# ANALYSIS OF PHOTONIC NANOJETS FORMED BY A FOCUSED INCIDENT BEAM USING VECTOR SPHERICAL HARMONICS EXPANSION 

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#### Abstract

The analysis of photonic nanojets formed by dielectric spheres generally assumes that the incident field is a plane wave. In this work, using vector spherical harmonics representations, we analyze the case of a more realistic incident field consisting of a focused beam formed by microscope objectives with low as well as high numerical aperture. The nanojet solution is exact with practical number of spherical harmonic terms. In contrast with a plane wave analysis, we are able to include in the analysis cases in which the sphere is at the focal plane of the focus beam and away from the focal plane. We found that the nanojet beam waist dimension is less sensitive with respect to the azimuthal angle when compare with the plane wave case. Also, by shifting the particle away from the focal plane, the nanojet beam waist can be positioned outside the particle which otherwise would be inside or at the particle surface. Different relative positions of the particle and the focused beam along the propagating axis are discussed as well as different particle size. The bwam waist can achieve 130 nm when the diameter of the particle is 2 um . The results are compared with the plane wave case.


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## 1. INTRODUCTION*

### 1.1 Literature Review

2-D photonic nanojet formed by a dielectric cylinder [1] and the sensitivity of its backscattered light to the presence of small particles was first reported in 2004 using FDTD analysis [1]. Using vector spherical harmonic function, 3-D nanojets formed by various particle size and index were calculated as well as their sensitivity of backscattered light perturbed by nanoparticles [2]. Field distribution of nanoparticles on Si surface was studied [3]. These topics and potential applications of nanojets were reviewed in [4]. An application was the used of an array of micro-particles to create an array of blind holes in Si [5]. Another interesting application related to the subwavelength capability of nanojets formed by micro-particles is the super-resolution imaging capability of these micro-particles [6]. A host of other articles on the detail analysis and presentations of nanojets can be found in [7-12]. Photonic nanojets were indirectly observed from measurements of two-photon fluorescence enhancement of molecules within a solution with silica microspheres [13], and also in triangular photonic molecules [14]. It was directly measured by data deconvolution on 3-D maps of light passing through the confocal pinhole of a confocal microscope system [15]. Sensitivity of backscattered light to perturbation from small nanoparticles in front of the nanojet has

[^0]also been measured [16]. Nanojets were also formed by thin planar disks with diameter of 1-10 um [17], and on chains of coupled spherical cavities [18].

### 1.2 Statement of the Problem

The analysis of nanojets generally assumes that the incident field is a plane wave. In this work, we investigate the nanojet formed by a focused incident beam with a spot size smaller or comparable to the particle size. We find that the usage of the vector spherical harmonics expansion of the focused beam allows a rigorous calculation of the 3-D nanojets for the case when the particle center is at or away from the focal point. Not surprisingly we find that the position of the nanojet beam waist with respect to the particle surface can be adjusted by moving the particle's position about the focal point with little change in the nanojet dimension. It is also found that the nanojet spot size is more symmetric with respect to the azimuthal angle than the case if the incident field is a plane wave. In reference 19, vector spherical harmonics were first used in the expansion of an incident convergent beam incident on a spherical microparticle the size of $\lambda$. However, the reported focal spot formed is inside the particle in order to mimic the focal spot formed by a hemispherical lens.

### 1.3 Method of Procedure

We find that the usage of the vector spherical harmonics expansion of the focused beam allows a rigorous calculation of the 3-D nanojets for the case when the particle center is at or away from the focal point. The incident field $E_{i}$ is expanded in terms of the orthogonal vector spherical harmonics. The full expression for the vector spherical harmonics $M_{\text {emn }}, M_{\text {omn }}, A_{\text {emn }}$, and $A_{\text {omn }}$ as a function of spherical coordinates $\left(r_{p}, \theta_{p}, \phi_{p}\right)$ are listed in ref [25] equation 4-17-20

Contrast to reference [2, 19, 25], we provide an additional integration with respect to $r_{p}$. To describe the field $E_{i}$ at any point $\left(r_{p}, \theta_{p}, \phi_{p}\right)$ in the image space of a microscope objective. Denote the scattered electric and magnetic fields outside the particle as $E_{a}$ and $H_{a}$. Inside the particle fields are denoted as $E_{b}$ and $H_{b}$. When $r_{p}$ is outside the particle, the total field consists of the incident field plus the scattered field. Tangential components of the electric and magnetic fields in the $e_{\theta}$ and $e_{\phi}$ direction must be equal at the particle-medium interface at $r_{p}=r_{b}$.
$E_{i}$ consists of a linear combination of $\mathrm{M}_{\mathrm{oln}}$ and $\mathrm{N}_{\mathrm{eln}}$, therefore based on (A14) $E_{a}$ and $E_{b}$ are also a linear combination of $M_{o 1 n}$ and $N_{e 1 n}$. With $\alpha_{a n}, \alpha_{b n}, \beta_{a n}, \beta_{b n}$ calculated and $E_{n}$ obtained from (A16) for the case of the focused beam, all electric and magnetic fields as a function of $\left(r_{p}, \theta_{p}, \phi_{p}\right)$ are given. The nanoshell has the similar procedure to this one but it needs more equations between the gold shell and the dielectric core.

## 2. OPTICAL CONFIGURATIONS AND CALCULATION PARAMETERS

### 2.1 Case One

Figure 1 depicts the optical configuration and parameters used in this analysis.


Figure 1. Optical configuration and parameters used in this analysis

A collimated x-polarized Gaussian input beam with beam width W impinges on a microscope objective with a numerical aperture denoted by NA. The aperture at the back focal plane of the microscope objective is D . Any point in the image plane of the microscope objective is denoted in spherical coordinates as $\left(r_{p}, \theta_{p}, \phi_{p}\right)$. The analysis is made for the particle at position A at the focal point and at position B away from the focal point as shown. For this analysis, the collimated beam diameter is fixed at $\mathrm{W}=2$ mm and $\mathrm{D}=7.65 \mathrm{~mm} . \mathrm{NA}=0.4$ or 0.8 . The particle diameter is fixed at 2 um , and its refractive index is taken as 1.47 . The wavelength is 400 nm .

Another depiction of the optical configuration with some useful parameters is given below.

### 2.2 Case Two



Figure 2. Another optical configuration and parameters used in this analysis

An x-polarized Gaussian beam with a width of W impinging on a microscope objective with a numerical aperture of 0.9 which can make the best focus effect in practice. We also decide the incident impinging beam occupying the total aperture, say, $\mathrm{W}=\mathrm{D}=7.65 \mathrm{um}$, because this way will make the focused beam big enough to hold the particles like A and B while maintaining the property of Gaussian beam undoubtedly. The particle diameter could be changed related to the beam waist in terms of each local position along Z direction. The refractive index of the particle is 1.47 while the wavelength of the beam is 400 nm .

### 2.3 Case Three

In this case, the particle could be replaced by a nanoshell covered with gold metal from the two cased above, and the parameters of the beam could be changed as well.

## 3. IMAGE PLANE FORMULA

The expressions for the vector field $E(x, y, z)$ in the image region of the lens may be derived by an application of the formula given below [20],

$$
\begin{equation*}
\mathrm{E}(\mathrm{x}, \mathrm{y}, \mathrm{z})=-\frac{i k}{2 \pi} \iint_{\Omega} \frac{a\left(s_{x}, s_{y}\right)}{s_{z}} e^{i k\left[\Phi\left(s_{x}, s_{y}\right)+s_{x} x+s_{y} y+s_{z}\right]} d s_{x} d s_{y} \tag{1}
\end{equation*}
$$

Where $(x, y, z)$ is any point in the image space, and $\left(s_{x}, s_{y}, s_{z}\right)$ represents the unit vector at various points at the exit pupil to $(x, y, z)$. The incident field strength at the exit pupil is described by $a\left(s_{x}, s_{y}\right)$. According to Richards and Wolf [20], using the formula given above, the following expressions are obtained for the Cartesian components of the field vectors at point $P=(x, y, z)$.

$$
\begin{gather*}
E(P)=E_{x}(P) \cdot \widehat{e}_{x}+E_{y}(P) \cdot \widehat{e}_{y}+E_{z}(P) \cdot \widehat{e}_{z} \\
E_{x}(P)=-i \pi \cdot\left(I_{0}+I_{2} \cos 2 \phi_{P}\right) \\
E_{y}(P)=-\pi \cdot I_{2} \sin 2 \phi_{P} \\
E_{z}(P)=2 i \pi \cdot I_{1} \cos \phi_{P} \tag{2}
\end{gather*}
$$

By using the transformation formula given below, we transformed the $E$ field in Cartesian coordinate into spherical coordinates which is more appropriate for analysis of scattering off spherical particles.

$$
\begin{gather*}
\hat{e}_{x}=\sin \theta_{P} \cos \phi_{P} \widehat{e}_{r}+\cos \theta_{P} \cos \phi_{P} \widehat{e}_{\theta}-\sin \phi_{P} \widehat{e}_{\phi} \\
\hat{e}_{y}=\sin \theta_{P} \sin \phi_{P} \widehat{e}_{r}+\cos \theta_{P} \sin \phi_{P} \widehat{e}_{\theta}+\cos \phi_{P} \widehat{e}_{\phi} \\
\hat{e}_{z}=\cos \theta_{P} \widehat{e}_{r}-\sin \theta_{P} \widehat{e}_{\theta} \tag{3}
\end{gather*}
$$

The x-polarized electric field $E_{i}\left(r_{p}, \theta_{p}, \phi_{p}\right)$ at any point in the image space if expressed in spherical coordinate is:

$$
\begin{align*}
& E_{i}\left(r_{p}, \theta_{p}, \phi_{p}\right)=E_{r} \cdot \hat{e}_{r}+E_{\theta} \cdot \hat{e}_{\theta}+E_{\phi} \cdot \hat{e}_{\phi} \\
& E_{r}=-\pi \cos \phi_{p} \cdot\left[I_{0}\left(r_{p}, \theta_{p}\right)+I_{2}\left(r_{p}, \theta_{p}\right)\right] \cdot \sin \theta_{p}-2 i I_{1}\left(r_{p}, \theta_{p}\right) \cos \theta_{p} \\
& E_{\theta}=-\pi \cos \phi_{p} \cdot\left[I_{0}\left(r_{p}, \theta_{p}\right)+I_{2}\left(r_{p}, \theta_{p}\right)\right] \cdot \cos \theta_{p}+2 i I_{1}\left(r_{p}, \theta_{p}\right) \sin \theta_{p}  \tag{4}\\
& E_{\phi}=\pi \sin \phi_{p} \cdot\left[I_{0}\left(r_{p}, \theta_{p}\right)-I_{2}\left(r_{p}, \theta_{p}\right)\right]
\end{align*}
$$

Where $I_{0}, I_{1}$ and $I_{2}$ are given by,
$I_{0}\left(r_{p}, \theta_{p}\right)=\int_{0}^{\alpha} \sqrt{\cos \theta} \sin \theta(1+\cos \theta) J_{0}\left(k_{a} r_{p} \sin \theta \sin \theta_{p}\right) \exp \left(i k_{a} r_{p} \cos \theta \cos \theta_{p} F(\theta) d \theta\right.$
$I_{1}\left(r_{p}, \theta_{p}\right)=\int_{0}^{\alpha} \sqrt{\cos \theta} \sin ^{2} \theta \cdot J_{1}\left(k_{a} r_{p} \sin \theta \sin \theta_{p}\right) \exp \left(i k_{a} r_{p} \cos \theta \cos \theta_{p} F(\theta) d \theta\right.$
$I_{2}\left(r_{p}, \theta_{p}\right)=\int_{0}^{\alpha} \sqrt{\cos \theta} \sin \theta(1-\cos \theta) J_{2}\left(k_{a} r_{p} \sin \theta \sin \theta_{p}\right) \exp \left(i k_{a} r_{p} \cos \theta \cos \theta_{p} F(\theta) d \theta\right.$
$J_{0}, J_{1}, J_{2}$ are Bessel functions and $\mathrm{k}_{\mathrm{a}}$ is the medium wavenumber. $\theta$ is integrated to $\alpha$, where $\alpha=\sin ^{-1}(N A) . F(\theta)$ is the focusing function that we have added to the original formula to describe a collimated input Gaussian beam.

