

1 Mutations in *pepQ* Confer Low-level Resistance to Bedaquiline and Clofazimine in
2 *Mycobacterium tuberculosis*

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14 Running Head: Resistance to Bedaquiline and clofazimine in *M. tuberculosis*

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20 **Abstract**

21 The novel ATP synthase inhibitor bedaquiline recently received accelerated approval for
22 treatment of multidrug-resistant tuberculosis and is currently being studied as a component of
23 novel treatment-shortening regimens for drug-susceptible and multidrug-resistant tuberculosis. In
24 a limited number of bedaquiline-treated patients reported to date, upward shifts of ≥ 4 -fold in
25 bedaquiline MIC during treatment have been attributed to non-target-based mutations in *Rv0678*
26 that putatively increase bedaquiline efflux through the MmpS5-MmpL5 pump. These mutations
27 also confer low-level clofazimine resistance, presumably by similar mechanism. Here, we
28 describe a new non-target-based determinant of low-level bedaquiline and clofazimine cross-
29 resistance in *Mycobacterium tuberculosis*: loss-of-function mutations in *pepQ* (*Rv2535c*), a
30 putative Xaa-Pro aminopeptidase. *pepQ* mutants were selected in mice by treatment with
31 clinically relevant doses of bedaquiline, with or without clofazimine, and were shown to have
32 bedaquiline and clofazimine MICs 4 times higher than parental H37Rv strain. Co-incubation
33 with efflux inhibitors verapamil/reserpine lowered bedaquiline MICs against both mutant and
34 parent strains to a level below the MIC against H37Rv in the absence of efflux pump inhibitors.
35 However, qPCR revealed no significant differences in expression of *Rv0678*, *mmpS5* or *mmpL5*
36 between mutant and parent strains. Complementation of a *pepQ* mutant with wild-type gene
37 restored susceptibility, indicating loss of *pepQ* function is sufficient for reduced susceptibility
38 both *in vitro* and in mice. Although the mechanism by which mutations in *pepQ* confer
39 bedaquiline and clofazimine cross-resistance remains unclear, these results may have clinical
40 implications and warrant further evaluation of clinical isolates with reduced susceptibility to
41 either drug for mutations in this gene.

42 Introduction

43 Multidrug-resistant tuberculosis (MDR-TB) is a major threat to global control of
44 tuberculosis (TB). When multidrug resistance is not diagnosed, patients respond poorly to
45 standardized first-line regimens and additional resistance may develop. When MDR-TB is
46 diagnosed, current second-line regimens require prolonged treatment durations and are less
47 effective, more toxic and far more expensive than first-line therapy (1).

48 The diarylquinoline drug bedaquiline (B) received accelerated approval from the U.S.
49 Food and Drug Administration as part of combination therapy for MDR-TB when other
50 alternatives are not available (2). It is now being studied as a component of novel short-course
51 regimens for MDR as well as drug-susceptible TB (ClinicalTrials.gov Identifiers:
52 NCT02333799, NCT02193776, NCT02589782, NCT02409290, NCT02454205
53 [<https://clinicaltrials.gov/>]). For new drugs such as bedaquiline, it is essential to define and
54 catalog the mechanisms conferring bacterial resistance in order to design appropriate diagnostic
55 tests (including rapid molecular tests), to better manage the treatment of patients who fail therapy
56 or relapse after receiving the drug, and to conduct population level surveillance for changes in
57 drug susceptibility.

58 The principal mechanism of action of bedaquiline is inhibition of the mycobacterial ATP
59 synthase (3, 4). Strains selected *in vitro* for resistance to bedaquiline often have mutations in
60 *atpE*, which encodes the ATP synthase subunit to which bedaquiline binds (5). These target-
61 based mutations cause relatively large (i.e., 10-128x) shifts in MIC. However, it was noted that
62 many bedaquiline -resistant isolates selected *in vitro*, typically with smaller shifts in MIC, do not
63 have mutations in the ATP synthase complex (5). A new non-target-based mechanism conferring
64 low-level bedaquiline resistance and cross-resistance to clofazimine (C) was recently identified

65 among isolates from patients with delayed sputum culture conversion while receiving
66 bedaquiline for MDR-TB, among mice treated with bedaquiline-containing combinations, and *in*
67 *vitro* (6, 7). Although both bedaquiline and clofazimine (7) can select for non-target-based
68 mutants *in vitro*, such mutants have not yet been isolated from mice or patients treated with
69 clofazimine. The responsible mutations were found in *Rv0678*, which encodes a negative
70 regulator of *mmpL5* and *mmpS5*. Increased transcription of these genes, which comprise a
71 membrane transporter in the resistance-nodulation-division (RND) family, is putatively
72 associated with increased efflux of bedaquiline and clofazimine.

73 Identification of mutations conferring cross-resistance to clofazimine and bedaquiline is
74 important because clofazimine is a component of some short-course regimens currently under
75 study for treatment of MDR-TB (8-10) and both drugs are being used together in the ongoing
76 STREAM trial (ClinicalTrials.gov identifier: NCT02409290 [<https://clinicaltrials.gov/>]). Herein,
77 we report a new genetic determinant of low-level bedaquiline and clofazimine cross-resistance in
78 *M. tuberculosis*, conferred by mutations in *pepQ*.

79

80

81 **Materials and Methods**

82 **Bacterial strains.** *M. tuberculosis* H37Rv was passaged in mice, sub-cultured in Middlebrook
83 7H9 (Fisher Scientific) supplemented with 10% oleic acid-albumin-dextrose-catalase (OADC)
84 complex (Becton-Dickinson) and 0.05% Tween 80 (Sigma-Aldrich) and used for aerosol
85 infection when the optical density at 600 nm (O.D.₆₀₀) was approximately 1.0.

86

87 **Antimicrobials.** Pretomanid (Pa), moxifloxacin (M), bedaquiline and linezolid (L) were
88 provided by the Global Alliance for Tuberculosis Drug Development (New York, NY), Bayer
89 (Leverkusen, Germany), Janssen (Beerse, Belgium) and Pfizer (Groton, CT), respectively.
90 Rifampin (R), isoniazid (H), pyrazinamide (Z), ethambutol (E), and clofazimine were purchased
91 from Fisher or Sigma. Dosing formulations were prepared and maintained as previously
92 described (11). All drugs were administered once daily by gavage, 5 days per week.

93

94 **Aerosol infection.** Female BALB/c mice (Charles River, Wilmington, MA) aged 4-to-6 weeks
95 were infected by the aerosol route using the Inhalation Exposure System (Glas-col Inc., Terre
96 Haute, IN). Mice were randomized to treatment groups (five mice per group per time point) after
97 aerosol infection and were routinely sacrificed (i) on the day after infection to determine the
98 number of colony-forming units (CFU) implanted in the lungs, (ii) on the day of treatment
99 initiation to determine the pre-treatment CFU count, and (iii) at selected time points during and
100 after treatment. Quantitative cultures of lung homogenates were performed in parallel on
101 selective 7H11 agar with and without 0.4% activated charcoal to reduce drug carryover effects,
102 as previously described (11). All procedures involving animals were approved by the Animal
103 Care and Use Committee of Johns Hopkins University.

