

IMPROVEMENTS AND APPLICATIONS OF THE METHODOLOGY  
FOR POTENTIAL ENERGY SAVINGS ESTIMATION FROM  
RETRO-COMMISSIONING/RETROFIT MEASURES

A Thesis

by

JINGJING LIU

Submitted to the Office of Graduate Studies of  
Texas A&M University  
in partial fulfillment of the requirements for the degree of

MASTER OF SCIENCE

May 2010

Major Subject: Mechanical Engineering

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Committee Members, Charles Culp  
Warren Heffington  
Head of Department, Dennis L. O'Neal

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## ABSTRACT

Improvements and Applications of the Methodology for Potential Energy Savings

Estimation from Retro-commissioning/Retrofit Measures. (May 2010)

Jingjing Liu, B.A., Tsinghua University;

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This thesis has improved Baltazar's methodology for potential energy savings estimation from retro-commissioning/retrofits measures. Important improvements and discussions are made on optimization parameters, limits on optimization parameter values, minimum airflow setting for VAV systems, space load calculation, simulation of buildings with more than one type of system, AHU shutdown simulation, and air-side simulation models. A prototype computer tool called the Potential Energy Savings Estimation (PESE) Toolkit is developed to implement the improved methodology and used for testing.

The implemented methodology is tested in two retro-commissioned on-campus buildings with hourly measured consumption data. In the Sanders Corps of Cadets Center, the optimized profiles of parameter settings in single parameter optimizations can be explained with engineering principles. It reveals that the improved methodology is implemented correctly in the tool. The case study on the Coke Building shows that the improved methodology can be used in buildings with more than one system type.

The methodology is then used to estimate annual potential energy cost savings for 14 office buildings in Austin, TX with very limited information and utility bills. The methodology has predicted an average total potential savings of 36% for SDVAV systems with electric terminal reheat, 22% for SDVAV systems with hot water reheat, and 25% for DDVAV systems. The estimations are compared with savings predicted in the Continuous Commissioning<sup>®</sup> assessment report. The results show it may be helpful to study the correlation by using generalized factors of assessment predicted energy cost savings to estimated potential energy cost savings. The factors identified in this application are 0.68, 0.66, and 0.61 for each type of system. It is noted that one should be cautious in quoting these factors in future projects.

In the future, it would be valuable to study the correlation between measured savings and estimated potential savings in a large number of buildings with retro-commissioning measures implemented. Additionally, further testing and modifications on the PESE Toolkit are necessary to make it a reliable software tool.

To my Zhiqin and Jason,  
my parents and grandparents.

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# 1. INTRODUCTION

## 1.1 Background and Problem Statement

The importance of improving energy efficiency and reducing operating cost of buildings first gained nationwide attention during the oil embargo of 1973 (Claridge, 1998). Commercial buildings use a significant fraction of all energy use, accounting for 18.4% of the total energy use in the United States (EIA, 2007), and this fraction is increasing. Building owners/operators are showing more interest in saving energy as a result of increasing energy prices. A significant volume of literature suggests that retro-commissioning typically reduces annual energy consumption by 5% to 20%, with higher values (up to 30%) in some buildings (TIAX LLC., 2005). The Energy Systems Laboratory personnel at Texas A&M University have retro-commissioned, or improved operating efficiency in more than 300 buildings during the last 20 years; the average measured utility savings are about 20%, with simple paybacks typically occurring in less than two years (Energy Systems Laboratory, 2009).

On-site maintenance personnel play an important role in daily building mechanical system operation and trouble-shooting. However, in order to optimize the energy efficiency in a building as much as possible, the owner/operator usually needs to resort to companies or specialists that have expertise in existing building/facility energy savings for a retro-commissioning or energy retrofit project. At the beginning of such a project, some form of screening is often applied to determine whether there is sufficient

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This thesis follows the style of *ASHRAE Transactions*.

potential for savings to justify a retro-commissioning assessment or an energy audit (also referred to as an energy assessment). If screening results are positive, the assessment is performed and the potential for energy savings in the building is evaluated before the owner/operator decides that further work is likely to produce significant energy savings meeting the owner's economic criteria. A popular technique that is used to screen for savings potential in a building is to compare its energy use per square foot of gross area to a group of buildings of similar type in the same climate. This technique is also known as conventional energy benchmarking. Although this technique is very easy to use when a satisfactory database is available and gives some idea about the relative efficiency of the building, buildings are not always as similar as they appear. The buildings used for comparison are not necessarily energy efficient in general, and it gives no indication of energy conservation measures (ECMs) that merit consideration in the next step. Some of the improved energy benchmarking methods found in recent studies (Mills et al., 2008; Mathew and Mills, 2008; Yalcintas, 2006 and Cipriano et al., 2009) show potential in suggesting ECMs, but the other limitations still apply. There are also various energy simulation tools available to energy engineers. They can be used to predict savings from implementation of certain ECMs by changing inputs and comparing results. However, they are not designed to project the potential of savings in a building without detailed information about the building and the built-in system plus they are usually complicated to use. Consequently, it would be desirable to have a methodology which is capable of predicting the opportunities for savings independent from the energy performance of other buildings. And yet, this methodology should be easy enough to use in the early

phase of retro-commissioning assessments or energy audits to help decide if a comprehensive assessment should be carried out to identify commissioning measures and/or ECMs for further analysis.

Baltazar (2006) proposed such a methodology for estimating the potential energy savings in commercial buildings. At its core is the procedure for obtaining the minimum energy use cost required to maintain indoor thermal comfort. Baltazar used a model based on the modified bin method (Knebel, 1983) to represent the built-in HVAC system and used a numerical procedure for energy cost minimization. The total energy cost includes the electrical cost for lighting, equipment, and fans, as well as cooling and heating cost. All components of energy use are optimized except for electrical cost for lighting and equipment use. Sequential exhaustive search in the designated range of values for all the independent parameters commonly controlled for a specific type of HVAC system was employed to carry out the optimization. The potential savings in each bin of outside air dry-bulb temperature is determined as the difference between the actual energy cost and the minimum energy cost. The savings in each bin is then accumulated to yield the total potential energy cost savings during the period being evaluated (preferably a whole year). This methodology was applied to several buildings that have been retrofitted and/or retro-commissioned in Texas. The measured savings in the Zachry Engineering Center at Texas A&M University was about 85% of the estimated potential savings, which was considered a close agreement.

This methodology is promising, yet in order to make it a useful tool in retro-commissioning assessments or energy audits, further testing and improvement of the

methodology is required. In addition, development of a computer tool will make the testing much easier. Although Baltazar's implementation in Microsoft® Excel VBA codes fulfilled the scope of the methodology tested in his thesis, it is not designed as a tool to be readily used by others. Therefore, re-development of a computer tool with user-friendly interface including more simulation and optimization options is essential for testing of the methodology.

## **1.2 Objectives**

The objectives of this study are to improve Baltazar's methodology for potential energy savings estimation and to test the predictions of the improved methodology by comparison with savings predicted in retro-commissioning assessment reports. This study will fulfill these objectives in three steps:

(1) A prototype computer tool called the Potential Energy Savings Estimation (PESE) Toolkit is developed to implement the improved methodology for potential energy savings estimation in early retro-commissioning assessments or energy audits. This tool is designed for the purpose of testing of the methodology.

(2) A preliminary test of the methodology implemented is conducted in two retro-commissioned buildings with hourly measured consumption data and retro-commissioning implementation information. The optimized profiles of parameter settings versus bin temperature are analyzed in single parameter optimizations in the first building.

(3) The methodology is then used to estimate potential savings for 14 office buildings with very limited information and utility bills. It is expected that this



methodology will predict an upper limit to the potential savings that can be achieved.

The use of a generalized factor or factors to improve the correlation between potential savings identified in retro-commissioning assessment reports and those identified by this methodology is investigated.

## 2. LITERATURE REVIEW

### 2.1 Energy Audit

Today, as energy prices increase, saving money on energy bills through a commissioning and/or retrofit project is more attractive to commercial building owners. The typical process of a Continuous Commissioning<sup>®</sup> (CC<sup>®</sup>) project and an energy retrofit project as described by Liu et al. (2002) and Wendes (1994) are depicted individually in Figure 2.1. Both processes use an energy audit (or similarly a CC<sup>®</sup> assessment) as one of the first tasks to be performed as the basis of the following steps. In an energy audit, modifications that will reduce the energy cost of operating a building are identified and developed, and the results are presented appropriately for an owner/operator to decide if any, some, or all of the recommended modifications should be implemented. The modifications recommended by an energy audit generally involve equipment replacement or upgrades. According to the ASHRAE Technical Committee 7.6 Systems Energy Utilization (ASHRAE, 2004), an energy audit process should follow the six steps illustrated in Figure 2.2. In addition, depending on the purpose for which the results may be used, different levels of energy analysis can be performed on a given building, as shown in Figure 2.3. Each succeeding level of analysis builds upon the previous level, although there are no sharp boundaries between these levels.