The focusing function $F(\theta)$ is given by [21],

$$
\begin{equation*}
F(\theta)=\exp \left\{-0.5 \cdot \ln (2) \cdot\left(\frac{D}{W \cdot N A}\right)^{2} \cdot \sin ^{2}(\theta)\right\} \tag{6}
\end{equation*}
$$

Where D is the diameter of the back focal aperture of the objective and W is the FWHM of the collimated incoming Gaussian beam (see figure 1). (6) is not normalized with respect to the incident optical power, which is not an issue for calculations presented here.

The expression for $I_{0}, I_{1}$ and $I_{2}$ given above is to be used when the focal spot coincide with the center of the particle. If the center of the particle is to be displaced from the center of the focal spot by R , then simple change $r_{p} \cos \theta_{p}$ to $r_{p} \cos \theta_{p}+R$, with the origin at the particle's center. If R is positive, then the center of the particle at $r_{p}=0$ is to the right of the focal plane, which means that the beam is expanding at the particle's coordinate. If R is negative, the opposite is true.

It is noted in another presentation of the focused beam that under paraxial conditions the focusing beam in the image space can be written as a series expansion with respect to the ratio of the beam waist versus diffraction length [22]. If only the first order term is retained then the focusing beam is Gaussian in shape. This Gaussian shape focusing beam at the particle position was used in reference [23] for calculating the nanojet formed by a dielectric particle. The paraxial condition implies that the calculation is valid only if the focus spot is formed by a small numerical aperture objective. We use the Richard and Wolf formula [20] to describe the focusing beam. The input collimated beam before the microscope object is Gaussian, but the image field vector as describe by equations 1 and 2 in the focal region where the particle is located is not, in contrast with reference 23 . Furthermore, the Richard and Wolf formula is valid even for numerical aperture approaching one [24]. Furthermore the Richard and Wolf formula is a result of a full vector analysis. Using a microscope objective with $\mathrm{NA}=0.85$, the spatial profile of the focal spot predicted by the Richard and Wolf formula agreed very well with experimentally measured profile using a scanning NSOM probe [21].

## 4. VECTOR SPHERICAL HARMONICS ANALYSIS

### 4.1 Incident Beam

The incident field $E_{i}$ is expanded in terms of the orthogonal vector spherical harmonics as follows,

$$
\begin{equation*}
E_{i}=\sum_{m=0}^{\infty} \sum_{n=m}^{\infty}\left(B_{e m n} \cdot M_{e m n}+B_{o m n} \cdot M_{o m n}+A_{e m n} \cdot N_{e m n}+A_{o m n} \cdot N_{o m n}\right) \tag{7}
\end{equation*}
$$

The full expression for the vector spherical harmonics $\mathrm{M}_{\mathrm{emn}}, \mathrm{M}_{\mathrm{omn}}, \mathrm{A}_{\text {emn }}$, and $\mathrm{A}_{\mathrm{omn}}$ as a function of spherical coordinates $\left(r_{p}, \theta_{p}, \phi_{p}\right)$ are listed in ref [25] equation 4-17-20:

$$
\begin{align*}
& M_{e m n}=-\sin m \phi_{p} \cdot \frac{m P_{n}^{m}}{\sin \theta_{p}} \cdot z_{n}(\rho) \cdot \hat{e}_{\theta}-\cos m \phi_{p} \cdot \frac{d P_{n}^{m}}{d \theta_{p}} \cdot z_{n}(\rho) \cdot \hat{e}_{\phi} \\
& M_{o m n}=\cos m \phi_{p} \cdot \frac{m P_{n}^{m}}{\sin \theta_{p}} \cdot z_{n}(\rho) \cdot \hat{e}_{\theta}-\sin m \phi_{p} \cdot \frac{d P_{n}^{m}}{d \theta_{p}} \cdot z_{n}(\rho) \cdot \hat{e}_{\phi} \\
& N_{e m n}=\cos m \phi_{p} n(n+1) \cdot P_{n}^{m} \frac{z_{n}(\rho)}{\rho} \cdot \hat{e}_{r}+\cos m \phi_{p} \cdot \frac{d P_{n}^{m}}{d \theta_{p}} Z_{n}(\rho) \cdot \hat{e}_{\theta}-\sin m \phi_{p} \frac{m P_{n}^{m}}{\sin \theta_{p}} Z_{n}(\rho) \cdot \hat{e}_{\phi} \\
& N_{o m n}=\sin m \phi_{p} n(n+1) P_{n}^{m} \frac{z_{n}(\rho)}{\rho} \cdot \hat{e}_{r}+\sin m \phi_{p} \frac{d P_{n}^{m}}{d \theta_{p}} Z_{n}(\rho) \cdot \hat{e}_{\theta}+\cos m \phi_{p} \frac{m P_{n}^{m}}{\sin \theta_{p}} Z_{n}(\rho) \cdot \hat{e}_{\phi} \\
& Z_{n}(\rho) \equiv \frac{1}{\rho} \cdot \frac{d}{d \rho}\left[\rho \cdot z_{n}(\rho)\right] \tag{8}
\end{align*}
$$

$P_{n}^{m}\left(\theta_{p}\right)$ is the associate Legendre function The radial function $z_{n}(\rho)$ is either the spherical Bessel function or the Hankel function. $\rho=k r_{p}$, where $k$ is the wave number.

The orthogonal property between the vector spherical harmonics allows the coefficients $B_{\text {emn }}, B_{\text {omn }}, A_{\text {emn }}$ and $A_{\text {omn }}$ to be written as,

$$
\begin{align*}
& B_{e m n}=\frac{\int_{0}^{\infty} \int_{0}^{2 \pi} \int_{0}^{\pi} E_{i}\left(r_{p}, \theta_{p}, \phi_{p}\right) \cdot M_{e m n}\left(r_{p}, \theta_{p}, \phi_{p}\right) \cdot \sin \left(\theta_{p}\right) \cdot d \theta_{p} \cdot d \phi_{p} \cdot d r_{p}}{\int_{0}^{\infty} \int_{0}^{2 \pi} \int_{0}^{\pi} \mid M_{e m n}\left(r_{p}, \theta_{p}, \phi_{p}\right)^{2} \cdot \sin \left(\theta_{p}\right) \cdot d \theta_{p} \cdot d \phi_{p} \cdot d r_{p}} \\
& B_{o m n}=\frac{\int_{0}^{\infty} \int_{0}^{2 \pi} \int_{0}^{\pi} E_{i}\left(r_{p}, \theta_{p}, \phi_{p}\right) \cdot M_{o m n}\left(r_{p}, \theta_{p}, \phi_{p}\right) \cdot \sin \left(\theta_{p}\right) \cdot d \theta_{p} \cdot d \phi_{p} \cdot d r_{p}}{\int_{0}^{\infty} \int_{0}^{2 \pi} \int_{0}^{\pi} \cdot\left|M_{o m n}\left(r_{p}, \theta_{p}, \phi_{p}\right)\right|^{2} \cdot \sin \left(\theta_{p}\right) \cdot d \theta_{p} \cdot d \phi_{p} \cdot d r_{p}} \\
& A_{e m n}=\frac{\int_{0}^{\infty} \int_{0}^{2 \pi} \int_{0}^{\pi} E_{i}\left(r_{p}, \theta_{p}, \phi_{p}\right) \cdot N_{e m n}\left(r_{p}, \theta_{p}, \phi_{p}\right) \cdot \sin \left(\theta_{p}\right) \cdot d \theta_{p} \cdot d \phi_{p} \cdot d r_{p}}{\int_{0}^{\infty} \int_{0}^{2 \pi} \int_{0}^{\pi} \mid N_{e m n}\left(r_{p}, \theta_{p},\left.\phi_{p}\right|^{2} \cdot \sin \left(\theta_{p}\right) \cdot d \theta_{p} \cdot d \phi_{p} \cdot d r_{p}\right.} \\
& A_{o m n}=\frac{\int_{0}^{\infty} \int_{0}^{2 \pi} \int_{0}^{\pi} E_{i}\left(r_{p}, \theta_{p}, \phi_{p}\right) \cdot N_{o m n}\left(r_{p}, \theta_{p}, \phi_{p}\right) \cdot \sin \left(\theta_{p}\right) \cdot d \theta_{p} \cdot d \phi_{p} \cdot d r_{p}}{\int_{0}^{\infty} \int_{0}^{2 \pi} \int_{0}^{\pi} \mid N_{o m n}\left(r_{p}, \theta_{p}, \phi_{p}\right)^{2} \cdot \sin \left(\theta_{p}\right) \cdot d \theta_{p} \cdot d \phi_{p} \cdot d r_{p}} \tag{9}
\end{align*}
$$

Note that the $\phi_{p}$ dependence of all four vector harmonics in (8) contains either $\sin \left(\phi_{p}\right)$ or $\cos \left(\phi_{p}\right)$ terms, whereas the $\phi_{p}$ dependence of $E_{r}, E_{\theta}, E_{\phi}$. (4) contains only $\sin \left(\phi_{p}\right)$ or $\cos \left(\phi_{p}\right)$. Therefore, all expansion coefficients obtained from equation (6) will be zero unless $m=1$ since all coefficients are the product of vector harmonics and the incident focused field $E_{i}$. And $\phi_{p}$ is integrated from 0 to $2 \pi$. Moreover, with $m=1$, coefficients $B_{e 1 n}$ involving $M_{e l n}$ and $A_{o 1 n}$ involving $N_{o 1 n}$ are zero because the integration over $\phi_{p}$ involving these terms are always the product of $\sin \left(\phi_{p}\right)$ and $\cos \left(\phi_{p}\right)$ which yields zero. $B_{o 1 n}$ and $A_{e 1 n}$ are calculated using equation (A12) which shows that $A_{e 1 n}=-i \cdot B_{o 1 n}$. Thus, the only non-zero coefficients in the expansion of $E_{i}$ are $B_{o 1 n}$ and $A_{e 1 n}$ involving $M_{o 1 n}$ and $N_{\text {eln }}$ respectively. We write $E_{i}^{\prime}$ as,

$$
\begin{equation*}
E_{i}^{\prime}\left(r_{p}, \theta_{p}, \phi_{p}\right)=\sum_{n=1}^{\infty} E_{n}\left(M_{o l n}^{(0)}-i \cdot N_{e l n}^{(0)}\right) \tag{10}
\end{equation*}
$$

The superscripts (0) in the vector harmonics indicates that its radial components $z_{n}(\rho)$ are given by the spherical Bessel functions of the first kind $j_{n}\left(k_{a} r\right)$, where $k_{a}$ is the medium wavenumber. Expressions for the spherical vector harmonics $M_{o l n}$ and $N_{\text {eln }}$ for the case $m=1$, after conversion from the Associated Legendre function $P_{n}^{1}$ to the Legendre function $P_{n}$, are given in the appendix.