104

105 **Efficacy of combinations containing bedaquiline and clofazimine in murine models of TB.**

106 Beginning 14 days after high-dose aerosol infection, as previously described (12), BALB/c mice
107 received no treatment (negative controls) or treatment with the first-line regimen of RHZ
108 (positive controls) or one of the following test regimens: bedaquiline (25 mg/kg) alone, the two-
109 drug combination of bedaquiline plus clofazimine (20 mg/kg), or three-drug combinations of

110 bedaquiline plus clofazimine plus one of the following: rifampin (10 mg/kg), isoniazid, (10
111 mg/kg), pyrazinamide (150 mg/kg), ethambutol (100 mg/kg), moxifloxacin (100 mg/kg),
112 pretomanid (50 mg/kg) and linezolid (100 mg/kg). Lung CFU counts were determined for all
113 treatment groups after 4 weeks of treatment and, for mice receiving bedaquiline alone and
114 bedaquiline plus clofazimine, also after 6 and 8 weeks of treatment.

115

116 **MIC determination.** Determination of bedaquiline and clofazimine MICs and the proportion of
117 drug-resistant mutants was performed using the agar proportion method. Serial dilutions of lung
118 homogenates, colony suspensions or a broth culture were inoculated in 500 μ l aliquots onto
119 7H11 plates with and without the indicated drug. MICs were determined using doubling
120 bedaquiline and clofazimine concentrations ranging from 0.003 to 0.25 μ g/ml and 0.06 to 2
121 μ g/ml, respectively. MIC was defined as the lowest drug concentration inhibiting at least 99% of
122 the growth observed on drug-free control plates. To investigate the effect of efflux pump
123 inhibitors on the susceptibility of the strains, the MIC of bedaquiline was also determined using
124 the broth macrodilution method. Doubling concentrations of bedaquiline from 0.007 to 1 μ g/ml
125 were tested in the presence or absence of 40 μ g/ml verapamil or 3 μ g/ml reserpine. Briefly, tubes
126 containing in 2.5 ml of 7H9 broth plus OADC with the above concentrations of bedaquiline were
127 inoculated with 10^5 CFU of log phase culture of H37Rv or the B5 mutant. MIC was defined as
128 the lowest concentration that prevented visible growth after 14 days of incubation at 37°C.
129 Controls with and without efflux inhibitors and bedaquiline were included for each test. The
130 experiment was performed twice.

131

132 **DNA sequencing.** Genomic DNA from the parental wild-type strain and resistant mutants was
133 extracted by using the cetyltrimethylammonium bromide (CTAB) protocol (13) and sonicated
134 (Covaris, Inc.). The DNA library was constructed by using a genomic DNA sample preparation
135 kit (Illumina, Inc.). The samples were sequenced on an Illumina Genome Analyzer II, which was
136 operated in paired-end mode, collecting pairs of 51bp reads from opposite ends of ~250-350bp
137 fragments. Image analysis and base-calling were done by using the Illumina GA Pipeline
138 software (v0.3). Genome assembly was performed by a comparative assembly method using
139 software developed in house. Briefly, reads were aligned to the genome of H37Rv as a reference
140 sequence, and then local contig-building was used to identify insertions/deletions (14). Mutations
141 in *pepQ* (Rv2535c) were confirmed by PCR amplification using specific primers (Table S1 in the
142 supplemental material).

143

144 ***In vivo* confirmation of low-level cross-resistance to bedaquiline and clofazimine.** BALB/c
145 mice were infected with either the H37Rv parent strain or an isogenic strain (B5) with a non-
146 synonymous *pepQ* mutation and randomized to receive no treatment, isoniazid (10 mg/kg) alone,
147 bedaquiline (12.5, 25, or 50 mg/kg) alone, clofazimine (20 mg/kg) alone, or bedaquiline at 25
148 mg/kg plus clofazimine. Treatment began 4 days after infection and was administered for 4
149 weeks for all groups, except the bedaquiline 25 mg/kg groups, which received 8 weeks of
150 treatment.

151

152 **Complementation studies to confirm that a *pepQ* mutation is sufficient for bedaquiline and**
153 **clofazimine cross-resistance.** The B5 strain was complemented with pDT-Rv2535c (Figure S1
154 in the supplemental material) containing the wild-type *pepQ* gene from H37Rv.

155 Complementation was confirmed by showing loss of susceptibility to hygromycin and PCR for
156 presence of the hygromycin resistance gene. Bedaquiline and clofazimine MICs were determined
157 *in vitro*. Following aerosol infection with the H37Rv parent strain, the B5 mutant, or the B5:
158 *pepQ* complemented strain, BALB/c mice were randomized to receive no treatment, isoniazid 10
159 mg/kg, bedaquiline 25 mg/kg, or clofazimine 20 mg/kg. Treatment began three days after
160 infection and continued for 4 weeks before mice were sacrificed to determine lung CFU counts.

161 **Expression analysis of *mmpS5*, *mmpL5* and *Rv0678*:**

162 The H37Rv parent strain and the B5 mutant were grown to mid-log phase ($OD_{600} = 0.6$) in 7H9
163 broth and cells were pelleted by centrifugation. RNA was extracted by bead beating in Trizol and
164 column purified according to the manufacturer's instructions (Qiagen, Valencia, California).
165 RNA was reverse-transcribed using the iScript Reverse Transcription Supermix (Bio-Rad,
166 Hercules, CA). Real time PCR was performed with iQ SYBR Green supermix on a iCycler
167 (BioRad, Hercules, CA) using primers specific for *Rv0676c*, *Rv0677c* and *Rv0678* and 16S
168 rRNA (Table S1 in the supplemental material). All experiments were performed in biological
169 and technical triplicates. Cycle threshold values were normalized against 16S rRNA expression,
170 and fold-change was calculated by the $-2\Delta\Delta Ct$ method (15).

171

172 **Expression, purification and activity assays of *pepQ*.** The entire coding region of *Rv2535c*
173 was cloned into pET28b expression vector (Novagen) containing an in-frame N-terminal 6x-His
174 tag with the TEV cleavage site using the NdeI and HindIII restriction sites. The plasmid was
175 transformed into BL21(DE3) *E.coli* cells for expression of *Rv2535c*. Cells containing the
176 plasmid were grown at 37°C for 7 hours in LB medium with 50 µg/ml kanamycin followed by
177 induction with 1 mM IPTG and grown overnight at 18°C. The cells were lysed via a

178 Microfluidizer M-100P (Microfluidics, Worcestershire, UK) in lysis buffer (50 mM Tris, pH 7.5,
179 500 mM NaCl, 5% glycerol, DNase, and 2 mM MgCl₂) and centrifuged at 15,000 rpm for 1
180 hour. The supernatant was chromatographed over a His-tag affinity column (GE Healthcare)
181 charged with Ni. The protein was eluted with 0 to 300 mM imidazole gradient and the 6x-His tag
182 was cleaved using the tobacco etch virus protease. The final purification step was gel-filtration
183 chromatography, and on the standardized s200 Superdex (GE Healthcare) column. *pepQ* eluted
184 in two peaks: a large molecular weight aggregate that came out near the void volume, followed
185 by a distinct peak with calculated molecular weight corresponding to a tetramer (~160 KDa).
186 Formation of this tetramer is consistent with other related proteins, e.g. PDB ID 3Q6D. The
187 protein was >95% pure, as observed by SDS-PAGE, and was concentrated to 7.5 mg/ml, flash
188 frozen, and stored in dialysis buffer (50 mM Tris, pH 7, 50 mM NaCl, 5% glycerol, 1 mM DTT)
189 at -80°C. The purified recombinant *pepQ* tetramer in 50mM Tris pH 7 was tested for both
190 creatinase activity using the creatinase assay kit (Sigma) and for endopeptidase activity using
191 commercially available fluorescent peptides. As the peptides were all labeled with a p-
192 nitroanilide group the assay monitored for a shift in absorbance at 410 nm, resulting from the
193 cleaved product.