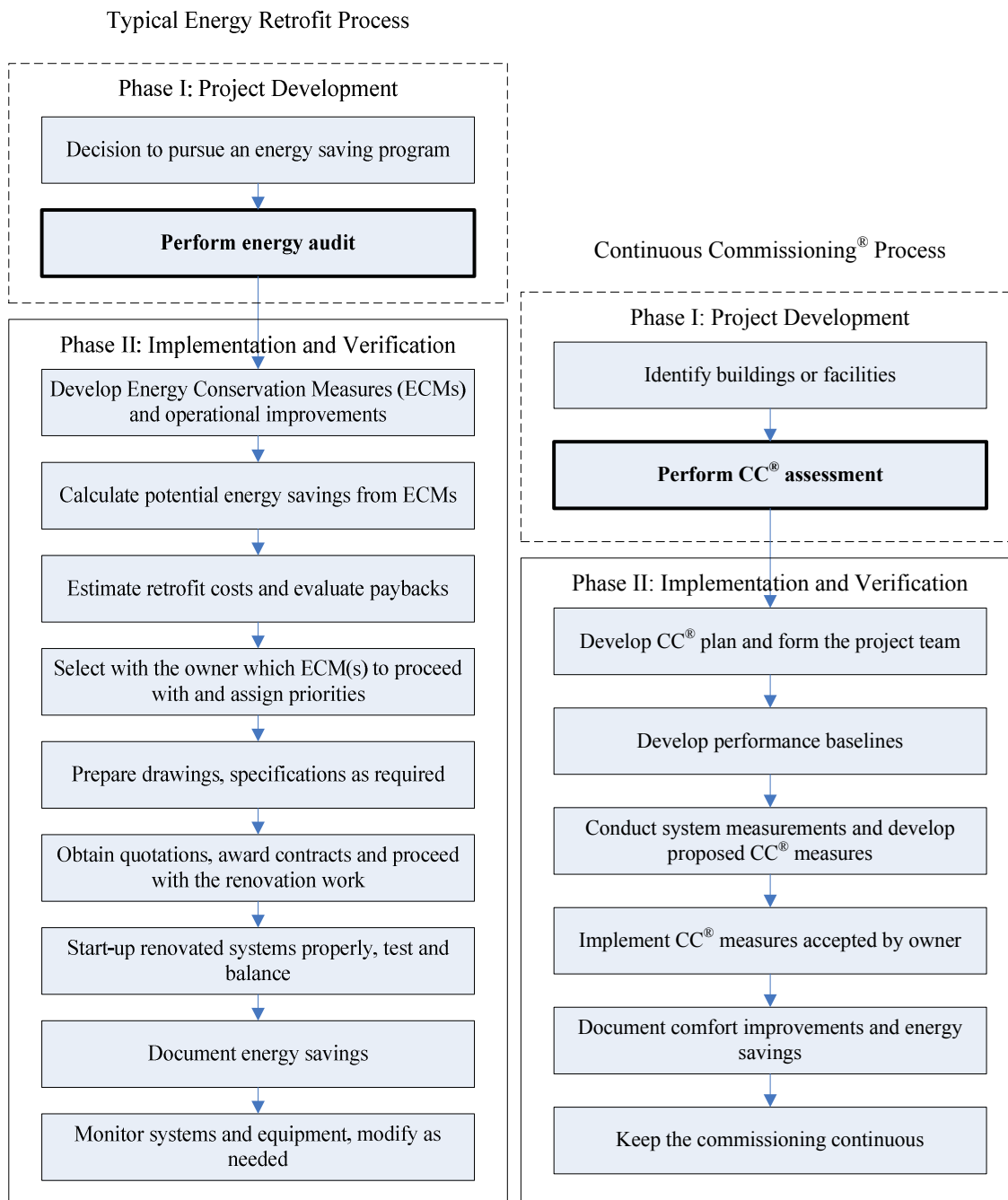


Figure 2.1 Diagram of commercial building retrofit/retro-commissioning processes

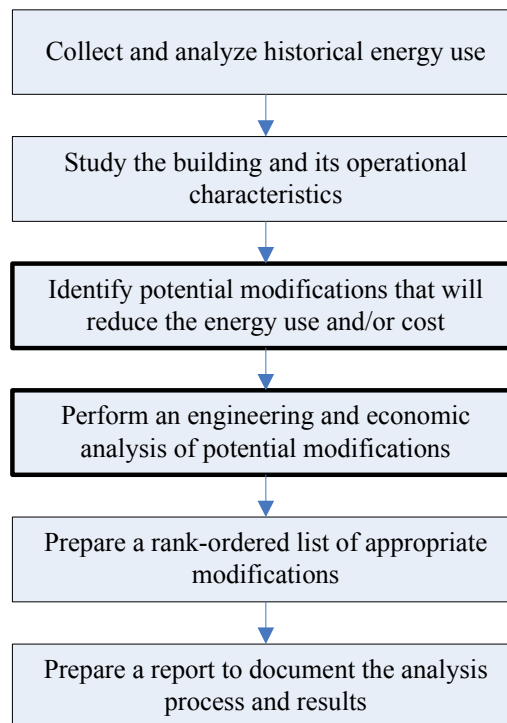


Figure 2.2 Diagram of commercial building energy audit steps

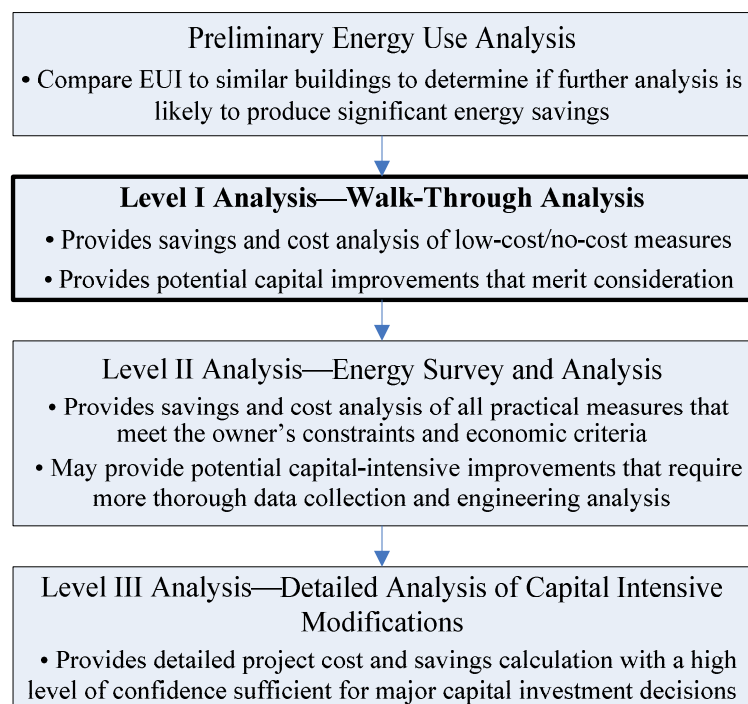


Figure 2.3 Level of effort in commercial building energy audit

In an energy audit, ECMs for different building systems can be recommended including, but not limited to the building envelope (insulation and air leakage issues), electrical system (lighting and office equipment), cooling and heating plant (chiller and boiler efficiency), HVAC system and the domestic water system. However, this study only discusses energy savings within the HVAC system. Various energy analysis methods/tools are available to the engineers for estimating energy savings incurred from ECMs applied to the HVAC system. These methods/tools vary widely in complexity and accuracy. In order to select an appropriate method/tool, one should consider the purpose and required level of effort in the current analysis. The following section, 2.2-2.4 will discuss the features and applications of each method by category: energy benchmarking, forward modeling and inverse modeling.

In general, energy benchmarking methods are conventionally used as the major tool for analyzing the building's historical energy use in preliminary level analysis, whereas recent studies show that they also have potential in identifying ECMs. Forward modeling methods are widely used in engineering and economic analysis of potential modifications, and the variety of options can fit the need from level I to level III analysis. The inverse modeling methods are most valuable in the determination of savings achieved after modifications are implemented; sometimes they can also be used with forward modeling to determine uncertain system parameters.

## **2.2 Energy Benchmarking**

At the beginning of an energy audit process, historical energy use data is collected and analyzed. Energy benchmarking (comparing a building's normalized

energy consumption to that of other buildings) is widely used in the preliminary level of energy analysis as a first measurement of energy efficiency to determine whether a building is a good candidate for a retro-commissioning and/or retrofit process. Relative energy use is commonly expressed in terms of an energy use index (EUI) and a cost use index (CUI), in which building gross floor area is used as the normalizing factor.

Comparing a building's energy use index with that of comparable buildings in the same region is a good way to check its relative efficiency. While local energy consumption data may be available from local utility companies or energy offices, the DOE/EIA Commercial Buildings Energy Consumption Survey (CBECS) is a public source of benchmarking data for U.S. buildings with the most recent survey completed in 2003.

Most energy consulting companies and other organizations responsible for energy-efficiency of buildings use the mean or median value of the EUI for the kind of building being investigated as a benchmark (Federspiel, 2002), which is considered conventional benchmarking. Current studies of improved energy benchmarking methods utilize three different approaches: the distributional model-based approach, the regression-based approach and the artificial neural network (ANN)-based approach.

### *2.2.1 Distributional Model-based Approach*

The Cal-Arch model is a simplistic web-based distributional benchmarking tool developed by Lawrence Berkeley National Laboratory (LBNL), which is based on the data from California's 1992 Commercial End-Use Survey (CEUS). Building location, building type, floor area and energy use are required input. The EUIs for a subset of buildings in the Cal-Arch database are plotted as a histogram with the evaluated

building's EUI noted with an arrow, and the percent of buildings in the database that have lower EUIs is reported. The data displayed are actual EUIs and are not adjusted for weather or any other factors. The user can compare his/her building's EUI to that of similar buildings in the same climate zone or statewide (Matson and Piette, 2005).

Recognizing that conventional energy benchmarking can inspire action but provides no practical guidance, recent research at LBNL developed a new action-oriented benchmarking system based on the latest 2006 CEUS survey with a web-based interface called EnergyIQ. It is considered as a major advancement beyond Cal-Arch in that it is aimed to fill the need for a benchmarking tool that enables users to identify potential energy-efficiency options and prioritize areas for more detailed analysis and full-scale assessments (Mills et al., 2008). Action-oriented benchmarking extends traditional whole-building energy benchmarking in three ways: end-use benchmarking, features benchmarking and correlating features with end-use energy intensities, which can help assess the approximate savings potential from specific actions (Mathew and Mills, 2008). However, the effectiveness and widespread application of action-oriented benchmarking is contingent on the availability of reliable end-use data for buildings. Currently, end-use metering is still relatively rare, and no other states have databases like CEUS.

### *2.2.2 Regression-based Approach*

While conventional benchmarking attempts to normalize energy use relative to a primary determinant - building floor area - it ignores many other factors. Sharp (1996) used the 1992 CBECS database to develop distributions of electric EUIs in office

buildings for the nine U.S. census divisions, and concluded that both census division average and median EUIs are not reliable indicators for more localized EUIs.

Consequently, he proposed a benchmarking method which identifies significant determinants with stepwise linear regression modeling. Beyond floor area, the number of workers, personal computers, owner-occupancy, operating hours, and the presence of an economizer or chiller are also found to be dominant variables. The resulting performance models can predict EUIs that are much better benchmarks than simple census division statistics. Sharp's method has been modified slightly and used as the basis of the Energy Star<sup>®</sup> benchmark, which is a web-based national tool based on building characteristic and energy use data from the CBECS survey. Rather than using census location as a proxy for weather, the Energy Star<sup>®</sup> benchmark explicitly compensates for weather. The Energy Star<sup>®</sup> benchmark is the 25<sup>th</sup> percentile of the EUI distribution, because this rating system is based on the assumption that 25% of the national building stock can achieve an Energy Star<sup>®</sup> rating of 75 or higher – these buildings are eligible for an Energy Star<sup>®</sup> label if the building also meets the indoor environment criteria.

### 2.2.3 ANN-based Approach

Yalcintas (2006) and Cipriano et al. (2009) presented a promising application of ANN techniques in building energy benchmarking. The foremost feature of their ANN method is that the benchmarking algorithm will renew itself if new building data is entered in the database. In the study developed by Yalcintas (2006), the ANN model specifically focuses on predicting a weighted EUI by taking into consideration various building variables, such as plug load density, lighting type and hours of operation, air



conditioning equipment type and efficiency, etc. Data collected from laboratory, office and classroom-type buildings and mixed use buildings in Hawaii are used to present this technique and make successful predictions. Additionally, the use of the ANN benchmark model for predicting potential energy savings from retrofit projects was evaluated for the first time. In the study of Cipriano et al. (2009), a prediction model is developed for calculating the relationship between climate-adjusted EUIs and the significant characteristic factors of a building. An application to schools in Catalonia (Spain) is presented to validate the methodology and potential energy savings from retrofit projects are evaluated. The method shows promising features in order to be an easy-to-use tool for first identifying a building with higher energy consumption when compared with similar buildings, secondly identifying the most important parameters that can cause this high energy consumption and finally modeling energy performance and predicting energy savings by retrofit measures.

#### *2.2.4 Benchmarking Summary*

Within its current capabilities, an energy benchmarking method does not provide detailed results about the building's energy usage characteristics when compared with an energy simulation method or an ECM feasibility analysis, but is the least expensive method, and it serves as preliminary energy information and a support aid decision system. Significant advances in energy benchmarking have been achieved in recent studies; new tools and methods are extending the function of energy benchmarking from giving only a rough idea of building energy efficiency to helping identify potential energy-efficiency options. The distributional model-based approach and ANN-based

approach have both proven to have potential in this direction. The former demands a much more sophisticated database than is generally available; the latter seems to have better application potential. However, there are two limitations inherent with energy benchmarking methods. The first is that they require a database which includes a statistically significant number of buildings that are comparable to the building being evaluated. Although similar climate and the use of the building are minimal requirements for comparison, other factors such as floor area, occupancy, construction, and HVAC system type are also significant factors. The second is that the comparable buildings are not necessarily energy efficient, which can lead to under-estimation of energy savings potential. In a final analysis, a building's energy efficiency and energy-saving potential does not depend on the performance of other buildings, but on the characteristics of the built-in system and operation strategy which only can be represented by system modeling methods.

### **2.3 Forward Modeling Methods**

There are two broad but distinct approaches to modeling: forward modeling and inverse modeling. Forward modeling of building energy use begins with a physical description of the building system or component of interest. The peak and average energy use of such a building can then be predicted or simulated by the forward simulation model. The main advantage of this approach is that the system need not be physically built to predict its behavior. Thus, this approach is ideal in the preliminary design and analysis stage. Since it is based on sound engineering principles, it has gained widespread acceptance by the design and professional community. (ASHRAE, 2005)

### 2.3.1 *Steady-state Methods*

Steady-state energy analysis methods using the forward modeling approach are usually easy to perform by hand or using spreadsheet programs. Two types of steady-state forward methods can be distinguished: degree-day methods and bin methods.

The variable-base degree-day method has replaced the traditional degree-day method in general. Annual degree-days are computed at the balance point temperature of the particular building to predict heating and cooling energy uses. It is the simplest method for energy analysis and provides generally good predictions for buildings dominated by transmission loads with constant HVAC equipment efficiency. Where efficiency or conditions of use vary with outdoor temperature, consumption can be calculated for different values of the outdoor temperature and multiplied by the corresponding number of hours in the temperature interval (bin) centered on that temperature. This approach is known as the bin method.

ASHRAE Technical Committee 4.7 developed the modified bin method (Knebel 1983) to fill the need for a simple yet comprehensive method which can be used to calculate energy use in commercial buildings. The modified bin method recognizes that the building and zone loads consist of time dependent loads and temperature dependent loads. In expressing building loads as a function of outdoor temperature, two major simplifying assumptions are made. One is that all exterior loads can be expressed as a linear function of outdoor temperature; the other is that on a daily basis, two calculation periods representing occupied and unoccupied hours are sufficient. In buildings dominated by internal loads or in low mass structures the method provides reasonable

results. And the accuracy associated with this method should meet the requirement of most Level I and some Level II savings and cost analyses. The simplicity of this method also gives opportunity for an easy link with optimization algorithms in applications of potential energy savings estimation.

### *2.3.2 Dynamic Methods*

Computer simulation programs such as DOE-2, Trace, and EnergyPlus using dynamic methods can calculate energy consumption in complex buildings with hourly or sub-hourly time steps. An important characteristic of these simulation programs is their capability to account for the effects of thermal inertia so they can be used in buildings with significant thermal mass, thermostat setbacks, or predictive control strategies. These programs require a high-level of expertise and are generally suitable to simulate large buildings with complex HVAC systems and involved control strategies that are difficult to model by simplified energy analysis tools. To adequately estimate energy savings from ECMs, the models have to be calibrated to measured energy use (Krarti, 2000). Since these programs are relatively complex and computationally intensive, it is difficult to link them with outside optimization tools. However, they are widely used in Level II analyses where savings from a particular ECM or a list of ECM(s) need to be determined. The following are some examples of application as such.

Yu and Chow (2007) selected a typical commercial building in Hong Kong, for detailed HVAC system energy use analysis. An energy signature was developed with three years of monthly energy consumption data and compared with simulation results using TRACE 600. Then a total of 20 ECMs were investigated with simulation for

possible use in local commercial buildings. They showed that three measures can yield significant savings (up to 17%).

Yang et al. (2006) evaluated the major factors affecting the electricity use of HVAC systems with simulation using EnergyPlus. A model building was developed to represent the typical construction characteristics of commercial buildings in Hong Kong. It was concluded that up to 36.2% of electricity use can be saved from improving COP of chillers, setting appropriate spacing cooling temperature, lowering lighting intensity, running fans at their design operating point, etc.