The coefficient $E_{n}=B_{o l n}$. Note that the form for the incident field $E_{i}^{\prime}$ is exactly like the plane wave case except that coefficients which need to be calculated from (A12) are different.

It is necessary to determine the minimum number of summation terms needed in equation (10) so that $E_{i}^{\prime}$ can faithfully recreates the expression for the exact incident field $E_{i}$ given by equation (3). For instance, the value of $E_{n}$ is calculated using (A12) for a microscope objective with $\mathrm{NA}=0.4$ for medium focusing power, and 0.8 for strong focusing power. The collimated beam size is fixed at $\mathrm{W}=2 \mathrm{~mm}$, and objective's back focal plane aperture is fixed at $\mathrm{D}=7.65 \mathrm{~mm}$. Values of $\mathrm{E}_{\mathrm{n}}$ that show the number of summation terms needed are given in Table 1 in the Appendix. For the case of a plane wave, it is well known that $E_{n}=E_{0} \cdot i^{n} \cdot \frac{2 n+1}{n(n+1)}$

Figure 2(a') and (a) show the plot of $\left|E_{i}^{\prime}\left(r_{p}, \theta_{p}, \phi_{p}\right)\right|^{2}$ and $\left|E_{i}\left(r_{p}, \theta_{p}, \phi_{p}\right)\right|^{2}$ versus $r_{p}$ perpendicular to the optical axis $\left(\theta_{p}=\pi / 2\right)$ and along the optical axis $\left(\theta_{p}=0\right)$
using the vector harmonics expansion of equation (7) and the Richard and Wolf formula of equation (4) respectively. The numerical aperture $N A=0.4$. Figure 2(b') and (b) compare the same plot for $\mathrm{NA}=0.8$. If a sufficient number of summation terms is used, plots using equation (10) or equation (4) are indistinguishable as shown in figure 3, indicating that the vector harmonics expansion is a valid representation of the incident field.


Figure 3. The The comparison of the field intensity for different NA and phases

For the case of the particle displaced from the focal plane by 3.9 um, calculated coefficients $E_{n}$ and the number of terms needed for both $\mathrm{NA}=0.4$ and 0.8 are also given in Table 1 in the Appendix. For NA=0.4, figure 4(a') and (a) show the plot of $\left|E_{i}^{\prime}\left(r_{p}, \theta_{p}, \phi_{p}\right)\right|^{2}$ and $\left|E_{i}\left(r_{p}, \theta_{p}, \phi_{p}\right)\right|^{2}$ versus $\mathrm{r}_{\mathrm{p}}$ for $\theta_{p}=\frac{\pi}{2}$ and $\theta_{p}=\pi$ using the vector
harmonic expansion and the Richard and Wolf formula respectively. The coordinate origin at $r_{p}=0$ is at the particle's center which is 3.9 um away from the focal point. The FWHM of the beam spot size at the particle position is now 1.2 um as indicated by the case corresponding to $\theta_{p}=\frac{\pi}{2}$ instead of 0.84 um at the focal point. For the case corresponding to $\theta_{p}=\pi$, the field intensity peaks at $r_{p}=3.9$ um which is the focal point. Figure 4(b') and (b) show the same comparison plot for $\mathrm{NA}=0.8$. The field intensity at the coordinate origin at $r_{p}=0$, which is the position of the displaced particle center, is small because of the highly divergent beam for $N A=0.8$. An expanded view of the field intensity for the case corresponding to $\theta_{p}=\frac{\pi}{2}$ shows the beam spot size at the particle position is 1.65 um .


Figure 4. The comparison of the field intensity for different NA and phases when the particle is shifted away from the focused point.

Figure 5 displayed below describes the case when the numerical aperture $\mathrm{NA}=$ 0.9 . The wavelength is 400 nm . Figure (5a) and ( $5 \mathrm{a}^{\prime}$ ) is the case when the origin is at the focal point. Figure (5a) shows show the plot of $\left|E_{i}^{\prime}\left(r_{p}, \theta_{p}, \phi_{p}\right)\right|^{2}$ versus $\mathrm{r}_{\mathrm{p}}$ perpendicular to the optical axis $\left(\theta_{p}=\frac{\pi}{2}\right)$ and two directions along the optical axis ( $\theta_{p}=0$ and $\theta_{p}=\pi$ ) using the vector harmonics expansion of equation (4). Figure (5a') shows the plot using the Richard and Wolf formula of equation (1). Observably, the plots for the two directions along the axis overlapped due to the symmetry about the focal point. The focal spot size is 222 nm which is exactly the same as the result as given by the diffraction limit formula: $\frac{\lambda}{2 N A}$. Figure (b) and (b') show the plot of $\left|E_{i}^{\prime}\left(r_{p}, \theta_{p}, \phi_{p}\right)\right|^{2}$ when the origin at $r_{p}=0$ is at the particle's center which is 191 nm behind forward and in front of the focal point. The FWHM of the beam spot size at both particle positions is 258 nm due to the symmetric property of the focused beam about the focal point. (c) and (c') are generated using the same method but they are 318 nm behind and in front of the focal point, the FWHM of which is 263 nm . As the result generated shows, the symmetric positions about the focal point definitely give us the same shape of the curves.


Figure 5. The comparison of the field intensity for another NA when the particle is in different positions

### 4.2 Nanopaticle Case



Figure 6. The schematic of beam incident on the nanoparticle

With the validity of the spherical harmonic expansion for the incident field established, we calculate the scattered field off the particle and the field inside the particle. The scattered field is denoted as $\mathrm{E}_{\mathrm{ar}}$ and the field inside the particle is denoted as $E_{b}$, with the same subscript notation for the magnetic field. since the scattered field resembles an outgoing wave, the appropriate general expression for the radial component $z_{n}(\rho)$ in the spherical harmonic function M and N describing the scattered field is the spherical Hankel function of the first kind: $z_{n}(\rho)=h_{n}^{(1)}\left(k_{b} r\right)$, with $h_{n}^{(1)}(\rho) \equiv j_{n}(\rho)+i \cdot y_{n}(\rho)$, where $y_{n}(\rho)$ is the spherical Bessel function of the second $\operatorname{kind} E_{i}$. Thus $E_{a r}$, in vector spherical $E_{b}$ harmonics, would contain the Hankel function $h_{n}^{(1)}(\rho)$ representing the outgoing wave. $E_{b}$ would contain the Bessel function $j_{n}(\rho)$ like $E_{i}$ since $h_{n}^{(1)}(\rho)$ diverges at $\rho=0$.

Expansion of incident electric field in spherical vector harmonics is given below,

$$
\begin{equation*}
E_{i}=\sum_{n=1}^{\infty} E_{n}\left(M_{o l n}^{(0)}-i \cdot N_{e l n}^{(0)}\right) \tag{11}
\end{equation*}
$$

Since the magnetic wave

$$
\begin{equation*}
H_{i}=\frac{1}{i \omega \mu_{a}} \nabla \times E_{i}=\frac{1}{i \omega \mu_{a}} \sum_{n=1}^{\infty} E_{n}\left(\nabla \times M_{o l n}^{(0)}-i \cdot \nabla \times N_{e 1 n}^{(0)}\right) \tag{12}
\end{equation*}
$$

We use

$$
\begin{align*}
& \nabla \times N=k \cdot M \\
& \nabla \times M=k \cdot N \tag{13}
\end{align*}
$$

With

$$
\begin{equation*}
k^{2}=i \omega \mu \cdot(\sigma-i \omega \varepsilon) \tag{14}
\end{equation*}
$$

While $\sigma=0$, We get

$$
\begin{equation*}
H_{i}=-\frac{k_{a}}{\omega \mu_{a}} \sum_{n=1}^{\infty} E_{n}\left(M_{e l n}^{(0)}+i \cdot N_{o l n}^{(0)}\right) \tag{15}
\end{equation*}
$$

Also, the scattered electric and magnetic wave by the particle surface are

$$
\begin{align*}
& E_{a r}=\sum_{n=1}^{\infty} E_{n}\left(i \cdot \alpha_{a n} N_{e l n}^{(1)}-\beta_{a n} M_{o l n}^{(1)}\right) \\
& H_{a r}=\frac{k_{a}}{\omega \mu_{a}} \sum_{n=1}^{\infty} E_{n}\left(i \cdot \beta_{a n} N_{o l n}^{(1)}+\alpha_{a n} M_{e l n}^{(1)}\right) \tag{16}
\end{align*}
$$

Where (0), (1) means the use of Bessel function and Hankel function respectively. Inside the particle region, we have

$$
E_{b}=\sum_{n=1}^{\infty} E_{n}\left(\beta_{b n} M_{o l n}^{(0)}-i \cdot \alpha_{b n} N_{e l n}^{(0)}\right)
$$

$$
\begin{equation*}
H_{b}=-\frac{k_{b}}{\omega \mu_{b}} \sum_{n=1}^{\infty} E_{n}\left(i \cdot \beta_{b n} N_{o 1 n}^{(0)}+\alpha_{b n} M_{e l n}^{(0)}\right) \tag{17}
\end{equation*}
$$

Thus we have four constants to be determined: $\alpha_{a n}, \beta_{a n}, \alpha_{b n}, \beta_{b n}$. According to formula (8), if the subscript is (0), replace $z_{n}(\rho)$ into $j_{n}(\rho)$; if the subscript is (1), replace $z_{n}(\rho)$ by $h_{n}^{(1)}(\rho)$. For the interface of $a, b$, the tangential components of the electric and magnetic fields in the $e_{\theta}$ and $e_{\phi}$ direction must be equal at the particlemedium interface. This equality condition on E and H are expressed as:

$$
\begin{align*}
& \left(E_{i}+E_{a}\right)_{\theta, \phi}=\left(E_{b}\right)_{\theta, \phi} \\
& \left(H_{i}+H_{a}\right)_{\theta, \phi}=\left(H_{b}\right)_{\theta, \phi} \tag{18}
\end{align*}
$$

In the outer space of the particle interface, the electric wave is

$$
\begin{equation*}
\left(E_{i}+E_{a r}\right)_{\theta, \phi}=M_{o 1 n}\left[j_{n}\left(k_{a} r\right)-\beta_{a n} h_{n}^{(1)}\left(k_{a} r\right)\right]+N_{e 1 n}\left[-i \cdot J_{n}\left(k_{a} r\right)+i \cdot \alpha_{a n} H_{n}^{(1)}\left(k_{a} r\right)\right] \tag{19}
\end{equation*}
$$

Where

$$
\begin{align*}
& J_{n}(\rho)=\frac{1}{\rho} \cdot \frac{d}{d \rho}\left[\rho \cdot j_{n}(\rho)\right] \\
& H_{n}(\rho)=\frac{1}{\rho} \cdot \frac{d}{d \rho}\left[\rho \cdot h_{n}(\rho)\right] \tag{20}
\end{align*}
$$

$r$ is the particle's radium. While in the inner space of the particle interface, we have

$$
\begin{equation*}
\left(E_{b}\right)_{\theta, \phi}=M_{o 1 n}\left[\beta_{b n} j_{n}\left(k_{b} r\right)\right]+N_{e 1 n}\left[-i \cdot \alpha_{b n} J_{n}\left(k_{b} r\right)\right] \tag{21}
\end{equation*}
$$

