\gamma_b}(2^{\mathcal{R}}(\gamma_e + 1) - 1) f_{\gamma_e}(\gamma_e) \cdot d\gamma_e, \quad (5.68)$$

where  $\mathcal{R} > 0$ . It is worthy to note that in some cases, an exact closed-form expression of SOP is not available due to the shifting operation in some special functions. However, instead, a lower

bound of SOP can be derived as follows [25, (11)]

$$\begin{aligned}
P_{SO} &= \text{Prob.}(\gamma_b \leq 2^{\mathcal{R}}(\gamma_e + 1) - 1), \\
&\geq P_{SO_L} = \text{Prob.}(\gamma_b \leq 2^{\mathcal{R}}\gamma_e), \\
&= \int_0^\infty F_{\gamma_b}(2^{\mathcal{R}}\gamma_e) f_{\gamma_e}(\gamma_e) \cdot d\gamma_e.
\end{aligned} \tag{5.69}$$

### 5.5.2.1 FSO Eavesdropper for Variable-Gain

For the FSO-type eavesdropper, the PDF of SNR  $f_{\gamma_e}(\gamma_e)$  is given in (1.18). Therefore, by substituting (1.18) and (5.31) into (5.68), the SOP is obtained as (5.76), in terms of  $\mathcal{T}_{1,o}^{\text{VG}}$ ,  $\mathcal{T}_{2,o}^{\text{VG}}$  and  $\mathcal{T}_{3,o}^{\text{VG}}$ .

Since the integrals  $\mathcal{T}_{1,o}^{\text{VG}}$ ,  $\mathcal{T}_{2,o}^{\text{VG}}$  and  $\mathcal{T}_{3,o}^{\text{VG}}$  are in the same form with different parameters, their derivations can be made as

$$\mathcal{T}_{v,o}^{\text{VG}} = \int_0^\infty \gamma_e^{a_1-1} e^{-a_2\gamma_e} G_{0,2}^{2,0} \left( \frac{\alpha_e \beta_e}{\sqrt{\gamma_{e,o}}} \sqrt{\gamma_e} \left| \begin{array}{c} -, - \\ \frac{\alpha_e - \beta_e}{2}, \frac{\alpha_e - \beta_e}{2} \end{array} \right. \right) \cdot d\gamma_e, \tag{5.70}$$

then, the resultant integral is solved by using [83, 2.24.1-1]

$$\mathcal{T}_{v,o}^{\text{VG}} = \frac{2^{\alpha_e - \beta_e} a_2^{-a_1}}{2\pi} G_{1,4}^{4,1} \left( \frac{\alpha_e^2 \beta_e^2}{8a_2 \gamma_{e,o}} \left| \begin{array}{c} 1 - a_1 \\ \frac{\alpha_e - \beta_e}{4}, \frac{\alpha_e - \beta_e + 1}{4}, \frac{\alpha_e - \beta_e}{4}, \frac{\alpha_e - \beta_e + 1}{4} \end{array} \right. \right), \tag{5.71}$$

where  $v \in \{1, 2, 3\}$ , and the parameters  $a_1$  and  $a_2$  are given in Appendix C with (C.5).

### 5.5.2.2 RF Eavesdropper for Variable-Gain

For the RF-type eavesdropper, the PDF of SNR  $f_{\gamma_e}(\gamma_e)$  is given in (1.7). Therefore, by substituting (1.7) and (5.31) into (5.68), the SOP is obtained as (5.77), in terms of  $\mathcal{T}_{1,f}^{\text{VG}}$ ,  $\mathcal{T}_{2,f}^{\text{VG}}$  and  $\mathcal{T}_{3,f}^{\text{VG}}$ .

Since the integrals  $\mathcal{T}_{1,o}^{\text{VG}}$ ,  $\mathcal{T}_{2,o}^{\text{VG}}$  and  $\mathcal{T}_{3,o}^{\text{VG}}$  are in the same form with different parameters, their

derivations can be made as

$$\mathcal{T}_{v,f}^{\text{VG}} = \int_0^{\infty} \gamma_e^{a_1} e^{-a_2 \gamma_e} \cdot d\gamma_e, \quad (5.72)$$

then, the resultant integral is solved by using [82, 3.351-3]

$$\mathcal{T}_{v,f}^{\text{VG}} = a_1! a_2^{-a_1-1}, \quad (5.73)$$

where  $v \in \{1, 2, 3\}$ , and the parameters  $a_1$  and  $a_2$  are given in Appendix C with (C.6).

### 5.5.2.3 Hybrid Eavesdropper for Variable-Gain

For the hybrid-type eavesdropper, the PDF of SNR  $f_{\gamma_e}(\gamma_e)$  is given in (5.17). Therefore, by substituting (5.17) and (5.31) into (5.68), the SOP is obtained as (5.78), in terms of  $\mathcal{T}_{1,o}^{\text{VG}}$ ,  $\mathcal{T}_{2,o}^{\text{VG}}$ ,  $\mathcal{T}_{3,o}^{\text{VG}}$ ,  $\mathcal{T}_{4,o}^{\text{VG}}$ ,  $\mathcal{T}_{5,o}^{\text{VG}}$  and  $\mathcal{T}_{6,o}^{\text{VG}}$ .

Similar to the RF eavesdropper case for variable-gain case,  $\mathcal{T}_{1,o}^{\text{VG}}$ ,  $\mathcal{T}_{2,o}^{\text{VG}}$ ,  $\mathcal{T}_{3,o}^{\text{VG}}$ ,  $\mathcal{T}_{4,o}^{\text{VG}}$ ,  $\mathcal{T}_{5,o}^{\text{VG}}$  and  $\mathcal{T}_{6,o}^{\text{VG}}$  are in the same form with different parameters, their derivations can be made as

$$\mathcal{T}_{v,f}^{\text{VG}} = \int_0^{\infty} \gamma_e^{a_1} e^{-a_2 \gamma_e} \cdot d\gamma_e, \quad (5.74)$$

then, the resultant integral is solved by using [82, 3.351-3]

$$\mathcal{T}_{v,f}^{\text{VG}} = a_1! a_2^{-a_1-1}, \quad (5.75)$$

where  $v \in \{1, 2, 3, 4, 5, 6\}$ , and the parameters  $a_1$  and  $a_2$  are given in Appendix C with (C.7).

$$\begin{aligned}
P_{SO}^{\text{FSO,VG}} = & \frac{(\alpha_e \beta_e)^{\frac{(\alpha_e + \beta_e)}{2}}}{\Gamma(\alpha_e) \Gamma(\beta_e) \bar{\gamma}_{e,o}^{\frac{\alpha_e + \beta_e}{4}}} \left[ C_0(1) \sum_{\ell=0}^{m_1-1} C_1(1) \sum_{k=0}^{m_1-\ell-1} A_1 \mathcal{T}_{1,o}^{\text{VG}} + C_0(2) \sum_{\ell=0}^{m_2-1} C_1(2) \right. \\
& \times \sum_{k=0}^{m_2-\ell-1} A_2 \mathcal{T}_{2,o}^{\text{VG}} - C_0(1) C_0(2) \sum_{\ell=0}^{m_1-1} C_1(1) \sum_{\ell=0}^{m_2-1} C_1(2) \\
& \left. \times \sum_{k=0}^{m_1+m_2-2\ell-2} A_3 \mathcal{T}_{3,o}^{\text{VG}} \right]. \tag{5.76}
\end{aligned}$$

$$\begin{aligned}
P_{SO}^{\text{RF,VG}} = & \frac{m_e^{m_e}}{\Gamma(m_e) \bar{\gamma}_{e,f}^{m_e}} \left[ C_0(1) \sum_{\ell=0}^{m_1-1} C_1(1) \sum_{k=0}^{m_1-\ell-1} A_1 \mathcal{T}_{1,f}^{\text{VG}} + C_0(2) \sum_{\ell=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_2-\ell-1} A_2 \mathcal{T}_{2,f}^{\text{VG}} \right. \\
& \left. - C_0(1) C_0(2) \sum_{\ell=0}^{m_1-1} C_1(1) \sum_{\ell=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_1+m_2-2\ell-2} A_3 \mathcal{T}_{3,f}^{\text{VG}} \right], \tag{5.77}
\end{aligned}$$

$$\begin{aligned}
P_{SO}^{\text{HYB,VG}} = & C_0(e) \sum_{\ell=0}^{m_e-1} C_1(e) \left[ C_0(1) \sum_{\ell=0}^{m_1-1} C_1(1) \sum_{k=0}^{m_1-\ell-1} A_1 \left( (m_e - \ell - 2) \mathcal{T}_{1,h}^{\text{VG}} - \frac{m_e}{\bar{\gamma}_{e,f}} \mathcal{T}_{2,h}^{\text{VG}} \right) \right. \\
& + C_0(2) \sum_{\ell=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_2-\ell-1} A_2 \left( (m_e - \ell - 2) \mathcal{T}_{3,h}^{\text{VG}} - \frac{m_e}{\bar{\gamma}_{e,f}} \mathcal{T}_{4,h}^{\text{VG}} \right) \\
& - C_0(1) C_0(2) \sum_{\ell=0}^{m_1-1} C_1(1) \sum_{\ell=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_1+m_2-2\ell-2} \\
& \left. \times A_3 \left( (m_e - \ell - 2) \mathcal{T}_{5,h}^{\text{VG}} - \frac{m_e}{\bar{\gamma}_{e,f}} \mathcal{T}_{6,h}^{\text{VG}} \right) \right]. \tag{5.78}
\end{aligned}$$

#### 5.5.2.4 FSO Eavesdropper for Fixed-Gain

For the FSO-type eavesdropper considering fixed-gain relay scheme, the PDF of SNR  $f_{\gamma_e}(\gamma_e)$  is given in (1.18). Accordingly, by substituting (1.18) and (5.28) into (5.69), the lower bound of SOP is obtained as (5.85), in terms of  $\mathcal{T}_{1,o}^{\text{FG}}$ , and  $\mathcal{T}_{2,o}^{\text{FG}}$ .

Since the integrals  $\mathcal{T}_{1,o}^{\text{FG}}$  and  $\mathcal{T}_{2,o}^{\text{FG}}$  are in the same form with different parameters, their deriva-

tions can be made as

$$\mathcal{T}_{v,o}^{\text{FG}} = \int_0^\infty G_{0,1}^{1,0} \left( \frac{m_1 2^{\mathcal{R}}}{\bar{\gamma}_{1,f}} \gamma_e \middle| \begin{matrix} - \\ a_1 \end{matrix} \right) G_{0,2}^{2,0} \left( \frac{\mathcal{G} m_1 m_2 2^{\mathcal{R}}}{\bar{\gamma}_{1,f} \bar{\gamma}_{2,f}} \gamma_e \middle| \begin{matrix} -, - \\ a_2, a_2 \end{matrix} \right) G_{0,2}^{2,0} \left( \frac{\alpha_e \beta_e}{\sqrt{\bar{\gamma}_{e,o}}} \sqrt{\gamma_e} \middle| \begin{matrix} -, - \\ \frac{\alpha_e - \beta_e}{2}, \frac{\alpha_e - \beta_e}{2} \end{matrix} \right) \cdot d\gamma_e, \quad (5.79)$$

and the resultant integral can be solved in terms of EGBMG function by using [175, (20)]

$$\mathcal{T}_{v,o}^{\text{FG}} = \frac{\bar{\gamma}_{1,f}}{m_1 2^{\mathcal{R}}} G_{0,1:0,2:0,2,0}^{1,0:2,0:2,0} \left( a_1 + 1 \middle| \begin{matrix} -, - \\ - \end{matrix} \middle| \begin{matrix} -, - \\ a_2, a_2 \end{matrix} \middle| \frac{\alpha_e - \beta_e}{2}, \frac{\alpha_e - \beta_e}{2} \middle| \frac{\mathcal{G} m_2}{\bar{\gamma}_{2,f}}, \frac{\alpha_e \beta_e \bar{\gamma}_{1,f}}{m_1 2^{\mathcal{R}} \sqrt{\bar{\gamma}_{e,o}}} \right), \quad (5.80)$$

where  $v \in \{1, 2\}$ , and the parameters  $a_1$  and  $a_2$  are given in Appendix C with (C.8).

#### 5.5.2.5 RF Eavesdropper for Fixed-Gain

For the RF-type eavesdropper considering fixed-gain relay scheme, the PDF of SNR  $f_{\gamma_e}(\gamma_e)$  is given in (1.7). Therefore, by substituting (1.7) and (5.28) into (5.69), the lower bound of SOP is obtained as (5.86), in terms of  $\mathcal{T}_{1,f}^{\text{FG}}$ , and  $\mathcal{T}_{2,f}^{\text{FG}}$ .

Since the integrals  $\mathcal{T}_{1,f}^{\text{FG}}$  and  $\mathcal{T}_{2,f}^{\text{FG}}$  are in the same form with different parameters, their derivations can be made as

$$\mathcal{T}_{v,f}^{\text{FG}} = \int_0^\infty G_{0,1}^{1,0} \left( \frac{m_1 2^{\mathcal{R}}}{\bar{\gamma}_{1,f}} \gamma_e \middle| \begin{matrix} - \\ a_1 \end{matrix} \right) G_{0,2}^{2,0} \left( \frac{\mathcal{G} m_1 m_2 2^{\mathcal{R}}}{\bar{\gamma}_{1,f} \bar{\gamma}_{2,f}} \gamma_e \middle| \begin{matrix} -, - \\ a_2, a_2 \end{matrix} \right) G_{0,1}^{1,0} \left( \frac{m_e}{\bar{\gamma}_{e,f}} \gamma_e \middle| \begin{matrix} - \\ m_e - 1 \end{matrix} \right) \cdot d\gamma_e, \quad (5.81)$$

and the resultant integral can be solved in terms of EGBMG function by using [175, (20)]

$$\mathcal{T}_{v,f}^{\text{FG}} = \frac{\bar{\gamma}_{1,f}}{m_1 2^{\mathcal{R}}} G_{0,1:0,2:0,1,0}^{1,0:2,0:1,0} \left( a_1 + 1 \middle| \begin{matrix} -, - \\ - \end{matrix} \middle| \begin{matrix} -, - \\ a_2, a_2 \end{matrix} \middle| m_e - 1 \middle| \frac{\mathcal{G} m_2}{\bar{\gamma}_{2,f}}, \frac{\alpha_e \beta_e \bar{\gamma}_{1,f}}{m_1 2^{\mathcal{R}} \sqrt{\bar{\gamma}_{e,o}}} \right), \quad (5.82)$$

where  $v \in \{1, 2\}$ , and the parameters  $a_1$  and  $a_2$  are given in Appendix C with (C.8).

### 5.5.2.6 Hybrid Eavesdropper for Fixed-Gain

For the hybrid-type eavesdropper considering fixed-gain relay scheme, the PDF of SNR  $f_{\gamma_e}(\gamma_e)$  is given in (5.17). Therefore, by substituting (5.17) and (5.28) into (5.69), the lower bound of SOP is obtained as (5.87), in terms of  $\mathcal{T}_{1,h}^{\text{VG}}$ ,  $\mathcal{T}_{2,h}^{\text{VG}}$ ,  $\mathcal{T}_{3,h}^{\text{VG}}$  and  $\mathcal{T}_{4,h}^{\text{VG}}$ .

Since  $\mathcal{T}_{1,h}^{\text{VG}}$ ,  $\mathcal{T}_{2,h}^{\text{VG}}$ ,  $\mathcal{T}_{3,h}^{\text{VG}}$  and  $\mathcal{T}_{4,h}^{\text{VG}}$  are in the same form with different parameters, their derivations can be made as

$$\mathcal{T}_{v,h}^{\text{HYB}} = \int_0^\infty G_{0,1}^{1,0} \left( \frac{m_e}{\bar{\gamma}_{e,f}} \gamma_e \middle| - \right)_{a_3} G_{0,1}^{1,0} \left( \frac{m_1 2^{\mathcal{R}}}{\bar{\gamma}_{1,f}} \gamma_e \middle| - \right)_{a_1} G_{0,2}^{2,0} \left( \frac{\mathcal{G} m_1 m_2 2^{\mathcal{R}}}{\bar{\gamma}_{1,f} \bar{\gamma}_{2,f}} \gamma_e \middle| - , - \right)_{a_2, a_2} \cdot d\gamma_e, \quad (5.83)$$

and the resultant integral can be solved in terms of EGBMG function by using [175, (20)]

$$\mathcal{T}_{v,h}^{\text{FG}} = \frac{\bar{\gamma}_{1,f}}{m_1 2^{\mathcal{R}}} G_{0,1:0,1:0,2}^{1,0:1,0:2,0} \left( a_1 + 1 \middle| - \middle| - , - \middle| \frac{m_e \bar{\gamma}_{1,f}}{m_1 2^{\mathcal{R}} \sqrt{\bar{\gamma}_{e,f}}}, \frac{\mathcal{G} m_2}{\bar{\gamma}_{2,f}} \right), \quad (5.84)$$

where  $v \in \{1, 2, 3, 4\}$ , and the parameters  $a_1$ ,  $a_2$  and  $a_3$  are given in Appendix C with (C.9).

$$P_{SO_L}^{\text{FSO,FG}} = \frac{(\alpha_e \beta_e)^{\frac{(\alpha_e + \beta_e)}{2}}}{\Gamma(\alpha_e) \Gamma(\beta_e) \bar{\gamma}_{e,o}^{\frac{\alpha_e + \beta_e}{4}}} C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1) C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_1-\ell_1-1} B_1 \left[ \hat{B}_2 \mathcal{T}_{1,o}^{\text{FG}} - \hat{B}_3 \mathcal{T}_{2,o}^{\text{FG}} \right], \quad (5.85)$$

$$P_{SO_L}^{\text{RF,FG}} = \frac{m_e^{m_e}}{\Gamma(m_e) \bar{\gamma}_{e,f}^{m_e}} \left( \frac{m_e}{\bar{\gamma}_{e,f}} \right)^{-(m_e-1)} C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1) C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \times \sum_{k=0}^{m_1-\ell_1-1} B_1 \left[ \hat{B}_2 \mathcal{T}_{1,f}^{\text{FG}} - \hat{B}_3 \mathcal{T}_{2,f}^{\text{FG}} \right], \quad (5.86)$$

$$P_{SO_L}^{\text{HYB,FG}} = C_0(e) \sum_{\ell=0}^{m_e-1} C_1(e) C_0(1) \sum_{\ell_1=0}^{m_1-1} C_1(1) C_0(2) \sum_{\ell_2=0}^{m_2-1} C_1(2) \sum_{k=0}^{m_1-\ell_1-1} B_1 \left( \frac{m_e}{\bar{\gamma}_{e,f}} \right)^{-(m_e-\ell-1)} \times \left[ (m_e - \ell - 2) \left( \frac{m_e}{\bar{\gamma}_{e,f}} \right)^{-(m_e-\ell-2)} \left( \hat{B}_2 \mathcal{T}_{1,h}^{\text{FG}} - \hat{B}_3 \mathcal{T}_{3,h}^{\text{FG}} \right) - \hat{B}_2 \mathcal{T}_{2,h}^{\text{FG}} + \hat{B}_3 \mathcal{T}_{4,h}^{\text{FG}} \right]. \quad (5.87)$$



### 5.5.3 Effective Secrecy Throughput

While conveying the information from source to destination over a relay, the system needs to satisfy a specific reliability level. To characterize this behavior of the system, we consider a metric called effective throughput  $T_{\text{Eff}}$ , which is defined in terms of the outage probability and is expressed as [72, 5]

$$T_{ES} = \mathcal{R} \times (1 - P_{SO}(\mathcal{R})). \quad (5.88)$$

#### 5.5.3.1 Variable-Gain Relaying

For the variable-gain relaying scheme, the effective throughput is calculated by using SOP metric for FSO, RF and hybrid eavesdroppers, respectively, given as

$$T_{ES}^{\text{FSO, VG}}(\mathcal{R}) = \mathcal{R} \times (1 - P_{SO}^{\text{FSO, VG}}(2^{\mathcal{R}} - 1)), \quad (5.89)$$

$$T_{ES}^{\text{RF, VG}}(\mathcal{R}) = \mathcal{R} \times (1 - P_{SO}^{\text{RF, VG}}(2^{\mathcal{R}} - 1)), \quad (5.90)$$

$$T_{ES}^{\text{HYB, VG}}(\mathcal{R}) = \mathcal{R} \times (1 - P_{SO}^{\text{HYB, VG}}(2^{\mathcal{R}} - 1)), \quad (5.91)$$

where  $P_{SO}^{\text{FSO, VG}}$ ,  $P_{SO}^{\text{RF, VG}}$  and  $P_{SO}^{\text{HYB, VG}}$  denote the SOP, as given in (5.76), (5.77) and (5.78), respectively.