## **2.4 Inverse Modeling Methods**

Inverse modeling, also known as “data-driven modeling”, relies on energy use data of existing buildings to identify a set of building system parameters. Inverse modeling often allows identification of system models that are not only simpler to use but also are more accurate predictors of future system performance than forward methods, although they are less flexible in evaluating energy consumption of different design and operational alternatives (ASHRAE, 2005). Based on the reviews of Rabl (1988), MacDonald and Wasserman (1989), and Claridge (1998), inverse modeling methods may be categorized as empirical or “black-box” approach, calibrated simulation approach and gray-box approach.

### *2.4.1 Empirical Approach*

With this approach, a simple or multivariate regression model is identified between measured energy use and the various influential parameters (e.g., climatic variables, building occupancy). Single-variate, multivariate, change point, Fourier series,

and artificial neural network (ANN) models fall under this category. A purely statistical approach for model identification is usually adequate for baseline model development in energy conservation Measurement and Verification (M&V) projects (ASHRAE, 2005).

#### *2.4.2 Calibrated Simulation Approach*

The calibrated simulation approach can make more reliable predictions, but it is usually labor-intensive and requires expertise in both simulation and practical building operation, which prevent it from being more widely used. Katipamula and Claridge (1993) and Liu and Claridge (1998a, 1998b) suggested the simplified systems models with only two zones, which allow calibration to be done much faster as there are fewer parameters to vary. They illustrated that this method based on ASHRAE Simplified Energy Analysis Procedure (Knebel 1983) is not only applicable for baseline model development for M&V purposes when the pre-retrofit consumption is not metered, but also for identifying potential operational problems and for estimating potential savings from optimized operating parameters, such as optimizing cold and hot deck reset schedules.

#### *2.4.3 Gray-box Approach*

The gray-box approach is a hybrid approach between forward modeling and inverse modeling methods. It first formulates a physical model to represent the configuration of the system, and then identifies important parameters by statistical analysis (Rabl and Rialhe, 1992). Thus, it requires expertise in both physical modeling and statistical analysis. This approach has limited applicability to whole-building energy use although it has great potential in fault detection and diagnosis (FDD).

## 2.5 Summary

There are three types of tools (energy benchmarking, forward modeling methods and inverse modeling methods) available for energy use analysis in an energy retrofit or retro-commissioning project. They vary widely in ease of use and accuracy, which makes them fitting for different purposes and levels of analyses. Forward modeling methods have been widely accepted and used by the professional community for identification of potential ECMs and corresponding energy savings estimation in an energy audit. Recent academic research on improved energy benchmarking methods also shows some potential for ECM identification. However, as discussed earlier, there are limitations inherent with energy benchmarking methods. Among the forward modeling methods, the dynamic simulation tools can be used in different levels of analyses. However, they are generally time-consuming to perform and require expertise in use to get reliable results. The modified bin method is competitive in both Level I and Level II analyses to identify low-cost/no-cost ECMs and provide savings and cost analysis. In some cases, it can also be used with the calibrated simulation approach in determining the values of certain system parameters.

The modified bin method is simple in calculation and yet accurate enough in general for early phase energy savings analysis, plus it gives opportunity for easy link with optimization routines in programming. Consequently, it is adopted in the implemented methodology in this thesis, which is aimed to estimate potential energy savings with only limited information of the building and the built-in HVAC system. The steps and level of effort in which this methodology is intended to help are

highlighted in Figure 2.2 and Figure 2.3. It is expected to help engineers mainly in the Level I analysis in evaluating low-cost/no-cost measures such as resetting room temperatures set points, cold deck and hot deck leaving air temperatures, and outdoor air intake. In some cases, capital cost may be required in order to implement the identified measure(s), for instance, installing an economizer. As project develops, more accurate input information may be available, and this methodology could also assist in some Level II analysis as such. When a satisfactory database is not available for performing an energy benchmarking, this methodology can also fill in the preliminary level of analysis to determine if further analyses are likely to predict significant savings. This can be especially valuable in projects where energy audits will be applied to many buildings, and pre-screening to identify the buildings with the most potential is necessary.



### 3. METHODOLOGY

#### 3.1 Baltazar's Methodology

This study adopted the methodology of potential energy savings estimation proposed by Baltazar (2006), which evaluates theoretical potential savings based on thermodynamic considerations. The methodology is based on the modified bin method and can be briefly summarized as follows.

The potential energy savings in each outside air temperature bin can be obtained as the difference between the actual energy cost during a particular period (preferably a whole year) and the minimum energy cost needed to maintain comfortable indoor conditions using the existing HVAC systems in the building under the same weather conditions (Equation 3.1). Here the minimized energy cost is comprised of electricity cost, cooling cost and heating cost. The electricity cost consists of two parts: (1) lighting and equipment consumption which is estimated from measured data and remains constant, and (2) fan power consumption which is simulated. (Equation 3.2)

$$\text{Potential Energy Savings} = \text{Energy Cost}_{\text{ACTUAL}} - \text{Energy Cost}_{\text{MINIMIZED}} \quad (3.1)$$

$$\text{Energy Cost} = (\text{ELE Cost}_{\text{LTEQ}} + \text{ELE Cost}_{\text{FANP}}) + \text{CHW Cost} + \text{HHW Cost} \quad (3.2)$$

Bin temperature, mean coincident humidity ratio and measured energy consumption are required to determine the potential savings in each bin. They can be prepared from hourly measured consumption data as well as hourly outside air dry-bulb temperature data and any one of the three humidity parameters - wet bulb temperature, dew point temperature or relative humidity. The humidity ratio during each hour is first

calculated from the dry-bulb temperature and the chosen humidity parameter. Then all the hourly data are sorted into bins based on the dry-bulb temperature. The mean coincident humidity ratio for each bin is computed as the mean humidity ratio for the hours in each bin and energy consumption data for hours in each bin is summed to give the consumption for each bin.

The essence of this methodology is the procedure for determining the minimum energy use cost, which has two major components as Figure 3.1 demonstrates: the combined model which thermodynamically represents the performance of the built-in HVAC system and the numerical procedure for energy cost minimization. The combined model takes weather conditions into account through a load calculation procedure and it becomes part of the input for the air-side system simulation. Both the load calculation and system simulation follows the ASHRAE Simplified Energy Analysis Procedure. The numerical procedure generates and seeks the parameter values which will produce minimum total energy use cost while meeting the indoor thermal comfort requirements.

Sequential exhaustive search is employed as the optimization method and manages through representative equivalent ambient conditions obtained by “bin sorting”. Figure 3.2 illustrates the procedure of implementation of the methodology in determining the minimum energy cost for each bin. The total potential energy cost savings during the period evaluated are then the sum of savings found in each bin.

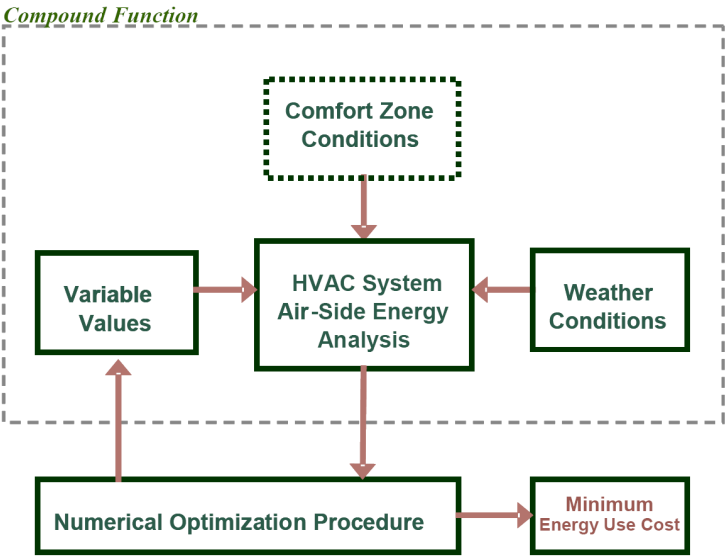


Figure 3.1 Block diagram of the methodology for potential energy savings determination (Baltazar, 2006)

- 1 Binned ambient conditions
- 2 Exhaustive search through operative ranges of each of the of the control variables

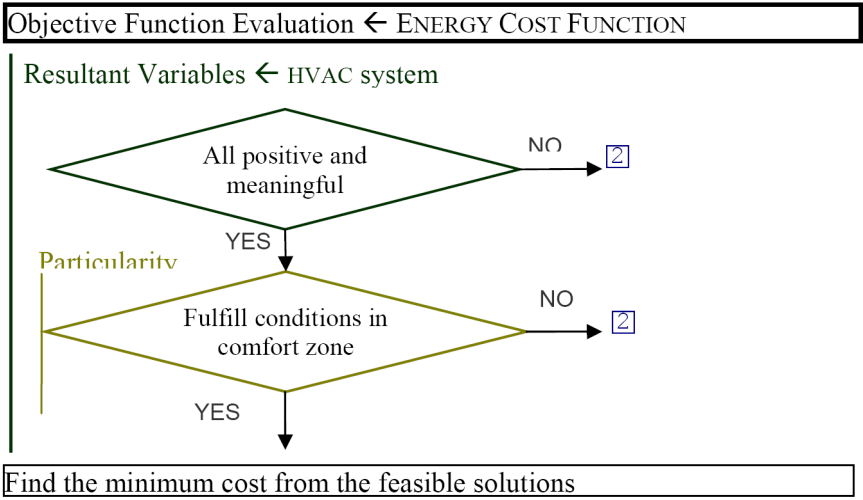


Figure 3.2 Flowchart of the methodology for evaluating potential energy savings in a building through binned ambient conditions. The total potential savings will be the sum of the individual products of the energy savings in each bin multiplied by its frequency. (Baltazar, 2006)

## 3.2 Improvements on Methodology

The following improvements on Baltazar's methodology have been incorporated in the PESE Toolkit.

### 3.2.1 Optimization Parameters

In Baltazar's implementation of the methodology, four parameters are selected for optimization: cold deck and hot deck (for dual duct systems) leaving air temperature set points; minimum supply airflow per square foot of floor area (for VAV systems); and the fraction of outside airflow in total design airflow. In this study, the volumetric outside airflow is optimized instead of optimizing outside air fraction because volumetric control is required in order to implement the optimization result; the minimum supply airflow is not optimized since the optimized value is always equal to the designated lower limit. In addition to the above changes, room temperature set points in the exterior and interior zones are included as additional optimization parameters, since space loads are dependent on these two parameters (refer to section 3.2.3).

In summary, five parameters are selected for optimization in this study: exterior and interior zone room temperature set points, cold deck and hot deck leaving air temperature set points, and outside air flow rate. In addition, in the implemented methodology in PESE Toolkit, options are provided to users for which of the five parameters will be activated. This is helpful in evaluating savings based on the existing control capability. For example, Baltazar concluded that his methodology seemed to over-estimate savings at lower outside air temperatures in buildings which do not have an economizer. In such a case, provided the option whether to optimize the outside air

intake, one can choose either to estimate potential savings based on the current system setting, or to find out the extra savings achievable by installation of an economizer.

### 3.2.2 *Limits on Optimization Parameter Values*

In order to make the optimization result useful, it is important to set appropriate lower and upper limits on the values of optimization parameters. These limits should be determined based on the special requirements in each particular application. However, the considerations used to determine the limits in the case studies included in this thesis are given here for reference.

Room temperature set points: ASHRAE's general design criteria for commercial and public buildings can be adopted when there is no specific requirement for room temperature and relative humidity control. For example, 70-78°F and up to 60% RH are acceptable for offices (ASHRAE, 2007a) during occupied periods. According to the retro-commissioning practices at Texas A&M University, 65-85°F and up to 70% RH are commonly used for reset values during unoccupied periods.

Cold deck and hot deck leaving air temperature set points: Limits on these set points usually vary from project to project. Taking the practice of Texas A&M University as an example again, the reset range is usually 55-70°F for cold deck and 70-110°F for hot deck temperatures.

Outside air flow rate: Minimum outside air supply in breathing zone by ANSI/ASHRAE Standard 62.1-2007 (ASHRAE, 2007b) can be adopted as a lower limit. For example, 5 cfm/person and 0.06 cfm/ft<sup>2</sup> are generally required in design for offices;

however, this requirement can be as low as 7 cfm/person when a CO<sub>2</sub> sensor is available to maintain a CO<sub>2</sub> level of 1000ppm.

### 3.2.3 *Minimum Airflow Setting*

Exterior and interior zone minimum airflows are not parameters to be optimized by the methodology employed in this study. However, resetting minimum airflow is an important ECM in VAV systems and usually has significant influence on the energy use.

In retro-commissioning practice, the minimum airflow should be checked and reset if necessary for each individual VAV terminal box. This requires knowledge of the loads in each space as well as design information and terminal box details. Since this methodology is developed to assist in the early stage of a retro-commissioning or energy audit process, the above information is usually not available and not much effort can be expended to determine minimum airflow. Therefore, the following suggested procedure is intended to give an example how reasonable minimum airflows can be set with minimal effort while complying with related codes.

According to Taylor and Stein (2004), ANSI/ASHRAE Standard 62.1-2007 for ventilation and ANSI/ASHRAE Standard 90.1-2007 for energy (ASHRAE, 2007c), the minimum airflow during the occupied period can be reset to the largest of the following: (1) the airflow required to meet the design heating load at a supply air temperature that is not too warm (e.g. 85°F); (2) 30% of design airflow or 0.3 cfm/ft<sup>2</sup> if the design airflow is oversized; or (3) the minimum breathing zone outside air required by ANSI/ASHRAE Standard 62.1-2007. The minimum airflow during the unoccupied period can be reset to zero in most cases according to the practice at Texas A&M University.