194

195 **Statistical analysis.** CFU counts were log₁₀ transformed before analysis. Group means for
196 experimental treatment groups were compared with that of the standard treatment control by one-
197 way analysis of variance (ANOVA) with Dunnett's post-test to adjust for multiple comparisons.
198 All analyses were performed with GraphPad Prism v.4.01 (GraphPad, San Diego, CA).

199

200 **Results**

201 **Bedaquiline and clofazimine have additive activity against *M. tuberculosis* in mice but**
202 **select for cross-resistant mutants.** Using a well-established high-dose aerosol model of TB in
203 mice, we evaluated the activity of novel drug combinations containing bedaquiline and
204 clofazimine (Figure 1). Consistent with prior observations (11, 16, 17), treatment with the
205 standard first-line RHZ regimen reduced the mean lung CFU count by 2.46 log₁₀ over the first 4
206 weeks and treatment with bedaquiline alone reduced the lung CFU counts by an additional 1.08
207 log₁₀. The addition of pyrazinamide and clofazimine each significantly increased the activity of
208 bedaquiline (p< 0.001), whereas the addition of pretomanid had antagonistic effects (p< 0.05).
209 The addition of rifampin, isoniazid, ethambutol or moxifloxacin did not significantly affect the
210 activity of the bedaquiline-clofazimine combination, whereas addition of pyrazinamide
211 significantly increased the activity (p< 0.001) and addition of linezolid or pretomanid reduced
212 the activity (p< 0.05). After 8 weeks of treatment, 2 of 5 mice receiving bedaquiline alone had
213 more than 3 log CFU in the lungs, while the mean log CFU count was 0.98 ± 0.53 in the
214 remaining 3 mice. Likewise, 3 of 5 mice receiving bedaquiline plus clofazimine had the mean
215 log CFU count of 2.12 ± 0.69, while the remaining 2 mice were culture-negative, as were all 5
216 mice treated for just 6 weeks (Figure 1 inset). Unlike those from mice with lower CFU counts at
217 week 8, the isolates from the lungs of mice with higher CFU counts grew equally well on 7H11
218 agar with and without activated charcoal, indicating that their growth was not impaired by
219 bedaquiline or clofazimine carried over in the lung homogenates.

220 Whereas bedaquiline and clofazimine MICs for the wild-type H37Rv parent strain were
221 0.03 and 0.25 µg/ml respectively, MICs for the isolates from the 2 mice treated with bedaquiline
222 alone for 8 weeks (named B4 and B5) and the 3 mice treated with bedaquiline plus clofazimine
223 for 8 weeks (named BC2, BC3 and BC4) with high, outlying lung CFU counts were 0.12-0.25

224 and 0.5-1 $\mu\text{g/ml}$, respectively, indicating that treatment with bedaquiline, with or without
225 clofazimine, selected for strains with reduced susceptibility to both drugs.

226

227 **Isolates with bedaquiline and clofazimine cross-resistance harbored mutations in *pepQ*.**

228 Duplicate samples of genomic DNA from four cross-resistant strains isolated in the first mouse
229 experiment (i.e., B5, BC2, BC3 and BC4) were sequenced on an Illumina GenomeAnalyzer IIx
230 in paired-end mode using a read length of 51 bp. The mean depth of coverage ranged from 118
231 to 161x (number of reads covering each site, averaged over the whole genome), and the
232 completion was >99% (fraction of sites in 4.4 Mb genome covered by at least 1 read; sites
233 lacking coverage were primarily restricted to PPE and PE_PGRS genes, due to very high GC
234 content). The parental strain used in these experiments (H37RvJH) was also sequenced, to
235 identify any differences from the public reference genome sequence for H37Rv (18). When
236 compared to the sequence of the parental strain, only one mutation was observed in each strain
237 (Table 1). All 4 strains had a mutation in *pepQ* (Rv2535c), which encodes a putative cytoplasmic
238 peptidase. The mutations observed included 2 frameshift mutations (-C in Arg271, +C in Ala14)
239 in mice treated with the bedaquiline-clofazimine combination, as well as a non-synonymous
240 single nucleotide polymorphism causing a Leu44Pro mutation, obtained from a mouse treated
241 with bedaquiline alone. All mutations were confirmed by PCR amplification and sequencing.

242

243 **A *pepQ* mutant is virulent and less susceptible to bedaquiline and clofazimine in a murine**

244 **TB model.** Because transposon mutagenesis experiments have suggested that inactivation of
245 *pepQ* may reduce the fitness of *M. tuberculosis* (19), and because the *pepQ* mutants displayed
246 only low-level resistance to bedaquiline and clofazimine *in vitro*, we sought to confirm their

247 virulence and reduced susceptibility *in vivo*. We compared the parental H37Rv strain and the B5
248 mutant on the basis of their ability to multiply in untreated BALB/c mice and their susceptibility
249 to treatment with isoniazid (positive control), bedaquiline, and/or clofazimine. The aerosol
250 infectious dose was approximately 1 log₁₀ higher for the parent strain compared to the B5 mutant
251 (Figure 2). By 4 weeks post-infection, untreated (UT) control mice infected with H37Rv had
252 died and therefore none were available for CFU counts (although lung CFU counts are typically
253 > 8 log₁₀, or approximately 4 log₁₀ higher than baseline, at the time of death). Untreated mice
254 infected with B5 did not die within 4 weeks of infection because the infectious dose was not high
255 enough. However, the approximately 4 log₁₀ increase in lung CFU counts over this time period is
256 evidence that the strain multiplies more-or-less normally in mice. The treatment with isoniazid
257 (positive control) was at least as bactericidal against the B5 strain as against H37Rv, reducing the
258 lung CFU counts by more than 1 log₁₀ in both strains. Dose-dependent activity of bedaquiline
259 was observed against both strains. However, whereas bedaquiline 12.5 mg/kg was bacteriostatic
260 and 50 mg/kg reduced the lung CFU counts by more than 2 log₁₀ against H37Rv, even 50 mg/kg
261 of bedaquiline did not fully inhibit multiplication of the B5 mutant but rather allowed a nearly 2
262 log₁₀ increase in CFU counts. Clofazimine enabled growth of both strains in this acute infection
263 model, as previously observed (20), but was more effective against the H37Rv strain compared
264 to the B5 mutant.