#### 5.5.3.2 Fixed-Gain Relaying

For the fixed-gain relaying scheme, the effective throughput is calculated by using the lower bound of SOP metric for FSO, RF and hybrid eavesdroppers, respectively, given as

$$T_{ES_L}^{\text{FSO, FG}}(\mathcal{R}) = \mathcal{R} \times (1 - P_{SO_L}^{\text{FSO, FG}}(2^{\mathcal{R}} - 1)), \quad (5.92)$$

$$T_{ES_L}^{\text{RF, FG}}(\mathcal{R}) = \mathcal{R} \times (1 - P_{SO_L}^{\text{RF, FG}}(2^{\mathcal{R}} - 1)), \quad (5.93)$$

$$T_{ES_L}^{\text{HYB, FG}}(\mathcal{R}) = \mathcal{R} \times (1 - P_{SO_L}^{\text{HYB, FG}}(2^{\mathcal{R}} - 1)), \quad (5.94)$$

where  $P_{SO_L}^{\text{FSO,FG}}$ ,  $P_{SO_L}^{\text{RF,FG}}$  and  $P_{SO_L}^{\text{HYB,FG}}$  denote the lower bound of SOP, as given in (5.85), (5.86) and (5.87), respectively.

## 5.6 Results and Discussion

To present the analytical correctness of the proposed mathematical expressions in Sections 5.4 and 5.5, Monte-Carlo based simulations are given including related theoretical findings. Specifically, detailed investigations of the outage and secrecy performance of dual-hop relaying hybrid FSO-*mm*Wave transmissions are presented in terms of the ergodic capacity, outage probability and effective throughput with the aid of PDFs and CDFs of overall end-to-end instantaneous SNRs. The results provided in this section include several cases which consists of different fundamental system variables like atmospheric conditions, relaying methods, link distances, and average SNRs.

### 5.6.1 Outage Performance Analysis

A comparison of the outage performance of a relay-based dual-hop hybrid FSO-RF system as a function of the average SNR at first- and second-hop is illustrated in Figs. 5.2 and 5.3 for heterodyne and IM/DD detection techniques, respectively. The performance results of single-hop hybrid and dual-hop mixed FSO-RF system are also included as a benchmark. The performance of two well-known AF relaying scheme are illustrated in clean weather conditions, where the distance in first hop  $d_1$  and second hop  $d_2$  is assumed to be the same as 1.25 km. Additionally, the gain  $A$  is set to 0.5 for fixed-gain relaying scheme. Since we consider the same distance and weather condition in each hop, the turbulence parameters are calculated as  $\alpha_1 = \alpha_2 = 3.58$ ,  $\beta_1 = \beta_2 = 3.33$ , where the Nakagami- $m$  parameter is set to  $m_1 = m_2 = 1$ . Also, the threshold of outage probability is considered as  $\mathcal{R} = 1$  bit. As expected, the fixed-gain scheme performs better than the variable-gain, since fixed-gain scheme exploits the full channel state information. Additionally, it can easily seen from the figure that the proposed dual-hop system outperforms the single-hop parallel and dual-hop mixed hybrid systems for both fixed-gain and variable-gain relay methods including heterodyne and IM/DD detection techniques. For instance, considering the heterodyne detection in FSO link, at a fixed end-to-end SNR of 15 dB, the proposed system

experience outage with probabilities of  $2 \times 10^{-5}$  and  $5 \times 10^{-5}$  for fixed-gain and variable-gain, respectively, where these probabilities are  $3 \times 10^{-3}$  and  $3 \times 10^{-3}$  for dual-hop mixed system. Additionally, single-hop parallel system provides an outage probability of  $5 \times 10^{-4}$ . It is clear from the figures that the proposed relay-based dual-hop hybrid system outperforms both benchmark systems for heterodyne and IM/DD detection techniques.

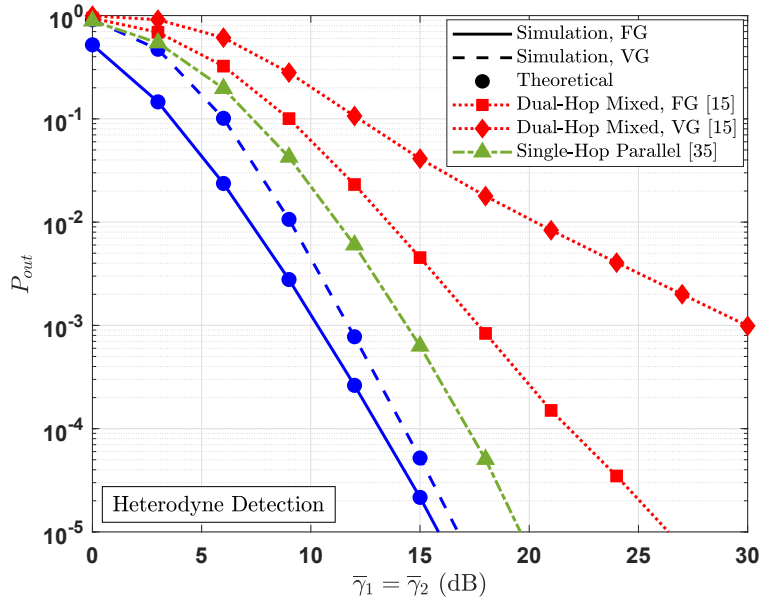


Figure 5.2: A comparison of outage probability as a function of overall system SNR for proposed and reference studies. (Clean weather,  $\xi = 1.1$ ,  $\mathcal{R} = 1$  bit,  $d_1 = d_2 = 1.25$  km,  $m_1 = m_2 = 1$ ,  $\alpha_1 = \alpha_2 = 3.58$ ,  $\beta_1 = \beta_2 = 3.33$ ,  $A = 0.5$ )

In Figs. 5.4 and 5.5, the outage probability of the proposed dual-hop hybrid system is investigated for the fixed average SNR of each link, i.e., the average SNR of the first-hop is fixed at 12 dB in Fig. 5.4, and the average SNR of the second-hop is fixed at 12 dB in Fig. 5.5. In the figures, the impact of different weather conditions on the outage performance is examined for both fixed- and variable-gain relaying schemes over a distance of 1.5 km in each hop, considering a pointing error of  $\xi = 6.7$  in FSO links, and the outage threshold is set to  $\mathcal{R} = 2$  bits. It obvious that the weather conditions have significant effects on the system's outage performance for both

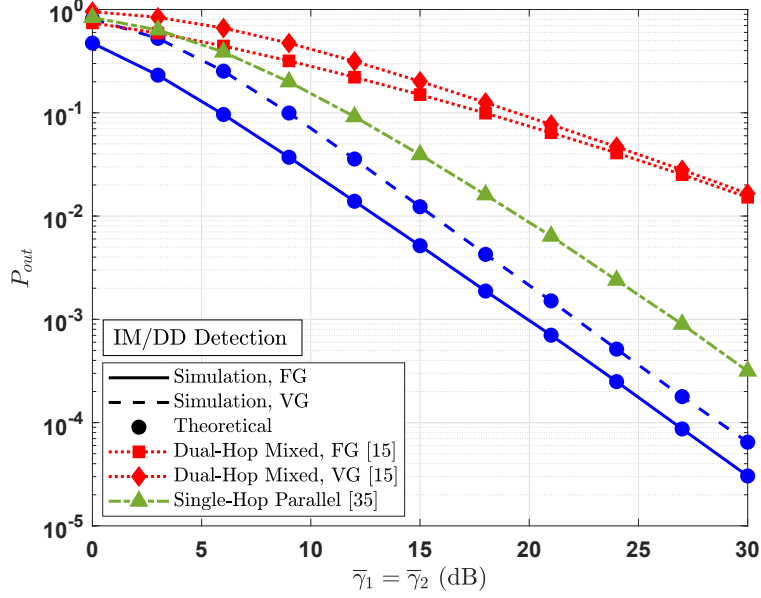


Figure 5.3: A comparison of outage probability as a function of overall system SNR for proposed and reference studies. (Clean weather,  $\xi = 1.1$ ,  $\mathcal{R} = 1$  bit,  $d_1 = d_2 = 1.25$  km,  $m_1 = m_2 = 1$ ,  $\alpha_1 = \alpha_2 = 3.58$ ,  $\beta_1 = \beta_2 = 3.33$ ,  $A = 0.5$ )

detection techniques. When the weather conditions become more severe, i.e., from clean to moderate rain, the transmission reliability decreases significantly. For example, in Fig. 5.4, considering a fixed average SNR of  $\bar{\gamma}_2 = 20$  dB, the expected outage probabilities are  $2 \times 10^{-5}$ ,  $8 \times 10^{-5}$  and  $5 \times 10^{-4}$  for clean, hazy and moderate rain weather conditions, respectively, with heterodyne detection. These number are, in turn, approximately  $5 \times 10^{-3}$ ,  $8 \times 10^{-3}$  and  $2 \times 10^{-2}$  for IM/DD detection technique. Furthermore, in comparison between Figs. 5.4 and 5.5, one can easily observe the importance of the average SNR in the first-hop for fixed-gain AF relaying scheme. Since the amplification process directly depends on the received SNR at the relay node, the SNR of first-hop plays an important role on the outage performance for fixed-gain scheme. For instance, limiting the SNR in the first-hop creates a kind of noise floor, which results in a saturation in the reliability, and therefore, the outage performance cannot be improved beyond  $10^{-5}$  for heterodyne detection in a clean weather condition, as seen in Fig. 5.4. However, increasing the SNR in the first-hop and limiting the SNR in the second-hop does not result in the same outage behavior for fixed-gain but for variable-gain AF relaying scheme. This is because of the variable-scheme technique always

utilizes the lowest SNR of both hops.

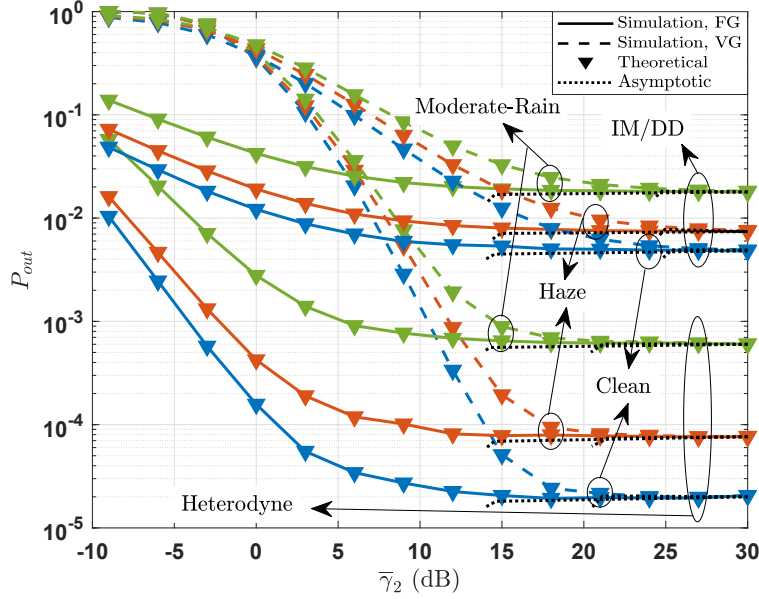


Figure 5.4: The probability of outage as a function of overall system SNR for different weather conditions. ( $\xi = 6.7$ ,  $d_1 = d_2 = 1.5$  km,  $m_1 = m_2 = 1$ ,  $A = 0.5$ ,  $\mathcal{R} = 2$  bits,  $\bar{\gamma}_1 = 12$  dB)

The outage probability of the proposed dual-hop hybrid system is illustrated for the fixed distance in each link considering different pointing errors, i.e., the distance in the first-hop is fixed at 1 km in Fig. 5.6, and the distance in the second-hop is fixed at 1 km in Fig. 5.7. The effect of pointing errors in FSO links on the outage performance is investigated for both fixed- and variable-gain schemes at fixed average SNR of  $\bar{\gamma}_1 = \bar{\gamma}_2 = 10$  dB in hazy weather conditions, and the outage threshold is set to  $\mathcal{R} = 2$  bits. From the figures, it can be observed that the pointing errors have remarkable influence on the outage probability for heterodyne technique. Recalling that  $\xi \rightarrow \infty$  implies no pointing errors in the system, a decrease in the value of  $\xi$ , which means an increase in the pointing error, dramatically reduce the overall reliability of the transmission. For instance, in Fig. 5.6, considering a distance of  $d_2 = 1$  km, the probabilities of transmission outage with fixed-gain relay scheme are  $2 \times 10^{-4}$ ,  $1 \times 10^{-3}$  and  $4 \times 10^{-3}$  for the pointing errors of 6.7, 1.1 and 0.33, respectively. On the other hand, in Fig. 5.7, considering a distance of  $d_1 = 1$  km, these probabili-

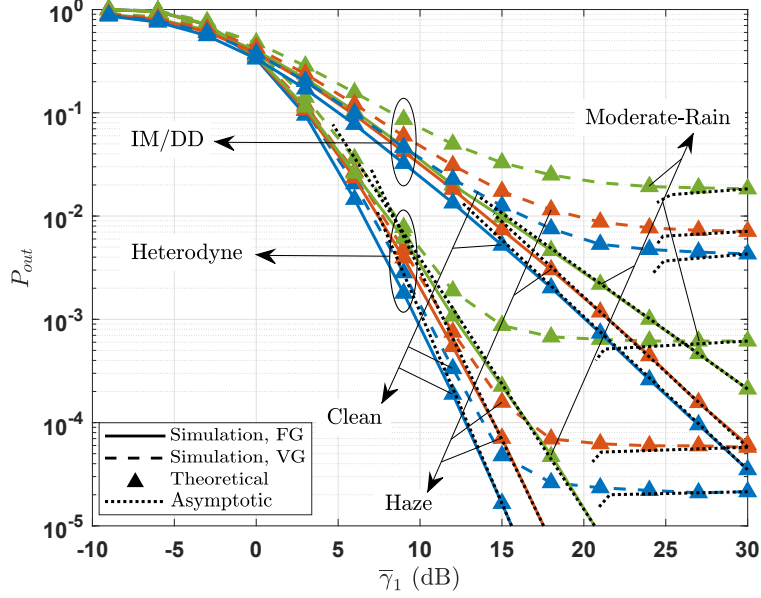


Figure 5.5: The probability of outage as a function of overall system SNR for different weather conditions. ( $\xi = 6.7$ ,  $d_1 = d_2 = 1.5$  km,  $m_1 = m_2 = 1$ ,  $A = 0.5$ ,  $\mathcal{R} = 2$  bits,  $\bar{\gamma}_2 = 12$  dB)

ties can be obtained as  $5 \times 10^{-5}$ ,  $1 \times 10^{-3}$  and  $4 \times 10^{-3}$  for the pointing errors of 6.7, 1.1 and 0.33, respectively. Notice that the both scenarios provides approximately identical outage probabilities, however, when we look at the fixed distance lower than 1 km, we observe that the outage performance is not saturated and can be lower in comparison with higher distances. As in the previous two figures, this behavior, also, directly related with average SNR in the first-hop, since lowering the distance results in a higher SNR value.

The outage performance as a function of the overall end-to-end SNR at destination is represented in Fig. 5.8, considering the impact of several atmospheric circumstances on the system, with distance of  $d_1 = 1$  km in the first hop and of  $d_2 = 1.25$  km in the second hop. Nakagami- $m$  parameters are set to  $m_1 = m_2 = 1$ . The turbulence parameters in the first hop are calculated as  $\{\alpha_1 = 5.0096, \beta_1 = 4.7489\}$ ,  $\{\alpha_1 = 14.6608, \beta_1 = 14.0573\}$  and  $\{\alpha_1 = 50.7685, \beta_1 = 48.7564\}$  for clean, hazy and moderate rain weather conditions, where they are, in turn, calculated as  $\{\alpha_2 = 3.5848, \beta_2 = 3.3349\}$ ,  $\{\alpha_2 = 9.6652, \beta_2 = 9.2504\}$  and  $\{\alpha_2 = 33.5727, \beta_2 = 32.2337\}$  for the second hop. As it is seen from the figure, the reliability performance is heavily degraded for

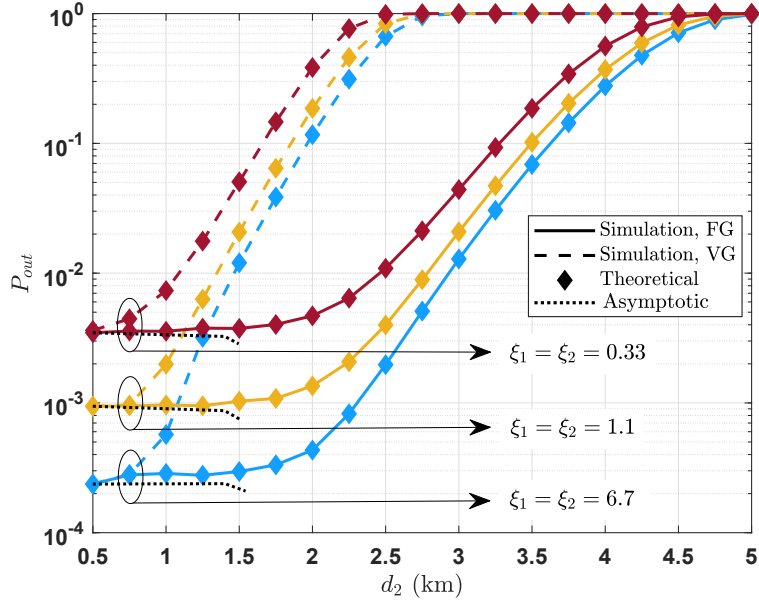


Figure 5.6: The impact of pointing error on the outage performance as a function of distance in second-hop. (Hazy weather,  $m_1 = m_2 = 1$ ,  $A = 0.5$ ,  $\mathcal{R} = 2$  bits,  $\bar{\gamma}_1 = \bar{\gamma}_2 = 10$  dB,  $d_1 = 1$  km)

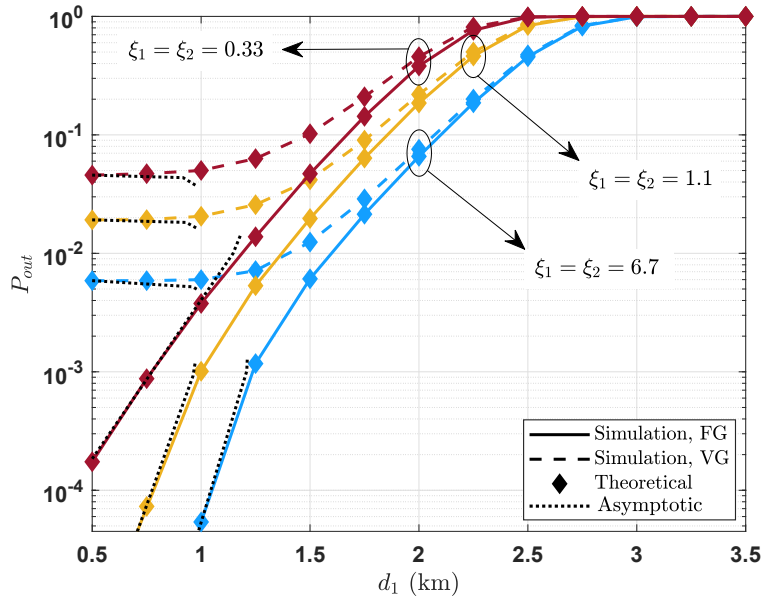


Figure 5.7: The impact of pointing error on the outage performance as a function of distance in first-hop. (Hazy weather,  $m_1 = m_2 = 1$ ,  $A = 0.5$ ,  $\mathcal{R} = 2$  bits,  $\bar{\gamma}_1 = \bar{\gamma}_2 = 15$  dB,  $d_2 = 1.5$  km)

weather conditions when it goes from clean to moderate rain for both schemes. For each weather scenario, the variable-gain relaying scheme mostly performs lower outage probability compared

to fixed-gain scheme. To express it in a different way, regardless of a weather condition, variable-gain relaying provides better quality of service since it exploits the full channel state information. For instance, if we want to achieve a reliable communication with a probability of  $10^{-2}$ , the minimum overall end-to-end SNR pair for variable- and fixed-gain schemes needs to approximately be  $\{9, 16\}$ ,  $\{9, 16\}$  and  $\{9, 16\}$  dB for clean, hazy and moderate rain weather conditions, respectively.