### 3.2.4 *Space Load Calculation*

In Baltazar's implementation, space cooling and heating load are calculated based on fixed occupied period room temperature set points (e.g. 75°F). This can lead to inaccurate optimization results when the room temperatures are optimized using unoccupied resets and seasonal resets, because the conduction load makes up a significant fraction in the total space load and is proportional to the difference between the room temperature and the outside air temperature. Take office buildings for example. Room temperature can have a relatively wide acceptable range: 70-78°F during occupied periods and 65-85°F during unoccupied periods. Therefore, in this study, a space load calculation procedure is developed based on the Simplified Energy Analysis Procedure and linked with the optimization procedure, so that the space load will be re-calculated dynamically as room temperature set points change in the optimization process.

### 3.2.5 *Simulation of Buildings with Multiple Types of Systems*

Many buildings have more than one type of system. Therefore, the methodology should be made applicable to a large part of these buildings to be useful. In this study, two input parameters are introduced to account for this problem - the fractions of exterior and interior zone areas served by each type of system. They are applied to calculated whole-building exterior and interior zone space loads. Here, it is assumed that the space load is proportional to floor area. This assumption works fine with buildings having each type of system serving an entire floor or several floors, or buildings having two different types of systems serving the exterior zone and interior zone respectively.

### 3.2.6 AHU Shut-down Simulation

Shutting down the AHU(s) during unoccupied periods is a common and effective ECM. When the AHU is turned on before the building is occupied again, it has to bring the room temperature to set point in a short period. Observations of the measured consumption data in the Coke Building show that the cooling or heating energy consumption during the start-up period is usually significant. In addition, during the shut-down period, there is usually still a lower and upper limit on the room temperature to bring the AHU back to work. Therefore, the cooling and heating energy use during the unoccupied period needs to be estimated in a reasonable manner. This energy use can be estimated to be approximately equal to the sum of the largest two components of the space load: the internal heat gain and the conduction load.

During the AHU shut-down period, the room temperature changes under the influence of internal heat gain and conduction through the building envelope. As a result, the conduction load can be significantly different from that when the room temperature is kept at the occupied period set point. This challenges one of the major limitations of the modified bin method, which is based on time averaging techniques and does not take the thermal capacitance of the space into account.

However, based on the measured data in an office building on the Texas A&M University campus, where AHU shutdown has been implemented, it is found that the average room temperature during the unoccupied period has an approximate linear relationship with the average outside air temperature. This finding is used to estimate the average conduction load during the unoccupied period and is described in Appendix A.



It is noted that the relationship can vary from building to building depending on the building's size, construction, internal heat gain, etc. Nevertheless, the obtained relationship is made default in the implemented methodology in PESE Toolkit, which can be modified based on engineering considerations to make a best estimation.

### *3.2.7 Air-side Simulation Models*

The air-side simulation models employed in Baltazar's methodology largely came from the SEAP, which was developed by ASHRAE TC4.7 and described in Knebel (1983). These models are inherited in this thesis. However, several significant modifications have been made in order to correctly represent the performance of the systems in optimization, because the SEAP models are developed to represent typical operating conditions.

For example, in dual duct systems, such a condition can occur in summer that the hot deck leaving air temperature is set back to as low as 70°F, while outside air is 90°F and room temperature is set at 75°F. The hot deck entering temperature (76.5°F assuming outside air fraction is 10%) is higher than the leaving air temperature set point. Using the SEAP models in such a case will lead to a mistake that the leaving air temperature equals the set point and thus hot deck has virtually cooled the air down from 76.5°F to 70°F; but in reality the leaving air temperature equals the entering temperature.

The SEAP models for SDVAV system only account for cooling mode. Therefore, model equations are added for heating mode with a limit (120°F) added for terminal box maximum supply temperature. With the modified model, minimum airflow during unoccupied period can be set to zero.

Additionally, since the value ranges of certain optimization parameters can overlap sometimes and lead to meaningless result, error handling equations are added in the modified models. For example, room temperature set points cannot be lower than cold deck leaving air temperature or higher than hot deck leaving air temperature, outside airflow cannot be larger than total airflow, total airflow cannot be larger than total design airflow, etc. The modified models for each type of system can be found in the following functions in Appendix G:  $f_{CVTR}$ ,  $f_{DDCV}$ ,  $f_{SDVAV}$ , and  $f_{DDVAV}$ .

## 4. PESE (Potential Energy Saving Estimation) TOOLKIT

### 4.1 Overview

The PESE Toolkit is a prototype computer tool designed for estimating potential energy use cost savings (including electricity cost, cooling cost and heating cost) theoretically achievable by optimizing certain control parameters in the HVAC system of a given commercial building. It is developed based on Baltazar's methodology with several major improvements explained in section 3.2. The tool is expected to help engineers mainly in the Level I analysis in a retro-commissioning assessment or an energy audit to identify no-cost/low-cost ECMs with corresponding savings estimated. For this purpose, only limited information about the building and its HVAC system is required to use the tool. It is developed with Visual Basic for Application (VBA) programming language, and the interface for input and output is based on Microsoft® Excel 2003 spreadsheets. The following is a list of important features included in the prototype version of the PESE Toolkit:

- Input interface to specify building and system parameters and optimization constraints.
- No separate calculation of space cooling and heating load is required. The user only needs to input information regarding building location and dimensions, internal heat gain, weather and thermal properties of the envelope in order to perform the load calculation procedure.

- A maximum of five optimization parameters are provided (refer to section 3.2.1). The user can decide which ones to activate for optimization depending on the system configuration (e.g. pneumatic control or DDC control, availability of a flow sensor for outside air intake). With none of the optimization parameters activated, a simulation without optimization will be executed, which can be used for the purpose of calibration to measured consumption data or checking the impact on energy use of changing certain parameters. This feature of simulation is not required in performing potential energy savings estimation.
- Air-side simulation models of four common HVAC systems are provided: Single Duct Constant Volume (SDCV) system, Dual Duct Constant Volume (DDCV) system, Single Duct Variable Air Volume (SDVAV) system, and Dual Duct Variable Air Volume (DDVAV) system.
- Common HVAC system configuration and control options are provided, such as preheat and reheat type (electric or using hot water), and control method of minimum outside air intake.
- Comprehensive output for each bin is provided to the user including energy costs and savings, energy consumption, space loads, system loads and parameters. Most of them are also illustrated in plots versus bin temperature for easy analysis.

## 4.2 Input

There is a worksheet named “Input” in PESE, where the user can type in all the necessary information about the building, the HVAC system and optimization options.

Required building information is categorized as general (location, orientation, dimensions, exterior and interior zone areas, etc.), internal heat gain (occupancy, lighting and equipment electricity use), weather (parameters for solar heat gain calculation) and envelope (window area fractions, U-values, SC-values, colors). Required HVAC system information comprises system type, preheat and reheat type, whether AHUs are shut down during unoccupied periods, fractions of exterior and interior zone area served by the system being evaluated, zone temperature set points, design supply air flows, cold deck and hot deck reset schedules, fan powers, and outside air control settings. For VAV systems, additional input for the VAV mechanism and minimum supply air flows are also necessary. Required optimization options are the parameters chosen to be activated for optimization, the range of values and grid division of these parameters during occupied and unoccupied period, energy prices and indoor relative humidity restraints for occupied period. It should be noted that for the parameters activated for optimization, the input of the current setting in the system information section is not required.

PESE also requires input of weather data and measured energy consumption data (electricity, chilled water and hot water) for each bin during occupied and unoccupied period respectively. The worksheet named “BinData” is for this part of the input. Since the required weather data including dry-bulb temperature and humidity ratio in the form of bins can rarely be found prepared, a “bin sorting” process is usually necessary. Appendix D gives an example of a bin sorting procedure. Examples of input interface can be found in the case studies in Section 5.

### **4.3 Output**

The “BinData” worksheet holds not only the input but also the output for each bin during occupied and unoccupied periods. The output comprises several sections: energy costs (current and optimized), potential energy cost savings (in dollars and percentage), optimized energy consumption, space loads (including components), HVAC system loads (cooling, heating and fan power) and system parameters (temperatures, air flow rates and fractions, humidity ratios, etc.).

For easy interpretation and analysis of the results, PESE provides plots of most of the above results versus bin temperature. There are five chart sheets in PESE named “Savings”, “OCP\_Cons&Load”, “OCP\_SysPar”, “UNOCP\_Cons&Load” and “UNOCP\_SysPar” representing the following categories of output: (1) energy cost and saving during occupied and unoccupied period; (2) consumption values, system and space loads during occupied period; (3) system parameters during occupied period; (4) consumption values, system and space loads during unoccupied period and (5) system parameters during unoccupied period. Detailed output parameters and examples of output chart sheets are provided in Appendix E.

### **4.4 Models and Program Structure**

The load calculation procedure is described in Appendix B, and air-side simulation models can be found in Appendix G along with nomenclature explained in Appendix C. In addition, the program structure and flow charts are found in Appendix F, and the VBA codes implemented in the tool are enclosed in Appendix G.

## 5. CASE STUDIES

Preliminary testing of the improved methodology implemented in the PESE Toolkit is conducted in case studies of two buildings on the Texas A&M University campus, which have been retro-commissioned in the recent two years. The case of Sanders Corps of Cadets Center is studied to understand the optimization resulting from single parameter optimization; while the Coke Building is selected to show an example of how this methodology can be applied to buildings where the built-in HVAC system has more than one AHU type.

### **5.1 Sam Houston Sanders Corps of Cadets Center**

The Sanders Corps of Cadets Center is a single story building located on the main campus of Texas A&M University with a total area of 19,363 square feet. The building consists of a large display hall, offices, a small library and conference room. It is generally open between 8:00 AM and 5:00 PM Monday through Friday except on holidays. The HVAC system is a single duct variable air volume (SDVAV) system with terminal reheat VAV boxes. There is only one air handling unit (AHU) to serve the whole building. Commissioning measures were implemented in this building by 11/2/2007.

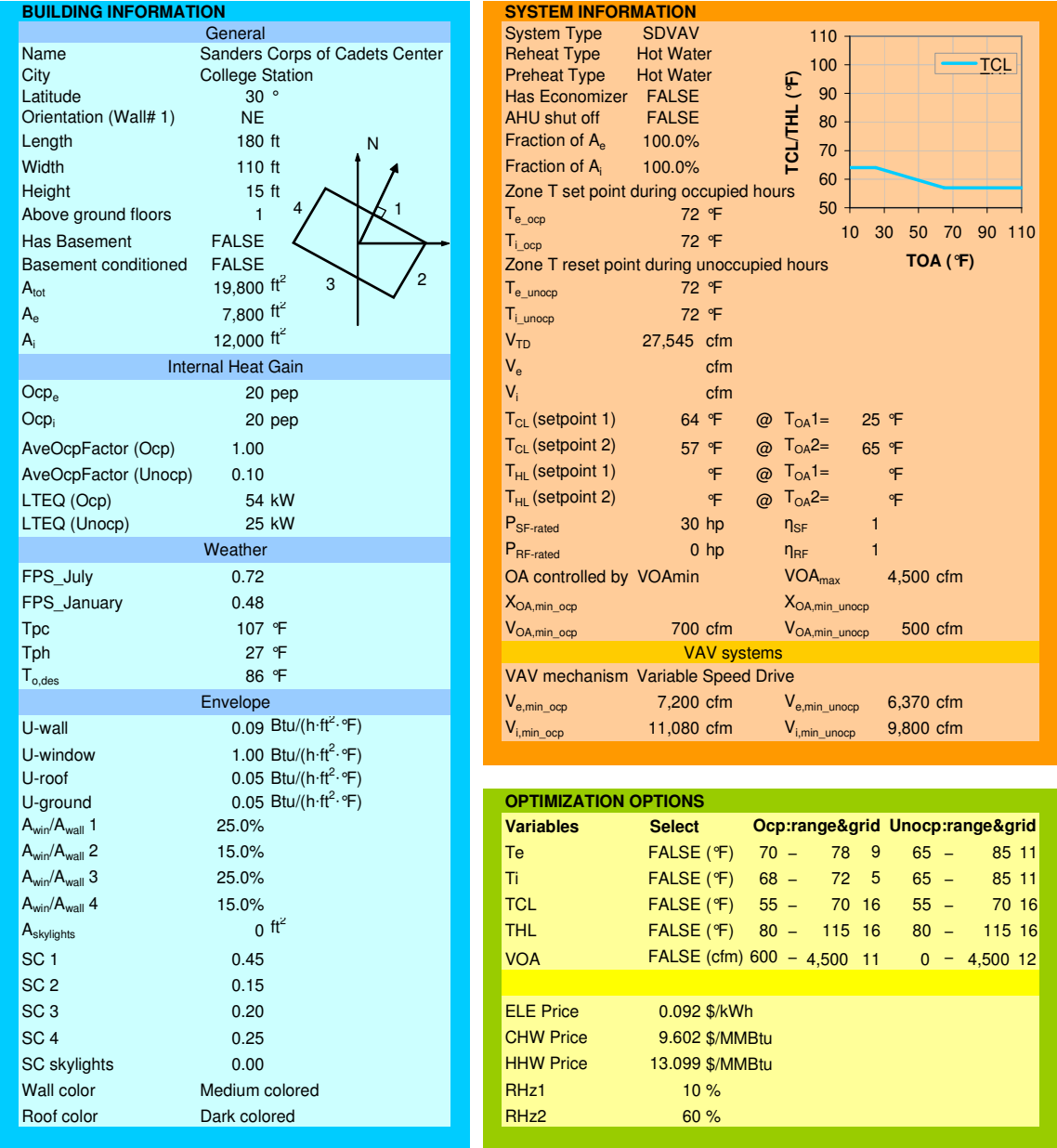


Figure 5.1 Building information, system information and optimization options input in the calibrated simulation for Sanders Corps of Cadets Center

In the following sections, the energy use under current condition is first simulated without optimization and calibrated to measured energy use. Next, energy savings are estimated using single parameter optimizations, i.e. optimizing one



applicable parameter at a time, for easy understanding of the optimized profile of parameters and energy uses. Finally, potential energy savings are estimated by performing multiple parameter optimization, i.e. optimizing all the applicable parameters together. It is noted that single parameter optimization and the calibration process are not necessary in regular potential savings estimations, because usually all the uncertain parameters that are significant to energy use are to be optimized or re-designated.