265

266 **Complementation of *pepQ* restores susceptibility to bedaquiline and clofazimine.**

267 Complementation of the B5 and BC2 strains with the wild-type *pepQ* gene from H37Rv restored
268 the susceptibility of the strains to bedaquiline, as confirmed by the MIC of 0.03 µg/ml, which
269 was no different from the parental H₃₇Rv control. Following this, 3 groups of mice were infected

270 with H₃₇Rv, the B5 strain, or the B5:*pepQ* strain and initiated on treatment with isoniazid 10
271 mg/kg, bedaquiline 25 mg/kg, or clofazimine 20 mg/kg four days later. In untreated mice, lung
272 CFU counts increased by approximately 4 log₁₀ over the subsequent 4 weeks (Figure 3) and were
273 accompanied by visible lung lesions (Figure S2 in the supplemental material). Isoniazid was
274 bactericidal, with the greatest effect against the B5 strain, and prevented the formation of lung
275 lesions. Like isoniazid, bedaquiline reduced the mean lung CFU counts by 0.5-1 log₁₀ CFU
276 against H37Rv and B5:*pepQ*, and it did prevent formation of macroscopic lung lesions in mice
277 infected with the B5 mutant (Figure S2 in the supplemental material). However, bedaquiline
278 allowed a >1 log₁₀ increase in mean CFU counts of the B5 mutant. Clofazimine, which has a
279 relatively poor activity in this acute infection model when given alone (20), allowed
280 multiplication of all strains but significantly reduced the multiplication of the H₃₇Rv ($p < 0.05$)
281 and B5:*pepQ* ($p < 0.05$) strains, but not the B5 strain ($p > 0.05$) when compared to no treatment.
282 In addition, clofazimine prevented formation of lung lesions in mice infected with H37Rv and
283 the B5:*pepQ* complemented strain, but not the B5 strain (Figure S2 in the supplemental
284 material). These results clearly demonstrate that complementation with the wild-type *pepQ* gene
285 was sufficient to restore susceptibility to bedaquiline and clofazimine in the B5 mutant and thus
286 confirm the loss of *pepQ* function is sufficient for low-level resistance to bedaquiline and
287 clofazimine.

288

289 **Structural analysis of *M. tuberculosis pepQ*.** *pepQ* is a 372-amino-acid protein that has two
290 domains: a ~100 aa N-terminal alpha/beta domain, and a ~250-aa C-terminal peptidase domain
291 (Figure 4). Based on homology to Ypdf in *E. coli* (e.g. 37% amino acid identity over 363
292 residues), *M. tuberculosis pepQ* is predicted to be a proline-specific aminopeptidase (prolidase),

293 active on substrates with Xaa₁-Pro₂ at the amino terminus. The C-terminal domain of YpdF
294 houses the catalytic activity, and is homologous to the *E. coli* methionine aminopeptidase
295 (MetAP; 29% aa identity) (21). However, MetAP lacks the N-terminal domain. In enzyme
296 assays, YpdF was found to have weak activity on substrates with an N-terminal methionine
297 (Met-Xaa) if the second aa is alanine, proline or serine, and higher activity for other substrates
298 with a proline in the second position (Xaa-Pro) (22). In *E. coli*, YpdF is encoded in an operon
299 with YpdE, which is a methionine aminopeptidase. YpdF and YpdE are proposed to work in
300 concert to degrade proteins, with YpdE removing amino acids from the N-terminus until a
301 proline is encountered in the 2-position, and YpdF removing the block (22). *M. tuberculosis*
302 *pepQ* also has homology (~30% aa identity) to other *E. coli* proline aminopeptidases, *pepP* and
303 *pepQ*, which have both the catalytic C-terminal domain and a non-catalytic N-terminal domain
304 that plays a role in oligomerization and substrate specificity. *pepP* and similar aminopeptidase P
305 enzymes (EC 3.4.11.9) may preferentially hydrolyze the Xaa-Pro bond at the terminus of larger
306 oligopeptides (but also dipeptides) and can discriminate the 3rd and 4th residues in their
307 catalytic specificity.

308 Proline aminopeptidases have similar 2-domain architecture to creatinases (which
309 catalyze a similar reaction, hydrolysis of creatine into sarcosine and urea), although peptidase
310 activity of the former is metal-ion dependent and they bind cations in the active site (21),
311 whereas creatinase activity does not require metal ions (23, 24). The mutations selected by
312 treatment with bedaquiline ± clofazimine in mice occurred in both domains. The frameshift
313 mutation in Ala14 and the non-synonymous mutation L44P occur in the N-terminal domain, and
314 the frameshift mutation in Arg271 occurs in the catalytic domain. Figure 4 illustrates where these
315 mutations fall in the domain structure of *pepQ*. To better understand the role of these mutations,

316 we used Phyre2 (25) to build a homology model of the 3D atomic structure *pepQ*. Phyre2
317 detected the greatest homology to a proline aminopeptidase from *B. anthracis* (PDB: 3q6d, 39%
318 amino acid identity; deposited by Midwest Center for Structural Genomics). Phyre2 created a
319 hidden Markov model based on a Psi-BLAST alignment of closely related sequences and used it
320 to optimally thread the *M. tuberculosis pepQ* amino acid sequence onto the 3q6d structure as a
321 template, followed by loop optimization and energy minimization (25). The active site can be
322 inferred to be in a pocket in the C-terminal domain containing a Ca^{2+} metal ion, in the same
323 position as the dinuclear divalent cation sites in *pepP* (1a16) and MetAP (3mat), which is
324 involved in catalyzing the cleavage of the scissile peptide bond. The cations are coordinated by
325 D221, D232, E339, E325, and His296 (*Mtb pepQ*), all perfectly conserved in all of these
326 structures. Based on the homology model, Leu44 of *M. tuberculosis pepQ* appears buried in the
327 core of the N-terminal domain (on a beta strand that shows a high level of conservation with *E.*
328 *coli pepP* and both prokaryotic and eukaryotic prolidases, (26) not in close proximity to the
329 active site. Thus, L44P is likely a structure-destabilizing mutation, as is the frameshift mutation
330 in Ala14. A frameshift in Arg271 would truncate half the C-terminal domain (splitting the
331 pseudo-symmetric alpha/beta “pita-bread” fold in half and destroying the active site).

332 The *pepQ* model can be superimposed with the crystal structure of the *E. coli* MetAP
333 protein (3mat), which was complexed with a peptide inhibitor (bestatin analog). The mechanism
334 and specificity determinants of *E. coli* MetAP have been well characterized (21). MetAP has a
335 dinuclear cation site (2 Co^{2+}) in the same location as in 3q6d. The N-terminal residue of the
336 peptide inhibitor of MetAP binds in a P1 pocket, and the second residue binds in a P2 pocket,
337 with the polar backbone atoms of the scissile bond between them coordinating the cations. The
338 residues of *M. tuberculosis pepQ* lining the P1 part of the pocket are considerably different than

339 MetAP. For example F190 is replaced with Cys in MetAP, and I302 is replaced with F. This
340 could potentially explain differences in specificity for the N-terminal residue between prolidases
341 and methionine aminopeptidases (27). While the inhibitor in the 3mat structure mimics Met₁-
342 Ala₂, YpdF would be expected to bind Xaa₁-Pro₂ as a prolidase. The putative position of the P2
343 pocket can also be inferred by superimposing the crystal structure of *E. coli pepP* in complex
344 with the inhibitor Pro-Leu (PDB: 1a16), which putatively mimics the 2nd and 3rd residues of a
345 peptide substrate. In both MetAP and *pepP*, the P2 pocket is adjacent to residue His-204. While
346 the homology between *M. tuberculosis pepQ* and *E. coli pepP* is relatively low (31% aa identity),
347 nearly every residue in the vicinity of the active site was either identical or highly conserved.
348 The residues lining the P1 pocket are F190 (Y), I302 (V), H303, T341 (D), T232, and V398 (L),
349 with residues of *E. coli pepP* shown in parentheses. The residues lining P2 are T234, I193, R337,
350 H204, P203 (L), and H292 (Figure 5). Thus we expect *M. tuberculosis pepQ* to be able to
351 coordinate metal ions similar to *E. coli pepP*, and the specificity of *M. tuberculosis pepQ* to be
352 very similar to *E. coli pepP*, i.e. a proline aminopeptidase (Xaa-Pro).