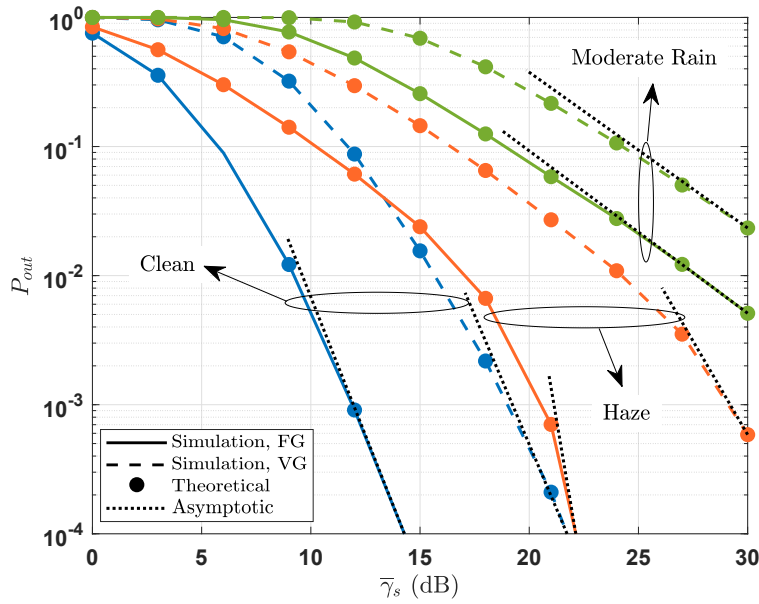


Figure 5.8: The probability of outage as a function of overall system SNR for different weather conditions. ( $\xi = 6.7$ ,  $d_1 = 1$  km,  $d_2 = 1.25$  km,  $m_1 = m_2 = 1$ ,  $A = 0.5$ ,  $\mathcal{R} = 2$  bits)

Alternatively, in Fig. 5.9, the probability of outage as a function of the distance is illustrated for different fixed overall end-to-end SNR in hazy weather conditions. The distance in first hop  $d_1$  and in second hop  $d_2$  is assumed to be the same as variable, and the threshold of outage probability is considered as  $\mathcal{R} = 1$  bit. Since the distances  $d_1$  and  $d_2$  change for each case, the turbulence parameters  $\alpha_1$ ,  $\beta_1$ ,  $\alpha_2$  and  $\beta_2$  needs to be calculated separately based on (1.16) and (1.17), where Nakagami- $m$  parameters are set to  $m_1 = m_2 = 1$ . The impact of end-to-end SNR can be easily observed from the figure, in which the higher overall SNR results in more reliable communication



system for each fixed- and variable-gain relaying schemes. For example, if we are required to satisfy at most  $10^{-2}$  outage performance, the distance pair for fixed- and variable-gain schemes in each hop approximately needs to be  $\{600, 700\}$  m,  $\{800, 950\}$  m, and  $\{900, 1050\}$  m for the fixed end-to-end SNRs of 3, 6 and 10 dB, respectively.

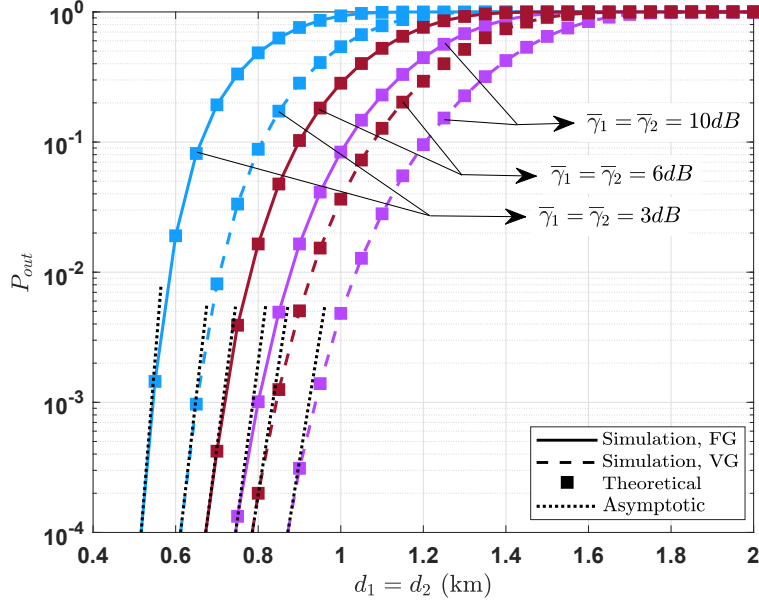


Figure 5.9: The probability of outage as a function of distances in the first- and second-hops for different fixed average SNRs with heterodyne. (Hazy weather,  $\xi = 6.7$ ,  $m_1 = m_2 = 1$ ,  $A = 0.5$ ,  $\mathcal{R} = 1$  bit)

Probability of outage as a function of its threshold value is represented in Fig. 5.10, considering the impact of different weather conditions on the system's reliability, with distances of  $d_1 = 1.25$  km in the first hop and of  $d_2 = 1.5$  km in the second hop. Nakagami- $m$  parameters are set to  $m_1 = 2$  and  $m_2 = 1$ . The turbulence parameters in the first hop are calculated as  $\{\alpha_1 = 3.5848, \beta_1 = 3.3349\}$ ,  $\{\alpha_1 = 9.6652, \beta_1 = 9.2504\}$  and  $\{\alpha_1 = 33.5727, \beta_1 = 32.2337\}$  for clean, hazy and moderate rain weather conditions, where they are, in turn, calculated as  $\{\alpha_2 = 3.0298, \beta_2 = 2.7187\}$ ,  $\{\alpha_2 = 6.9095, \beta_2 = 6.5924\}$  and  $\{\alpha_2 = 23.9161, \beta_2 = 22.9541\}$  for the second hop. For both relaying schemes, we can observe that the reliability performance is

dramatically degraded from clean to moderate rain weather conditions as well as with the increase of outage threshold value. For instance, if we consider a outage rate of  $\mathcal{R} = 2$  bits, the probability pair of achieving a reliable communication for variable- and fixed-gain schemes are observed as  $\{0.68\%, 17.08\%\}$ ,  $\{10.49\%, 40.97\%\}$  and  $\{56.73\%, 98.42\%\}$  for clean, hazy and moderate rain weather conditions, respectively.

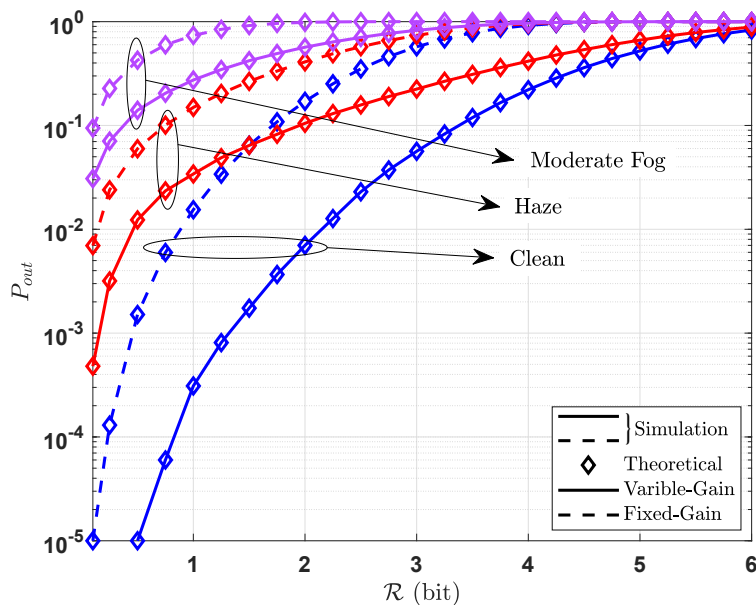


Figure 5.10: The probability of outage as a function of outage threshold for different weather conditions. ( $d_1 = 1.25$  km,  $d_2 = 1.5$  km,  $\gamma_1 = 12$  dB,  $\gamma_2 = 15$  dB,  $m_1 = 2$ ,  $m_2 = 1$ ,  $A = 0.5$ )

A comparison of the effective throughput metric as a function of the outage probability threshold is illustrated in Figs. 5.11 and 5.12 for two different relaying schemes to illustrate the outage performance of the proposed and the benchmark systems in hazy weather conditions. The distance in first hop  $d_1$  and second hop  $d_2$  is assumed to be 1 km and 1.25 km, respectively, while the Nakagami- $m$  parameters are set to  $m_1 = m_2 = 1$ . Additionally, the turbulence parameters are calculated as  $\alpha_1 = 14.6608$ ,  $\beta_1 = 14.0573$ ,  $\alpha_2 = 10.4284$ , and  $\beta_2 = 9.9853$ . From the figure, we can easily observe that the proposed relaying system outperforms the benchmark system for both fixed-gain and variable-gain relaying schemes. For instance, if we consider an outage threshold

of  $\mathcal{R} = 2$  bits, for fixed- and variable-gain schemes, the proposed and benchmark systems are able to satisfy the pair of effective throughput  $\{1.6072, 1.7345\}$  bits and  $\{0.2823, 0.8118\}$  bits, respectively, and additionally, if we consider an outage threshold of  $\mathcal{R} = 3$  bits, these numbers are  $\{1.7037, 2.1528\}$  bits and  $\{0.0519, 0.4218\}$  bits, respectively. Moreover, we can observe that the effective throughput illustrates a non-monotonic behavior with the increase of outage threshold  $\mathcal{R}$ . In other words, when we increase the outage threshold, the effective throughput starts increasing, and then, it begins decreasing. We can explain this behavior as follows: the increment in outage threshold is higher than the increase in probability of outage, and therefore, effective throughput increases with the increase in threshold. However, after a specific value of threshold, the outage probability becomes dominant, and it dramatically increase the effective throughput.

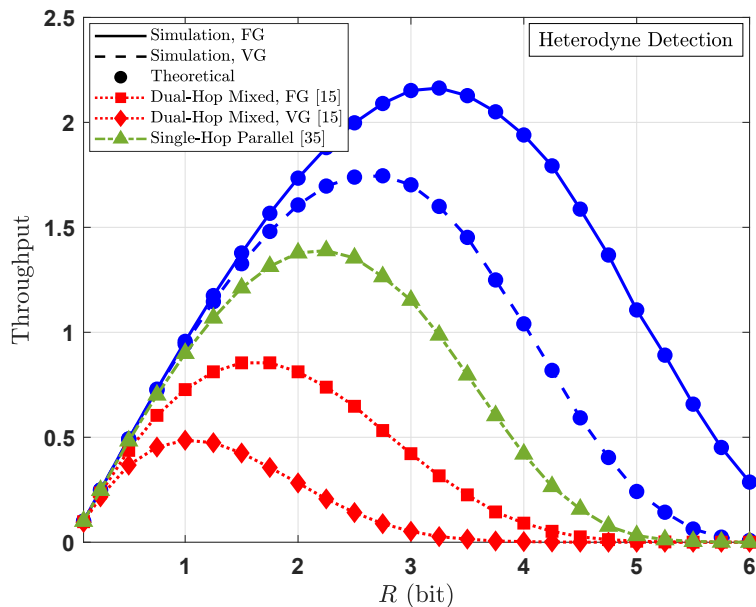


Figure 5.11: A comparison of effective throughput as a function of outage probability threshold with heterodyne detection for the proposed and the reference studies. (Haze weather,  $\xi = 1.1$ ,  $d_1 = 1$  km,  $d_2 = 1.25$  km,  $\gamma_1 = 10$  dB,  $\gamma_2 = 15$  dB,  $\alpha_1 = 14.6608$ ,  $\beta_1 = 14.0573$ ,  $\alpha_2 = 10.4284$ ,  $\beta_2 = 9.9853$ ,  $m_1 = m_2 = 1$ ,  $A = 0.5$ )

Effective throughput as a function of link distances is represented in Fig. 5.13 for different fixed overall end-to-end SNR in clean weather conditions. The distance in first hop  $d_1$  and in second

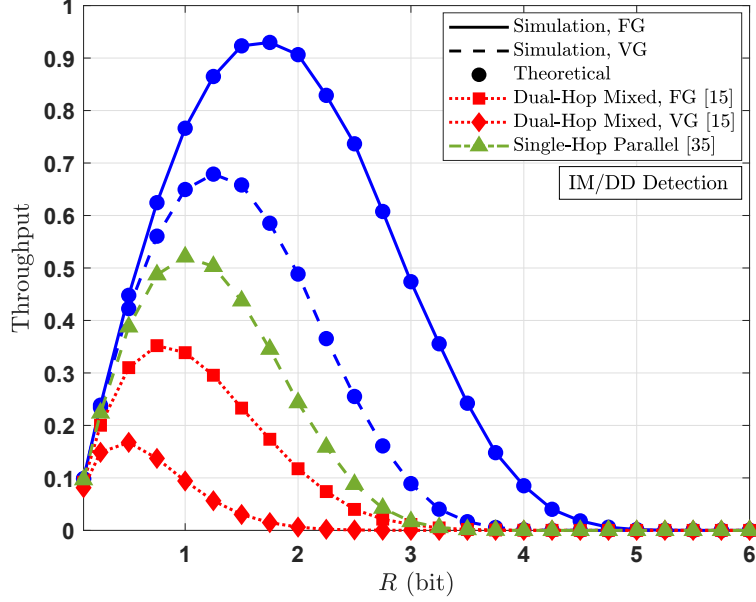


Figure 5.12: A comparison of effective throughput as a function of outage probability threshold with IM/DD detection for the proposed and the reference studies. (Haze weather,  $\xi = 1.1$ ,  $d_1 = 1$  km,  $d_2 = 1.25$  km,  $\gamma_1 = 10$  dB,  $\gamma_2 = 15$  dB,  $\alpha_1 = 14.6608$ ,  $\beta_1 = 14.0573$ ,  $\alpha_2 = 10.4284$ ,  $\beta_2 = 9.9853$ ,  $m_1 = m_2 = 1$ ,  $A = 0.5$ )

hop  $d_2$  is assumed to be the same as variable, and the threshold of outage probability is considered as  $\mathcal{R} = 3$  bits, where Nakagami- $m$  parameters are set to  $m_1 = m_2 = 2$ . The impact of end-to-end SNR on the effective throughput can be easily observed from the figure. For instance, if we need to satisfy an effective throughput of 2 bits, the distance pair for fixed- and variable-gain schemes in each hop approximately needs to be  $\{650, 800\}$  m and  $\{1200, 1450\}$  m for the fixed end-to-end SNRs of 5 and 15 dB, respectively.

### 5.6.2 Secrecy Performance Analysis

A comparison of the secrecy capacity of a relay-based dual-hop hybrid FSO-RF system as a function of the average SNR at first- and second-hop is illustrated in Fig. 5.14 for different types of eavesdroppers. The secrecy performance of two well-known AF relaying schemes is illustrated in clean weather conditions, where the distance in first hop  $d_1$  and second hop  $d_2$  is assumed as 1 km and 1.25 km, respectively. Additionally, the gain  $\mathcal{G}$  is set to 0.5 for FG relaying scheme, and the average SNR at Eve is adjusted as  $\bar{\gamma}_e = 5$  dB. Since we consider the different distance values

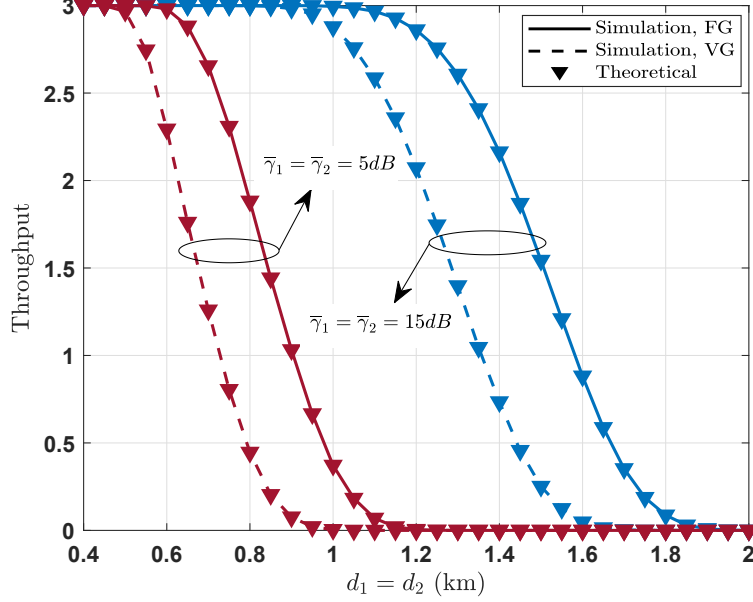


Figure 5.13: The effective throughput as a function of distances in the first- and second-hops for different fixed average SNRs with heterodyne. (Clean weather,  $\xi = 1.1$ ,  $m_1 = m_2 = 2$ ,  $A = 0.5$ ,  $\mathcal{R} = 3$  bits)

in each hop, the pairs of turbulence parameters are calculated as  $(\alpha_1 = 5.0096, \beta_1 = 4.7489)$  and  $(\alpha_2 = 4.2937, \beta_2 = 4.0462)$ , where the Nakagami- $m$  parameter is set to  $m_1 = m_2 = 1$ . As expected, the FG scheme performs better than the VG, since FG scheme exploits the full channel state information. Additionally, it can easily seen from the figure that the hybrid-type eavesdropper dramatically decrease secrecy performance for both FG and VG relaying methods, in comparison with FSO- and RF-Eves. For instance, considering a fixed SNRs of 8 dB, the achievable average secrecy capacities are approximately 2.5, 1.8, 1 bits for VG scheme in the presence of FSO-, RF- and hybrid eavesdroppers, respectively, where these values are 4.4, 4, 3.7 bits for FG relaying scheme.