#### *5.1.1 Simulation without Optimization*

The basic information about the building required in the simulation with the PESE Toolkit is collected by on-site investigation, and information about the HVAC system is provided with some parameters remaining uncertain. The following single parameter optimization requires the value of these parameters determined, which leads to a brief calibration process with the method of calibrated signatures developed by Wei et al. (1998). The calibrated input used in the simulation is given in Figure 5.1. One year of hourly weather and measured consumption data during 12/1/2007-1/31/2008 (post-CC<sup>®</sup>) are sorted into 5°F bins with occupied and unoccupied period distinguished, as shown in Figure 5.2. No parameter is selected for optimization at this point.

The adequacy of calibration is evaluated with three statistical parameters: Root Mean Square Error (RMSE), Mean Bias Error (MBE) and Coefficient of Variation of the RMSE (CV-RMSE). By adjusting the exterior and interior zone minimum air flow rates, cold deck leaving air temperature reset schedule and minimum outside air flow rates, the statistical parameters are reduced to acceptable level (as shown in Table 5.1): during occupied period, the CV-RMSE is around 10% for electricity and chilled water and 45%

for hot water; the MBE is less than 2 kW for electricity, 25 kBtu/hr for chilled water and -63 kBtu/hr for hot water; the values for the unoccupied period are much smaller. The simulated annual energy use and costs after calibration are given in Table 5.2 as the baseline for optimization.

| BIN DATA INPUTS |                              |                            |                            |                          |                                |                                 |                                 |
|-----------------|------------------------------|----------------------------|----------------------------|--------------------------|--------------------------------|---------------------------------|---------------------------------|
| OCCUPIED PERIOD | Outside air temperature (°F) | Outside air humidity ratio | Zone relative humidity (%) | Hours of occurrence (hr) | Measured ELE consumption (kWh) | Measured CHW consumption (kBtu) | Measured HHW consumption (kBtu) |
|                 | TOA                          | wOA                        | RHOA                       | HOURS                    | ELE_Meas                       | CHW_Meas                        | HHW_Meas                        |
|                 | 27                           | 0.001948                   | 65.2                       | 2                        | 89                             | 241                             | 410                             |
| 32              | 0.002618                     | 69.5                       | 5                          | 255                      | 912                            | 1,246                           |                                 |
| 37              | 0.003551                     | 77.1                       | 33                         | 1,998                    | 6,122                          | 7,843                           |                                 |
| 42              | 0.004116                     | 73.4                       | 56                         | 3,651                    | 11,484                         | 12,516                          |                                 |
| 47              | 0.004348                     | 64.1                       | 110                        | 7,204                    | 25,093                         | 21,783                          |                                 |
| 52              | 0.005150                     | 62.9                       | 112                        | 7,262                    | 27,096                         | 21,622                          |                                 |
| 57              | 0.005589                     | 56.8                       | 163                        | 10,795                   | 41,775                         | 29,424                          |                                 |
| 62              | 0.006464                     | 54.9                       | 192                        | 12,654                   | 52,277                         | 31,724                          |                                 |
| 67              | 0.008619                     | 61.3                       | 290                        | 19,161                   | 85,079                         | 42,959                          |                                 |
| 72              | 0.010431                     | 62.3                       | 351                        | 23,177                   | 109,389                        | 47,778                          |                                 |
| 77              | 0.012683                     | 63.9                       | 382                        | 25,705                   | 130,655                        | 44,621                          |                                 |
| 82              | 0.014488                     | 61.8                       | 374                        | 25,465                   | 137,366                        | 37,379                          |                                 |
| 87              | 0.014880                     | 54.0                       | 290                        | 20,511                   | 116,138                        | 25,384                          |                                 |
| 92              | 0.014615                     | 45.4                       | 282                        | 20,020                   | 123,951                        | 22,089                          |                                 |
| 97              | 0.013313                     | 35.5                       | 140                        | 10,176                   | 66,872                         | 11,207                          |                                 |
| 102             | 0.012134                     | 27.9                       | 11                         | 816                      | 5,560                          | 1,003                           |                                 |
| 107             | 0.012752                     | 25.2                       | 1                          | 75                       | 494                            | 106                             |                                 |

| BIN DATA INPUTS   |                              |                            |                            |                          |                                |                                 |                                 |
|-------------------|------------------------------|----------------------------|----------------------------|--------------------------|--------------------------------|---------------------------------|---------------------------------|
| UNOCCUPIED PERIOD | Outside air temperature (°F) | Outside air humidity ratio | Zone relative humidity (%) | Hours of occurrence (hr) | Measured ELE consumption (kWh) | Measured CHW consumption (kBtu) | Measured HHW consumption (kBtu) |
|                   | TOA                          | wOA                        | RHOA                       | HOURS                    | ELE_Meas                       | CHW_Meas                        | HHW_Meas                        |
|                   | 27                           | 0.002106                   | 70.5                       | 11                       | 379                            | 1,559                           | 2,471                           |
| 32                | 0.002643                     | 70.1                       | 76                         | 2,742                    | 13,073                         | 18,875                          |                                 |
| 37                | 0.003461                     | 75.2                       | 186                        | 6,660                    | 33,911                         | 43,205                          |                                 |
| 42                | 0.003995                     | 71.3                       | 303                        | 10,867                   | 59,504                         | 63,529                          |                                 |
| 47                | 0.004601                     | 67.8                       | 378                        | 13,139                   | 77,720                         | 73,090                          |                                 |
| 52                | 0.005675                     | 69.3                       | 428                        | 14,643                   | 93,132                         | 78,959                          |                                 |
| 57                | 0.007088                     | 71.9                       | 445                        | 14,865                   | 102,549                        | 75,314                          |                                 |
| 62                | 0.008834                     | 74.8                       | 538                        | 18,285                   | 132,524                        | 81,251                          |                                 |
| 67                | 0.010686                     | 75.7                       | 646                        | 21,702                   | 168,176                        | 90,246                          |                                 |
| 72                | 0.013023                     | 77.5                       | 813                        | 27,466                   | 231,191                        | 95,715                          |                                 |
| 77                | 0.015470                     | 77.6                       | 1,030                      | 35,245                   | 339,699                        | 96,825                          |                                 |
| 82                | 0.015346                     | 65.3                       | 532                        | 17,628                   | 190,299                        | 47,773                          |                                 |
| 87                | 0.014747                     | 53.5                       | 298                        | 8,741                    | 111,242                        | 24,767                          |                                 |
| 92                | 0.014614                     | 45.3                       | 205                        | 5,884                    | 78,678                         | 16,114                          |                                 |
| 97                | 0.012826                     | 34.2                       | 82                         | 2,444                    | 33,005                         | 6,635                           |                                 |
| 102               | 0.010645                     | 24.5                       | 10                         | 302                      | 4,001                          | 846                             |                                 |

Figure 5.2 Bin data input in the calibrated simulation for Sanders Corps of Cadets Center

Table 5.1 Statistical parameters representing simulation errors before (top) and after (bottom) calibration for Sanders Corps of Cadets Center

|         |       | ELE      | CHW            | HHW             |
|---------|-------|----------|----------------|-----------------|
| RMSE    | OCP   | 7.2 (kW) | 55.8 (kBtu/hr) | 65.5 (kBtu/hr)  |
|         | UNOCP | 6.1 (kW) | 68.9 (kBtu/hr) | 66.5 (kBtu/hr)  |
| MBE     | OCP   | 2.9 (kW) | 39.9 (kBtu/hr) | -54.9 (kBtu/hr) |
|         | UNOCP | 4.8 (kW) | 50.4 (kBtu/hr) | 50.6 (kBtu/hr)  |
| CV-RMSE | OCP   | 11.0 %   | 17.8 %         | 42.9 %          |
|         | UNOCP | 18.2 %   | 25.2 %         | 44.7 %          |

|         |       | ELE      | CHW            | HHW             |
|---------|-------|----------|----------------|-----------------|
| RMSE    | OCP   | 6.7 (kW) | 32.1 (kBtu/hr) | 69.5 (kBtu/hr)  |
|         | UNOCP | 4.0 (kW) | 27.8 (kBtu/hr) | 31.0 (kBtu/hr)  |
| MBE     | OCP   | 1.8 (kW) | 25.2 (kBtu/hr) | -62.6 (kBtu/hr) |
|         | UNOCP | 1.5 (kW) | 0.4 (kBtu/hr)  | 14.9 (kBtu/hr)  |
| CV-RMSE | OCP   | 10.3 %   | 10.2 %         | 45.5 %          |
|         | UNOCP | 11.9 %   | 10.2 %         | 20.8 %          |

Table 5.2 Baseline annual energy use and costs for Sanders Corps of Cadets Center

|            | ELE     | CHW     | HHW     | ELE    | CHW    | HHW    | TOTAL  |
|------------|---------|---------|---------|--------|--------|--------|--------|
|            | (kWh)   | (MMBtu) | (MMBtu) | (\$)   | (\$)   | (\$)   | (\$)   |
| Occupied   | 186,789 | 1,018   | 204     | 17,185 | 9,775  | 2,671  | 29,630 |
| Unoccupied | 204,418 | 1,696   | 969     | 18,806 | 16,289 | 12,686 | 47,782 |
| Total      | 391,208 | 2,714   | 1,172   | 35,991 | 26,064 | 15,357 | 77,412 |

### 5.1.2 Reset Minimum Airflow

Following the procedure described in section 3.2.2, it is determined that the exterior and interior zone minimum airflow during the occupied period in Sanders Building is reset from 7,200 cfm and 11,080 cfm to 3,820 cfm and 3,600 cfm respectively, and the minimum airflow during the unoccupied period is reset from 6,370 cfm and 9,800 cfm to zeros. These reset values are used in the following single and

multiple parameter optimizations. Figure 5.3 compares the cooling, heating and fan power energy use before and after resetting the minimum airflow. The result shows that the savings that can be achieved from this resetting alone are very significant: 20% and 54% in total during occupied and unoccupied periods respectively as shown in Table 5.3.

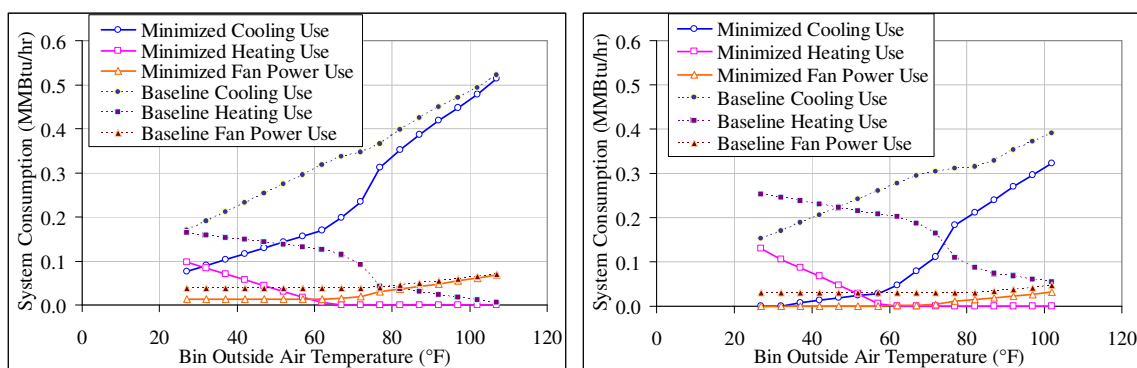


Figure 5.3 Cooling, heating and fan power consumption before and after resetting minimum airflow during occupied (left) and unoccupied (right) periods as a function of bin temperature for the Sanders Corps of Cadets Center

### 5.1.3 Single Parameter Optimization

Four out of the five available optimization parameters in PESE are applicable to this building. To better understand the effect on the energy use from each category of these parameters independently, they are grouped as follows to be activated for optimizations: exterior and interior zone temperature set points ( $T_e$  and  $T_i$ ), cold deck leaving air temperature ( $T_{CL}$ ), and outside airflow ( $V_{OA}$ ). The lower and upper limiting values of each parameter as well as the number of grid division used in the optimization are given in Table 5.4. Savings achieved from each single parameter optimization and multiple parameter optimization are tabulated in Table 5.3 in comparison with resetting

the minimum airflows; the same quantities are compared graphically in Figure 5.4. The profiles of optimized parameter settings as functions of ambient temperature during occupied and unoccupied periods are given in Figure 5.5. The optimized cooling, heating and fan power energy use in comparison with only resetting the minimum airflow is illustrated in Figure 5.6.