In terms of (18), we have

$$
\begin{gather*}
\beta_{a n} h_{n}^{(1)}\left(k_{a} r\right)+\beta_{b n} j_{n}\left(k_{\mathrm{b}} r\right)=j_{n}\left(k_{a} r\right) \\
\alpha_{a n} H_{n}^{(1)}\left(k_{a} r\right)+\alpha_{b n} J_{n}\left(k_{\mathrm{b}} r\right)=J_{n}\left(k_{a} r\right) \tag{22}
\end{gather*}
$$

In the outer space of the particle, the magnetic wave is

$$
\begin{equation*}
\left(H_{i}+H_{a r}\right)_{\theta, \phi}=\frac{k_{a}}{\omega \mu_{a}} N_{o l n}\left[-i \cdot j_{n}\left(k_{a} r\right)+i \cdot \beta_{a n} h_{n}^{(1)}\left(k_{a} r\right)\right]+\frac{k_{a}}{\omega \mu_{a}} M_{e l n}\left[-J_{n}\left(k_{a} r\right)+\alpha_{a n} H_{n}^{(1)}\left(k_{a} r\right)\right] \tag{23}
\end{equation*}
$$

While in the inner space of the particle interface, we have

$$
\begin{equation*}
\left(H_{b}\right)_{\theta, \phi}=-\frac{k_{b}}{\omega \mu_{b}} N_{o 1 n}\left[i \cdot \beta_{b n} j_{n}\left(k_{b} r\right)\right]-\frac{k_{b}}{\omega \mu_{b}} M_{e 1 n}\left[\alpha_{b n} J_{n}\left(k_{b} r\right)\right] \tag{24}
\end{equation*}
$$

In terms of (18), we have

$$
\begin{align*}
& \beta_{a n} h_{n}^{(1)}\left(k_{a} r\right)+\beta_{b n} K \cdot j_{n}\left(k_{\mathrm{b}} r\right)=j_{n}\left(k_{a} r\right) \\
& \alpha_{a n} H_{n}^{(1)}\left(k_{a} r\right)+\alpha_{b n} K \cdot J_{n}\left(k_{\mathrm{b}} r\right)=J_{n}\left(k_{a} r\right) \\
& K=\frac{k_{b}}{k_{a}}\left(\frac{\mu_{a}}{\mu_{b}}\right) \tag{25}
\end{align*}
$$

With the four equations inserted into the boundary conditions, $r=r_{b}$, the four constants $\alpha_{a n}, \beta_{a n}, \alpha_{c n}, \beta_{c n}$ are in determinate form,

$$
\alpha_{a n}=\frac{\left[\begin{array}{cc}
\frac{k_{a}}{\mu} \cdot j_{n}\left(k_{a} r_{b}\right) & \frac{k_{b}}{\mu} \cdot j_{n}\left(k_{b} r_{b}\right) \\
(n+1) \frac{j_{n}\left(k_{a} r_{b}\right)}{k_{a} r_{b}}-j_{n+1}\left(k_{a} r_{b}\right) & (n+1) \frac{j_{n}\left(k_{b} r_{b}\right)}{k_{b} r_{b}}-j_{n+1}\left(k_{b} r_{b}\right)
\end{array}\right]}{\left[\begin{array}{cc}
\frac{k_{a}}{\mu} \cdot h_{n}\left(k_{a} r_{b}\right) & \frac{k_{b}}{\mu} \cdot j_{n}\left(k_{b} r_{b}\right) \\
(n+1) \frac{h_{n}\left(k_{a} r_{b}\right)}{k_{a} r_{b}}-h_{n+1}\left(k_{a} r_{b}\right) & (n+1) \frac{j_{n}\left(k_{b} r_{b}\right)}{k_{b} r_{b}}-j_{n+1}\left(k_{b} r_{b}\right)
\end{array}\right]}
$$

$$
\begin{aligned}
& \left.\beta_{a n}=\frac{\left[\begin{array}{cl}
j_{n}\left(k_{a} r_{b}\right) \\
\frac{k_{a}}{\mu}\left[(n+1) \frac{j_{n}\left(k_{a} r_{b}\right)}{k_{a} r_{b}}-j_{n+1}\left(k_{a} r_{b}\right)\right] & \frac{k_{b}}{\mu}\left[(n+1) \frac{j_{n}\left(k_{b} r_{b}\right)}{j_{n}\left(k_{b} r_{b}\right)}\right. \\
k_{b} r_{b}
\end{array} j_{n+1}\left(k_{b} r_{b}\right)\right]}{\left[\begin{array}{c}
h_{n}\left(k_{a} r_{b}\right) \\
k_{n} \\
k_{n}\left(k_{b} r_{b}\right) \\
h_{n}\left(k_{a} r_{b}\right) \\
k_{a} r_{b}
\end{array} h_{n+1}\left(k_{a} r_{b}\right)\right]} \frac{\frac{k_{b}}{\mu} \cdot\left[(n+1) \frac{j_{n}\left(k_{b} r_{b}\right)}{k_{b} r_{b}}-j_{n+1}\left(k_{b} r_{b}\right)\right]}{\mu}\right] \\
& \left.\left.\beta_{b n}=\frac{\left[\begin{array}{c}
h_{n}\left(k_{a} r_{b}\right) \\
\frac{k_{a}}{\mu}\left[(n+1) \frac{h_{n}\left(k_{a} r_{b}\right)}{k_{a} r_{b}}-h_{n+1}\left(k_{a} r_{b}\right)\right]
\end{array}\right.}{\left[\begin{array}{c}
k_{a}(n+1) \frac{j_{n}\left(k_{a} r_{b}\right)}{\mu}\left[\left(k_{a} r_{b}\right)\right. \\
k_{a} r_{b}
\end{array} j_{n+1}\left(k_{a} r_{b}\right)\right]}\right]\right]
\end{aligned}
$$

Where $\mu_{a}=1$ for air and we set $\mu_{b}=\mu$. With $\alpha_{a n}, \beta_{a n}, \alpha_{c n}, \beta_{c n}$ calculated and $E_{n}$ obtained for the case of the focused beam, all electric and magnetic fields as a function of $\left(r_{p}, \theta_{p}, \phi_{p}\right)$ are given.

### 4.3 Nanoshell Case



Figure 7. The schematic of beam incident on the nanoshell

For the case of the beam incident on the nanoshell made of a dialectic particle with a radium of $r_{1}$ covered with a gold shell with a total radium of $r_{2}$. As well, $E_{i}$ is the incident beam, and we also use the spherical Hankel function of the first kind to describe the scattered field $E_{a r}$ and $E_{b r}$ by the $a, b$ interface and $b, c$ interface : $h_{n}^{(1)}(\rho)$ with $h_{n}^{(1)}(\rho) \equiv j_{n}(\rho)+i \cdot y_{n}(\rho)$. We use the spherical Hankel function of the second kind to describe the incident field $E_{b i}$ into the particle $b: h_{n}^{(2)}(\rho)$ with $h_{n}^{(2)}(\rho) \equiv j_{n}(\rho)-i \cdot y_{n}(\rho) . E_{c} \quad$ is the transmitted beam into the spherical particle with a radium of $r_{2}$ described by the spherical Bessel function $j_{n}(\rho)$ like $E_{i}$ since $h_{n}(\rho)$ diverges at $\rho=0$. All the radius of the sphere are assumed to increased to infinity, so
that part of it would be reduced to a planar layer problem. We set the right is the positive direction.

Expansion of incident electric field in spherical coordinates is given as below,

$$
\begin{equation*}
E_{i}=\sum_{n=1}^{\infty} E_{n}\left(M_{o 1 n}^{(0)}-i \cdot N_{e 1 n}^{(0)}\right) \tag{27}
\end{equation*}
$$

The $E_{n}$ in the plane wave is given by $E_{n}=E_{0} \cdot i^{n} \cdot \frac{2 n+1}{n(n+1)}$. Since the magnetic wave

$$
\begin{equation*}
H_{i}=\frac{1}{i \omega \mu_{a}} \nabla \times E_{i}=\frac{1}{i \omega \mu_{a}} \sum_{n=1}^{\infty} E_{n}\left(\nabla \times M_{o l n}^{(0)}-i \cdot \nabla \times N_{e 1 n}^{(0)}\right) \tag{28}
\end{equation*}
$$

We can use

$$
\begin{gather*}
\nabla \times N=k \cdot M \\
\nabla \times M=k \cdot N \\
k^{2}=i \omega \mu \cdot(\sigma-i \omega \varepsilon) \\
\sigma=0 \tag{29}
\end{gather*}
$$

We can get

$$
\begin{equation*}
H_{i}=-\frac{k_{a}}{\omega \mu_{a}} \sum_{n=1}^{\infty} E_{n}\left(M_{e l n}^{(0)}+i \cdot N_{o l n}^{(0)}\right) \tag{30}
\end{equation*}
$$

Also, the reflected electric and magnetic wave by the particle surface are

$$
E_{a r}=\sum_{n=1}^{\infty} E_{n}\left(i \cdot \alpha_{a n} N_{e 1 n}^{(1)}-\beta_{a n} M_{o l n}^{(1)}\right)
$$

$$
\begin{equation*}
H_{a r}=\frac{k_{a}}{\omega \mu_{a}} \sum_{n=1}^{\infty} E_{n}\left(i \cdot \beta_{a n} N_{o l n}^{(1)}+\alpha_{a n} M_{e 1 n}^{(1)}\right) \tag{31}
\end{equation*}
$$

Where (0), (1) means the use of Bessel function and Hankel function. In the metal region, we have

$$
\begin{gather*}
E_{b}=\sum_{n=1}^{\infty} E_{n}\left(\beta_{b n}^{(1)} M_{o l n}^{(1)}+\beta_{b n}^{(2)} M_{o l n}^{(2)}-i \cdot \alpha_{b n}^{(1)} M_{o l n}^{(1)}-i \cdot \alpha_{b n}^{(2)} M_{o l n}^{(2)}\right) \\
H_{b}=\frac{k_{b}}{\omega \mu_{b}} \sum_{n=1}^{\infty} E_{n}\left(i \cdot \beta_{b n}^{(1)} N_{o l n}^{(1)}+i \cdot \beta_{b n}^{(2)} N_{o l n}^{(2)}+\alpha_{b n}^{(1)} M_{e l n}^{(1)}+\alpha_{b n}^{(2)} M_{e l n}^{(2)}\right) \tag{32}
\end{gather*}
$$

Which consist of the incident and the scattered field in the $b$ region with $k_{b}^{2}=i \omega \mu_{b} \cdot\left(\sigma_{b}-i \omega \varepsilon_{b}\right)$. In the core region, we only have Bessel beam because the Hankel beam diverges at $\rho=0$ :

$$
\begin{align*}
& E_{c}=\sum_{n=1}^{\infty} E_{n}\left(\beta_{c n} M_{o l n}^{(0)}-i \cdot \alpha_{c n} N_{e l n}^{(0)}\right) \\
& H_{c}=-\frac{k_{c}}{\omega \mu_{c}} \sum_{n=1}^{\infty} E_{n}\left(i \cdot \beta_{c n} N_{o l n}^{(0)}+\alpha_{c n} M_{e l n}^{(0)}\right) \tag{33}
\end{align*}
$$