353

354 **Enzymatic characterization of *M. tuberculosis pepQ*.**

355 Recombinant purified *M. tuberculosis pepQ* exhibited no creatinase activity (data not shown). Of
356 the 6 proline-containing peptide substrates tested, only Arg-Pro-p-nitroanilide showed modest
357 cleavage activity. Several substrates with an N-terminal methionine were also tested. However,
358 none of these showed cleavage, suggesting *pepQ* is not a methionine aminopeptidase.

359 Mass spectrometry showed that recombinant *M. tuberculosis pepQ* does not bind to or
360 modify the structure of bedaquiline or clofazimine. Over-expression of *M. tuberculosis pepQ* in
361 *Mycobacterium smegmatis* did not significantly alter the MIC of bedaquiline or clofazimine.

362 This is not surprising as the mutations presumably result in loss of function. Taken together,
363 these results suggest *pepQ* is not a target or activating enzyme for either drug.

364

365 **Resistance mediated by *pepQ* mutation may be associated with increased drug efflux, but**
366 **this is not due to up-regulation of *mmpL5* and *mmpS5* expression.**

367 Addition of verapamil and reserpine reduced the MIC against the H₃₇Rv parent strain from 0.03
368 to 0.007 µg/ml and 0.015- 0.03 µg/ml respectively. Both efflux inhibitors reduced the MIC of
369 both the B5 mutant and the H37Rv parent to the same level that was below the MIC against the
370 parent strain in the absence of the efflux inhibitor, indicating a possible role of one or more
371 efflux pumps in the mechanism of low-level bedaquiline resistance mediated by loss-of-function
372 mutations in *pepQ* (Table S2 in the supplemental material). Further, qPCR for the genes involved
373 in the efflux-mediated resistance reported in previous studies did not show any significant fold
374 change in transcription levels (Table S3 in the supplemental material).

375

376 **Discussion**

377 Bedaquiline is a promising new drug for the treatment of TB. Its limited clinical usage to
378 date has provided little opportunity to select for drug-resistant mutants or to assess the impact of
379 such mutants on treatment outcomes. *In vitro*-selected target-based mutations in *atpE* confer
380 high-level resistance to bedaquiline (e.g., 16-128x increase in MIC)(5, 6). However, to our
381 knowledge, no *atpE* mutant has been isolated from a patient treated with bedaquiline. On the
382 other hand, non-target mutations in *Rv0678* conferring low-level resistance to bedaquiline and
383 clofazimine were recently described in sputum isolates with at least 4-fold increases in
384 bedaquiline MIC after treatment including bedaquiline (6). More recently, *Rv0678* mutants were

385 identified in the sputum of both MDR-TB and drug-susceptible TB patients that had not received
386 bedaquiline or clofazimine (28). Although these *Rv0678* mutations cause relatively small (2-8x)
387 increases in bedaquiline and clofazimine MICs compared to mutations in *atpE*, their selection
388 during combination therapy in TB patients and in mice is a cause of concern (6). In mice,
389 *Rv0678* resistant mutants may be selected with 8 weeks of bedaquiline monotherapy (D.
390 Almeida, unpublished observation) but emerge only late in the course of combination therapy
391 and are eventually cleared, presumably by the action of companion agents (6). Clinically, these
392 mutants emerged almost entirely among patients with pre-XDR- and XDR-TB (6) but their
393 isolation has not clearly been associated with poorer clinical outcomes among patients receiving
394 bedaquiline with more effective companion agents (29). These results underscore the importance
395 of appropriate combination therapy and adherence, and the risk of premature discontinuation of
396 therapy.

397 The present study provides the first evidence of non-target mutations in *pepQ* conferring
398 low-level resistance to bedaquiline and cross-resistance to clofazimine. Zhang et al (30) recently
399 reported *in vitro* selection of a *pepQ* mutant in *M. tuberculosis* with clofazimine. However, they
400 did not report the results of direct susceptibility testing with clofazimine or bedaquiline, or
401 confirm the causative role of *pepQ* mutation in clofazimine resistance. Like mutations in *Rv0678*
402 (6), the observed *pepQ* mutations produce modest increases (up to 4-fold) in bedaquiline and
403 clofazimine MICs and reduce the efficacy of bedaquiline and clofazimine *in vivo*. However,
404 these mutations did not result in complete resistance to these drugs, as increasing doses of
405 bedaquiline and, to a lesser extent, combining bedaquiline and clofazimine were associated with
406 greater anti-tuberculosis effect. These data and the additive bactericidal and sterilizing effects of
407 bedaquiline and clofazimine against drug-susceptible bacilli (31) indicate that, despite the

408 presence of two shared resistance mechanisms, the combined use of clofazimine and bedaquiline
409 may be advantageous. However, these two drugs will not protect each other against the
410 emergence of resistance and it is therefore important to use them in combination only if they are
411 protected from emergence of resistance by additional antibiotics that are not substrates of the
412 same efflux pumps. Both *Rv0678* and *pepQ* mutants are selected and able to grow in mice treated
413 with bedaquiline, despite plasma bedaquiline concentrations exceeding the MIC against these
414 resistant (11, 32) organisms. This may be due to the high protein binding of bedaquiline, which
415 results in free drug concentrations below the MIC at the site of infection. *Rv0678* mutants and
416 *pepQ* mutants may be selected preferentially over *atpE* mutants *in vivo* during bedaquiline
417 treatment because they strike the right balance between reduced susceptibility to bedaquiline and
418 maintenance of fitness.

419 Our findings may have implications for breakpoint selection for bedaquiline
420 susceptibility testing. Although the bedaquiline MIC against the *pepQ* mutants is below the
421 provisional susceptibility breakpoint of 0.5 $\mu\text{g/mL}$ proposed by EUCAST (33), monotherapy
422 with clinically relevant bedaquiline doses in mice infected with a *pepQ* mutant had limited
423 efficacy. These findings and the limited clinical data available to date warrant careful monitoring
424 of bedaquiline MICs and treatment outcomes and further consideration whether a lower
425 breakpoint would better predict patient response to bedaquiline treatment. Polymorphisms in
426 *Rv0678* have recently been observed among isolates from patients that have not received
427 bedaquiline or clofazimine and are not always associated with bedaquiline MICs that exceed the
428 provisional breakpoint (28), and it remains unclear whether such *Rv0678* mutations are
429 associated with poorer clinical outcomes among patients receiving bedaquiline-containing
430 regimens (6, 29). Thus, the clinical impact of the small shift in MIC conferred by *pepQ*

431 mutations remains to be determined. Further surveillance is required to identify *Rv0678* and
432 *pepQ* mutants and to correlate their presence with MICs and clinical outcomes among patients
433 receiving therapy that includes one or both drugs.