Since a hybrid eavesdropper has the most severe impact on the secrecy performance among other types, the secrecy capacity of the dual-hop hybrid system is investigated as a function of the link distances in the presence of a hybrid Eve, i.e., the link distance of the second-hop is fixed at 1 km in Fig. 5.15, and the link distance of the first-hop is fixed at 1 km in Fig. 5.16. In the figures, the impact of different weather conditions on the secure communication is examined for both

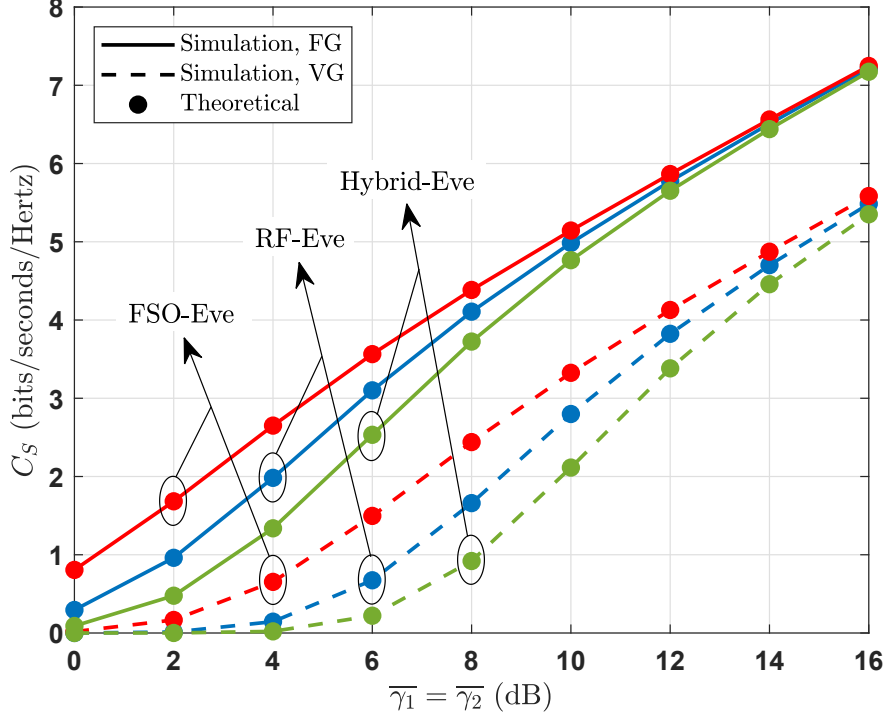


Figure 5.14: Average secrecy capacity as a function of the average SNR for different types of eavesdroppers. (Clean weather,  $\mathcal{G} = 0.5$ ,  $d_1 = 1$  km,  $d_2 = d_e = 1.25$  km,  $m_1 = m_2 = 1$ ,  $\alpha_1 = 5.01$ ,  $\beta_1 = 4.74$ ,  $\alpha_2 = \alpha_e = 4.29$ ,  $\beta_2 = \beta_e = 4.04$ ,  $R_{1,o} = R_{1,f} = 0.8$ ,  $R_{2,o} = R_{2,f} = 0.7$ ,  $R_{e,o} = R_{e,f} = 0.2$ ,  $\bar{\gamma}_e = 5$  dB)

fixed- and variable-gain relaying schemes at the fixed SNRs of  $\bar{\gamma}_1 = \bar{\gamma}_2 = 15$  dB, and  $\bar{\gamma}_e = 3$  dB. It obvious that the weather conditions have significant effects on the system's secrecy performance for both relaying techniques. When the weather conditions become more severe, i.e., from clean to moderate rain, the transmission reliability decreases significantly. For instance, in Fig. 5.15, considering a link distance of  $d_1 = 1$  km, the expected secrecy capacity values are approximately 2.5, 4.2 and 5.9 bits for moderate rain, hazy and clean weather conditions, respectively. These number are, in turn, approximately 4, 5.9 and 7.4 bits for FG relaying scheme. Furthermore, one can easily observe the saturated behavior of the capacity curves in Fig. 5.15, which is because of the limited average SNR in the second-hop. On the other hand, limiting the average SNR in the first-hop results in a non-monotonic capacity performance.

In Figs. 5.17 and 5.18, the secrecy outage performance of the dual-hop hybrid system is in-

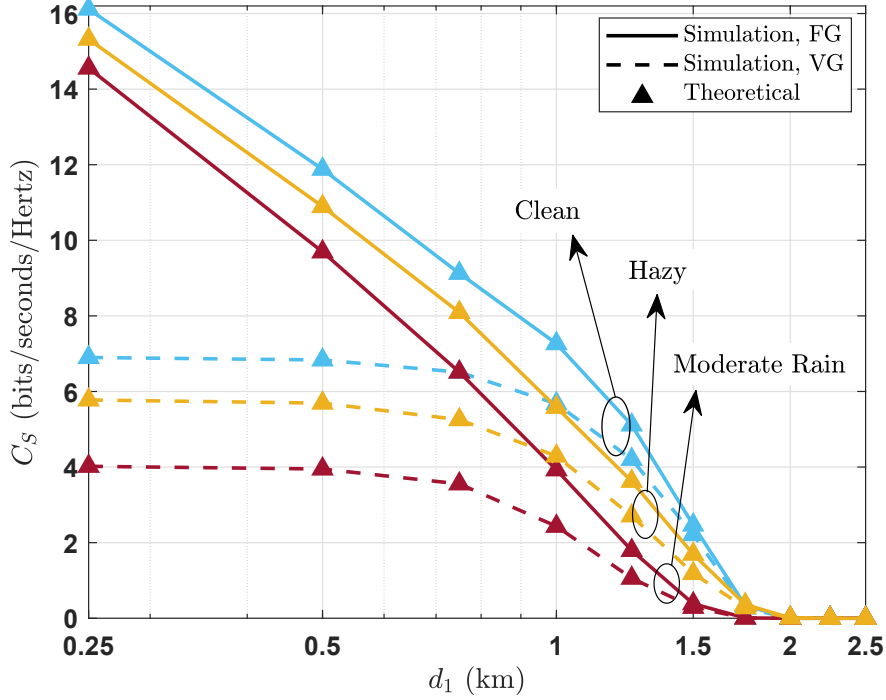


Figure 5.15: Average secrecy capacity as a function of the distance of first-hop in the presence of a hybrid-type Eve for different weather conditions. ( $\mathcal{G} = 0.5$ ,  $d_2 = d_e = 1$  km,  $m_1 = m_2 = 1$ ,  $\alpha_2 = \alpha_e = 6.91$ ,  $\beta_2 = \beta_e = 6.59$ ,  $R_{1,o} = R_{1,f} = R_{2,o} = R_{2,f} = 0.75$ ,  $R_{e,o} = R_{e,f} = 0.2$ ,  $\bar{\gamma}_1 = \bar{\gamma}_1 = 15$  dB,  $\bar{\gamma}_e = 3$  dB)

investigated for the fixed average SNRs of each link, i.e., the average SNR of the first-hop is fixed at 11 dB in Fig. 5.17, and the average SNR of the second-hop is fixed at 11 dB in Fig. 5.18. In the figures, the impact of different types of eavesdroppers on the secrecy outage is presented for both fixed- and variable-gain relaying schemes over a distance of 1.5 km in each hop, considering a fixed SNR of  $\bar{\gamma}_e = 3$  dB at Eve, and the outage threshold is set to  $\mathcal{R} = 2$  bits. It is obvious that the different Eves have different effects on the system's secrecy performance for both relaying techniques. For instance, in Fig. 5.17, considering a fixed average SNR of  $\bar{\gamma}_2 = 11$  dB, the expected outage probabilities are  $5 \times 10^{-5}$ ,  $4 \times 10^{-4}$  and  $2 \times 10^{-3}$  for FSO-, RF- and hybrid type eavesdroppers, respectively, with FG scheme. These numbers are, in turn, approximately  $9 \times 10^{-2}$ ,  $3 \times 10^{-1}$  and  $7 \times 10^{-1}$  for VG relaying technique. Furthermore, in comparison between Figs. 5.17 and 5.18, one can easily observe the importance of the average SNR in the first-hop for FG AF relaying scheme. Since the amplification process directly depends on the received SNR at the re-

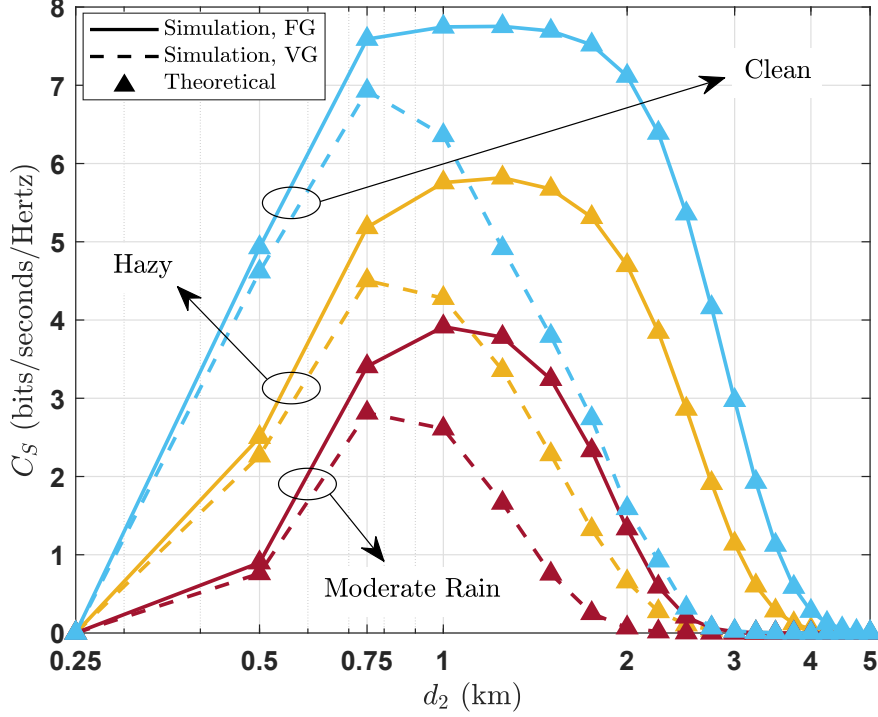


Figure 5.16: Average secrecy capacity as a function of the distance of second-hop in the presence of a hybrid-type Eve for different weather conditions. ( $\mathcal{G} = 0.5$ ,  $d_1 = 1$  km,  $m_1 = m_2 = 1$ ,  $\alpha_1 = 6.91$ ,  $\beta_1 = 6.59$ ,  $R_{1,o} = R_{1,f} = R_{2,o} = R_{2,f} = 0.75$ ,  $R_{e,o} = R_{e,f} = 0.2$ ,  $\bar{\gamma}_1 = \bar{\gamma}_1 = 15$  dB,  $\bar{\gamma}_e = 3$  dB)

lay node, the SNR of first-hop plays an important role on the secrecy outage performance for FG scheme. For instance, limiting the SNR in the first-hop creates a kind of noise floor, which results in a saturation in the reliability, and therefore, the outage performance cannot be improved beyond a specific point, as seen in Fig. 5.17. However, increasing the SNR in the first-hop and limiting the SNR in the second-hop does not result in the same outage behavior for FG but for VG AF relaying scheme. This is because of the variable-scheme technique always utilizes the lowest SNR of both hops.

The secrecy outage performance of the dual-hop hybrid system as a function of the outage threshold is illustrated in the presence of different types of eavesdroppers for FG and VF AF relaying schemes. The weather conditions are considered as clean, and the distances in each link assumed as  $d_1 = d_2 = d_e = 2$  km, while the average fixed SNRs is set to  $\bar{\gamma}_1 = \bar{\gamma}_2 = 10$  dB, and



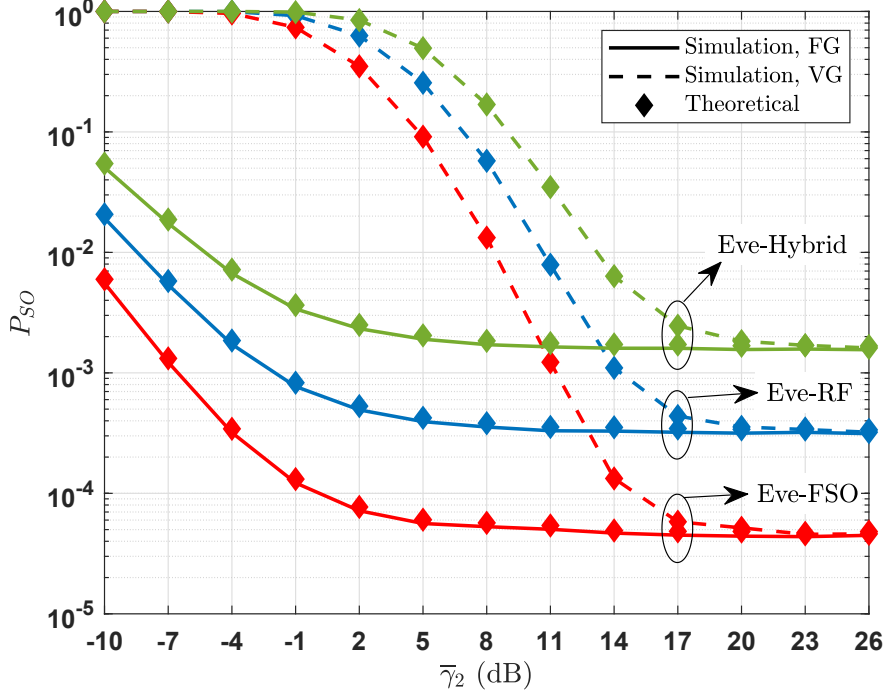


Figure 5.17: Secrecy outage probability as a function of average SNR at second-hop for different types of eavesdroppers. (Clean Weather,  $\mathcal{G} = 0.5$ ,  $\mathcal{R} = 1$  bits,  $d_1 = d_2 = d_e = 1.5$  km,  $m_1 = m_2 = 1$ ,  $\alpha_1 = \alpha_2 = \alpha_e = 3.02$ ,  $\beta_1 = \beta_2 = \beta_e = 2.71$ ,  $R_{1,o} = R_{1,f} = R_{2,o} = R_{2,f} = 0.65$ ,  $R_{e,o} = R_{e,f} = 0.15$ ,  $\bar{\gamma}_1 = 10$  dB,  $\bar{\gamma}_e = 3$  dB)

$\bar{\gamma}_e = 3$  dB. Accordingly, the optical channel parameters are calculated as  $\alpha_1 = \alpha_2 = \alpha_e = 3.31$ , and  $\beta_1 = \beta_2 = \beta_e = 2.58$ , where the Nakagami- $m$  parameters are set to  $m_1 = m_2 = 1$ . As expected, increasing the outage threshold decreases the secrecy performance, however, the average throughput also increases as a tradeoff.

A comparison of the effective throughput metric as a function of the outage probability threshold is illustrated in Figs. 5.20 for two different relaying schemes to illustrate the secrecy performance of the hybrid system in the presence of different types of eavesdroppers. The distance in first-hop  $d_1$  and second-hop  $d_2$  is assumed the same as 1.75 km, while the Nakagami- $m$  parameters are set to  $m_1 = m_2 = 1$ . Additionally, the turbulence parameters are calculated as  $\alpha_1 = \alpha_2 = \alpha_e = 2.9846$ , and  $\beta_1 = \beta_2 = \beta_e = 2.5254$ . From the figure, we can observe that the effective throughput illustrates a non-monotonic behavior with the increase of outage threshold  $\mathcal{R}$ . In other words, when we increase the outage threshold, the effective throughput starts increas-

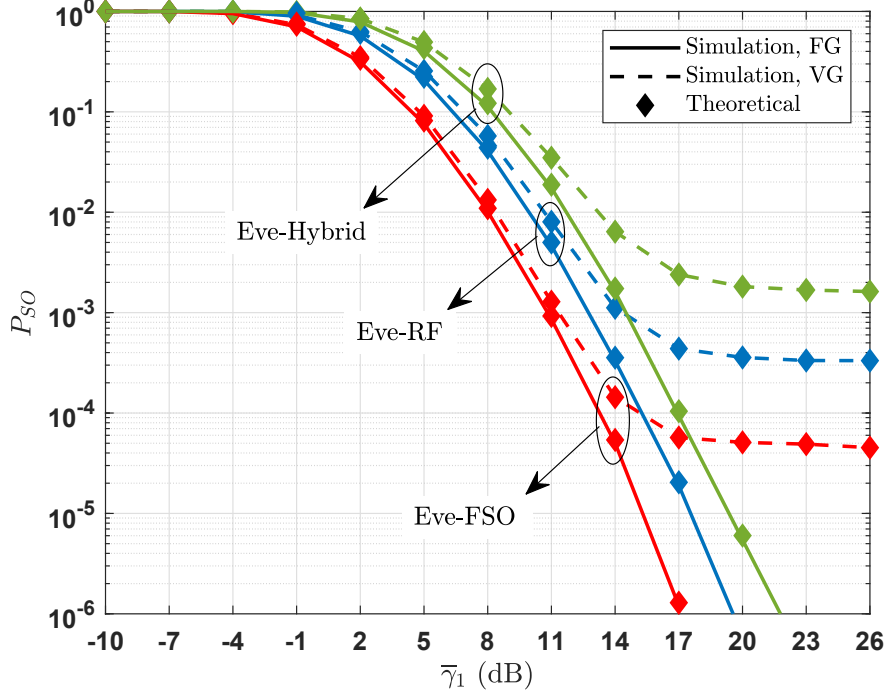


Figure 5.18: Secrecy outage probability as a function of average SNR at first-hop for different types of eavesdroppers. (Clean Weather,  $\mathcal{G} = 0.5$ ,  $\mathcal{R} = 1$  bits,  $d_1 = d_2 = d_e = 1.5$  km,  $m_1 = m_2 = 1$ ,  $\alpha_1 = \alpha_2 = \alpha_e = 3.02$ ,  $\beta_1 = \beta_2 = \beta_e = 2.71$ ,  $R_{1,o} = R_{1,f} = R_{2,o} = R_{2,f} = 0.65$ ,  $R_{e,o} = R_{e,f} = 0.15$ ,  $\bar{\gamma}_1 = 10$  dB,  $\bar{\gamma}_e = 3$  dB)

ing, and then, it begins decreasing. We can explain this behavior as follows: the increment in outage threshold is higher than the increase in probability of secrecy outage, and therefore, effective secrecy throughput increases with the increase in threshold. However, after a specific value of threshold, the secrecy probability becomes dominant, and it dramatically increase the effective secrecy throughput.