Thus we have eight constants to be determined: $\alpha_{a n}, \beta_{a n}, \alpha_{b n}^{(1)}, \beta_{b n}^{(1)}, \alpha_{b n}^{(2)}, \beta_{b n}^{(2)}$, $\alpha_{c n}, \beta_{c n}$. According to formula (5), if the manuscript is (0), replace $z_{n}(\rho)$ into $j_{n}(\rho)$; if the manuscript is (1), replace $z_{n}(\rho)$ into $h_{n}^{(1)}(\rho)$; if the manuscript is (2), replace $z_{n}(\rho)$ into $h_{n}^{(2)}(\rho)$. For the interface of $a, b$, the tangential components of the electric and magnetic fields in the $e_{\theta}$ and $e_{\phi}$ direction must be equal at the particle-medium interface. This equality condition on E and H are expressed as:

$$
\left(E_{i}+E_{a}\right)_{\theta, \phi}=\left(E_{b}\right)_{\theta, \phi}
$$

$$
\begin{equation*}
\left(H_{i}+H_{a}\right)_{\theta, \phi}=\left(H_{b}\right)_{\theta, \phi} \tag{34}
\end{equation*}
$$

In the outer space of the nanoshell interface, the electric wave is

$$
\begin{equation*}
\left(E_{i}+E_{a r}\right)_{\theta, \phi}=M_{o l n}\left[j_{n}\left(k_{a} r_{2}\right)-\beta_{a n} h_{n}^{(1)}\left(k_{a} r_{2}\right)\right]+N_{e l n}\left[-i \cdot J_{n}\left(k_{a} r_{2}\right)+i \cdot \alpha_{a n} H_{n}^{(1)}\left(k_{a} r_{2}\right)\right] \tag{35}
\end{equation*}
$$

Combines with formula (20) and $r_{2}$ is the shell's radium.
In the middle of metal shell space, the electric wave is

$$
\begin{equation*}
\left(E_{b}\right)_{\theta, \phi}=M_{o 1 n}\left[\beta_{b n}^{(1)} h_{n}^{(1)}\left(k_{b} r_{2}\right)+\beta_{b n}^{(2)} h_{n}^{(2)}\left(k_{b} r_{2}\right)\right]+N_{e 1 n}\left[-i \cdot \alpha_{b n}^{(1)} H_{n}^{(1)}\left(k_{b} r_{2}\right)-i \cdot \alpha_{b n}^{(2)} H_{n}^{(2)}\left(k_{b} r_{2}\right)\right] \tag{36}
\end{equation*}
$$

In terms of (34), we have

$$
\begin{align*}
& \beta_{a n} h_{n}^{(1)}\left(k_{a} r_{2}\right)+\beta_{b n}^{(2)} h_{n}^{(2)}\left(k_{\mathrm{b}} r_{2}\right)=j_{n}\left(k_{a} r_{2}\right)+\beta_{b n}^{(1)} h_{n}^{(1)}\left(k_{\mathrm{b}} r_{2}\right) \\
& \alpha_{a n} H_{n}^{(1)}\left(k_{a} r_{2}\right)+\alpha_{b n}^{(2)} H_{n}\left(k_{\mathrm{b}} r_{2}\right)=J_{n}\left(k_{a} r_{2}\right)+\alpha_{b n}^{(1)} H_{n}\left(k_{\mathrm{b}} r_{2}\right) \tag{37}
\end{align*}
$$

In the outer space of the nanoshell, the magnetic wave is

$$
\begin{equation*}
\left(H_{i}+H_{a r}\right)_{\theta, \phi}=\frac{k_{a}}{\omega \mu_{a}} M_{e l n}\left[-j_{n}\left(k_{a} r_{2}\right)+\alpha_{a n} h_{n}^{(1)}\left(k_{a} r_{2}\right)\right]+\frac{k_{a}}{\omega \mu_{a}} N_{o l n}\left[-J_{n}\left(k_{a} r_{2}\right)+\beta_{a n} H_{n}\left(k_{a} r_{2}\right)\right] \tag{38}
\end{equation*}
$$

While in the inner space of the nanoshell interface, we have
$\left(H_{b}\right)_{\theta, \phi}=-\frac{k_{b}}{\omega \mu_{b}} M_{e l n}\left[-\alpha_{b n}^{(1)} h_{n}^{(1)}\left(k_{b} r_{2}\right)+\alpha_{b n}^{(2)} h_{n}^{(2)}\left(k_{b} r_{2}\right)\right]-\frac{k_{b}}{\omega \mu_{b}} N_{o l n}\left[-i \cdot \beta_{b n}^{(1)} H_{n}^{(1)}\left(k_{b} r_{2}\right)+i \cdot \beta_{b n}^{(2)} H_{n}^{(2)}\left(k_{b} r_{2}\right)\right]$

In terms of (34), we have

$$
\begin{gather*}
\alpha_{a n} h_{n}^{(1)}\left(k_{a} r_{2}\right)-\alpha_{b n}^{(1)} K_{1} \cdot h_{n}^{(1)}\left(k_{\mathrm{b}} r_{2}\right)+\alpha_{b n}^{(2)} K_{1} \cdot h_{n}^{(2)}\left(k_{\mathrm{b}} r_{2}\right)=j_{n}\left(k_{a} r_{2}\right) \\
\beta_{a n} H_{n}^{(1)}\left(k_{a} r_{2}\right)-\beta_{b n}^{(1)} K_{1} \cdot H_{n}^{(1)}\left(k_{\mathrm{b}} r_{2}\right)+\beta_{b n}^{(2)} K_{1} \cdot H_{n}^{(2)}\left(k_{\mathrm{b}} r_{2}\right)=J_{n}\left(k_{a} r_{2}\right) \\
K_{1}=\frac{k_{b}}{k_{a}}\left(\frac{\mu_{a}}{\mu_{b}}\right) \tag{40}
\end{gather*}
$$

For the interface of $b, c$, the tangential components of the electric and magnetic fields in the $e_{\theta}$ and $e_{\phi}$ direction must be equal at the particle-medium interface. This equality condition on E and H are expressed as:

$$
\begin{align*}
& \left(E_{b}\right)_{\theta, \phi}=\left(E_{c}\right)_{\theta, \phi} \\
& \left(H_{b}\right)_{\theta, \phi}=\left(H_{c}\right)_{\theta, \phi} \tag{41}
\end{align*}
$$

In the metal space of the nanoshell interface, the electric wave is

$$
\begin{equation*}
\left(E_{b}\right)_{\theta, \phi}=M_{o l n}\left[\beta_{b n}^{(1)} h_{n}^{(1)}\left(k_{b} r_{1}\right)-\beta_{b n}^{(2)} h_{n}^{(2)}\left(k_{b} r_{1}\right)\right]+N_{e 1 n}\left[-i \cdot \alpha_{b n}^{(1)} H_{n}^{(1)}\left(k_{b} r_{1}\right)+i \cdot \alpha_{b n}^{(2)} H_{n}^{(2)}\left(k_{b} r_{1}\right)\right] \tag{42}
\end{equation*}
$$

In the core space of the nanoshell, the electric wave is

$$
\begin{equation*}
\left(E_{c}\right)_{\theta, \phi}=M_{o l n}\left[\beta_{c n} j_{n}\left(k_{c} r_{1}\right)\right]+N_{e 1 n}\left[-i \cdot \alpha_{c n} J_{n}\left(k_{c} r_{1}\right)\right] \tag{43}
\end{equation*}
$$

In terms of (41), we have

$$
\begin{gather*}
\beta_{c n} j_{n}\left(k_{c} r_{1}\right)+\beta_{b n}^{(1)} h_{n}^{(1)}\left(k_{b} r_{1}\right)=\beta_{b n}^{(2)} h_{n}^{(2)}\left(k_{\mathrm{b}} r_{1}\right) \\
\alpha_{c n} J_{n}\left(k_{\mathrm{c}} r_{1}\right)+\alpha_{b n}^{(1)} H_{n}^{(1)}\left(k_{b} r_{1}\right)=\alpha_{b n}^{(2)} H_{n}^{(2)}\left(k_{\mathrm{b}} r_{1}\right) \tag{44}
\end{gather*}
$$

In the metal space of the nanoshell interface, the magnetic wave is

$$
\begin{equation*}
\left(H_{b}\right)_{\theta, \phi}=-\frac{k_{b}}{\omega \mu_{b}} M_{e l n}\left[\alpha_{b n}^{(1)} h_{n}^{(1)}\left(k_{b} r_{1}\right)+i \cdot \alpha_{b n}^{(2)} h_{n}^{(2)}\left(k_{b} r_{1}\right)\right]-\frac{k_{b}}{\omega \mu_{b}} N_{o l n}\left[-i \cdot \beta_{b n}^{(1)} H_{n}^{(1)}\left(k_{b} r_{1}\right)+i \cdot \beta_{b n}^{(2)} H_{n}^{(2)}\left(k_{b} r_{1}\right)\right] \tag{45}
\end{equation*}
$$

In the core space of the nanoshell, the magnetic wave is

$$
\begin{equation*}
\left(H_{c}\right)_{\theta, \phi}=-\frac{k_{c}}{\omega \mu_{c}} M_{e 1 n}\left[\alpha_{c n} j_{n}\left(k_{c} r_{1}\right)\right]-\frac{k_{c}}{\omega \mu_{c}} N_{o 1 n}\left[i \cdot \beta_{c n} J_{n}\left(k_{c} r_{1}\right)\right] \tag{46}
\end{equation*}
$$

In terms of (41), we have

$$
\begin{gather*}
K_{2} \alpha_{c n} j_{n}\left(k_{c} r_{1}\right)+\alpha_{b n}^{(1)} h_{n}^{(1)}\left(k_{\mathrm{b}} r_{1}\right)=\alpha_{b n}^{(2)} h_{n}^{(2)}\left(k_{\mathrm{b}} r_{1}\right) \\
K_{2} \beta_{c n} J_{n}\left(k_{\mathrm{c}} r_{1}\right)+\beta_{b n}^{(1)} H_{n}^{(1)}\left(k_{b} r_{1}\right)=\beta_{b n}^{(2)} H_{n}^{(2)}\left(k_{\mathrm{b}} r_{1}\right) \\
K_{2}=\frac{k_{c}}{k_{b}}\left(\frac{\mu_{b}}{\mu_{c}}\right) \tag{47}
\end{gather*}
$$