434 It is interesting to note that single nucleotide polymorphisms in *pepQ* were occasionally
435 observed in clinical isolates that we have sequenced. In particular, *pepQ*:Ser66Pro was observed
436 in two XDR-TB isolates (X16 and X23; Beijing strain family) and an MDR-TB isolate (R1792;
437 LCC strain family) from the Western Cape of South Africa, all isolated prior to the introduction
438 of bedaquiline (34). No other strains were observed to have mutations in *pepQ* among over 50
439 clinical isolates from South Africa and South America. It is possible that use of clofazimine to
440 treat MDR-TB in this region could have contributed to selective amplification of these mutants.
441 However, this seems unlikely given limited usage of clofazimine in South Africa during the
442 period in which such selection would have occurred. The clinical isolates with polymorphisms in
443 *pepQ* were not available for drug-susceptibility testing. However, the presence of *Rv0678* and
444 *pepQ* mutations in isolates from patients naïve to bedaquiline and clofazimine raises the
445 possibility that they may also be selected by other drugs used to treat TB or other infections.

446 What is the function of *pepQ* in *M. tuberculosis* and how might loss-of-function result in
447 reduced susceptibility to bedaquiline and clofazimine? While further characterization of the
448 enzymatic activity of *pepQ* is clearly required before drawing conclusions, the genomic and
449 structural characterization described here suggests *pepQ* is a proline-specific aminopeptidase and
450 ortholog of *pepP* in *E.coli* and *L. lactis* and PapA (YqhT) in *Bacillus subtilis* (35). This is
451 supported by homology in both genetic sequence and predicted protein structure. Although the
452 DNA sequence homology is not particularly high, there is a high degree of conservation of
453 amino acids in the predicted active site, including strict conservation of metal-binding residues

454 between *pepQ* and *pepP* from both *E.coli* and *L. lactis*. Moreover, each of these orthologous
455 genes is situated next to and co-expressed with elongation factor P (EF-P; Rv2534c), one of the
456 few universally conserved elongation factors (35). Conserved homologs also exist in other
457 pathogenic mycobacteria (*M. bovis*, *M. leprae*, *M. paratuberculosis* (36).

458 Proline-specific aminopeptidases are one of only 80 enzymes conserved in the 3 major
459 kingdoms of life (37). Such high conservation may stem from the exceptional conformational
460 rigidity that proline residues introduce into peptide chains, which presents challenges to
461 proteolytic enzyme systems. For example, in *E. coli*, the essential methionine aminopeptidase
462 (PepM) responsible for co-translational N-terminal methionine excision readily liberates the N-
463 terminal methionine when proline or an amino acid with similarly small side chain occupies the
464 penultimate position. However, a proline in the third position inhibits PepM activity (38, 39),
465 making *pepP* required for efficient N-terminal methionine excision in such cases (40).

466 The conformational constraints of poly-proline motifs also present challenges during
467 ribosomal peptide synthesis by stalling translation. As EF-P is required to prevent such stalling
468 and promote efficient translation of poly-proline-containing peptides (41, 42), the genomic
469 organization of a putative proline-specific aminopeptidase like *pepQ* with EF-P is likely no
470 coincidence. Work in Gram-negative enteric pathogens has revealed that proteins with EF-P
471 target motifs (and hence dependent on EF-P for optimal translation) are enriched for metabolic
472 enzymes, membrane-associated proteins, transporters and two-component regulatory systems
473 (43). The same appears true for *M. tuberculosis*. This system may have evolved for rapid
474 remodeling of the cellular proteome in response to changing environmental conditions, such as
475 carbon source (44, 45). The highly conserved organization of genes for proline-specific
476 aminopeptidases and EF-P in bacteria thus supports a potential role for *pepQ* in regulating

477 maturation and/or turnover of specific proteins in a manner that is sensitive to the metabolic
478 status of *M. tuberculosis*.

479 Proline-specific aminopeptidases also have important roles in the utilization of exogenous
480 and endogenous proteins as sources of essential amino acids useful for protein synthesis, energy
481 production, osmoprotection and recycling of reduced cofactors. Proline itself is increasingly
482 recognized as having a critical role in energy metabolism, redox control and bacterial virulence
483 (46). In early transposon mutagenesis studies (19), disruptions of *pepQ* were observed to cause a
484 growth defect (thus neither absolutely essential nor non-essential *in vitro*). More recent high-
485 resolution transposon-mutagenesis studies suggest that *pepQ* is conditionally essential when
486 grown on glycerol as a carbon source, but not essential when grown on cholesterol (p-value
487 <0.01 for comparison of transposon insertion counts) (47). This is interesting given recent
488 evidence linking mycobacterial proline catabolism to protection from the toxic effects of
489 methylglyoxal produced during growth on glycerol (48). If mutation of *pepQ* reduces
490 intracellular availability of proline, this might increase susceptibility to methylglyoxal and
491 reduce growth on glycerol.

492 The mechanism by which *pepQ* mutations result in reduced susceptibility to bedaquiline
493 and clofazimine is unclear. Rv0678 mutations appear to confer cross-resistance to bedaquiline
494 and clofazimine via de-repression of *mmpL5-mmpS5* (*Rv0676c-Rv0677c*), which encode an RND
495 transporter capable of exporting bedaquiline and clofazimine (6, 7). Reversion of bedaquiline
496 susceptibility of a *pepQ* mutant to that of its wild-type parent in the presence of efflux pump
497 inhibitors suggests drug efflux is involved in the mechanism of resistance. However, unlike the
498 mutations in Rv0678, the mutation in *pepQ* is not associated with over-expression of *mmpL5* or
499 *mmpS5*. One possibility is that *pepQ* mutations increase efflux through this transporter by a

500 different means, such as preventing degradation of MmpL5, which contains a Val-Pro-Pro
501 stretch near the amino terminus.

502 In conclusion, we demonstrate that loss-of-function mutations in *pepQ* confer reduced
503 susceptibility to bedaquiline and clofazimine. While the shift in susceptibility is relatively small,
504 it is of similar magnitude to mutations in *Rv0678* that have been selected in patients and mice
505 receiving combination therapy including bedaquiline. Therefore, these results should provide the
506 impetus to include *pepQ* in the genetic analysis of bedaquiline- and clofazimine-resistant strains
507 identified during clinical usage. Further study of the biological function of *pepQ* in *M.*
508 *tuberculosis* and the mechanism by which *pepQ* mutations confer reduced susceptibility is
509 clearly warranted.

510

511

512 **Acknowledgements**

513 This research was funded by the Global Alliance for TB Drug Development. Author Disclosure:
514 K.A. is employed by, and holds stock in, Janssen Pharmaceutica. None of the other authors has a
515 financial relationship with a commercial entity that has an interest in the subject of this
516 manuscript

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692 **Table 1.** Sequencing results for the resistant strains.