Table 5.3 Annual savings from resetting the minimum airflow, single parameter optimization and multiple parameter optimization for the Sanders Corps of Cadets Center

|            |                                 | ELE        |       | CHW    |        | HHW    |        | TOTAL  |        |    |
|------------|---------------------------------|------------|-------|--------|--------|--------|--------|--------|--------|----|
|            |                                 | (\$)       | (%)   | (\$)   | (%)    | (\$)   | (%)    | (\$)   | (%)    |    |
| Occupied   | Only Reset Minimum Flow         | 1,166      | 7     | 2,199  | 22     | 2,432  | 91     | 5,796  | 20     |    |
|            | Single Parameter Optimization   | $T_e, T_i$ | 1,782 | 10     | 2,909  | 30     | 2,536  | 95     | 7,228  | 24 |
|            |                                 | $T_{CL}$   | 1,494 | 9      | 2,334  | 24     | 2,516  | 94     | 6,344  | 21 |
|            |                                 | $V_{OA}$   | 1,166 | 7      | 2,795  | 29     | 2,431  | 91     | 6,392  | 22 |
|            | Multiple Parameter Optimization | 1,964      | 11    | 3,525  | 36     | 2,565  | 96     | 8,054  | 27     |    |
| Unoccupied | Only Reset Minimum Flow         | 3,990      | 21    | 9,962  | 61     | 11,651 | 92     | 25,603 | 54     |    |
|            | Single Parameter Optimization   | $T_e, T_i$ | 4,932 | 26     | 13,502 | 83     | 12,235 | 96     | 30,669 | 64 |
|            |                                 | $T_{CL}$   | 4,169 | 22     | 10,030 | 62     | 11,729 | 92     | 25,928 | 54 |
|            |                                 | $V_{OA}$   | 3,990 | 21     | 10,943 | 67     | 11,634 | 92     | 26,567 | 56 |
|            | Multiple Parameter Optimization | 4,875      | 26    | 14,381 | 88     | 12,306 | 97     | 31,562 | 66     |    |

Table 5.4 Optimization parameter setting limits for the Sanders Corps of Cadets Center

| Opt. Parameter | Unit     | Lower Limit | Upper Limit | Grid Division | Notes |   |
|----------------|----------|-------------|-------------|---------------|-------|---|
| Occupied       | $T_e$    | °F          | 70          | 78            | 9     | ASHRAE design criteria for Office                             |
|                | $T_i$    | °F          | 68          | 72            | 5     | ASHRAE design criteria for Museums and Galleries              |
|                | $T_{CL}$ | °F          | 55          | 70            | 16    | Commonly used on TAMU campus                                  |
|                | $V_{OA}$ | cfm         | 600         | 4,500         | 11    | Lower limit: Standard 62.1-2007<br>Upper limit: OA duct limit |
| Unoccupied     | $T_e$    | °F          | 65          | 85            | 11    |   |
|                | $T_i$    | °F          | 65          | 85            | 11    | Commonly used on TAMU campus                                  |
|                | $T_{CL}$ | °F          | 55          | 70            | 16    |   |
|                | $V_{OA}$ | cfm         | 0           | 4,500         | 12    | Lower limit: Commonly used on TAMU campus                     |

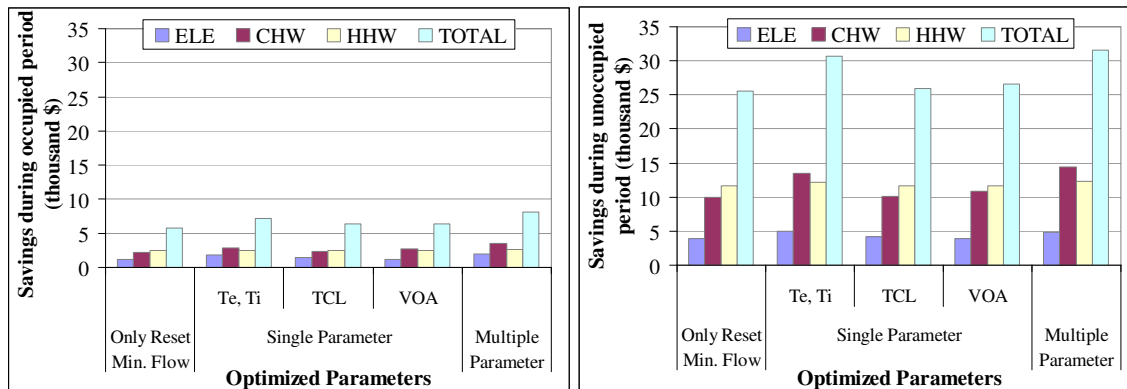


Figure 5.4 Comparison of annual savings from resetting the minimum airflow, single parameter optimizations and multiple parameter optimization for the Sanders Corps of Cadets Center

The results reveal that there are not significant extra savings (1%-4%) from each single parameter optimization during the occupied period in addition to that achieved from only resetting the minimum airflows. This also holds true for the unoccupied period except that an extra 10% of total savings is possible by optimizing the zone temperatures. This meets expectation because post-CC<sup>®</sup> data is used in the simulation, and these optimization parameters are among the typical CC<sup>®</sup> measures on campus. For both periods, greatest total savings come from optimizing the zone temperatures (24% for occupied and 64% for unoccupied). The savings from optimizing cold deck leaving air temperature (21% and 54%) and outside airflow (22% and 56%) are equivalent.

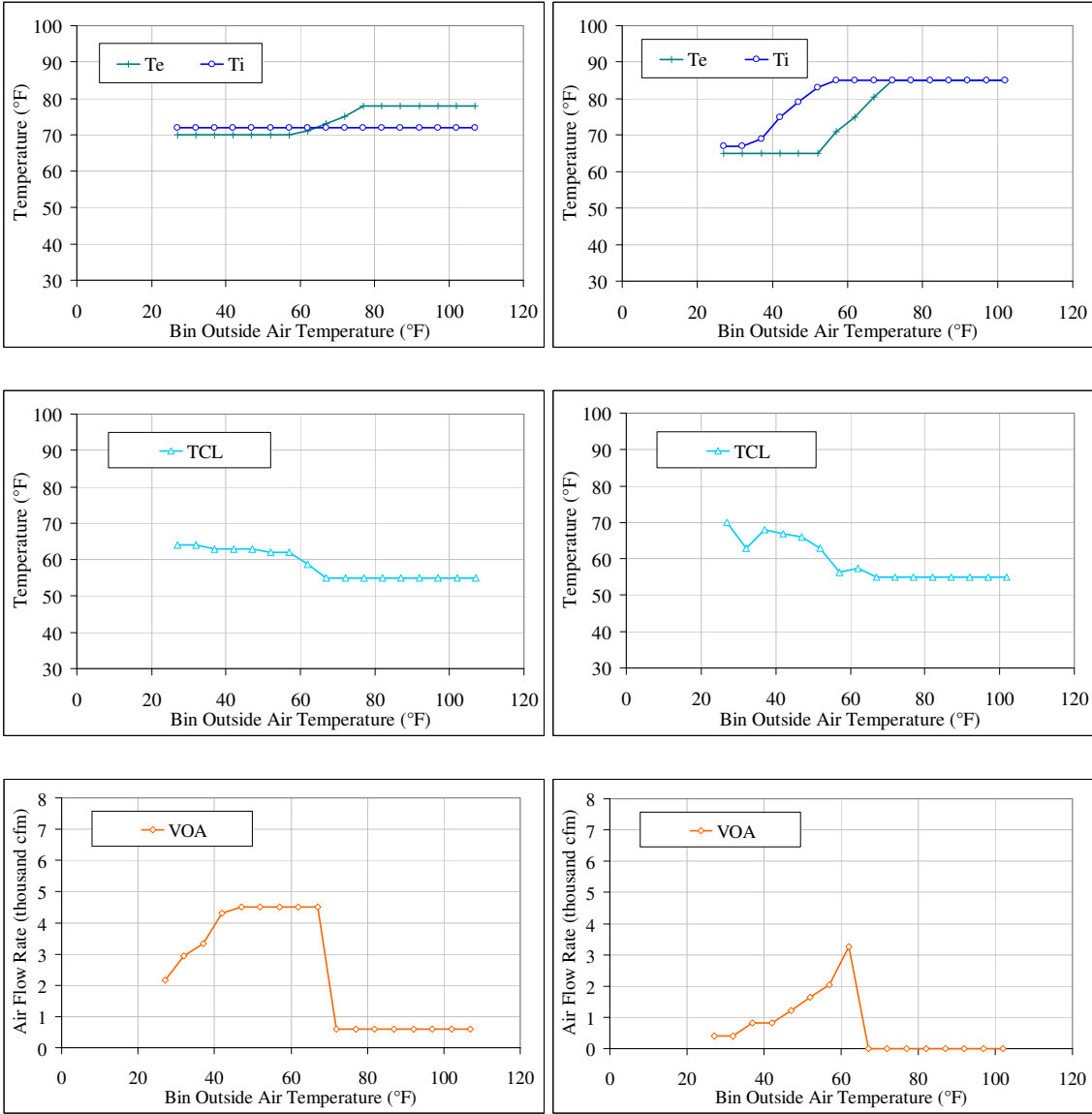


Figure 5.5 Optimized parameter settings from single parameter optimizations during occupied (left) and unoccupied (right) periods as a function of bin temperature for the Sanders Corps of Cadets Center

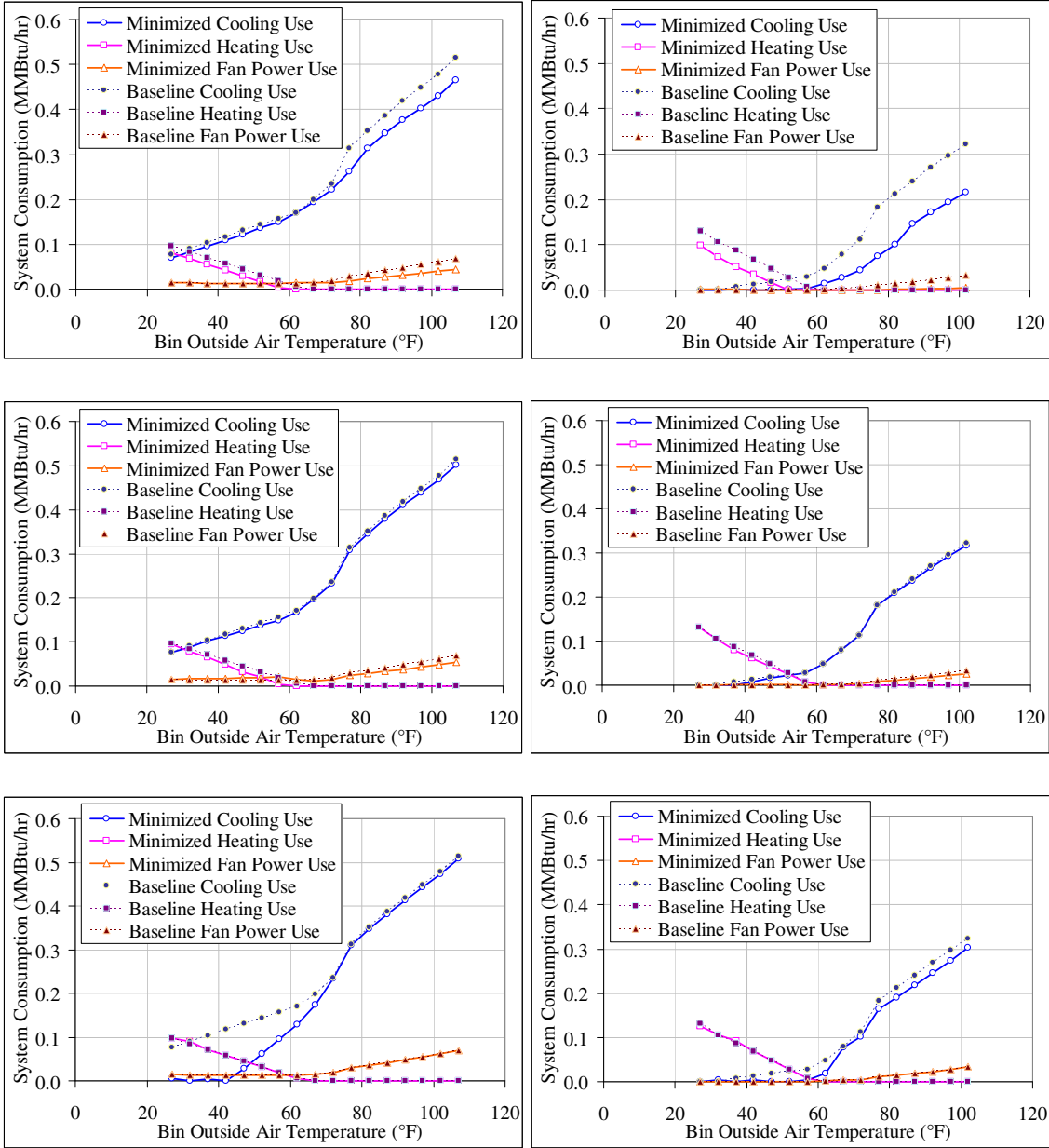


Figure 5.6 Cooling, heating and fan power consumption before (only resetting minimum airflows) and after single parameter optimizations during occupied (left) and unoccupied (right) periods as a function of bin temperature for the Sanders Corps of Cadets Center



### 5.1.3.1 Zone Temperature Set Points Optimization

There are two main ways in which the exterior and interior zone temperature set point could affect the total energy cost: (1) Minimizing indoor and outdoor temperature difference can significantly reduce space heating and sensible cooling loads. Figure 5.7 shows the comparison of space sensible heating and cooling load before and after optimizing zone temperature set points. (2) When supply airflow is higher than minimum value in cooling mode, a higher return air temperature requires smaller supply airflow and saves fan power on one hand; it increases cooling coil sensible load on the other hand. The former is more dominant in the range selected for optimization since the electricity price is nearly three times of the chilled water price for the same amount of energy.