With the eight boundary equations, the eight constants $\alpha_{a n}, \beta_{a n}, \alpha_{b n}^{(1)}, \beta_{b n}^{(1)}$, $\alpha_{b n}^{(2)}, \beta_{b n}^{(2)}, \alpha_{c n}, \beta_{c n}$ are in determinate form,

$$
\alpha_{a n}=\frac{\left[\begin{array}{cccc}
\frac{k_{a}}{\mu_{a}} \cdot j_{n}\left(k_{a} r_{2}\right) & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{2}\right) & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
J_{n}\left(k_{a} r_{2}\right) & H_{n}^{(1)}\left(k_{b} r_{2}\right) & H_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
0 & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{1}\right) & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(2)}\left(k_{b} r_{1}\right) & -\frac{k_{c}}{\mu_{c}} \cdot j_{n}\left(k_{c} r_{1}\right) \\
0 & H_{n}^{(1)}\left(k_{b} r_{1}\right) & H_{n}^{(2)}\left(k_{b} r_{1}\right) & -J_{n}\left(k_{c} r_{1}\right)
\end{array}\right]}{\left[\begin{array}{cccc}
\frac{k_{a}}{\mu_{a}} \cdot h_{n}^{(1)}\left(k_{a} r_{2}\right) & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{2}\right) & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
H_{n}^{(1)}\left(k_{a} r_{2}\right) & H_{n}^{(1)}\left(k_{b} r_{2}\right) & H_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
0 & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{1}\right) & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(2)}\left(k_{b} r_{1}\right) & -\frac{k_{c}}{\mu_{c}} \cdot j_{n}\left(k_{c} r_{1}\right) \\
0 & H_{n}^{(1)}\left(k_{b} r_{1}\right) & H_{n}^{(2)}\left(k_{b} r_{1}\right) & -J_{n}\left(k_{c} r_{1}\right)
\end{array}\right]}
$$

$$
\beta_{a n}=\left[\begin{array}{cccc}
j_{n}\left(k_{a} r_{2}\right) & -h_{n}^{(1)}\left(k_{b} r_{2}\right) & h_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
\frac{k_{a}}{\mu_{a}} \cdot H_{n}^{(1)}\left(k_{a} r_{2}\right) & -\frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(1)}\left(k_{b} r_{2}\right) & \frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
0 & -h_{n}^{(1)}\left(k_{b} r_{1}\right) & h_{n}^{(2)}\left(k_{b} r_{1}\right) & -j_{n}\left(k_{c} r_{1}\right) \\
0 & -\frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(1)}\left(k_{b} r_{1}\right) & \frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(2)}\left(k_{b} r_{1}\right) & -\frac{k_{c}}{\mu_{c}} \cdot J_{n}\left(k_{c} r_{1}\right)
\end{array}\right] .
$$

$$
\begin{aligned}
& \alpha_{b n}^{(2)}=\frac{\left[\begin{array}{cccc}
\frac{k_{a}}{\mu_{a}} \cdot h_{n}^{(1)}\left(k_{a} r_{2}\right) & -\frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{2}\right) & \frac{k_{b}}{\mu_{b}} \cdot j_{n}\left(k_{a} r_{2}\right) & 0 \\
H_{n}^{(1)}\left(k_{a} r_{2}\right) & -H_{n}^{(1)}\left(k_{b} r_{2}\right) & J_{n}\left(k_{a} r_{2}\right) & 0 \\
0 & -\frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{1}\right) & 0 & -\frac{k_{c}}{\mu_{c}} \cdot j_{n}\left(k_{c} r_{1}\right) \\
0 & -H_{n}^{(1)}\left(k_{b} r_{1}\right) & 0 & -J_{n}\left(k_{c} r_{1}\right)
\end{array}\right]}{\left[\begin{array}{cccc}
\frac{k_{a}}{\mu_{a}} \cdot h_{n}^{(1)}\left(k_{a} r_{2}\right) & -\frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{2}\right) & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
H_{n}^{(1)}\left(k_{a} r_{2}\right) & -H_{n}^{(1)}\left(k_{b} r_{2}\right) & H_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
0 & -\frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{1}\right) & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(2)}\left(k_{b} r_{1}\right) & -\frac{k_{c}}{\mu_{c}} \cdot j_{n}\left(k_{c} r_{1}\right) \\
0 & -H_{n}^{(1)}\left(k_{b} r_{1}\right) & H_{n}^{(2)}\left(k_{b} r_{1}\right) & -J_{n}\left(k_{c} r_{1}\right)
\end{array}\right]} \\
& \beta_{b n}^{(2)}=\frac{\left[\begin{array}{cccc}
h_{n}^{(1)}\left(k_{a} r_{2}\right) & -h_{n}^{(1)}\left(k_{b} r_{2}\right) & j_{n}\left(k_{a} r_{2}\right) & 0 \\
\frac{k_{a}}{\mu_{a}} \cdot H_{n}^{(1)}\left(k_{a} r_{2}\right) & -\frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(1)}\left(k_{b} r_{2}\right) & \frac{k_{a}}{\mu_{a}} \cdot J_{n}\left(k_{a} r_{2}\right) & 0 \\
0 & -h_{n}^{(1)}\left(k_{b} r_{1}\right) & 0 & -j_{n}\left(k_{c} r_{1}\right) \\
0 & -\frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(1)}\left(k_{b} r_{1}\right) & 0 & -\frac{k_{c}}{\mu_{c}} \cdot J_{n}\left(k_{c} r_{1}\right)
\end{array}\right]}{\left[\begin{array}{cccc}
h_{n}^{(1)}\left(k_{a} r_{2}\right) & -h_{n}^{(1)}\left(k_{b} r_{2}\right) & h_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
\frac{k_{a}}{\mu_{a}} \cdot H_{n}^{(1)}\left(k_{a} r_{2}\right) & -\frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(1)}\left(k_{b} r_{2}\right) & \frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
0 & -h_{n}^{(1)}\left(k_{b} r_{1}\right) & h_{n}^{(2)}\left(k_{b} r_{1}\right) & -j_{n}\left(k_{c} r_{1}\right) \\
0 & -\frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(1)}\left(k_{b} r_{1}\right) & \frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(2)}\left(k_{b} r_{1}\right) & -\frac{k_{c}}{\mu_{c}} \cdot J_{n}\left(k_{c} r_{1}\right)
\end{array}\right]}
\end{aligned}
$$

$$
\begin{aligned}
& {\left[\frac{k_{a}}{\mu_{a}} \cdot h_{n}^{(1)}\left(k_{a} r_{2}\right) \quad-\frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{2}\right) \quad \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(2)}\left(k_{b} r_{2}\right) \quad \frac{k_{b}}{\mu_{b}} \cdot j_{n}\left(k_{a} r_{2}\right)\right]} \\
& H_{n}^{(1)}\left(k_{a} r_{2}\right) \quad-H_{n}^{(1)}\left(k_{b} r_{2}\right) \quad H_{n}^{(2)}\left(k_{b} r_{2}\right) \quad J_{n}\left(k_{a} r_{2}\right) \\
& 0 \quad-\frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{1}\right) \quad \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(2)}\left(k_{b} r_{1}\right) \quad 0
\end{aligned}
$$

$$
\begin{align*}
& H_{n}^{(1)}\left(k_{a} r_{2}\right) \quad-H_{n}^{(1)}\left(k_{b} r_{2}\right) \quad H_{n}^{(2)}\left(k_{b} r_{2}\right) \quad 0 \\
& \left.\begin{array}{cccc}
0 & -\frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(1)}\left(k_{b} r_{1}\right) & \frac{k_{b}}{\mu_{b}} \cdot h_{n}^{(2)}\left(k_{b} r_{1}\right) & -\frac{k_{c}}{\mu_{c}} \cdot j_{n}\left(k_{c} r_{1}\right) \\
0 & -H_{n}^{(1)}\left(k_{b} r_{1}\right) & H_{n}^{(2)}\left(k_{b} r_{1}\right) & -J_{n}\left(k_{c} r_{1}\right)
\end{array}\right] \\
& \beta_{c n}=\frac{\left[\begin{array}{cccc}
h_{n}^{(1)}\left(k_{a} r_{2}\right) & -h_{n}^{(1)}\left(k_{b} r_{2}\right) & h_{n}^{(2)}\left(k_{b} r_{2}\right) & j_{n}\left(k_{a} r_{2}\right) \\
\frac{k_{a}}{\mu_{a}} \cdot H_{n}^{(1)}\left(k_{a} r_{2}\right) & -\frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(1)}\left(k_{b} r_{2}\right) & \frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(2)}\left(k_{b} r_{2}\right) & \frac{k_{a}}{\mu_{a}} \cdot J_{n}\left(k_{a} r_{2}\right) \\
0 & -h_{n}^{(1)}\left(k_{b} r_{1}\right) & h_{n}^{(2)}\left(k_{b} r_{1}\right) & 0 \\
0 & -\frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(1)}\left(k_{b} r_{1}\right) & \frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(2)}\left(k_{b} r_{1}\right) & 0
\end{array}\right]}{\left[\begin{array}{cccc}
h_{n}^{(1)}\left(k_{a} r_{2}\right) & -h_{n}^{(1)}\left(k_{b} r_{2}\right) & h_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
\frac{k_{a}}{\mu_{a}} \cdot H_{n}^{(1)}\left(k_{a} r_{2}\right) & -\frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(1)}\left(k_{b} r_{2}\right) & \frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(2)}\left(k_{b} r_{2}\right) & 0 \\
0 & -h_{n}^{(1)}\left(k_{b} r_{1}\right) & h_{n}^{(2)}\left(k_{b} r_{1}\right) & -j_{n}\left(k_{c} r_{1}\right) \\
0 & -\frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(1)}\left(k_{b} r_{1}\right) & \frac{k_{b}}{\mu_{b}} \cdot H_{n}^{(2)}\left(k_{b} r_{1}\right) & -\frac{k_{c}}{\mu_{c}} \cdot J_{n}\left(k_{c} r_{1}\right)
\end{array}\right]} \tag{48}
\end{align*}
$$

## 5. NANOJET CALCULATIONS

### 5.1 Case One

We present calculations of nanojets at a wavelength of 400 nm . The particle is assumed to be a fused silica particle with a diameter of 2 um and a refractive index of 1.47 at 400 nm wavelength. Figure $4 a$ and $4 a^{\prime}$ show the nanojet formed when the focal point and the center of the particle are at the same point for the case corresponding to $N A=0.4$. The width of the nanojet waist is a function of the azimuthal angle $\phi$. Figure 4 a is for the case $\phi=\pi / 2$, which corresponds to the plane perpendicular to the plane formed by the incident electric field polarization and its propagation direction. The electric field intensity normalized to the focal intensity versus $r_{p}$ is shown below for $\theta_{p}=0$ and for $\theta_{p}$ $=0.115$ when the intensity drops by one-half at the nanojet waist. From $\theta_{p}=0.115$, the calculated FWHM of the waist is about 253 nm . Figure $4 \mathrm{a}^{\prime}$ is for the case $\phi=0$, which correspond to the plane formed by the incident field polarization and its propagation direction. The half intensity occurs at $\theta_{\mathrm{p}}=0.145$ as shown, which indicates that the FWHM of the waist is 319 nm . Figure 4 b and 4 b ' show the nanojet formed when the center of the particle is 3.9 um away from the focal point. Compared with figure 4 a and a', it is observed that the nanojet moved away from the surface of the particle by 215 nm . For $\phi=\pi / 2$, the half intensity occurs at $\theta_{\mathrm{p}}=0.102$ which indicates that the FWHM of the waist is about 245 nm . For $\phi=0$, the FWHM is 316 nm . Thus the width of the waist remains about the same for the same $\phi$ but the position of the waist is moved farther outside the particle. Note that the nanojet field intensity is slightly smaller for figure 4 b and $b$ ' than for figure $4 a$ and $a$ ' because when the particle is displaced from the focal
point, the FWHM of the incident beam at the particle is 1.2 um rather than 0.84 um ; ie the particle collects less light. The asymmetry of the nanojet waist with respect to $\phi$ should be alleviated for circular polarization because the x or the y incident field polarization component is largely maintained during propagation.