## 5.7 Concluding Remarks

In this study, the outage performance and secrecy outage performance of relay-based dual-hop hybrid FSO-*mm*Wave systems are investigated for fixed- and variable-gain relaying transmissions. Specifically, the transmissions in each hop is established through optical gamma-gamma atmospheric turbulence and *mm*Wave Nakagami-*m* fading channels at the same time, and the MRC diversity combining method is used at the relay and the legitimate receiver Bob, and the eavesdropper

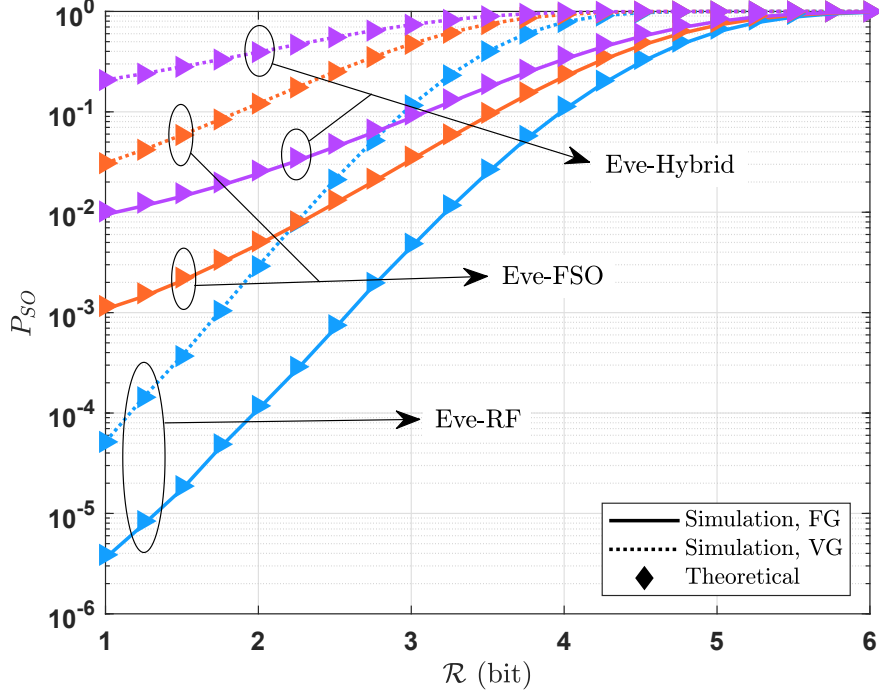


Figure 5.19: Secrecy outage probability as a function of threshold value for different types of eavesdroppers. (Hazy Weather,  $\mathcal{G} = 0.5$ ,  $d_1 = d_2 = d_e = 2$  km,  $m_1 = m_2 = 1$ ,  $\alpha_1 = \alpha_2 = \alpha_e = 3.31$ ,  $\beta_1 = \beta_2 = \beta_e = 2.58$ ,  $R_{1,o} = R_{1,f} = R_{2,o} = R_{2,f} = 0.65$ ,  $R_{e,o} = R_{e,f} = 0.25$ ,  $\bar{\gamma}_1 = \bar{\gamma}_2 = 10$  dB,  $\bar{\gamma}_e = 3$  dB)

Eve. As performance metrics, the outage probability, effective throughput, secrecy capacity, secrecy outage probability, and effective secrecy throughput are derived for fixed- and variable-gain relaying schemes. Additionally, the impact of different fundamental physical layer parameters on the transmission reliability are examined for two relay methods and three types of eavesdroppers. As explained previously, the nature of relay-based dual-hop mixed FSO-RF systems demonstrate that the overall system performance can be significantly degraded by either FSO and/or RF links due to the adverse weather conditions. However, the utilization of hybrid FSO-RF links in each data transmission hop can overcome this problem due to the complementary properties of RF and FSO systems. Analytical findings which are discussed allow us to explain how reliable transmission and reception can be made in several cases of interest in the context of hybrid FSO-*mmWave* systems. In view of the findings, relay-based dual-hop hybrid FSO-*mmWave* transmissions can be considered for the design of more reliable communication systems due to their distinctive be-

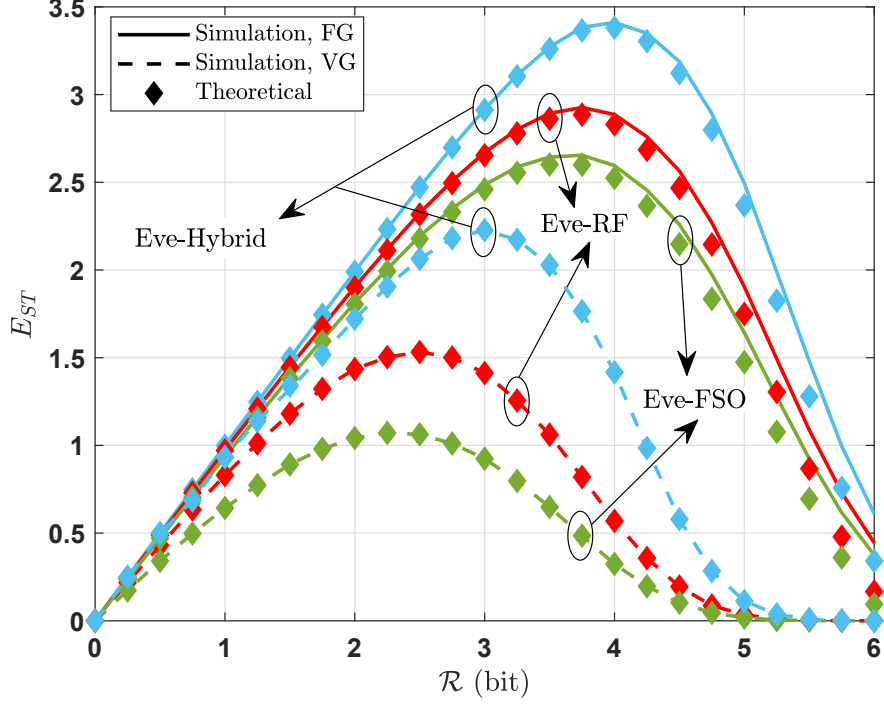


Figure 5.20: Effective secrecy throughput as a function of threshold value for different types of eavesdroppers. (Hazy Weather,  $\mathcal{G} = 0.5$ ,  $m_1 = m_2 = 1$ ,  $\alpha_1 = \alpha_2 = \alpha_e = 2.98$ ,  $\beta_1 = \beta_2 = \beta_e = 2.52$ ,  $R_{1,o} = R_{1,f} = R_{2,o} = R_{2,f} = 0.75$ ,  $R_{e,o} = R_{e,f} = 0.25$ ,  $d_1 = d_2 = 1.75$  km,  $\bar{\gamma}_1 = \bar{\gamma}_2 = 12$  dB,  $d_e = 1.75$  km,  $\bar{\gamma}_e = 5$  dB)

havior under different atmospheric conditions. Furthermore, by illustrating the effects of various atmospheric turbulence conditions on each hop and link, the utilization of a hybrid transmission remarkably decrease the outage performance of dual-hop relays. As a conclusion, the examination provided in this work can be useful in the process of system design to build more reliable data transmission under several scenarios.

## 6. A UNIFIED MGF-BASED FRAMEWORK FOR SECRECY ANALYSIS

### 6.1 Introduction

#### 6.1.1 Motivation

A unified MGF-based framework is proposed for the physical layer security analysis of wireless communication systems over generalized fading channels. To characterize the secure communication between legitimate pairs, the secrecy capacity, SOP, probability of SPSC, EST, SOR, and SOD metrics are derived for SIMO systems over generalized fading channels in the presence of different types of eavesdroppers. The MGF-based approach proposed in this chapter is explicitly generic enough to unify on the direction of generalized fading channels (i.e., there is no need to separately analyze the security metrics of MRC diversity technique) in addition to the direction of different type eavesdroppers.

### 6.2 Statistical Background

Let consider the sum of  $L$  variates that is given as

$$Y_{\Sigma} = \sum_{\ell=1}^L Y_{\ell}, \quad (6.1)$$

where  $l = 1, 2, \dots, L$  is the number of Weibull RVs, and  $Y_{\ell}$  follows Weibull distribution whose PDF is given as

$$f_{Y_{\ell}}(y) = \eta_{\ell} \Lambda_{\ell}^{-\eta_{\ell}} y^{\eta_{\ell}-1} \exp(-\Lambda_{\ell}^{-\eta_{\ell}} y^{\eta_{\ell}}), \quad (6.2)$$

where  $\Lambda_{\ell} = \Omega_{\ell} / \Gamma(1 + 1/\eta_{\ell})$  denotes the normalized power, and  $\eta_{\ell}$  depicts the shape parameter that represents the in-homogeneity in fading conditions, and  $\Omega_{\ell}$  stands for the average power.

Additionally, we have

$$\mathbb{E}[Y_\ell] = \Omega_\ell, \quad (6.3)$$

$$\text{Var}[Y_\ell] = \Omega_\ell^2 \left( \frac{\Gamma(1 + 2/\eta_\ell)}{\Gamma^2(1 + 1/\eta_\ell)} - 1 \right), \quad (6.4)$$

where  $\mathbb{E}[\cdot]$  and  $\text{Var}[\cdot]$  represent the expectation and variance operators, and the CDF of  $Y_\ell$ ,  $F_{Y_\ell}(y) = \Pr(Y_\ell < y)$  is given as

$$F_{Y_\ell}(y) = 1 - \exp(-\Lambda_\ell^{-\eta_\ell} y^{\eta_\ell}). \quad (6.5)$$

In the following, we obtain the MGF of Weibull distribution in closed-form. Note that the MGF of  $Y_\ell$  is written as  $\mathcal{M}_{Y_\ell}(s) = \mathbb{E}[\exp(-sY_\ell)]$  and we can rewrite it in terms of averaging using the PDF, that is

**Theorem 1** (The MGF of Weibull Distribution). *The MGF of  $Y_\ell \sim \text{Wbl}(\eta_\ell, \Omega_\ell)$  is given by*

$$\mathcal{M}_{Y_\ell}(s) = \eta_\ell (s\Omega_\ell)^{-\eta_\ell} \Gamma(\eta_\ell, 0, (s\Omega_\ell)^{-\eta_\ell}, -\eta_\ell), \quad (6.6)$$

where  $\Gamma(\cdot, \cdot, \cdot, \cdot)$  denotes the extended incomplete gamma function and defined as

$$\Gamma(\alpha, y, b, \beta) = \int_y^\infty t^{\alpha-1} \exp(-t - bt^{-\beta}) dt, \quad (6.7)$$

with  $y, \alpha, \beta \in \mathbb{R}$  and  $b \in \mathbb{C}$ .

*Proof.* The MGF of RV  $Y_\ell$  can be obtained by using Laplace transform as follows

$$\begin{aligned} \mathcal{M}_{Y_\ell}(s) &= \mathbb{E}[\exp(-sY_\ell)], \\ &= \int_0^\infty \exp(-sy) f_{Y_\ell}(y) dy, \end{aligned} \quad (6.8)$$

by substituting (6.2) into (6.8), the integral is written as

$$\mathcal{M}_{Y_\ell}(s) = \eta_\ell \Lambda_\ell^{-\eta_\ell} \int_0^\infty y^{\eta_\ell-1} \exp(-sy - \Lambda_\ell^{-\eta_\ell} y^{\eta_\ell}) dy, \quad (6.9)$$

and the resultant integral corresponds to the extended incomplete gamma function as given in (6.7).  $\square$

**Theorem 2** (MGF of the sum of Weibull RVs). *Let  $Y_\ell \sim \text{Wbl}(\eta_\ell, \Omega_\ell)$  where  $\ell = 1, 2, \dots, L$ , then the MGF of  $Y_\Sigma = \sum_{\ell=1}^L Y_\ell$  is given by*

$$\mathcal{M}_{Y_\Sigma}(s) = \frac{\Phi_\Sigma}{s^{\sum_{\ell=1}^L \eta_\ell}} \prod_{\ell=1}^L \Gamma\left(\eta_\ell, 0, (s\Lambda_\ell)^{-\eta_\ell}, -\eta_\ell\right), \quad (6.10)$$

where  $\Phi_\Sigma$  is given by

$$\Phi_\Sigma = \prod_{\ell=1}^L \eta_\ell \Lambda_\ell^{-\eta_\ell}. \quad (6.11)$$

*Proof.* Accordingly, assuming that Weibull distributions are mutually independent, we can obtain the MGF of the sum of Weibull distributions, i.e., the MGF of  $Y_\Sigma = \sum_{\ell=1}^L Y_\ell$  as the product of the individual MGF of Weibull distributions, that is  $\mathcal{M}_{Y_\Sigma}(s) = \prod_{\ell=1}^L \mathcal{M}_{Y_\ell}(s)$  and obtained as

$$\mathcal{M}_{Y_\Sigma}(s) = \prod_{\ell=1}^L \eta_\ell (s\Lambda_\ell)^{-\eta_\ell} \Gamma\left(\eta_\ell, 0, (s\Lambda_\ell)^{-\eta_\ell}, -\eta_\ell\right). \quad (6.12)$$

$\square$

**Theorem 3** (PDF of the sum of Weibull RVs). *Let  $Y_\ell \sim \text{Wbl}(\eta_\ell, \Omega_\ell)$  where  $\ell = 1, 2, \dots, L$ , then the PDF of  $Y_\Sigma = \sum_{\ell=1}^L Y_\ell$  is given by*

$$f_{Y_\Sigma}(y) \approx \frac{e^{\sigma y}}{2^Q y} \sum_{q=0}^Q \binom{Q}{q} \sum_{n=0}^{N+q} (-1)^n B_n \mathcal{M}_{Y_\Sigma, \Re}(y; \sigma, n), \quad (6.13)$$

where  $\sigma$  is a positive arbitrary number which can be bounded by  $e^{-2\sigma y}$  for a specific error  $\varepsilon_\sigma$ , the coefficient  $B_n$  is defined as  $B_0 = 1/2$  and  $B_n = 1$  for all  $n \in \mathbb{Z}^+$ ,  $\mathcal{M}_{Y_\Sigma, \Re}(y; A, n)$  denotes the real

part of the MGF, that is

$$\mathcal{M}_{Y_\Sigma, \Re}(y; \sigma, n) = \Re \left\{ \mathcal{M}_{Y_\Sigma} \left( \frac{\sigma y + \pi j n}{y} \right) \right\}, \quad (6.14)$$

and for a predetermined error value  $\varepsilon_{N,Q}$ , the numbers  $N$  and  $Q$ , the upper limits of the summations, can be estimated by

$$\varepsilon_{N,Q} = \frac{e^{\sigma y}}{y} \sum_{q=0}^Q 2^{-Q} (-1)^{N+q+1} \binom{Q}{q} \mathcal{M}_{Y_\Sigma, \Re}(y; \sigma, N + q + 1). \quad (6.15)$$

*Proof.* Using the inverse Laplace transform, we can obtain the PDF of  $Y_\Sigma$  as follows

$$f_{Y_\Sigma}(y) = \frac{1}{2\pi j} \int_{\sigma - j\infty}^{\sigma + j\infty} \mathcal{M}_{Y_\Sigma}(s) e^{sy} ds, \quad (6.16)$$

where  $\sigma$  is an positive arbitrary number. By using the steps of a useful and simple numerical technique presented in [176, 177],  $f_{Y_\Sigma}(y)$  can be obtained in a closed-form expression as follows. First, applying to the change of variate  $s = \sigma + ju$  and the fact that  $f_{Y_\Sigma}(y)$  is real, the inverse Laplace transform given in (6.16) can be expressed in terms of its real and imaginary parts

$$f_{Y_\Sigma}(y) = \frac{e^{\sigma y}}{2\pi} \int_{-\infty}^{\infty} \left( \Re \{ \mathcal{M}_{Y_\Sigma}(\sigma + ju) \} \cos(yu) - \Im \{ \mathcal{M}_{Y_\Sigma}(\sigma + ju) \} \sin(yu) \right) du, \quad (6.17)$$

where  $\Re\{\cdot\}$  and  $\Im\{\cdot\}$  denote the real and imaginary parts of a signal, respectively. Then, recalling that  $Y_\Sigma$  is the sum of  $L$  positive RVs,  $f_{Y_\Sigma}(y) = 0$  for all  $y \leq 0$ , and  $\Re\{\mathcal{M}_{Y_\Sigma}(\sigma + ju)\}$  is even with respect to  $u$ , the integral in (6.16) is simplified as

$$f_{Y_\Sigma}(y) = \frac{2e^{\sigma y}}{\pi} \int_0^{\infty} \Re \{ \mathcal{M}_{Y_\Sigma}(-(\sigma + ju)) \} \cos(yu) du, \quad (6.18)$$

where  $\Re\{\cdot\}$  denotes the real part. Next, considering  $\sigma = A/2y$  and using the trapezoidal rule with



a step size of  $\pi/2y$ , the integral in (6.18) becomes

$$f_{Y_\Sigma}(y) = \frac{e^{\sigma y}}{y} \sum_{n=0}^{\infty} (-1)^n B_n \mathcal{M}_{Y_\Sigma, \Re}(y; A, n) + \varepsilon_\sigma, \quad (6.19)$$

where  $\varepsilon_\sigma$  denotes the discretization error which is bounded with the use of Poisson summation formula by  $(e^{-2\sigma y}/1 - e^{-2\sigma y}) \approx e^{-2\sigma y}$ , and the coefficient  $B_n$  is defined as  $B_0 = 1/2$  and  $B_n = 1$  for all  $n \in \mathbb{Z}^+$ . Then, truncating the infinite summation in (6.19) by  $N$ , we obtain an alternating series. Further, we apply the Euler summation technique which accelerates the alternating series convergence, can be considered as the binomial average of  $Q$  partial series of length  $N, N+1, \dots, N+Q$ , respectively. Finally, this concludes the proof as given in (6.13), where  $\varepsilon_{\sigma, N, Q}$  depicts the overall error which term, that can be bounded by

$$\varepsilon_{\sigma, N, Q} \approx \frac{e^{-2\sigma y}}{1 - e^{-2\sigma y}} + \left| \frac{e^{\sigma y}}{2^Q y} \sum_{q=0}^Q (-1)^{N+q+1} \binom{Q}{q} \mathcal{M}_{Y_\Sigma, \Re}(y; \sigma, N+q+1) \right|. \quad (6.20)$$

□

**Theorem 4** (CDF of the sum of Weibull RVs). *Let  $Y_\ell \sim \text{Wbl}(\eta_\ell, \Omega_\ell)$  where  $\ell = 1, 2, \dots, L$ , then the CDF of  $Y_\Sigma = \sum_{\ell=1}^L Y_\ell$  is given by*

$$F_{Y_\Sigma}(y) \approx \frac{e^{\sigma y}}{2^Q y} \sum_{q=0}^Q \binom{Q}{q} \sum_{n=0}^{N+q} (-1)^n B_n \widehat{\mathcal{M}}_{Y_\Sigma, \Re}(y; \sigma, n), \quad (6.21)$$

where  $\widehat{\mathcal{M}}_{Y_\Sigma, \Re}(y; \sigma, n)$  denotes the real part of the MGF, that is

$$\widehat{\mathcal{M}}_{Y_\Sigma, \Re}(y; \sigma, n) = \Re \left\{ \mathcal{M}_{Y_\Sigma} \left( \frac{\sigma y + \pi j n}{y} \right) / \frac{\sigma y + \pi j n}{y} \right\}. \quad (6.22)$$

*Proof.* Recall that  $f_{Y_\Sigma}(y)$  and  $F_{Y_\Sigma}(y)$  stands for the PDF and CDF of  $Y_\Sigma$ , respectively. Since CDF is obtained by a simple integration of PDF,  $f_{Y_\Sigma}(y) = dF_{Y_\Sigma}(y)/dy$ , and noting that  $F_{Y_\Sigma}(0) = 0$ , the

CDF of  $Y_\Sigma$  can be expressed as

$$\mathcal{L}\{F_{Y_\Sigma}(y)\} = F_{Y_\Sigma}(s) = \frac{\mathcal{L}\{f_{Y_\Sigma}(y)\}}{s}, \quad (6.23)$$

where  $\mathcal{L}\{\cdot\}$  denotes the Laplace transform operator. Then, using the relation  $\mathcal{L}\{f_{Y_\Sigma}(y)\} = \mathcal{M}_{Y_\Sigma}(s)$ , and following the steps described in the previous section, the proof is completed as given in (6.21).  $\square$

### 6.3 System Model

A SIMO is considered, where the classic Wyner's wiretap channels take place [80], in which the standard placeholder names are used to denote different entities as follows. The legitimate transmitter, Alice, wants to send confidential information to the legitimate receiver, Bob, while an eavesdropper, Eve, tries to wiretap confidential information by sniffing the received signals, as illustrated in Fig. 6.1. It is assumed that Alice has one transmit antenna and laser while Bob and Eve have  $n_L$  receive antennas and photodiodes.

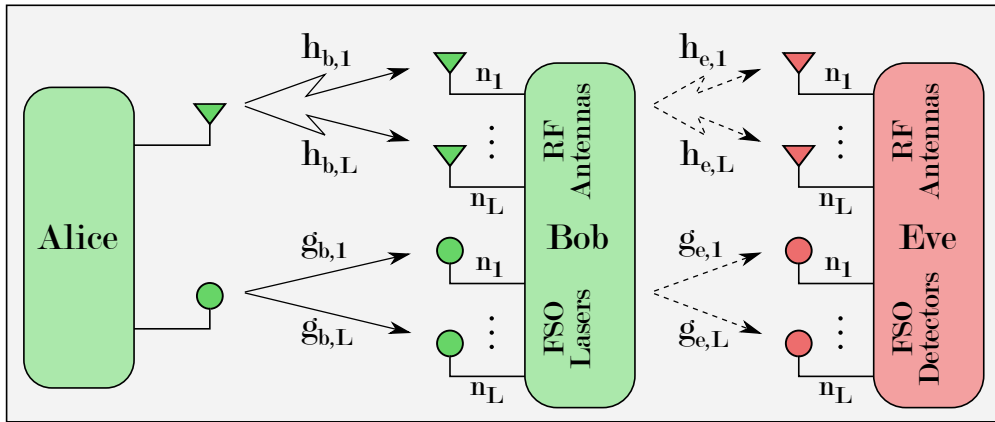


Figure 6.1: System model of SIMO hybrid FSO-*mmWave* communications between the legitimate transmitter Alice and receiver Bob in the presence of a hybrid type eavesdroppers.