The optimized exterior zone temperature set point stays at the lower limit (70°F), which reduces space heating load, when outside air temperature is lower than 57°F and 52°F during occupied and unoccupied periods respectively. It gradually rises to the upper limit (78°F) at the 82°F bin and the 72°F bin respectively. The increased exterior zone temperature at higher ambient temperatures has reduced space cooling load significantly.

The optimized interior zone temperature set point stays at its upper limit (72°F) constantly during the occupied period; it increases from 67°F at the 32°F bin to its upper limit (85°F) at the 57°F bin during the unoccupied period, and the space cooling load is significantly reduced. During both periods, the interior zone supply airflow is always higher than the minimum value. Consequently, a higher temperature set point is

preferred to save fan power, except for a few low temperature bins during the unoccupied period where the cooling load is light. The effect of reducing cooling coil sensible load from a lower return air temperature more than offsets the slightly increased fan power.

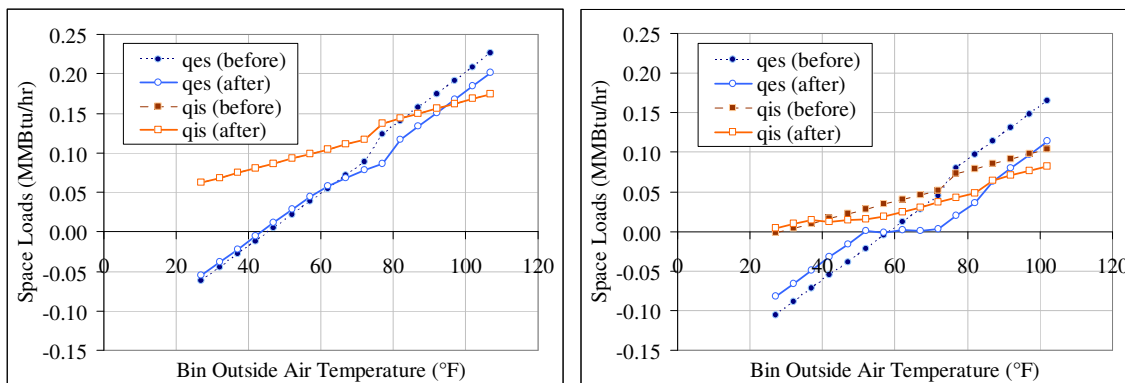


Figure 5.7 Exterior and interior zone sensible space loads before and after optimizing zone temperature set points during occupied (left) and unoccupied (right) periods as a function of bin temperature for the Sanders Corps of Cadets Center

### 5.1.3.2 Cold Deck Leaving Air Temperature Optimization

The optimized cold deck leaving air temperature ( $T_{CL}$ ) decreases with increasing bin temperature in general during both occupied and unoccupied periods. There are three major principles driving this optimization result in both the exterior and interior zones:

- (1) In cooling mode at minimum airflow, reheating and sensible cooling energy could be saved if  $T_{CL}$  is set higher;
- (2) In cooling mode when airflow exceeds the minimum, fan power could be saved if  $T_{CL}$  is set lower;
- (3) In heating mode, reheating energy could be saved if  $T_{CL}$  is set higher.

The optimized  $T_{CL}$  reset profile during the occupied period gradually decreases from 64°F to the lower limit (55°F) at 67°F. At 62°F and lower, the exterior zone airflow stays at its minimum which favors a higher  $T_{CL}$ , while the interior zone airflow exceeds minimum which favors a lower  $T_{CL}$ . The optimized profile is the result of a balance between these two factors, and as the outside temperature goes lower the second factor becomes more dominant. At 67°F and higher, both exterior and interior zone airflows exceed their minimums, which lead to the optimized  $T_{CL}$  staying at its lower limit (55°F).

The optimized  $T_{CL}$  reset profile during the unoccupied period is also decreasing from lower to higher temperature bins in general. At 27°F, both the exterior and interior zones are in heating mode; thus the optimized  $T_{CL}$  goes to its upper limit (70°F). As the ambient temperature increases to 57°F, the exterior zone is still in heating while the interior zone is in cooling and its airflow exceeds the minimum flow. The optimized profile is the result of a balance between the two factors. At 62°F and higher, both zones are in the cooling mode which brings  $T_{CL}$  to its lower limit (55°F).

#### 5.1.3.3 Outside Airflow Optimization

The optimization result shows that as the ambient temperature increases, the outside airflow drops to its lower limit at 72°F and 67°F during occupied and unoccupied periods respectively, where latent loads appear. It drops one bin earlier during the unoccupied period since the mean coincidental outside air relative humidity is higher than during the occupied period. At lower temperature bins, making use of outside air for free cooling can significantly reduce mixed air temperature and save cooling coil sensible load. However, as outside air temperature gets lower, preheating

energy will be required when taking full outside air. As a result, during the occupied period, the optimized outside airflow reaches upper limit (4,500 cfm) from 47°F-67°F and decreases at lower temperatures to 2,160 cfm (at bin 27°F); during the unoccupied period, it gradually increases from 400 cfm (at 27°F) to 3,300 cfm (at 62°F) without ever reaching the upper limit, because the total airflow is much smaller than during the occupied period.

#### *5.1.4 Multiple Parameter Optimization*

A full fledged optimization with all of the above four parameters activated is performed with the same setting limits stated in Table 5.4. The potential savings obtainable from this multiple parameter optimization is listed in Table 5.3 and illustrated in Figure 5.4 in comparison with only resetting minimum airflow and the single parameter optimizations. An extra 7% and 12% total savings during occupied and unoccupied periods respectively are possible in addition to the savings achieved from resetting minimum airflow. For all of the energy use categories, the savings from multiple parameter optimization (ELE: 11% and 26%, CHW: 36% and 88%, HHW: 96% and 97%) are just slightly higher than the largest savings from single parameter optimizations, which in this case is by optimizing the zone temperatures. Figure 5.8 gives the profiles of optimized parameter settings during both the occupied and unoccupied periods. Figure 5.9 shows the optimized cooling, heating and fan power energy use compared with only resetting minimum airflow.

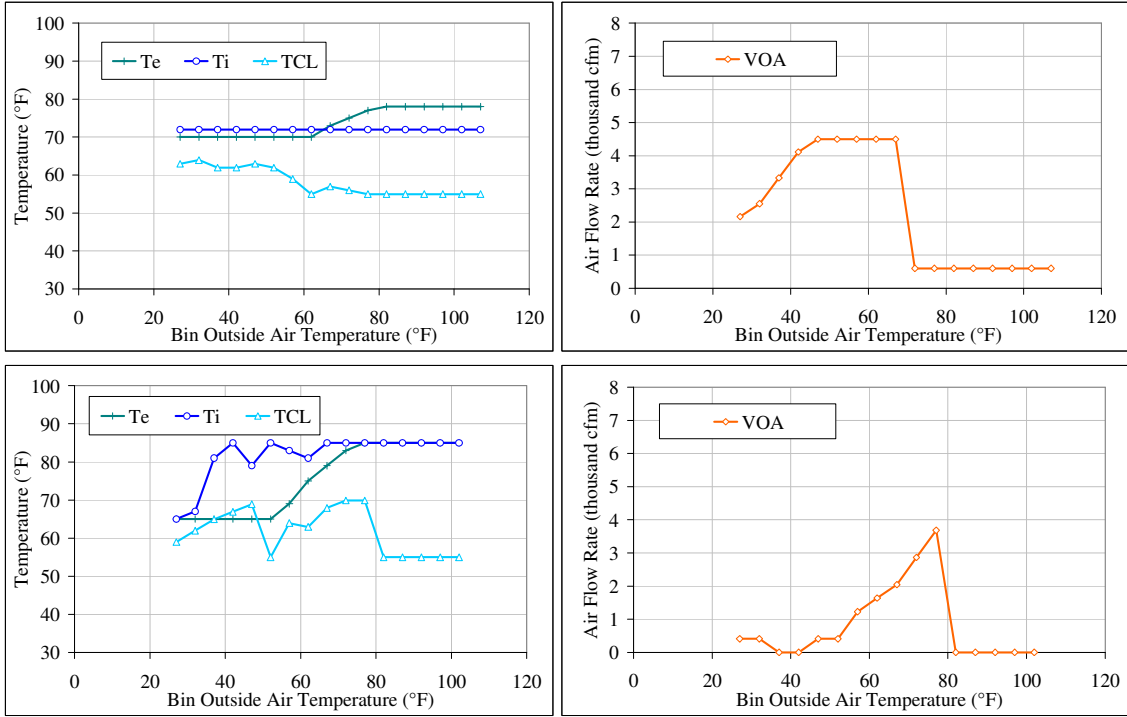


Figure 5.8 Optimized parameter settings from multiple parameter optimizations during occupied (top) and unoccupied (bottom) periods as a function of bin temperature for the Sanders Corps of Cadets Center

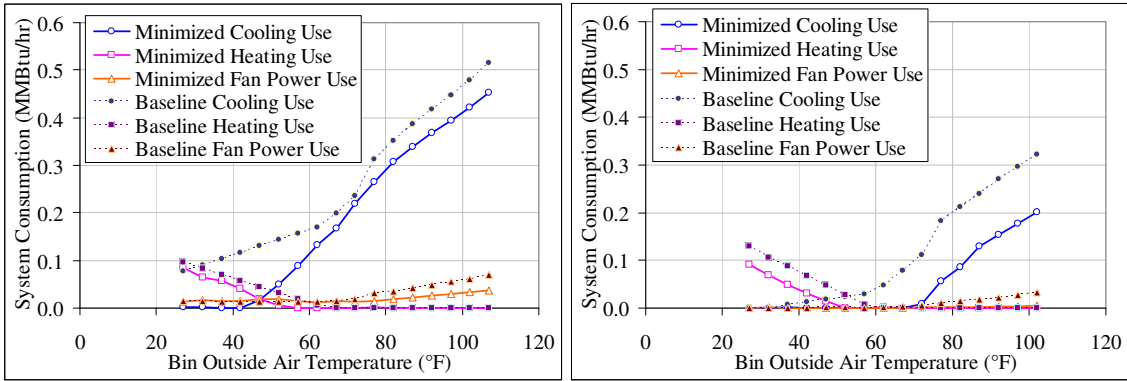


Figure 5.9 Cooling, heating and fan power consumption before (only resetting minimum airflows) and after multiple parameter optimizations during occupied (left) and unoccupied (right) periods as a function of bin temperature for the Sanders Corps of Cadets Center

## 5.2 Coke Building

The Coke Building is located on the main campus of Texas A&M University with a total area of 24,446 square feet. It has three stories (including a half-underground basement) consisting of offices and conference rooms. The building is generally occupied weekdays from 8:00 AM to 5:00 PM. The HVAC system consists of one multizone unit (AHU1) serving the basement, one single duct VAV unit (AHU2) serving the first floor, two single duct VAV rooftop units (RTU1 and RTU 2) serving the second floor and one outside air pre-treat unit (OAHU) serving AHU1 and AHU 2. Electric strips are used as heaters in the terminal boxes associated with the SDVAV systems. Retro-commissioning measures have been implemented in this building since 7/15/2008. Since retro-commissioning, the HVAC system is turned off during the unoccupied period at 10:00 PM and turned on again around 6:00 AM the next morning.

### 5.2.1 Building Simulation

The simulation of the Coke Building is selected as an example of how to implement this methodology in buildings where more than one type of system is in place. In this case, simulation and optimization is required for each individual system. Therefore, the simulation for the Coke Building is divided into two parts: simulation of the multizone system and of the SDVAV system combining AHU2 and the two RTUs. In the implemented methodology, the space load is first calculated for the whole building and then divided according to the service area of each system. Calibration is only performed on the occupied period since the system is shut off at night and on weekends. The calibrated inputs used in both simulations are given in Figure 5.10.