Figure (c), (c'), (d), and (d') are cases when the NA $=0.8$. For (c) and ( $c^{\prime}$ ), the particle is at the focal point. The nanojet waist is inside the particle. For (d) and (d'), the particle is 3.9 um away from the focal point. The nanojet waist is now 335 nm outside the particle. For $\phi=\frac{\pi}{2}$, the FWHM of the waist is 256 nm , and for $\phi=0$, it is 315 nm . Thus, the FWHM of the waist are roughly the same for NA $=0.4$ and NA $=0.8$,

In figure 4 e and 4 e ', the result for the case of a plane wave incident field, as generally assumed, is also calculated and compared with the focused beam case. It is noted that for $\phi=\frac{\pi}{2}$, the FWHM of the beam waist is as narrow as 150 nm and occurs at the surface of the particle. However, for $\phi=0$, the FWHM is 340 nm . Thus, the focused beam analysis produce a less asymmetric results compared with the plane wave analysis.


Figure 8. The nanojet pictures and plots for different situations.

It is noted that nanojets intensity enhancement for focused beam shown in Figure 8(a) to Figure 8(d) are substantially smaller than for a plane wave beam shown in Figure 8(e). This is reasonable because a nanoparticle collects much more photon for an incident focused beam than a plane wave, which theoretically is of infinite spatial extent. For instance, the intensity enhancement in Figure 8(c) is only about one. If the enhancement were 100, the nanojet beam size would have to shrink to about 25 nm (factor of 10) since the definition of intensity is power/area.

### 5.2 Case Two

For the case of very small particle size, based on the wavelength of 400 nm and NA of 0.9 for the nanojet calculation, the particle is assumed to be a fused silica particle with a diameter of $50 \mathrm{~nm}, 100 \mathrm{~nm}$ and 200 nm respectively shown in Figure (9). And the particle with different sizes is placed at a distance of $0 \mathrm{~nm}, 191 \mathrm{~nm}$ and 318 nm for both behind and in front of the focal point which gives us five different positions. When the particle with a diameter of 50 nm is placed 191 nm on the right side away from the focal point, the nanojet is not obvious. Using the same method presented in ref[26]. When the particle increases to 100 nm and 200 nm of the diameter, the corresponding FWHM will increase to 123 nm and 159 nm respectively which are all quite beyond the diffraction limit of 222 nm for this
case obviously. The symmetric position of the particle about the focal point which means on the right side 191 nm away from the focal point, the FWHM number will decrease as $218 \mathrm{~nm}, 208 \mathrm{~nm}$ and 168 nm when the particle diameter increases as 50 nm , $100 \mathrm{~nm}, 200 \mathrm{~nm}$. As the beam waists are both 114 nm at those symmetric positions about the focal point, interestingly, when the particles are chosen to be the same size as the beam waists, the FWHM numbers of them happen to achieve a smallest difference of 12 nm only. The same phenomenon happens when the two positions are 318 nm away from the focal point symmetrically. The shape of nanojets from the particle on the left side of the focal point has a longer shape than the right ones and most of the nanojets are positioned outside the particle which is practical for industry usage.

$$
\mathrm{R}=0 \text { (particle at focal point) }
$$



Figure 9: The nanojet pictures and plots for different situations
$R=-191 \mathrm{~nm}$ (particle in front of focal point)

(Figure 9 continued)

$$
\mathrm{R}=-318 \mathrm{~nm} \text { (particle in front of focal point) }
$$

(e1)
$\mathrm{D}=50 \mathrm{~nm}$
(FWHM=224 nm)

Plane wave case
(f1)
(f2)

$$
\mathrm{D}=100 \mathrm{~nm}
$$

D=200 nm
(FWHM=215 nm)
(FWHM=200 nm)

(Figure 9 continued)

Considering the particle exactly on the focal point, it can be compared with the situation of plane-wave incidence. Since the field can be regarded as parallel propagation to the axis near the focal point, the particle can be regarded as being placed in a plane wave environment especially when the particle is small enough. The FWHM of the nanojet waist decreases from 215 nm to 154 nm then increase to 200 nm when the particle diameter increases from 50 nm to 222 nm to 400 nm . Among them, the $222-\mathrm{nm}-$ diameter gives the smallest result which exactly occupies the beam waist of the focal point. Plus, this special size manifests the property of Gaussian beam to the greatest extent. Due to the homogeneity of the plane wave, the FWHM of the nanojet waist changes when the particle size changes in a broader range. As well, the waist achieve the minimum of 130 nm when the diameter of the particle becomes 2 um .

### 5.3 Case Three

For the case of nanoshells, the dielectic particle is covered with a gold layer 10 nm in thickness. The incident light is a focused beam which is the same as in case two. The positions of the particle are are 318 nm and 636 nm away from the focal point in the direction towards the lens as shown in Figure (10). Specifically, when the nanoshell with a diameter of 220 nm is shifted 318 nm closer to the lens the shape of the nanojet is longer and 330 nm away from the nanoshell center with a FWHM of 225 nm . When the diameter of the nanoshell is 420 nm , the FWHM of the nanojet has a FWHM of 131 nm . And when the diameter of the shell is increased to 620 nm , the nanojet is 896 nm away from the nanoshell center with a FWHM of 201 nm . When the nanoshell is shifted 636
nm away from the focal point (twice the distance as the former case), the nanojets are separated even more away from the nanoshell than the previous ones. Their FWHM are $206.82 \mathrm{~nm}, 209.04 \mathrm{~nm}$ and 211.86 nm corresponding to the nanoshell diameter of 220 $\mathrm{nm}, 420 \mathrm{~nm}$ and 620 nm respectively. And the distance are $642 \mathrm{~nm}, 671 \mathrm{~nm}$ and 764 nm away from the nanoshell centers respectively. If the incident beam is a plane wave and when the diameter of the nanoshell are 1020 nm and 2020 nm , the FWHM of the nanojets are 150 nm and 161 nm respectively, similar to case 2 .
$\mathrm{R}=-318 \mathrm{~nm}$ (particle in front of focal point)
(a1)
$\mathrm{D}=220 \mathrm{~nm}$
$(\mathrm{FWHM}=220 \mathrm{~nm})$
$(\mathrm{FWHM}=131 \mathrm{~nm})$

(a2)
$\mathrm{D}=420 \mathrm{~nm}$
$\mathrm{D}=620 \mathrm{~nm}$
(FWHM=201 nm)

$R=-636$ (particle in front of focal point)
(b1)
(b2)
(b3)

$$
\mathrm{D}=220 \mathrm{~nm}
$$

$\mathrm{D}=420 \mathrm{~nm}$
$\mathrm{D}=620 \mathrm{~nm}$
(FWHM=207nm)
(FWHM=209 nm)
(FWHM=212nm)




Figure 10: The nanojet pictures and plots for different situations.

(Figure 10 continued)

## 6. CONCLUSIONS

The nanojet formed by a focused incident beam with a spot size smaller or comparable to the particle size is studied in the research. We used the vector spherical harmonics expansion of the focused beam to make a rigorous calculation of the 3-D nanojets for the case when the particle center is at or away from the focal point. It is also found that the nanojet spot size is more symmetric with respect to the azimuthal angle than the case if the incident field is a plane wave.

With all the coefficients calculated by the program, the property of the nanojets are manifested naturally. The nanojets can be shifted away from the particle by changing the shape of the focused beam, and the FWHM and length of the nanojets can also be changed by changing the focused beam. The results generated by the focused beam are compared to the plane wave cases.

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## APPENDIX A

$$
\begin{align*}
M_{o l n}= & \cos \phi_{p} \frac{d P_{n}(y)}{d y} z_{n}\left(\rho_{p}\right) \hat{e}_{\theta}-\sin \phi_{p}\left[y \frac{d P_{n}}{d y}-\left(1-y^{2}\right) \frac{d^{2} P_{n}}{d y^{2}}\right] z_{n}\left(\rho_{p}\right) \widehat{e}_{\phi} \\
N_{e l n}= & \cos \phi_{p} n(n+1) \sqrt{1-y^{2}} \frac{d P_{n}(y)}{d y} \frac{z_{n}\left(\rho_{p}\right)}{\rho_{p}} \widehat{e}_{r}+\cos \phi_{p}\left[y \frac{d P_{n}}{d y}-\left(1-y^{2}\right) \frac{d^{2} P_{n}}{d y^{2}}\right] \cdot\left[(n+1) \frac{z_{n}\left(\rho_{p}\right)}{\rho_{p}}\right. \\
& \left.-z_{n+1}\left(\rho_{p}\right)\right] e_{\theta}^{\prime}-\sin \phi_{p} \frac{d P_{n}(y)}{d y}\left[(n+1) \frac{z_{n}\left(\rho_{p}\right)}{\rho_{p}}-z_{n+1}\left(\rho_{p}\right)\right] \widehat{e}_{\phi} \tag{49}
\end{align*}
$$

Here, $y=\cos \theta_{p}$. According to (A2), (A3) and (A7), $B_{o 1 n}$ and $A_{e 1 n}$ can eventually be written as

$$
\begin{align*}
& B_{o l n}=\frac{\pi^{2} \int_{0}^{\infty} \int_{0}^{\pi}\left\{-2 \sin \theta_{p} \frac{d P_{n}}{d y}\left[I_{2} \cos \theta_{p}+i I_{1} \sin \theta_{p}\right]-n(n+1) P_{n}\left(\cos \theta_{p}\right) \sin \theta_{p}\left(I_{0}-I_{2}\right)\right\} d \theta_{p} d \rho_{p}}{2 \pi \frac{n^{2}(n+1)^{2}}{2 n+1} \cdot \int_{0}^{\infty} j_{n}^{2}\left(\rho_{p}\right) \cdot d \rho_{p}} \\
& A_{e l n}=\frac{\int_{0}^{\infty}\left\{\frac{j_{n}(\rho)}{\rho} \cdot N 1_{n}(\rho)-\left[(n+1) \cdot \frac{j_{n}(\rho)}{\rho}-j_{n+1}(\rho)\right] \cdot N 2_{n}(\rho)\right\} \cdot d \rho}{\left.\left.\pi[n(n+1)]^{2}\left(\frac{2}{2 n+1}\right)\{(2 n+1)(n+1)]_{0}^{\infty}\left(\frac{j_{n}(\rho)}{\rho}\right)^{2} d \rho+\int_{0}^{\infty} j_{n+1}^{2}(\rho) d \rho-2(n+1)\right]_{0}^{\infty} \frac{j_{n}(\rho)}{\rho} j_{n+1}(\rho) d \rho\right\}} \\
& N 1_{n}(\rho)=\pi^{2} n(n+1) \cdot \int_{0}^{\pi}\left\{-\sin ^{3} \theta_{p} \cdot \frac{d P_{n}}{d y} \cdot\left(I_{0}+I_{2}\right)+2 i \sin \theta_{p} \cdot \cos \theta_{p} \cdot \frac{d P_{n}}{d y} \cdot I_{1}\right\} d \theta_{p} \\
& N 2_{n}(\rho)=\pi^{2} \int_{0}^{\pi}\left\{\left[\sin \theta_{p} \cos \theta_{p} \frac{d P_{n}}{d y}-\sin ^{3} \theta_{p} \frac{d^{2} P_{n}}{d y^{2}}\right]\left[\cos \theta_{p}\left(I_{0}+I_{2}\right)+2 i \sin \theta_{p} I_{1}\right]+\sin \theta_{p} \frac{d P_{n}}{d y}\left(I_{0}-I_{2}\right)\right\} d \theta_{p} \tag{50}
\end{align*}
$$

Where $j_{n}(\rho)$ is the spherical Bessel function of order $\mathrm{n}, P_{n}\left(\cos \theta_{p}\right)$ is the Legendre function, $y=\cos \left(\theta_{p}\right)$, and $I_{0}, I_{1}$, and $I_{2}$ are focal functions given in equation (A5)-(A6) with $k_{a} r_{\mathrm{p}}$ define as $\rho$. Numerical calculations using the formula given above yield $A_{e 1 n}=-i \cdot B_{o 1 n}$ like the plane wave case except that the value of $B_{o 1 n}$ is different and changes with different degree of focusing.