Mouse number	Treatment	Coverage	Completion	MIC (in $\mu\text{g/ml}$)		Mutation
				B	C	
B5	B	142.1x	99.25%	0.12	0.5-1	<i>pepQ</i> : L44P
BC2	B+C	118.4x	99.20%	0.12	0.5-1	<i>pepQ</i> : +c in Ala14
BC3	B+C	154.3x	99.43%	0.12	0.5-1	<i>pepQ</i> : +c in Ala14
BC4	B+C	161.1x	99.14%	0.12	0.5-1	<i>pepQ</i> : -c in Arg271

693 B = bedaquiline; C = clofazimine

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698 **Figure 1.** Mean lung \log_{10} CFU counts (\pm SD) after 4 and 8 weeks of treatment with
699 bedaquiline- and clofazimine-containing regimens. Main figure does not include results from
700 mice in which bedaquiline and clofazimine cross-resistant mutants were selected after 8 weeks of
701 treatment: 2 and 3 mice from the B and BC groups with mean lung CFU counts of 3.22 ± 0.16
702 and $2.12 \pm 0.69 \log_{10}$, respectively (inset). The CFU counts at the time of treatment initiation are
703 indicated by Day 0. Abbreviations: R, rifampin; H, isoniazid; Z, pyrazinamide; B, bedaquiline;
704 C, clofazimine; L, linezolid; Pa, pretomanid; E, ethambutol; M, moxifloxacin.

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710 **Figure 2.** Mean lung \log_{10} CFU counts (\pm SD) (upper panels) and change in CFU counts (Week 4
711 - Day 0) (lower panels) after 4 weeks of treatment in mice infected with the parental H37Rv
712 strain (left panels) or the B5 mutant (right panels). CFU counts were not determined for
713 untreated mice infected with the parental strain, which required euthanasia prior to the
714 predetermined endpoint. Abbreviations: UT, untreated; H, isoniazid (10 mg/kg); C, clofazimine
715 (20 mg/kg); B, bedaquiline (12.5, 25 or 50 mg/kg).

716

717 **Figure 3.** Mean lung \log_{10} CFU counts (\pm SD) (upper panels) and change in lung CFU counts
718 (Week 4 - Day 0) (lower panels) after 4 weeks of treatment in mice infected with the parental

719 H37Rv strain (left panels), the B5 mutant (middle panels), or the complemented B5:*pepQ* strain
720 (right panels). Abbreviations: UT, untreated; H, isoniazid (10 mg/kg); C, clofazimine (20
721 mg/kg); B, bedaquiline (25 mg/kg).

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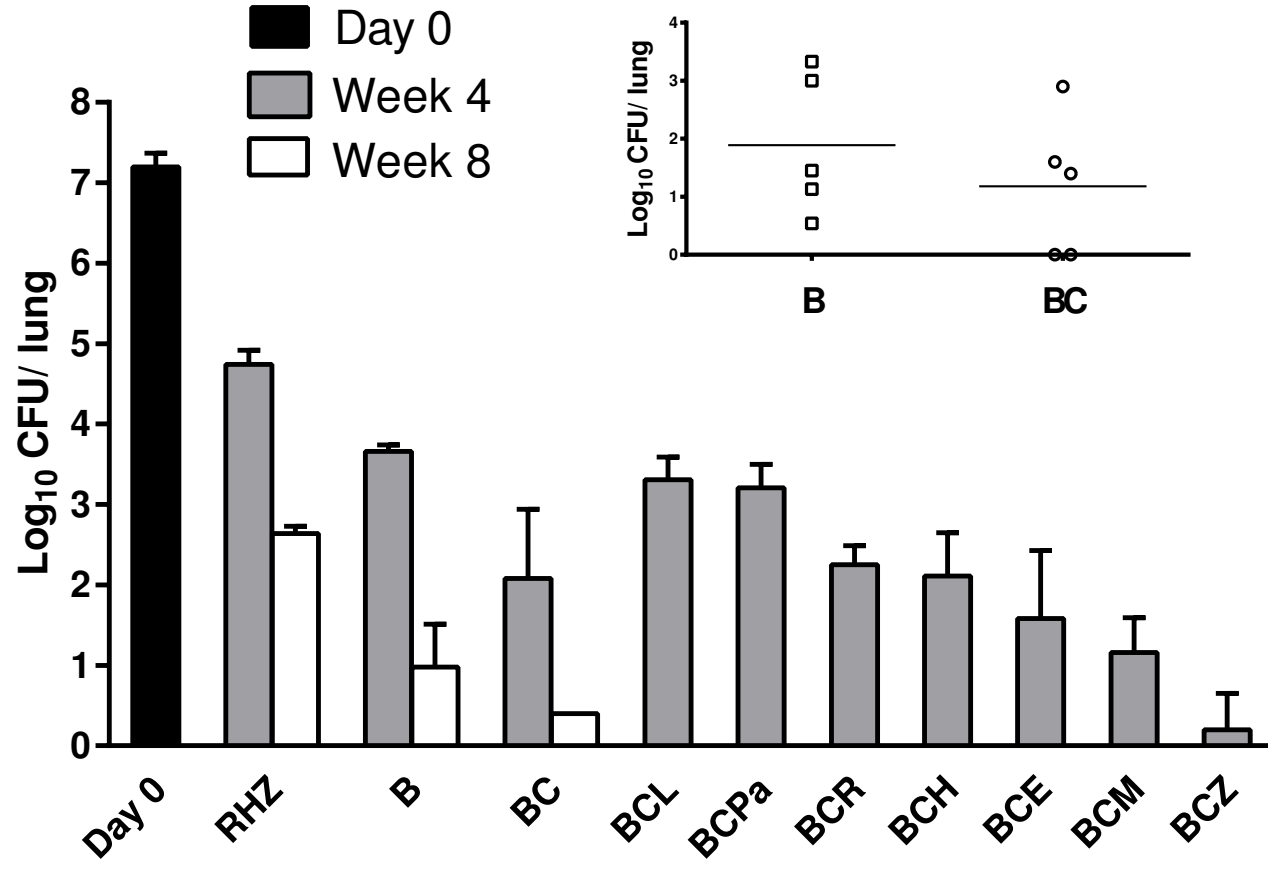
723 **Figure 4.** Location of mutations and domains in *pepQ*.