### 6.3.1 Signal-to-Noise Ratios

Both Bob ( $x = b$ ) and Eve ( $x = e$ ) apply the MRC diversity method on the received signals. Hence, the overall electrical SNR is in fact the sum of the instantaneous electrical SNRs of each antenna and/or photodiode for MRC receiver, expressed as

$$\gamma_{\Sigma,x} = \sum_{\ell_o=1}^{L_o} \gamma_{x,o}^{(\ell_o)} + \sum_{\ell_f=1}^{L_f} \gamma_{x,f}^{(\ell_f)}, \quad (6.24)$$

where  $\gamma_{x,o}^{(\ell_o)}$  and  $\gamma_{x,f}^{(\ell_f)}$  denote the instantaneous electrical SNRs of  $\ell_o^{\text{th}}$  FSO and  $\ell_f^{\text{th}}$  RF links, respectively. Note that the average electrical SNRs of the RF link  $\bar{\gamma}_{x,f}^{(\ell_f)}$  and FSO link  $\bar{\gamma}_{x,o}^{(\ell_o)}$  are expressed in (1.21) and (1.24), respectively.

## 6.4 Secrecy Analysis

### 6.4.1 Secrecy Outage Probability

As defined in section 1.4.2, to characterize the secure communication between the legitimate transmitter Alice and the legitimate receiver Bob, we used the probabilistic metric of the SOP, defined as

$$P_{SO}(\mathcal{R}_s) = \text{Prob.}(C_S < \mathcal{R}_s), \quad (6.25)$$

which is the probability that the achievable secrecy rate is less than a target secrecy rate  $\mathcal{R}_s > 0$ . Then, by substituting (6.24) into (6.25),

$$P_{SO}(\mathcal{R}_s) = \text{Prob.}(\log_2(1 + \gamma_{\Sigma,b}) - \log_2(1 + \gamma_{\Sigma,e}) < \mathcal{R}_s), \quad (6.26)$$

since the logarithm is a monotonic function, we can arrange (6.26) as follows

$$P_{SO}(\mathcal{R}_s) = \text{Prob.}(2^{\mathcal{R}_s}(1 + \gamma_{\Sigma,e}) - (1 + \gamma_{\Sigma,b})), \quad (6.27)$$

then, by letting a RV  $\Xi = 2^{\mathcal{R}_s}(1 + \gamma_{\Sigma,e}) - (1 + \gamma_{\Sigma,b})$ , the MGF of  $\Xi$  is expressed as

$$\mathcal{M}_{\Xi}(s) = \exp\left(-s[2^{\mathcal{R}_s}(1 + \gamma_{\Sigma,e}) - (1 + \gamma_{\Sigma,b})]\right), \quad (6.28)$$

$$= \exp\left(-s[2^{\mathcal{R}_s} - 1]\right)\mathcal{M}_{\Sigma,e}(-s2^{\mathcal{R}_s})\mathcal{M}_{\Sigma,b}(s), \quad (6.29)$$

where  $\mathcal{M}_{\Sigma,x}(s)$  denotes the MGF of  $\gamma_{\Sigma,x}$ , expressed as

$$\mathcal{M}_{\Sigma,x}(s) = \prod_{\ell_o=1}^{L_o} \mathcal{M}_{\gamma_{x,o}^{(\ell_o)}}(s) \times \prod_{\ell_f=1}^{L_f} \mathcal{M}_{\gamma_{x,f}^{(\ell_f)}}(s), \quad (6.30)$$

therefore, the SOP is simply calculated by using (6.21) as

$$P_{SO}(\mathcal{R}_s) \approx \frac{e^{\sigma x}}{2^Q \mathcal{R}_s} \sum_{q=0}^Q \binom{Q}{q} \sum_{n=0}^{N+q} (-1)^n B_n \Re \left\{ \mathcal{M}_{\Xi} \left( \frac{\sigma \mathcal{R}_s + \pi j n}{\mathcal{R}_s} \right) / \frac{\sigma \mathcal{R}_s + \pi j n}{\mathcal{R}_s} \right\}. \quad (6.31)$$

## 6.4.2 Probability of Strictly Positive Secrecy Capacity

As defined in section 1.4.3, we also considered a special version of SOP, called the probability of SPSC, which is also known as the probability of existence of secure communications, defined as

$$P_S^+ = 1 - P_{SO}(0^+). \quad (6.32)$$

## 6.4.3 Effective Secrecy Throughput

Based on the definition of SOP, as defined in section 1.4.4, the EST can be obtained by the product of secrecy rate and the probability of successful transmission. According to this definition, the EST can be formulated as

$$E_{ST}(\mathcal{R}_s) = \mathcal{R}_s(1 - P_{SO}(\mathcal{R}_s)), \quad (6.33)$$

#### 6.4.4 Secrecy Outage Rate

As defined in section 1.4.5, the average SOR, or the average secrecy LCR, is defined by the instantaneous secrecy capacity at a level of  $\mathcal{R}_s$ . The average SOR provides the expected number of outage, downward crossings the secrecy capacity in terms of seconds. In other words, it provides the statistic at a specific threshold  $\mathcal{R}_s$  in time. The average SOR is expressed based on the generic expression given in [34, (2.90)]

$$R_{SO}(\mathcal{R}_s) = \int_0^\infty \int_0^\infty \dot{\gamma} f_\gamma(\gamma_{\text{th}}) f_{\dot{\gamma}}(\dot{\gamma}) f_{\gamma_e}(y) d\dot{\gamma} dy, \quad (6.34)$$

where  $\gamma_{\text{th}} = \sqrt{2^{\mathcal{R}_s}(1 + \gamma_e) - 1}$ , and  $\dot{\gamma}$  is the time derivative of the signal amplitude process. It is worthy to note that the time derivative of the signal amplitude process  $\dot{\gamma}$  is always independent of the signal amplitude  $\gamma$  and is normally distributed with zero mean but different variance depending on the type of fading. Therefore, the PDF expression of  $\dot{\gamma}$  is given by

$$f_{\dot{\gamma}}(\dot{\gamma}) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{\dot{\gamma}^2}{2\sigma^2}\right), \quad (6.35)$$

where  $\sigma^2 = \tilde{\Omega}\pi^2 f_m^2$ , where  $f_m$  is the maximum Doppler frequency, and  $\tilde{\Omega}$  is given by

$$\tilde{\Omega} = \begin{cases} \Omega, & \text{for Rayleigh fading,} \\ \frac{\Omega}{m}, & \text{for Nakagami fading,} \\ \frac{\Omega}{K+1}, & \text{for Rician fading.} \end{cases} \quad (6.36)$$

By substituting (6.35) into (6.34), the integral is re-expressed as

$$R_{SO}(\mathcal{R}_s) = \frac{1}{\sqrt{2\pi}\sigma} \int_0^\infty f_\gamma(\gamma_{\text{th}}) f_{\gamma_e}(y) \int_0^\infty \dot{\gamma} \exp\left(-\frac{\dot{\gamma}^2}{2\sigma^2}\right) d\dot{\gamma} dy, \quad (6.37)$$

by solving the inner integral with respect to  $\dot{\gamma}$ , (6.37) is re-written as

$$R_{SO}(\mathcal{R}_s) = \frac{\sigma}{\sqrt{2\pi}} \int_0^\infty f_\gamma(\gamma_{\text{th}}) f_{\gamma_e}(y) dy, \quad (6.38)$$

now, by using the expression of  $f_{\gamma_e}(y)$  in (6.13), (6.38) is re-written as

$$R_{SO}(\mathcal{R}_s) = \frac{\sigma}{\sqrt{2\pi}} f_\gamma(\gamma_{\text{th}}) \sum_{q=0}^Q \binom{Q}{q} \sum_{n=0}^{N+q} (-1)^n B_n \int_0^\infty \frac{e^{\sigma y}}{2^Q y} \mathcal{M}_{Y_{\Sigma, \mathfrak{R}}}(y; \sigma, n) dy. \quad (6.39)$$

### 6.4.5 Secrecy Outage Duration

As defined in section 1.4.6, the average SOD is another secrecy metric, which defines the expected average duration of the secrecy outage status for a wireless communication system. The average SOD is expressed based on the definition of the average outage duration, as given in [34, (2.106)]

$$D_{SO}(\mathcal{R}_s) = \frac{P_{SO}(\mathcal{R}_s)}{R_{SO}(\mathcal{R}_s)}. \quad (6.40)$$

## 6.5 Results and Discussions

Fig. 6.2 illustrates the analytical accuracy of (6.10), the MGF of sum of  $L$  Weibull RVs, in which the analytical and simulations results are in exact agreement. As seen in the figure, increasing the number of Weibull RVs,  $L \gg 1$ , increases the gradient of the MGF, which means in communications perspective that the performance of the transmission in wireless channels increases. For instance, when  $L \rightarrow \infty$ , the MGF approximates to  $\mathcal{M}_{X_\Sigma}(s) = 1$  for  $s = 0$  and to  $\mathcal{M}_{X_\Sigma}(s) = 0$  for  $s \neq 0$ , which implies occurrence of a negligible error at the receiver.

The PDF and CDF of sum of  $L$  Weibull RVs given in (6.13) and (6.21) are shown in Fig. 6.3 and 6.4, respectively. One can easily observe from Fig. 6.3 that increasing the number of links and shape parameters forms the resultant PDF around the sum of average powers,  $\sum_{\ell=1}^L \Omega_\ell$ , which means the effects of fading are alleviated from the communications points of view. Additionally, as seen in the figures, the analytical and simulations results show perfect agreement.

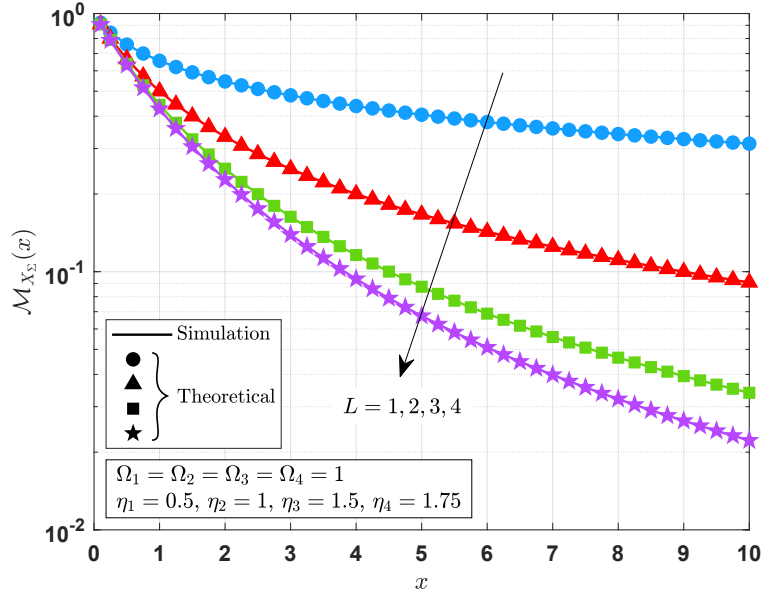


Figure 6.2: MGF of the sum of  $L$  Weibull random variates.

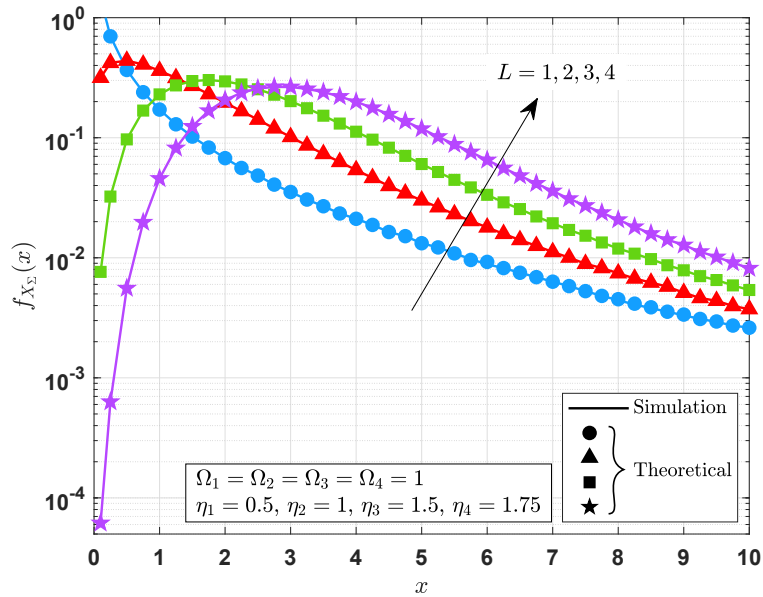


Figure 6.3: PDF of the sum of  $L$  Weibull random variates.

Secrecy outage against Bob's average SNR performance of the system is illustrated in Fig. 6.5 for different number of receivers with a fixed  $\gamma_e = 0$  dB of average SNR at Eve. Considering a secrecy rate of  $\kappa = 1$  bit and target outage probability of  $P_{SO} = 10^{-3}$ , one can observe that the

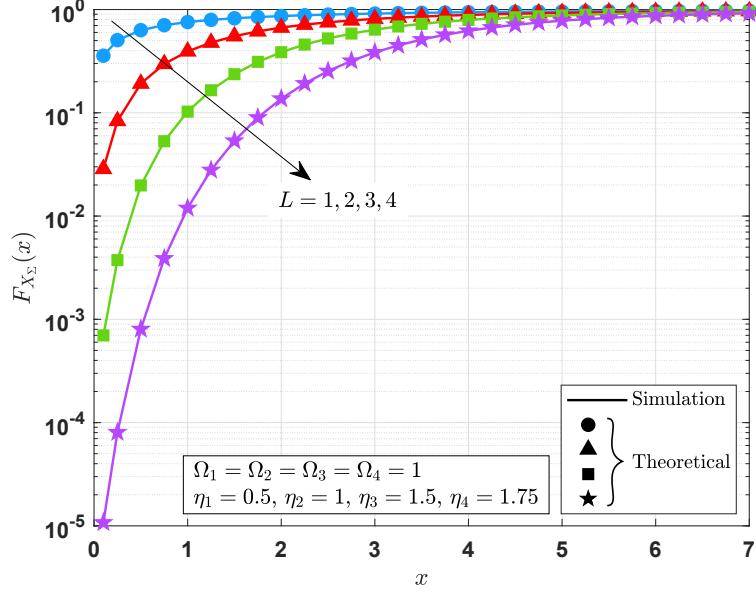


Figure 6.4: CDF of the sum of  $L$  Weibull random variates.

system is able to satisfy these requirements at  $\gamma_b = 18, 20, 24, 32$  dB for  $L = 1, 2, 3, 4$ , respectively. As expected, increasing the number of receiving antennas significantly increases the probability of having secure communications.

Likewise, Fig. 6.6 shows the SOP performance of the system for different secrecy rates with  $L = 4$  receiving antennas. We consider a fixed average SNR of Eve as  $\gamma_e = 5$  dB and set the link distances to  $d_b = d_e = 1$  km. Similar to the results obtained from Fig. 6.5, it is clear from this figure that the performance improves as the number of the diversity paths increase, which is as expected.

The secrecy outage performance of a hybrid SIMO system as a function of average SNR at Bob illustrated in Fig. 6.7 for different number of receiver in the presence of a hybrid eavesdropper. The average SNR at Eve is considered as  $\gamma_e = 10$  dB, and the communication link distance is set to  $d_b = d_e = 1$  km for both Bob and Eve. Note that the parameters  $n_{L,o,x}$  and  $n_{L,f,x}$  denotes the number of FSO photodiodes and *mmWave* receiving antennas, where the subscript  $x$  denotes the receiving side, i.e.,  $x = b$  for Bob and  $x = e$  for Eve. It is obvious from the figure that increasing the number of photodiodes and receiving antennas improves the secrecy performance



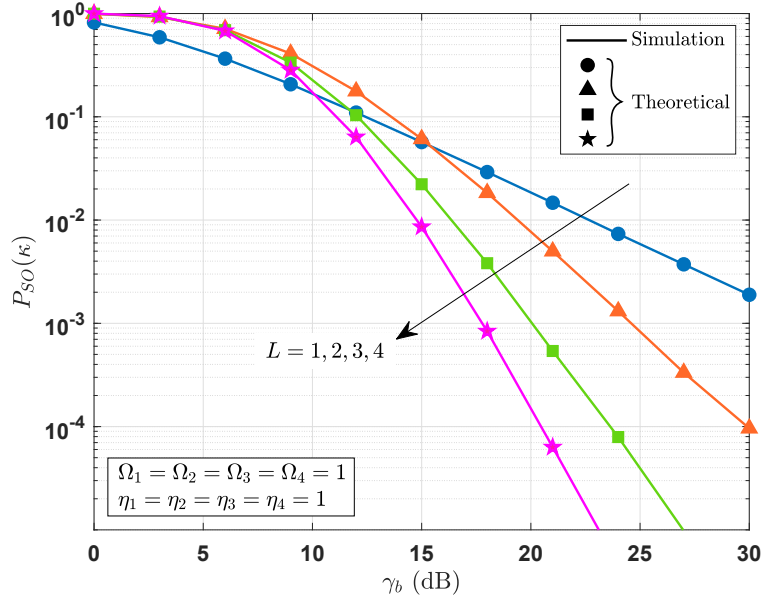


Figure 6.5: Secrecy performance versus average signal-to-noise ratio of the system for different number of receivers. ( $\kappa = 1$  bit,  $\gamma_e = 0$  dB,  $d_b = d_e = 0.5$  km)

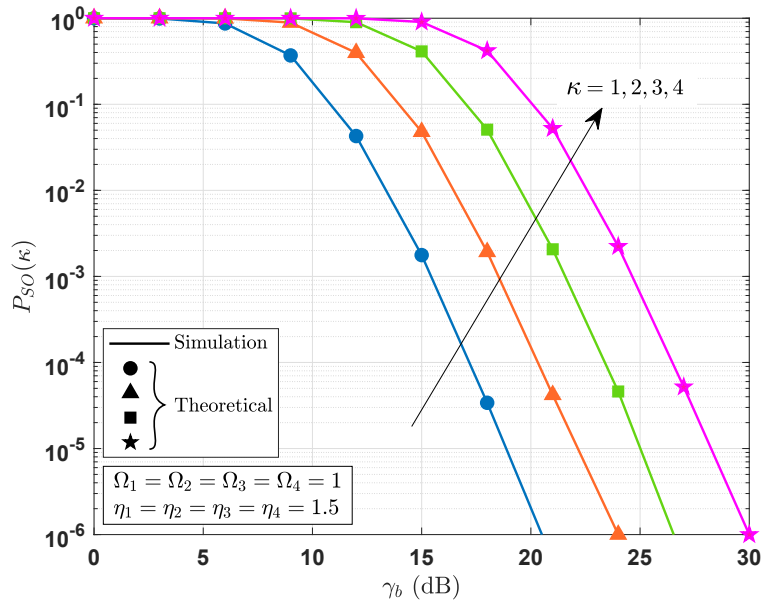


Figure 6.6: Secrecy performance versus average signal-to-noise ratio of the system for different secrecy rates. ( $L = 4$ ,  $\gamma_e = 5$  dB,  $d_b = d_e = 1$  km)

of Bob. Additionally, the accuracy of the proposed SOP solution has perfect agreement with the Monte Carlo simulations.