## APPENDIX B

Table 1: Expansion Coefficients $E_{n}$ in case one

|  |  | $\mathrm{NA}=0.4$ | NA $=0.8$ |  |
| :---: | :---: | :---: | :---: | :---: |
| n | $\mathrm{E}_{\mathrm{n}}\left(10^{-3}\right)$ |  | $\mathrm{E}_{\mathrm{n}}\left(10^{-3}\right)$ |  |
|  | $\mathrm{Z}_{0}=0$ | $\mathrm{Z}_{0}=3.9 \mathrm{um}$ | $\mathrm{Z}_{0}=0$ | $\mathrm{Z}_{0}=3.9 \mathrm{um}$ |
| 1 | -147.7i | 46.906+96i | -0.086-591.3i | $125.90+82.09 \mathrm{i}$ |
| 2 | 79.44 | -52.88+24.74i | 285.28-0.03i | -47.61+67.92i |
| 3 | 53i | -15.98-36.54i | 161.85i | -45.36-35.20i |
| 4 | -38.4 | 27.66-11i | -94.70-0.02i | 28.81-32.70i |
| 5 | -28.86i | $7.702+21.98 \mathrm{i}$ | 0.03-55.00i | $24.24+24.90 \mathrm{i}$ |
| 6 | 22.14 | $-17.95+5.307 \mathrm{i}$ | $31.37+0.03 \mathrm{i}$ | -22.65+18.31i |
| 7 | 17.13i | -3.49-14.89i | $-0.03+17.54 i$ | -12.99-20.20i |
| 8 | -13.3 | 12.45-2.078i | -9.67-0.03i | 18.49-8.86i |
| 9 | -10.27i | $0.974+10.43 \mathrm{i}$ | 0.02-5.22i | $5.33+16.88 \mathrm{i}$ |
| 10 | 7.9 | $-8.71+0.115 \mathrm{i}$ | $2.73+0.02 \mathrm{i}$ | $-15.21+2.29 \mathrm{i}$ |
| 11 | 6.04 i | 0.538-7.232i | $-0.01+1.34 \mathrm{i}$ | 0.30-13.42i |
| 12 | -4.57 | $5.94+1.016 \mathrm{i}$ | -0.60-0.01i | $11.48+2.44 \mathrm{i}$ |
| 13 | -3.43i | -1.35+4.82i | 0.01-0.25i | $-4.14+9.43 \mathrm{i}$ |
| 14 | 2.56 | -3.83-1.55i | $0.12+0.01 \mathrm{i}$ | -7.29-5.36i |
| 15 | 1.88i | 1.64-2.98i | -0.01+0.09i | 6.08-5.15i |
| 16 | -1.37 | $2.26+1.65 \mathrm{i}$ |  | $3.06+6.31 \mathrm{i}$ |
| 17 | -0.994i | -1.58+1.65i |  | -6.06+1.14i |
| 18 | 0.716 | -1.143-1.465i |  | 0.54-5.39i |
| 19 | 0.512 i | 1.311-0.738i |  | $4.37+1.86 \mathrm{i}$ |
| 20 | -0.365 | $0.422+1.137 \mathrm{i}$ |  | $-2.75+3.13 \mathrm{i}$ |
| 21 | -0.259i | $-0.953+0.1844 \mathrm{i}$ |  | -1.80-3.17i |
| 22 | 0.182 | -0.0158-0.7712i |  | 3.13-0.52i |
| 23 | 0.126 i | $0.601+0.0955 \mathrm{i}$ |  | -0.55+2.70i |
| 24 | -0.086 | -0.16+0.448i |  | -1.98-1.33i |
| 25 | -0.0566i | -0.136-0.1886i |  | $1.72-1.13 \mathrm{i}$ |
| 26 | 0.035 | 0.1914-0.2072i |  | $0.31+1.91 \mathrm{i}$ |
| 27 | 0.02i | $0.122+0.177 \mathrm{i}$ |  | $-1.48+0.42 \mathrm{i}$ |
| 28 |  | -0.1515+0.0595i |  | 0.88-0.98i |
| 29 |  | -0.0165-0.1213i |  | 0.40+1.06i |
| 30 |  | $0.091+0.0104 \mathrm{i}$ |  | -0.96-0.13i |
| 31 |  | $-0.0236+0.0634 \mathrm{i}$ |  | 0.49-0.66i |
| 32 |  | -0.0398-0.0276i |  | $0.27+0.64 i$ |
| 33 |  | $0.0259-0.0217 \mathrm{i}$ |  | -0.58-0.09i |
| 34 |  | $0.0093+0.0207 \mathrm{i}$ |  | $0.33-0.37 \mathrm{i}$ |
| 35 |  | $-0.0142+0.001017 \mathrm{i}$ |  | $0.10+0.40 \mathrm{i}$ |
| 36 |  | $0.00352-0.0079 \mathrm{i}$ |  | -0.31-0.12i |
| 37 |  | $0.00261+0.00509 \mathrm{i}$ |  | $0.23-0.15 \mathrm{i}$ |
| 38 |  |  |  | $-0.02+0.03 \mathrm{i}$ |
| 39 |  |  |  | -0.13-0.13i |
| 40 |  |  |  | $0.15-0.02 \mathrm{i}$ |
| 41 |  |  |  | $-0.07+0.10 \mathrm{i}$ |
| 42 |  |  |  | -0.02-0.09i |

Table 2: Expansion Coefficients $\mathbf{E}_{\mathrm{n}}$ in case two

| n | $\mathrm{E}_{\mathrm{n}}\left(10^{-3}\right)$ |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :---: |
|  | $\mathrm{Z}_{0}=0$ | $\mathrm{Z}_{0}=191 \mathrm{~nm}$ | $\mathrm{Z}_{0}=-191 \mathrm{~nm}$ | $\mathrm{Z}_{0}=318 \mathrm{~nm}$ | $\mathrm{Z}_{0}=-318 \mathrm{~nm}$ |  |
| 1 | $-0.005-3275.57 \mathrm{i}$ | $2159.56+1967.75 \mathrm{i}$ | $-2159.56+1967.75 \mathrm{i}$ | $-1576.378+1751.8 \mathrm{i}$ | $1576.378+1751.8 \mathrm{i}$ |  |
| 2 | $973.47+0.23 \mathrm{i}$ | $-786.771+489.505 \mathrm{i}$ | $-786.771-489.505 \mathrm{i}$ | $-324.901-140.743 \mathrm{i}$ | $-324.901+140.743 \mathrm{i}$ |  |
| 3 | $-0.235+170.534 \mathrm{i}$ | $58.261-310.84 \mathrm{i}$ | $-58.261-310.84 \mathrm{i}$ | $402.408+140.743 \mathrm{i}$ | $-402.408+140.743 \mathrm{i}$ |  |
| 4 | $66.789-0.138 \mathrm{i}$ | $84.267-166.01 \mathrm{i}$ | $84.267+166.01 \mathrm{i}$ | $-218.194+152.719 \mathrm{i}$ | $-218.194-152.719 \mathrm{i}$ |  |
| 5 | $0.041+62.427 \mathrm{i}$ | $-96.68-8.202 \mathrm{i}$ | $96.68-8.202 \mathrm{i}$ | $-3.73-134.321 \mathrm{i}$ | $3.73-134.321 \mathrm{i}$ |  |
| 6 | $-2.53+0.003 \mathrm{i}$ | $27.256-8.44 \mathrm{i}$ | $27.256+8.44 \mathrm{i}$ | $31.7+52.977 \mathrm{i}$ | $31.7-52.977 \mathrm{i}$ |  |
| 7 | $-0.015+0.027 \mathrm{i}$ | $-29.745+15.41 \mathrm{i}$ | $29.745+15.41 \mathrm{i}$ | $-44.698-22.378 \mathrm{i}$ | $44.698-22.378 \mathrm{i}$ |  |
| 8 | $-17.13-0.035 \mathrm{i}$ | $-0.167-20.362 \mathrm{i}$ | $-0.167+20.362 \mathrm{i}$ | $25.023-12.123 \mathrm{i}$ | $25.023+12.123 \mathrm{i}$ |  |
| 9 | $0.035+3.886 \mathrm{i}$ | $-2.68+6.37 \mathrm{i}$ | $2.68+6.37 \mathrm{i}$ | $-11.351+5.716 \mathrm{i}$ | $11.351+5.716 \mathrm{i}$ |  |
| 10 | $-12.457+0.0165 \mathrm{i}$ | $-4.368-12.783 \mathrm{i}$ | $-4.368+12.783 \mathrm{i}$ | $7.93-13.949 \mathrm{i}$ | $7.93+13.949 \mathrm{i}$ |  |
| 11 | $0.001-5.934 \mathrm{i}$ |  |  |  |  |  |
| 12 | $-3.91+0.002 \mathrm{i}$ |  |  |  |  |  |
| 13 | $0.008-6.68 \mathrm{i}$ |  |  |  |  |  |
| 14 | $2.075+0.016 \mathrm{i}$ |  |  |  |  |  |
| 15 | $-0.0127-3.206 \mathrm{i}$ |  |  |  |  |  |

Table 3: Expansion Coefficients $\mathbf{E}_{\mathbf{n}}$ in case three

| n | $\mathrm{E}_{\mathrm{n}}\left(10^{-3}\right)$ |  |  |
| :---: | :---: | :---: | :---: |
|  | $\mathrm{Z}_{0}=0$ | $\mathrm{Z}_{0}=-318 \mathrm{~nm}$ | $\mathrm{Z}_{0}=-636 \mathrm{~nm}$ |
| 1 | $-0.005-3275.57 \mathrm{i}$ | $1576.378+1751.8 \mathrm{i}$ | This data will |
| 2 | $973.47+0.23 \mathrm{i}$ | $-324.901+140.743 \mathrm{i}$ | Come out soon! |
| 3 | $-0.235+170.534 \mathrm{i}$ | $-402.408+140.743 \mathrm{i}$ |  |
| 4 | $66.789-0.138 \mathrm{i}$ | $-218.194-152.719 \mathrm{i}$ |  |
| 5 | $0.041+62.427 \mathrm{i}$ | $3.73-134.321 \mathrm{i}$ |  |
| 6 | $-2.53+0.003 \mathrm{i}$ | $31.7-52.977 \mathrm{i}$ |  |
| 7 | $-0.015+0.027 \mathrm{i}$ | $44.698-22.378 \mathrm{i}$ |  |
| 8 | $-17.13-0.035 \mathrm{i}$ | $25.023+12.123 \mathrm{i}$ |  |
| 9 | $0.035+3.886 \mathrm{i}$ | $11.351+5.716 \mathrm{i}$ |  |
| 10 | $-12.457+0.0165 \mathrm{i}$ | $7.93+13.949 \mathrm{i}$ |  |
| 11 | $0.001-5.934 \mathrm{i}$ |  |  |
| 12 | $-3.91+0.002 \mathrm{i}$ |  |  |
| 13 | $0.008-6.68 \mathrm{i}$ |  |  |
| 14 | $2.075+0.016 \mathrm{i}$ |  |  |
| 15 | $-0.0127-3.206 \mathrm{i}$ |  |  |


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