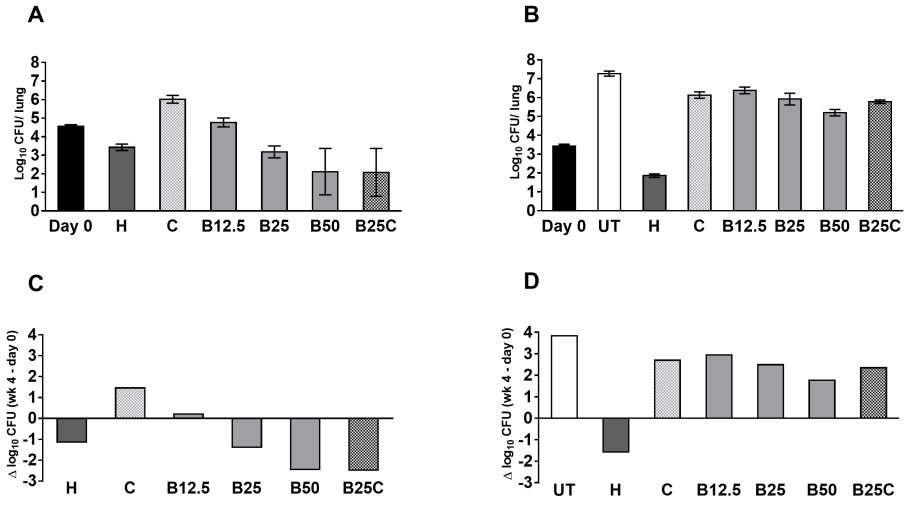
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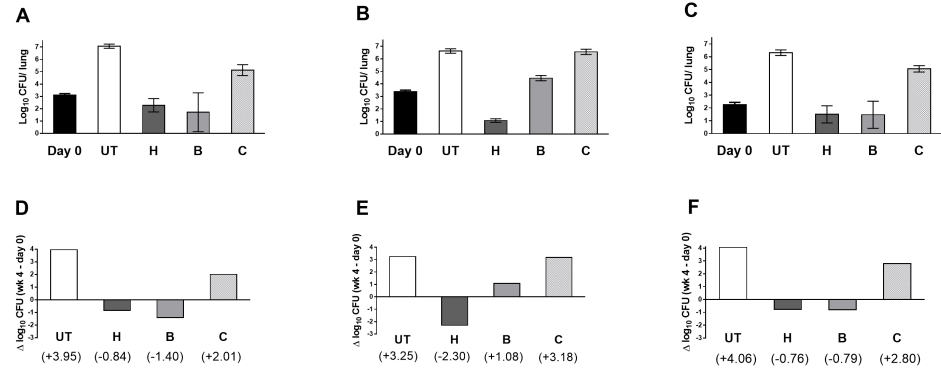
725 **Figure 5.** Multiple amino acid sequence alignment for *M. tuberculosis pepQ*, *L. lactis pepP*, and
726 *E. coli pepP*, constructed using ClustalW. Residues coordinating the Mn²⁺ ions are shown in red.
727 Asterisks indicates positions which have a single, fully conserved residue. Colons indicate
728 conservation between groups of strongly similar properties - scoring > 0.5 in the Gonnet PAM
729 250 matrix.

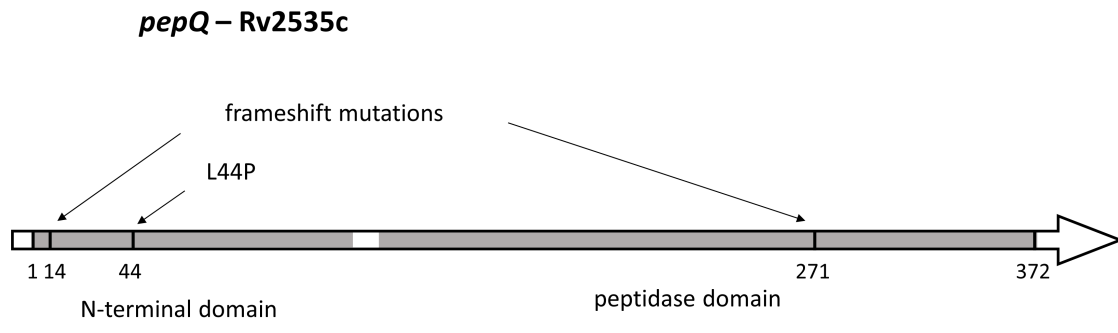
730 Periods indicate conservation between groups of weakly similar properties - scoring ≤ 0.5 in the
731 Gonnet PAM 250 matrix.

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mtpepQ -----VTHSQRRDKLKAQIAASGLDAMLISDLIN-----VRYLSGFSGSN 40
llpepP -----MRIEKLKVKMLTENIDSLITDMKN-----IFYLTGFSGTA 36
ecpepP MSEISRQEFQRRRQALVEQMOPGSAALIFAAPVTRSDSEYYPYRQNSDFWYFTGFNEPE 60
      * : *  :: . .   :: : .   . *::** .
mtpepQ GALLVFADE-----RDAVLATDGRYRTQAASQAPDLEVAIERAVGR----- 81
llpepP G--TVFLTQ-----KRNI FMTDSRYSEMARGLIKNF EIIETRDPI S----- 75
ecpepP AVLVLIKSDDTHNHSVLFNVRVDLTAEIWFGRRLGQDAAPEKLGVDRALAFSEINQQLYQ 120
      .  :: :      :      :  :: :      :      *
mtpepQ YLAGRAGEAGVGKLG FESHVVTV DGLDALAGALEG---KNTelVrASGTVEsLREvKDAG 138
llpepP LLTELSASESVKNMAFE-ETVDYAFFKRLSKAAT----KLDLFSTSNFVLELRQIKDES 129
ecpepP LLNGLDVVYHAQGEYAYADVIVNSALEKLRKGSRQNLTAPATMIDWRP VVHEMRLFKSPE 180
      * .      . . . . . * .      * . * . * .
mtpepQ ELALLRLACEAADAALTDLVARGGRLPGRTERQVSRELEALMLDHGADAVSFETIVAAGA 198
llpepP EISLIKKACEIADEAFMSALR--FIEPGRTEIEVANFLDFKMRDLEASGISFETIVASGK 187
ecpepP EIAVLRORAGEITAMAHTRAMEK--CRPGMFEYHLEGEIHHEFNRRHGARYPSYNTIVGSGE 238
      *::: * * : *      : .** * . : . : * *::**:*
mtpepQ NSAIPHHRPTDAVLQVGFVKIDFGALVAGYHSDMTRTFVLG-KAADWQLEIYQLVAEAQ 257
llpepP RSSLPHGVATSKMIQFGDPVTIDFGCYEYHYASDMTRTFVVG-SVDDKMRTIYETVRKAN 246
ecpepP NGCILHYTENECMRDGLDLVIDAGCEYKGYAGDITRTFPVNGKFTQAQREIYDIVLES 298
      ...: * .. : * * * * * . * .*:**:: . . : * * * :
mtpepQ QAGRQALLPGAELRGVDAAAR-----QLIADAGYGEHFGHGLGHG 299
llpepP EALIKQVKAGMTYAQYDNIPR-----EVIEKADFGQYFTHGIGHGLG 288
ecpepP ETSRLRYRPGTSILEVTGEVVRIMVSLVCLKGILKGDVDELIAQNAHRPFFMHGLSHWLG 358
      :: : . *      : * . . . . * * * : * *
mtpepQ LQIHEAPGIGVTSAG-TLLAGSVVTV EPGVYLP-----GRGGVRIEDTLV VAGGTP 349
llpepP LDVHEIPYFNQSMTENQLRSGMVITDEPGIYLP-----EFGGVRIEDDLLVT---- 335
ecpepP LDVHDVGVYQDRSR-ILEPGMVLTV EPGLYIAPDAEVPEQYRGIGIRI EDDIVIT---- 413
      *::* : . : * . * * * * * * . * : * * * * * : *
mtpepQ KMPETAGQTPPELLTRFPKELAIL----- 372
llpepP ---ENG---CEVLTKAPKELIVI----- 352
ecpepP --ETGNENLTASVVVKPPEEIEALMVAARKQ 441
      . . . * : * : :

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