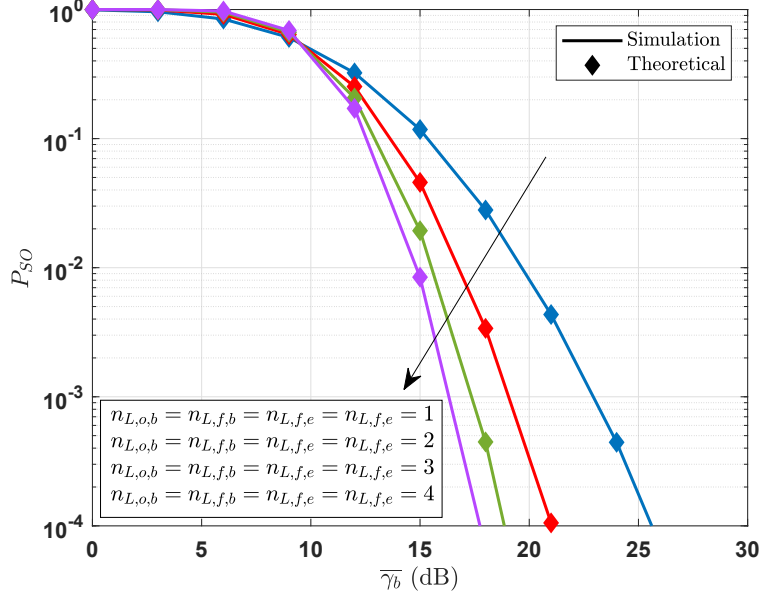


Figure 6.7: Secrecy outage performance of a SIMO system as a function of average SNR in the presence of a hybrid eavesdropper considering different number of receivers. (Clean Weather,  $d_b = d_e = 1$  km,  $\gamma_e = 10$  dB)

The secrecy outage performance of a hybrid SIMO system as a function of average SNR at Bob illustrated in Fig. 6.8 for different number of receiver in the presence of different types of eavesdroppers. The average SNR at Eve is considered as  $\gamma_e = 5$  dB, and the communication link distance is set to  $d_b = d_e = 1.25$  km for both Bob and Eve. As it is seen from the figure, SOP performance of different systems can be simply investigated by using the same equation in the presence of different types of eavesdroppers.

## 6.6 Concluding Remarks

In this chapter, a unified MGF-based framework is proposed for physical layer security analysis of hybrid FSO-RF systems over generalized fading channels. The communication between the legitimate transmitter and the legitimate receiver Bob is established over at least two parallel links, however, the legitimate receiver Bob may have more than one antenna and one photodetector. Three different eavesdroppers, namely, RF-, FSO- and hybrid-Eve, are considered, and an MRC receiver is employed at both Bob's and Eve's side. The proposed metrics, such as SOP,

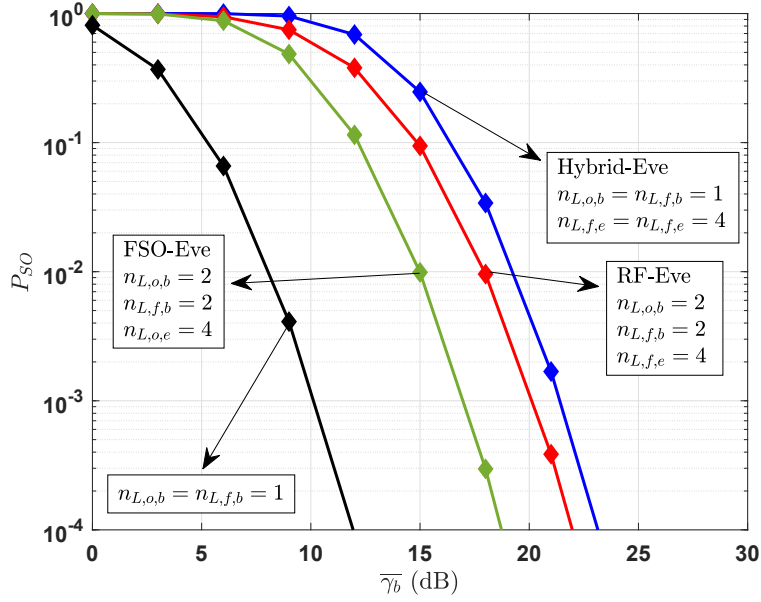


Figure 6.8: Secrecy outage performance of a SIMO system as a function of average SNR in the presence of different types of eavesdroppers considering different number of receivers. (Haze Weather,  $d_b = d_e = 1.5$  km,  $\gamma_e = 5$  dB)

probability of SPSC, EST, SOR, and SOD, are in the generic forms, which can be used for any fading channels and any types of eavesdroppers. Therefore, the proposed metrics allow us to simulate various scenarios of interest in the context of hybrid FSO-*mm*Wave communications including several fundamental physical layer parameters. In the light of results, the accuracy of the proposed derivations are shown, in which the theory and Monte Carlo simulations are in a good agreement. Moreover, the proposed framework can be also used to investigate the performance analysis of a hybrid FSO-RF system without an eavesdropper.

## 7. CONCLUSION AND FUTURE WORK

### 7.1 Conclusion

In this dissertation, hybrid FSO-*mmWave* systems are investigated from a physical-layer security point of view in the presence of different types of eavesdroppers, where the communication between two legitimate peers takes place over both FSO and *mmWave* links, simultaneously. Practical scenarios are examined to eavesdrop on the legitimate communication, and the effects of random radio power of *mmWave* links and optical irradiance of FSO links are discussed on the probability of achieving a secure transmission. The impact of fundamental physical layer parameters on the secrecy performance of the hybrid system is analyzed by obtaining analytical derivations of several performance metrics.

The results presented in this dissertation may open a new perspective of thinking when designing a hybrid FSO-*mmWave* communication system, and considering a new constraint in case a specific level of security is required. Furthermore, the practical scenarios considered for different types of eavesdroppers is also an interesting and attractive point for future research areas.

In this framework, specific contributions and possible extensions along with future directions of this dissertation are presented below.

### 7.2 List of Specific Contributions

Similar to the section 1.5, specific contributions of this dissertation can be summarized as follows:

- Performance Analysis of Hybrid FSO-*mmWave* Systems
  - Secrecy analysis is made for single-hop systems, and dual-hop relay-based systems.
  - Not necessarily identical independent and dependent wiretap channels are investigated.
  - Hybrid-type eavesdropper is proposed in comparison with RF and FSO ones.
  - Fundamental physical layer parameters are examined along with practical scenarios.

- Link Selection in Hybrid FSO-*mm*Wave Systems
  - A novel IM-based link selection mechanism is proposed along with related discussions.
  - An enhanced security algorithm is proposed on the basis of CSI-based precoding.
  - CNN networks are designed to enhance secrecy performance of MIMO transmissions.
- Generic Framework for Hybrid FSO-*mm*Wave Systems
  - A novel unified MGF-based framework is proposed over generalized fading channels.
  - Generic derivations of SOP, SPSC, EST, SOR, and SOD metrics are made for SIMO systems.
  - The proposed framework is generic enough to accommodate any fading model and eavesdropper type.

### 7.3 Future Directions

In the light of the studies presented in this dissertation, the following items can be considered as possible research topics.

- Mobility. FSO systems are currently considered for fixed point-to-point communications because of the LOS requirement of FSO links. However, beam tracking systems have been introduced to support the mobile wireless communications in FSO systems. Additionally, unmanned aerial vehicles (UAVs) are rapidly adopted to assist current communication systems. Therefore, considering the recent developments, the concept of UAV-based mobile nodes is a promising future research topic in hybrid FSO-RF systems, where an UAV-based mobile node could be considered as a legitimate relay or an eavesdropper.
- Active Eavesdropping. Recently, beam-splitting attacks are being proposed as a practical active eavesdropping scenario in FSO systems. However, it should be noted that the eavesdropper cannot simply put a beam splitter in front of the legitimate receiver or anywhere else in the middle of the FSO link (atmosphere) in practice. Because the beam splitter is an

optics component that must be used within a combination of other optical components in an FSO receiver (telescope, mirrors, lenses, etc). Thus, it cannot be imagined a single beam splitter placed in the legitimate link to split a portion of the beam towards the eavesdropper's location in practice. This kind of beam splitting attack only happens in fiber communication links, although several studies considered this attack for FSO merely for the sake of theoretical calculations. Therefore, the most practical eavesdropping scenario would be a passive attack where Eve tries to put her receiver within the beam footprint of the FSO link to passively eavesdrop a fraction of power. As a conclusion, the investigation of an active eavesdropping scenario is a very important future research topic in hybrid FSO-RF systems.

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## APPENDIX A

### DERIVATION OF PEP IN (3.29)

As both  $\mathbf{h}_o$  and  $\mathbf{h}_f$  are independent random variables, (3.28) can be expressed as

$$\text{PEP}_{kq} = \frac{1}{\pi} \int_0^{\frac{\pi}{2}} \mathbf{I}_o \mathbf{I}_f \cdot d\theta, \quad (\text{A.1})$$

where  $\mathbf{I}_o$  and  $\mathbf{I}_f$  are respectively defined as

$$\mathbf{I}_o = \int_0^\infty \exp\left(-\frac{\varphi_o |\mathbf{h}_o|^2}{4\sigma^2 \sin^2 \theta}\right) f_{\mathbf{h}_o}(\mathbf{h}_o) \cdot d\mathbf{h}_o, \quad (\text{A.2})$$

and,

$$\mathbf{I}_f = \int_0^\infty \exp\left(-\frac{\varphi_f |\mathbf{h}_f|^2}{4\sigma^2 \sin^2 \theta}\right) f_{|\mathbf{h}_f|^2}(|\mathbf{h}_f|^2) \cdot d|\mathbf{h}_f|^2. \quad (\text{A.3})$$

Depending on the symbol vectors  $\mathbf{x}_o$  and  $\mathbf{x}_f$ , there are three different cases for  $\Delta_o$  and  $\Delta_f$ .

**Case I:** When  $\Delta_o = 0$  and  $\Delta_f \neq 0$ , then,  $\mathbf{I}_o = 1$ , therefore, (A.1) yields

$${}^{(1)}\text{PEP}_{kq} = \frac{1}{\pi} \int_0^{\frac{\pi}{2}} \mathbf{I}_f \cdot d\theta. \quad (\text{A.4})$$

The integral  $\mathbf{I}_f$  given in (A.3) can be re-written as

$$\mathbf{I}_f = \frac{m^m}{\Gamma(m)} \int_0^\infty \gamma^{m-1} e^{-(\omega_f+m)\gamma} \cdot d\gamma, \quad (\text{A.5})$$

where  $\omega_f = \frac{\varphi_f}{4\sigma^2 \sin^2 \theta}$ . It can be solved using [82, (3.381.4)] as

$$\mathbf{I}_f = \left(\frac{m}{\omega_f + m}\right)^m. \quad (\text{A.6})$$

Further, using the value of  $\omega_f = \frac{\varphi_f}{4\sigma^2 \sin^2 \theta}$ ,  $\mathbf{I}_f$  can be expressed as

$$\mathbf{I}_f = \left( \frac{\sin^2(\theta)}{\frac{\omega_f}{4m\sigma^2} + \sin^2(\theta)} \right)^m. \quad (\text{A.7})$$

By substituting (A.7) into (A.4), the average PEP can be re-written as

$${}^{(1)}\text{PEP}_{kq} = \frac{1}{\pi} \int_0^{\frac{\pi}{2}} \left( \frac{\sin^2(\theta)}{\varrho + \sin^2(\theta)} \right)^m \cdot d\theta, \quad (\text{A.8})$$

where  $\varrho = \frac{\omega_f}{4m\sigma^2}$ . Then  ${}^{(1)}\text{PEP}_{kq}$  can be solved using [114, (64)] in a closed form expression as

$${}^{(1)}\text{PEP}_{kq} = \chi^m \sum_{t=0}^{m-1} \binom{m-1+t}{t} (1-\chi)^t, \quad (\text{A.9})$$

where  $\chi = \frac{1}{2} \left( 1 - \sqrt{\frac{\varrho}{1+\varrho}} \right)$ .

**Case II:** When  $\Delta_f = 0$  and  $\Delta_o \neq 0$ , then,  $\mathbf{I}_f = 1$ . Therefore, (A.1) yields

$${}^{(2)}\text{PEP}_{kq} = \frac{1}{\pi} \int_0^{\frac{\pi}{2}} \mathbf{I}_o \cdot d\theta. \quad (\text{A.10})$$

To solve the integral, we use the Fox-H representation of the PDF  $f_{\mathbf{h}_o}(\mathbf{h}_o)$  [178, (2.9.19)]

$$f_{\mathbf{h}_o}(\mathbf{h}_o) = \frac{\eta}{2} \mathbf{h}_o^{\mu-1} H_{0,2}^{2,0} \left( c \mathbf{h}_o \left| \begin{matrix} - \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1) \end{matrix} \right. \right), \quad (\text{A.11})$$

where  $c = \alpha\beta$ ,  $\mu = \frac{\alpha+\beta}{2}$  and  $\eta = \frac{2c^\mu}{\Gamma(\alpha)\Gamma(\beta)}$ . As such, (A.2) can be re-written as

$$\mathbf{I}_o = \frac{\eta}{2} \int_0^\infty \exp(-\omega_o |\mathbf{h}_o|^2) \mathbf{h}_o^{\mu-1} H_{0,2}^{2,0} \left( c \mathbf{h}_o \left| \begin{matrix} - \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1) \end{matrix} \right. \right) \cdot d\mathbf{h}_o, \quad (\text{A.12})$$

where  $\omega_o = \frac{\varphi_o}{4\sigma^2 \sin^2 \theta}$ . The term  $\exp(-\omega_o |\mathbf{h}_o|^2) \mathbf{h}_o^{\mu-1}$  can also be expressed in terms of the Fox-H

function [178, (2.9.4)], and hence, (A.12) can be re-written as

$$\mathbf{I}_o = \frac{\eta}{4} \int_0^\infty \omega_o^{\frac{1-\mu}{2}} H_{0,1}^{1,0} \left( \mathbf{h}_o \sqrt{\omega_o} \left| \begin{array}{c} - \\ (\frac{\mu-1}{2}, \frac{1}{2}) \end{array} \right. \right) H_{0,2}^{2,0} \left( c \mathbf{h}_o \left| \begin{array}{c} - \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1) \end{array} \right. \right) \cdot d\mathbf{h}_o, \quad (\text{A.13})$$

which can be further modified using [83, (8.3.2.6)] to be

$$\mathbf{I}_o = \frac{\eta}{4} \int_0^\infty \omega_o^{\frac{1-\mu}{2}} H_{1,2}^{1,1} \left( \mathbf{h}_o \sqrt{\omega_o} \left| \begin{array}{c} (0, 1) \\ (\frac{\mu-1}{2}, \frac{1}{2}), (0, 1) \end{array} \right. \right) H_{1,3}^{2,1} \left( c \mathbf{h}_o \left| \begin{array}{c} (0, 1) \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (0, 1) \end{array} \right. \right) \cdot d\mathbf{h}_o. \quad (\text{A.14})$$

This integral can be simplified using [83, (2.25.1.1)] as

$$\mathbf{I}_o = \frac{\eta}{4} \omega_o^{\frac{-\mu}{2}} H_{3,4}^{3,2} \left( \frac{c}{\sqrt{\omega_o}} \left| \begin{array}{c} (0, 1), (1 - \frac{\mu}{2}, \frac{1}{2}), (0, 1) \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (0, 1), (0, 1) \end{array} \right. \right), \quad (\text{A.15})$$

which can be further reduced to a simpler order using [83, (8.3.2.6)] to be

$$\mathbf{I}_o = \frac{\eta}{4} \omega_o^{\frac{-\mu}{2}} H_{2,3}^{3,1} \left( \frac{c}{\sqrt{\omega_o}} \left| \begin{array}{c} (1 - \frac{\mu}{2}, \frac{1}{2}), (0, 1) \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (0, 1) \end{array} \right. \right). \quad (\text{A.16})$$

Now, by substituting (A.16) into (A.10), the average PEP can be re-written as

$${}^{(2)}\text{PEP}_{kq} = \frac{\eta}{4\pi} \left( \frac{\varphi_o}{4\sigma^2} \right)^{\frac{-\mu}{2}} \int_0^{\frac{\pi}{2}} \sin^2(\theta)^{\frac{\mu}{2}} H_{2,3}^{3,1} \left( \frac{c}{\sqrt{\omega_o}} \left| \begin{array}{c} (1 - \frac{\mu}{2}, \frac{1}{2}), (0, 1) \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (0, 1) \end{array} \right. \right) \cdot d\theta, \quad (\text{A.17})$$

which can be solved in a closed form expression using [83, (2.25.2.2)]

$${}^{(2)}\text{PEP}_{kq} = \frac{\eta}{8\pi} \left( \frac{\varphi_o}{4\sigma^2} \right)^{\frac{-\mu}{2}} H_{4,4}^{3,3} \left( c' \left| \begin{array}{c} (\frac{1}{2} - \frac{\mu}{2}, \frac{1}{2}), (\frac{1}{2}, 0), (1 - \frac{\mu}{2}, \frac{1}{2}), (0, 1) \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (0, 1), (\frac{-\mu}{2}, \frac{1}{2}) \end{array} \right. \right), \quad (\text{A.18})$$

where  $c' = c \left( \frac{4\sigma^2}{\varphi_o} \right)^{\frac{1}{2}}$ .

**Case III:** In this case, both  $\Delta_f$  and  $\Delta_o$  are non-zero. The integral  $I_o$  is already solved in (A.16) as

$$\mathbf{I}_o = \frac{\eta}{4} \omega_o^{-\frac{\mu}{2}} H_{2,3}^{3,1} \left( \frac{c}{\sqrt{\omega_o}} \left| \begin{array}{c} (1 - \frac{\mu}{2}, \frac{1}{2}), (0, 1) \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (0, 1) \end{array} \right. \right). \quad (\text{A.19})$$

The integral  $I_f$  given in (A.3) can be re-written as

$$\mathbf{I}_f = \frac{m^m}{\Gamma(m)} \int_0^\infty e^{-\omega_f \gamma} \gamma^{m-1} e^{-m\gamma} \cdot d\gamma, \quad (\text{A.20})$$

where  $\omega_f = \frac{\varphi_f}{4\sigma^2 \sin^2 \theta}$ . By expanding the term  $e^{-m\gamma}$  using [82, (1.211.1)], (A.20) can be re-written as

$$\mathbf{I}_f = \frac{m^m}{\Gamma(m)} \sum_{t=0}^{\infty} \frac{(-m)^t}{t!} \int_0^\infty e^{-\omega_f \gamma} \gamma^{t+m-1} \cdot d\gamma, \quad (\text{A.21})$$

where the integral can be solved using [82, (3.381.4)] to yield

$$\mathbf{I}_f = \sum_{t=0}^{\infty} A_t (\sin^2 \theta)^{m+t}, \quad (\text{A.22})$$

where  $A_t = \frac{(-1)^t \Gamma(t+m)}{t! \Gamma(m)} \left( \frac{4\sigma^2 m}{\varphi_f} \right)^{t+m}$ . Now, substituting (A.16) and (A.22) in (A.1), the average PEP in (A.1) can be re-written as

$${}^{(3)}\text{PEP}_{kq} = \frac{\eta}{4\pi} \sum_{t=0}^{\infty} A'_t \int_0^{\frac{\pi}{2}} (\sin^2 \theta)^{m+t+\frac{\mu}{2}} H_{2,3}^{3,1} \left( c' \sin \theta \left| \begin{array}{c} (1 - \frac{\mu}{2}, \frac{1}{2}), (0, 1) \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (0, 1) \end{array} \right. \right) \cdot d\theta, \quad (\text{A.23})$$

where  $A'_t = A_t \left( \frac{4\sigma^2}{\varphi_o} \right)^{\frac{\mu}{2}}$ , and  $c' = c \left( \frac{4\sigma^2}{\varphi_o} \right)^{\frac{1}{2}}$ . Using [83, (2.25.2.2)], the integral in (A.23) can be

solved to yield

$${}^{(3)}\text{PEP}_{kq} = \frac{\eta}{8\pi} \sum_{t=0}^{\infty} A'_t H_{4,4}^{3,3} \left( c' \left| \begin{array}{l} (\frac{1}{2} - m - t - \frac{\mu}{2}, \frac{1}{2}), (\frac{1}{2}, 0), (1 - \frac{\mu}{2}, \frac{1}{2}), (0, 1) \\ (\frac{\beta-\alpha}{2}, 1), (\frac{\alpha-\beta}{2}, 1), (0, 1), (-m - t - \frac{\mu}{2}, \frac{1}{2}) \end{array} \right. \right). \quad (\text{A.24})$$



## APPENDIX B

### DERIVATION OF ASYMPTOTIC PEP IN (3.35)

To solve the integral in (A.1) to obtain asymptotic PEP, we need to re-formulate  $\mathbf{I}_o$  in (A.2) and  $\mathbf{I}_f$  in (A.3). First, by substituting (3.32) into (A.2),  $\mathbf{I}_o$  can be re-written as follows

$$\tilde{\mathbf{I}}_o \approx \bar{\eta} \int_0^\infty \exp\left(-\frac{\varphi_o |\mathbf{h}_o|^2}{4\sigma^2 \sin^2 \theta}\right) \mathbf{h}_o^{\beta-1} \cdot d\mathbf{h}_o, \quad (\text{B.1})$$

which can be solved by using [82, (3.381-4)] as

$$\tilde{\mathbf{I}}_o \approx \frac{\bar{\eta}}{2} \Gamma\left(\frac{\beta}{2}\right) \left(\frac{\varphi_o}{4\sigma^2}\right)^{\frac{-\beta}{2}} \sin^\beta(\theta). \quad (\text{B.2})$$

Substituting (3.33) into (A.3),  $\mathbf{I}_f$  can be re-written as

$$\tilde{\mathbf{I}}_f = \frac{m^m}{\Gamma(m)} \int_0^\infty \exp\left(-\frac{\varphi_f \gamma}{4\sigma^2 \sin^2 \theta}\right) \gamma^{m-1} \cdot d\gamma. \quad (\text{B.3})$$

The resultant integral can be written by using [82, (3.381-4)] as

$$\tilde{\mathbf{I}}_f \approx m^m \left(\frac{\varphi_f}{4\sigma^2}\right)^{-m} \sin^{2m}(\theta). \quad (\text{B.4})$$

Depending on the symbol vectors  $\mathbf{x}_o$  and  $\mathbf{x}_f$ , there are three different cases for  $\Delta_o$  and  $\Delta_f$ .

**Case I:** When  $\Delta_o = 0$  and  $\Delta_f \neq 0$ , then,  $\tilde{\mathbf{I}}_o = 1$ , therefore, (A.1) yields

$${}^{(1)}\text{PEP}_{kq}^{\text{Asym}} = \frac{1}{\pi} \int_0^{\frac{\pi}{2}} \tilde{\mathbf{I}}_f \cdot d\theta. \quad (\text{B.5})$$

By substituting (B.4) into (B.5), asymptotic PEP can be re-written as

$${}^{(1)}\text{PEP}_{kq}^{\text{Asym}} \approx \frac{1}{\pi} \int_0^{\frac{\pi}{2}} m^m \left( \frac{\varphi_f}{4\sigma^2} \right)^{-m} \sin^{2m}(\theta) \cdot d\theta, \quad (\text{B.6})$$

which can be approximated by setting  $\theta = \frac{\pi}{2}$  and solving the integral as

$${}^{(1)}\text{PEP}_{kq}^{\text{Asym}} \approx \frac{m^m}{2} \left( \frac{\rho_f^r |\Delta_f|^2}{4\sigma^2} \right)^{-m}. \quad (\text{B.7})$$

**Case II:** When  $\Delta_f = 0$  and  $\Delta_o \neq 0$ , then,  $\tilde{\mathbf{I}}_f = 1$ . Therefore, (A.1) yields

$${}^{(2)}\text{PEP}_{kq}^{\text{Asym}} = \frac{1}{\pi} \int_0^{\frac{\pi}{2}} \tilde{\mathbf{I}}_o \cdot d\theta. \quad (\text{B.8})$$

By substituting (B.2) into (B.8), asymptotic PEP can be re-written as

$${}^{(2)}\text{PEP}_{kq}^{\text{Asym}} \approx \frac{1}{\pi} \int_0^{\frac{\pi}{2}} \frac{\bar{\eta}}{2} \Gamma\left(\frac{\beta}{2}\right) \left( \frac{\varphi_o}{4\sigma^2} \right)^{-\frac{\beta}{2}} \sin^\beta(\theta) \cdot d\theta, \quad (\text{B.9})$$

which can be approximated by setting  $\theta = \frac{\pi}{2}$  and solving the integral as

$${}^{(2)}\text{PEP}_{kq}^{\text{Asym}} \approx \frac{\bar{\eta}}{4} \Gamma\left(\frac{\beta}{2}\right) \left( \frac{\xi^2 (\rho_o^r)^2 |\Delta_o|^2}{4\sigma^2} \right)^{-\frac{\beta}{2}}. \quad (\text{B.10})$$

**Case III:** Substituting (B.2) and (B.4) into (A.1), the asymptotic PEP can be written as

$${}^{(3)}\text{PEP}_{kq}^{\text{Asym}} \approx \frac{1}{\pi} \int_0^{\frac{\pi}{2}} \frac{\bar{\eta}}{2} \Gamma\left(\frac{\beta}{2}\right) \left( \frac{\varphi_o}{4\sigma^2} \right)^{-\frac{\beta}{2}} m^m \left( \frac{\varphi_f}{4\sigma^2} \right)^{-m} \sin^{\frac{\beta}{2}+2m}(\theta) \cdot d\theta. \quad (\text{B.11})$$

By setting  $\theta = \frac{\pi}{2}$ , the asymptotic PEP can be approximated as

$${}^{(3)}\text{PEP}_{kq}^{\text{Asym}} \approx m^m \frac{\bar{\eta}}{4} \Gamma\left(\frac{\beta}{2}\right) \left( \frac{\rho_f^r |\Delta_f|^2}{4\sigma^2} \right)^{-m} \left( \frac{\xi^2 (\rho_o^r)^2 |\Delta_o|^2}{4\sigma^2} \right)^{-\frac{\beta}{2}}. \quad (\text{B.12})$$

## APPENDIX C

### DEFINITION OF THE PARAMETERS IN SECTION 5.5

The parameters  $a_1$  and  $a_2$  that are used in (5.43) and (5.50)

$$\begin{cases}
 a_1 = m_1 - \ell - 1, \\
 a_2 = m_1/\bar{\gamma}_{1,f},
 \end{cases}
 \quad \text{for } \mathcal{I}_{2,o}^{\text{VG}}, \text{ and } \mathcal{I}_{2,f}^{\text{VG}},$$

$$\begin{cases}
 a_1 = m_2 - \ell - 1, \\
 a_2 = m_2/\bar{\gamma}_{2,f},
 \end{cases}
 \quad \text{for } \mathcal{I}_{3,o}^{\text{VG}}, \text{ and } \mathcal{I}_{3,f}^{\text{VG}},$$

$$\begin{cases}
 a_1 = m_1 + m_2 - 2\ell - 2, \\
 a_2 = m_1/\bar{\gamma}_{1,f} + m_2/\bar{\gamma}_{2,f},
 \end{cases}
 \quad \text{for } \mathcal{I}_{4,o}^{\text{VG}}, \text{ and } \mathcal{I}_{4,f}^{\text{VG}}.
 \tag{C.1}$$

The parameters  $a_1$  and  $a_2$  that are used in (5.52)

$$\begin{cases}
 a_1 = m_e - \ell_e - 1, \\
 a_2 = m_e/\bar{\gamma}_{e,f},
 \end{cases}
 \quad \text{for } \mathcal{I}_{1,h}^{\text{VG}},$$

$$\begin{cases}
 a_1 = m_1 + m_e - \ell - \ell_e - 2, \\
 a_2 = m_1/\bar{\gamma}_{1,f} + m_e/\bar{\gamma}_{e,f},
 \end{cases}
 \quad \text{for } \mathcal{I}_{2,h}^{\text{VG}},$$

$$\begin{cases}
 a_1 = m_2 + m_e - \ell - \ell_e - 2, \\
 a_2 = m_2/\bar{\gamma}_{2,f} + m_e/\bar{\gamma}_{e,f},
 \end{cases}
 \quad \text{for } \mathcal{I}_{3,h}^{\text{VG}},$$

$$\begin{cases}
 a_1 = m_1 + m_2 + m_e - 2\ell - \ell_e - 3, \\
 a_2 = m_1/\bar{\gamma}_{1,f} + m_2/\bar{\gamma}_{2,f} + m_e/\bar{\gamma}_{e,f},
 \end{cases}
 \quad \text{for } \mathcal{I}_{4,h}^{\text{VG}}.
 \tag{C.2}$$

The parameters  $a_1$ ,  $a_2$ ,  $b_1$  and  $b_2$  that are used in (5.58) and (5.61)

$$\left\{ \begin{array}{l} a_1 = (2m_1 + m_2 - 2\ell_1 - \ell_2 - k - 5)/2, \\ a_2 = (m_2 - \ell_2 - k - 2)/2, \\ b_1 = m_1/\bar{\gamma}_{1,f}, \\ b_2 = \mathcal{G}m_1m_2/\bar{\gamma}_{1,f}\bar{\gamma}_{2,f} \end{array} \right. \quad \text{for } \mathcal{I}_{2,o}^{\text{FG}} \text{ and } \mathcal{I}_{2,f}^{\text{FG}},$$

$$\left\{ \begin{array}{l} a_1 = (2m_1 + m_2 - 2\ell_1 - \ell_2 - k - 3)/2, \\ a_2 = (m_2 - \ell_2 - k - 1)/2, \\ b_1 = m_1/\bar{\gamma}_{1,f}, \\ b_2 = \mathcal{G}m_1m_2/\bar{\gamma}_{1,f}\bar{\gamma}_{2,f} \end{array} \right. \quad \text{for } \mathcal{I}_{3,o}^{\text{FG}} \text{ and } \mathcal{I}_{3,f}^{\text{FG}}. \quad (\text{C.3})$$

The parameters  $a_1$ ,  $a_2$ ,  $b_1$  and  $b_2$  that are used in (5.64)

$$\left\{ \begin{array}{l} a_1 = (2m_1 + m_2 + 2m_e - 2\ell_1 - \ell_2 - \ell - k - 7)/2, \\ a_2 = (m_2 - \ell_2 - k - 2)/2, \\ b_1 = (m_1/\bar{\gamma}_{1,f}) + (m_e/\bar{\gamma}_{e,f}), \\ b_2 = \mathcal{G}m_1m_2/\bar{\gamma}_{1,f}\bar{\gamma}_{2,f} \end{array} \right. \quad \text{for } \mathcal{I}_{2,h}^{\text{FG}},$$

$$\left\{ \begin{array}{l} a_1 = (2m_1 + m_2 + 2m_e - 2\ell_1 - \ell_2 - \ell - k - 5)/2, \\ a_2 = (m_2 - \ell_2 - k - 1)/2, \\ b_1 = (m_1/\bar{\gamma}_{1,f}) + (m_e/\bar{\gamma}_{e,f}), \\ b_2 = \mathcal{G}m_1m_2/\bar{\gamma}_{1,f}\bar{\gamma}_{2,f} \end{array} \right. \quad \text{for } \mathcal{I}_{3,h}^{\text{FG}}. \quad (\text{C.4})$$

The parameters  $a_1$  and  $a_2$  that are used in (5.71)

$$\begin{cases} a_1 = m_1 - \ell + \frac{\alpha_e + \beta_e}{4} - 1, \\ a_2 = m_1(2^{\mathcal{R}} - 1)/\bar{\gamma}_{1,f}, \end{cases} \quad \text{for } \mathcal{T}_{1,o}^{\text{VG}},$$

$$\begin{cases} a_1 = m_2 - \ell + \frac{\alpha_e + \beta_e}{4} - 1, \\ a_2 = m_2(2^{\mathcal{R}} - 1)/\bar{\gamma}_{2,f}, \end{cases} \quad \text{for } \mathcal{T}_{2,o}^{\text{VG}},$$

$$\begin{cases} a_1 = m_1 + m_2 - 2\ell + \frac{\alpha_e + \beta_e}{4} - 2, \\ a_2 = m_1/\bar{\gamma}_{1,f} + m_2/\bar{\gamma}_{2,f}, \end{cases} \quad \text{for } \mathcal{T}_{3,o}^{\text{VG}}. \quad (\text{C.5})$$

The parameters  $a_1$  and  $a_2$  that are used in (5.73)

$$\begin{cases} a_1 = m_1 + m_e - \ell - 2, \\ a_2 = m_1(2^{\mathcal{R}} - 1)/\bar{\gamma}_{1,f} + m_e/\bar{\gamma}_{e,f}, \end{cases} \quad \text{for } \mathcal{T}_{1,f}^{\text{VG}},$$

$$\begin{cases} a_1 = m_2 + m_e - \ell - 2, \\ a_2 = m_2(2^{\mathcal{R}} - 1)/\bar{\gamma}_{2,f} + m_e/\bar{\gamma}_{e,f}, \end{cases} \quad \text{for } \mathcal{T}_{2,f}^{\text{VG}},$$

$$\begin{cases} a_1 = m_1 + m_2 + m_e - 2\ell - 3, \\ a_2 = (m_1/\bar{\gamma}_{1,f} + m_2/\bar{\gamma}_{2,f})2^{\mathcal{R}} + m_e/\bar{\gamma}_{e,f}, \end{cases} \quad \text{for } \mathcal{T}_{3,f}^{\text{VG}}. \quad (\text{C.6})$$

The parameters  $a_1$  and  $a_2$  that are used in (5.75)

$$\begin{cases} a_1 = m_1 + m_e - 2\ell - 4, \\ a_2 = m_1(2^{\mathcal{R}} - 1)/\bar{\gamma}_{1,f} + m_e/\bar{\gamma}_{e,f}, \end{cases} \quad \text{for } \mathcal{T}_{1,h}^{\text{VG}}, \\
\begin{cases} a_1 = m_1 + m_e - 2\ell - 3, \\ a_2 = m_1(2^{\mathcal{R}} - 1)/\bar{\gamma}_{1,f} + m_e/\bar{\gamma}_{e,f}, \end{cases} \quad \text{for } \mathcal{T}_{4,h}^{\text{VG}}, \\
\begin{cases} a_1 = m_2 + m_e - 2\ell - 4, \\ a_2 = m_2(2^{\mathcal{R}} - 1)/\bar{\gamma}_{2,f} + m_e/\bar{\gamma}_{e,f}, \end{cases} \quad \text{for } \mathcal{T}_{2,h}^{\text{VG}}, \\
\begin{cases} a_1 = m_2 + m_e - 2\ell - 3, \\ a_2 = m_2(2^{\mathcal{R}} - 1)/\bar{\gamma}_{2,f} + m_e/\bar{\gamma}_{e,f}, \end{cases} \quad \text{for } \mathcal{T}_{5,h}^{\text{VG}}, \\
\begin{cases} a_1 = m_1 + m_2 + m_e - 2\ell - 5, \\ a_2 = (m_1/\bar{\gamma}_{1,f} + m_2/\bar{\gamma}_{2,f})2^{\mathcal{R}} + m_e/\bar{\gamma}_{e,f}, \end{cases} \quad \text{for } \mathcal{T}_{3,h}^{\text{VG}}, \\
\begin{cases} a_1 = m_1 + m_2 + m_e - 2\ell - 4, \\ a_2 = (m_1/\bar{\gamma}_{1,f} + m_2/\bar{\gamma}_{2,f})2^{\mathcal{R}} + m_e/\bar{\gamma}_{e,f}, \end{cases} \quad \text{for } \mathcal{T}_{6,h}^{\text{VG}}. \quad (\text{C.7})
\end{cases}$$

The parameters  $a_1$  and  $a_2$  that are used in (5.80) and (5.82)

$$\begin{cases} a_1 = (2m_1 + m_2 - 2\ell_1 - \ell_2 - k - 5)/2, \\ a_2 = (m_2 - \ell_2 - k - 2)/2, \end{cases} \quad \text{for } \mathcal{T}_{1,o}^{\text{FG}}, \\
\begin{cases} a_1 = (2m_1 + m_2 - 2\ell_1 - \ell_2 - k - 3)/2, \\ a_2 = (m_2 - \ell_2 - k - 1)/2, \end{cases} \quad \text{for } \mathcal{T}_{2,o}^{\text{FG}}. \quad (\text{C.8})
\end{cases}$$

The parameters  $a_1$  and  $a_2$  that are used in (5.84)

$$\begin{cases}
a_1 = (2m_1 + m_2 - 2\ell_1 - \ell_2 - k - 5)/2, \\
a_2 = (m_2 - \ell_2 - k - 2)/2, \\
a_3 = m_e - \ell - 3,
\end{cases}
\quad \text{for } \mathcal{T}_{1,h}^{\text{FG}},$$

$$\begin{cases}
a_1 = (2m_1 + m_2 - 2\ell_1 - \ell_2 - k - 3)/2, \\
a_2 = (m_2 - \ell_2 - k - 1)/2, \\
a_3 = m_e - \ell - 3,
\end{cases}
\quad \text{for } \mathcal{T}_{2,h}^{\text{FG}},$$

$$\begin{cases}
a_1 = (2m_1 + m_2 - 2\ell_1 - \ell_2 - k - 5)/2, \\
a_2 = (m_2 - \ell_2 - k - 2)/2, \\
a_3 = m_e - \ell - 2,
\end{cases}
\quad \text{for } \mathcal{T}_{3,h}^{\text{FG}},$$

$$\begin{cases}
a_1 = (2m_1 + m_2 - 2\ell_1 - \ell_2 - k - 3)/2, \\
a_2 = (m_2 - \ell_2 - k - 1)/2, \\
a_3 = m_e - \ell - 2,
\end{cases}
\quad \text{for } \mathcal{T}_{4,h}^{\text{FG}}. \quad (\text{C.9})$$

The parameters  $B_1$ ,  $B_2$ , and  $B_3$  that are used in (5.27), (5.28), (5.65), (5.66), (5.67), (5.85), (5.86), and (5.87)

$$\begin{aligned}
B_1 &= \binom{m_1 - \ell_1 - 1}{k} \mathcal{G}^k \left( \frac{\mathcal{G}m_1\bar{\gamma}_{2,f}}{m_2\bar{\gamma}_{1,f}} \right)^{\frac{m_2 - \ell_2 - k - 1}{2}}, \\
B_2 &= (m_2 - \ell_2 - 2)m_2\bar{\gamma}_{1,f}/\mathcal{G}m_1\bar{\gamma}_{2,f}, \\
B_3 &= m_2/\bar{\gamma}_{2,f}.
\end{aligned} \quad (\text{C.10})$$

The parameters  $\widehat{B}_2$  and  $\widehat{B}_3$  that are used in (5.28) (5.85), (5.86), and (5.87)

$$\begin{aligned}\widehat{B}_2 &= \frac{(m_2 - \ell_2 - 2)m_2\bar{\gamma}_{1,f}}{\mathcal{G}m_1\bar{\gamma}_{2,f}} \left( \frac{\bar{\gamma}_{1,f}\bar{\gamma}_{2,f}}{\mathcal{G}m_1m_2} \right)^{\frac{2m_1+m_2-2\ell_1-\ell_2-k-5}{2}}, \\ \widehat{B}_3 &= \frac{m_2}{\bar{\gamma}_{2,f}} \left( \frac{\mathcal{G}m_1m_2}{\bar{\gamma}_{1,f}\bar{\gamma}_{2,f}} \right)^{-\frac{2m_1+m_2-2\ell_1-\ell_2-k-5}{2}}.\end{aligned}\tag{C.11}$$

The parameters  $A_1$ ,  $A_2$ , and  $A_3$  that are used in (5.76), (5.77), and (5.78)

$$\begin{aligned}A_1 &= \binom{m_1 - \ell - 1}{k} (2^{\mathcal{R}} - 1)^k 2^{\mathcal{R}(m_1-\ell-1)} e^{-\frac{m_1(2^{\mathcal{R}}-1)}{\bar{\gamma}_{1,f}}}, \\ A_2 &= \binom{m_2 - \ell - 1}{k} (2^{\mathcal{R}} - 1)^k 2^{\mathcal{R}(m_2-\ell-1)} e^{-\frac{m_2(2^{\mathcal{R}}-1)}{\bar{\gamma}_{2,f}}}, \\ A_3 &= \binom{m_1 + m_2 - 2\ell - 2}{k} (2^{\mathcal{R}} - 1)^k 2^{\mathcal{R}(m_1+m_2-2\ell-2)} e^{-\left(\frac{m_1}{\bar{\gamma}_{1,f}} + \frac{m_2}{\bar{\gamma}_{2,f}}\right)(2^{\mathcal{R}}-1)}.\end{aligned}\tag{C.12}$$