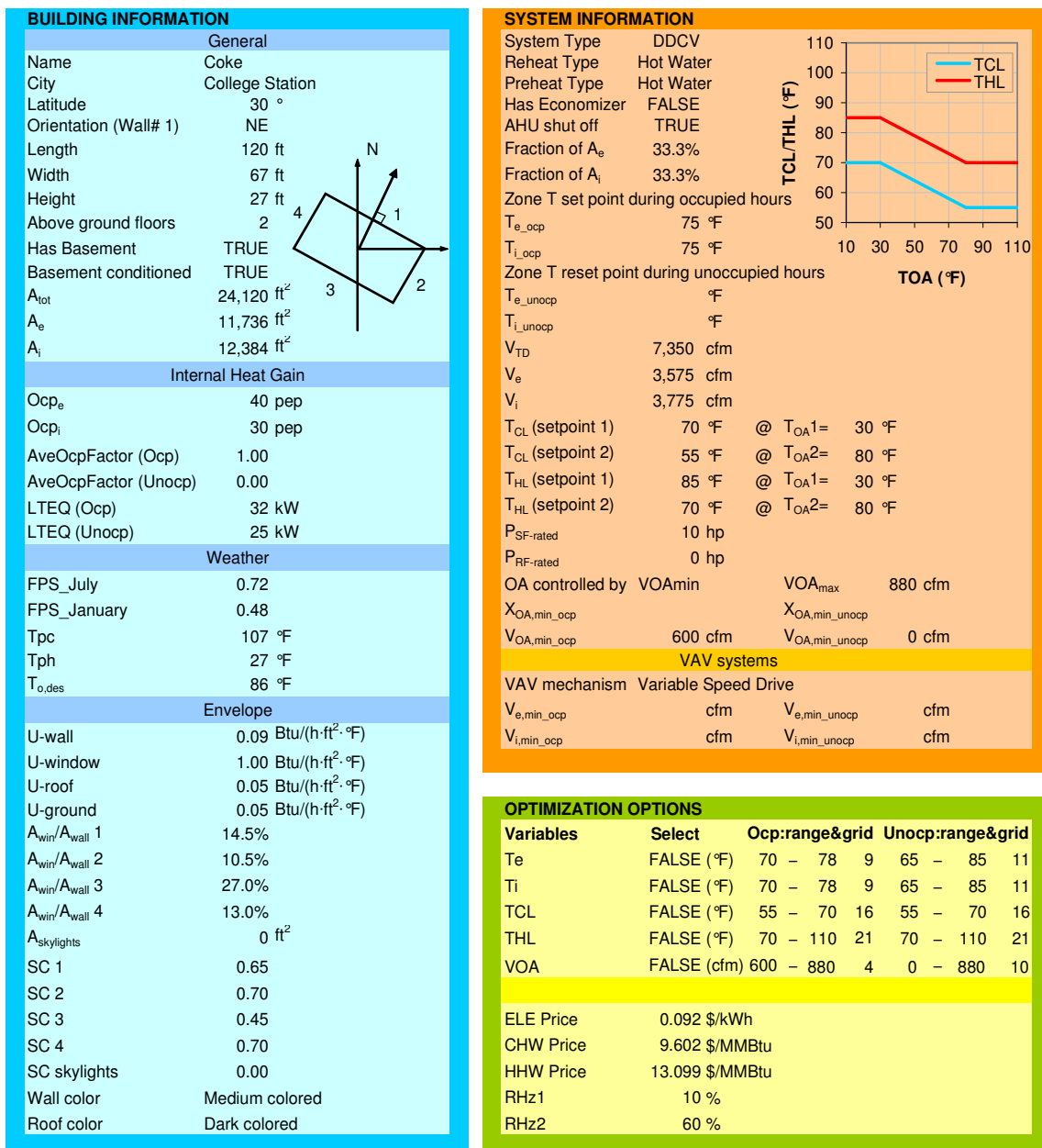


Figure 5.10 Building information, system information (first: multizone, second: SDVAV) and optimization options input in the calibrated simulation for the Coke Building

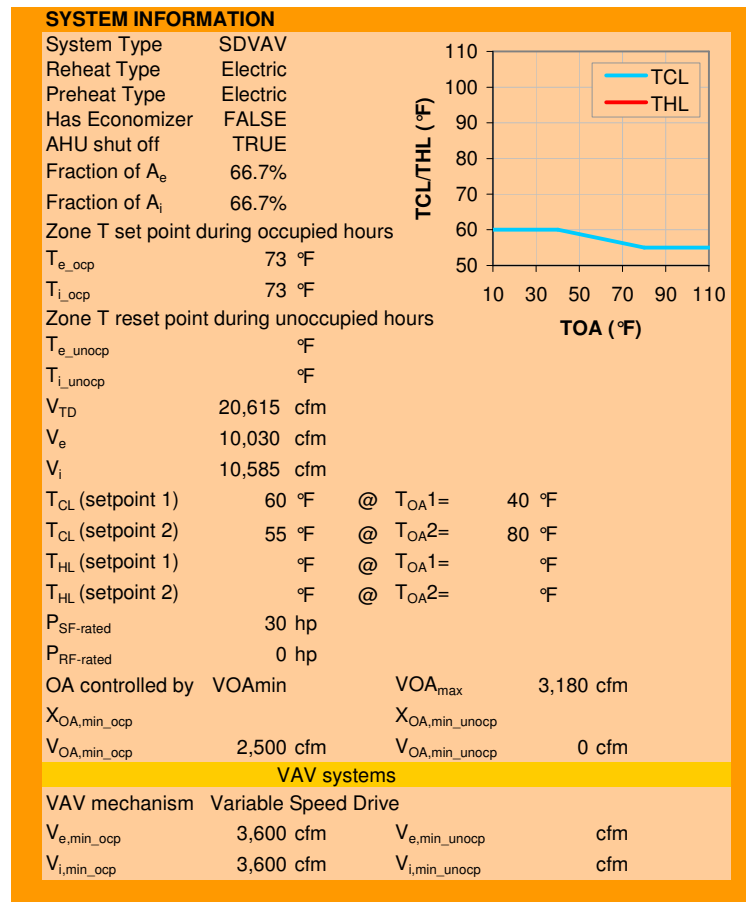


Figure 5.10 Continued

Additionally, a new method based on energy balance and the regression relationship between measured room temperature and outside temperature is used for the simulation of the unoccupied period. This method is developed as an approximation of the space load in the building and therefore does not allow for optimization of system parameters. It is incorporated in the PESE toolkit and explained in Appendix A. One year of hourly weather and measured consumption data from 7/16/2008-7/15/2009 (post-CC<sup>®</sup>) is used for bin sorting, as shown in Figure 5.11.



Several factors have contributed to the substantial amount of energy consumption during unoccupied period, as shown in Figure 5.11. (1) The significant hours included in unoccupied period in a year; (2) the consumption during start-up is included; (3) when room temperature reaches limiting setting (65°F and 85°F), the AHUs are turned back on; (4) during the last several months in the selected year, one of the AHUs was not shut off.

| BIN DATA INPUTS |                              |                              |                            |                          |                                |                                 |                                 |
|-----------------|------------------------------|------------------------------|----------------------------|--------------------------|--------------------------------|---------------------------------|---------------------------------|
| OCCUPIED PERIOD | Outside air temperature (°F) | Outside air humidity ratio - | Zone relative humidity (%) | Hours of occurrence (hr) | Measured ELE consumption (kWh) | Measured CHW consumption (kBtu) | Measured HHW consumption (kBtu) |
|                 | TOA                          | wOA                          | RHOA                       | HOURS                    | ELE_Meas                       | CHW_Meas                        | HHW_Meas                        |
|                 | 27                           | 0.001246                     | 41.8                       | 1                        | 84                             | 41                              | 28                              |
| 32              | 0.002703                     | 71.7                         | 42                         | 3,202                    | 1,665                          | 1,083                           |                                 |
| 37              | 0.003146                     | 68.3                         | 65                         | 4,824                    | 3,744                          | 1,981                           |                                 |
| 42              | 0.003816                     | 68.1                         | 63                         | 4,744                    | 4,834                          | 1,614                           |                                 |
| 47              | 0.003599                     | 53.1                         | 83                         | 5,330                    | 5,531                          | 1,344                           |                                 |
| 52              | 0.004202                     | 51.4                         | 113                        | 6,757                    | 8,949                          | 1,398                           |                                 |
| 57              | 0.004694                     | 47.8                         | 157                        | 8,588                    | 14,287                         | 1,487                           |                                 |
| 62              | 0.006098                     | 51.8                         | 217                        | 11,307                   | 25,646                         | 1,309                           |                                 |
| 67              | 0.008071                     | 57.4                         | 313                        | 15,329                   | 45,095                         | 1,167                           |                                 |
| 72              | 0.009421                     | 56.4                         | 393                        | 19,546                   | 73,070                         | 1,137                           |                                 |
| 77              | 0.011322                     | 57.2                         | 434                        | 21,694                   | 113,882                        | 689                             |                                 |
| 82              | 0.013307                     | 56.8                         | 420                        | 21,091                   | 143,483                        | 250                             |                                 |
| 87              | 0.013848                     | 50.3                         | 373                        | 19,112                   | 145,362                        | 89                              |                                 |
| 92              | 0.014184                     | 44.0                         | 270                        | 14,003                   | 120,542                        | 45                              |                                 |
| 97              | 0.013191                     | 35.2                         | 192                        | 10,015                   | 90,958                         | 28                              |                                 |
| 102             | 0.011606                     | 26.7                         | 74                         | 4,123                    | 35,419                         | 15                              |                                 |
| 107             | 0.012752                     | 25.2                         | 1                          | 56                       | 600                            | 0                               |                                 |

| BIN DATA INPUTS   |                              |                              |                            |                          |                                |                                 |                                 |
|-------------------|------------------------------|------------------------------|----------------------------|--------------------------|--------------------------------|---------------------------------|---------------------------------|
| UNOCCUPIED PERIOD | Outside air temperature (°F) | Outside air humidity ratio - | Zone relative humidity (%) | Hours of occurrence (hr) | Measured ELE consumption (kWh) | Measured CHW consumption (kBtu) | Measured HHW consumption (kBtu) |
|                   | TOA                          | wOA                          | RHOA                       | HOURS                    | ELE_Meas                       | CHW_Meas                        | HHW_Meas                        |
|                   | 27                           | 0.002405                     | 80.5                       | 10                       | 331                            | 192                             | 156                             |
| 32                | 0.002694                     | 71.5                         | 110                        | 3,391                    | 2,130                          | 1,245                           |                                 |
| 37                | 0.002923                     | 63.5                         | 166                        | 4,844                    | 3,775                          | 1,588                           |                                 |
| 42                | 0.003406                     | 60.8                         | 262                        | 7,320                    | 6,242                          | 1,524                           |                                 |
| 47                | 0.004065                     | 60.0                         | 320                        | 8,506                    | 7,134                          | 1,298                           |                                 |
| 52                | 0.005160                     | 63.0                         | 350                        | 9,184                    | 10,017                         | 857                             |                                 |
| 57                | 0.006641                     | 67.4                         | 442                        | 11,581                   | 15,624                         | 1,091                           |                                 |
| 62                | 0.008425                     | 71.4                         | 560                        | 14,297                   | 22,448                         | 839                             |                                 |
| 67                | 0.010646                     | 75.5                         | 695                        | 18,652                   | 40,410                         | 1,374                           |                                 |
| 72                | 0.012719                     | 75.7                         | 710                        | 19,523                   | 55,586                         | 836                             |                                 |
| 77                | 0.014977                     | 75.2                         | 887                        | 24,399                   | 86,472                         | 423                             |                                 |
| 82                | 0.015146                     | 64.5                         | 523                        | 14,499                   | 53,039                         | 93                              |                                 |
| 87                | 0.014308                     | 52.0                         | 243                        | 6,937                    | 28,190                         | 32                              |                                 |
| 92                | 0.013931                     | 43.3                         | 148                        | 4,325                    | 21,026                         | 27                              |                                 |
| 97                | 0.012868                     | 34.3                         | 81                         | 2,324                    | 11,940                         | 11                              |                                 |
| 102               | 0.011502                     | 26.4                         | 42                         | 1,274                    | 7,278                          | 9                               |                                 |

Figure 5.11 Bin data input in the calibrated simulation for the Coke Building

By adjusting discharge air temperature reset schedules in both systems among a few other system parameters, the CV-RMSE for electricity, chilled water and hot water is reduced to 8.9%, 13.9% and 38% respectively, and the corresponding MBEs are -2.1 kW, 10.9 kBtu/hr and -1.7 kBtu/hr as shown in Table 5.5. The simulated annual consumption of each system during occupied and unoccupied periods is listed in Table 5.6 as the baseline for optimization.

Table 5.5 Statistical parameters representing simulation errors before (top) and after (bottom) calibration for the Coke Building during occupied period

|         | ELE      | CHW            | HHW           |
|---------|----------|----------------|---------------|
| RMSE    | 8.5 (kW) | 49.2 (kBtu/hr) | 6.1 (kBtu/hr) |
| MBE     | 0.4 (kW) | 23.7 (kBtu/hr) | 1.4 (kBtu/hr) |
| CV-RMSE | 14.4 %   | 21.5 %         | 63.1 %        |

|         | ELE       | CHW            | HHW            |
|---------|-----------|----------------|----------------|
| RMSE    | 5.3 (kW)  | 31.7 (kBtu/hr) | 3.7 (kBtu/hr)  |
| MBE     | -2.1 (kW) | 10.9 (kBtu/hr) | -1.7 (kBtu/hr) |
| CV-RMSE | 8.9 %     | 13.9 %         | 38.0 %         |

Table 5.6 Baseline annual energy use and costs for the Coke Building

|            |           | ELE     | CHW     | HHW     | ELE    | CHW    | HHW  | TOTAL  |
|------------|-----------|---------|---------|---------|--------|--------|------|--------|
|            |           | (kWh)   | (MMBtu) | (MMBtu) | (\$)   | (\$)   | (\$) | (\$)   |
| Occupied   | Multizone | 58,161  | 286     | 7       | 5,351  | 2,744  | 90   | 8,185  |
|            | SDVAV     | 111,898 | 631     | 0       | 10,295 | 6,055  | 0    | 16,350 |
|            | Total     | 170,059 | 916     | 7       | 15,645 | 8,799  | 90   | 24,535 |
| Unoccupied | Multizone | 49,117  | 122     | 15      | 4,519  | 1,174  | 192  | 5,885  |
|            | SDVAV     | 106,788 | 263     | 0       | 9,825  | 2,528  | 0    | 12,353 |
|            | Total     | 155,905 | 386     | 15      | 14,343 | 3,702  | 192  | 18,237 |
| Total      |           | 325,964 | 1,302   | 22      | 29,989 | 12,502 | 282  | 42,772 |

### 5.2.2 *Reset Minimum Airflow*

Following the procedure described in section 3.2.2, it is determined that the exterior and interior zone minimum airflows within the SDVAV system during the occupied period in the Coke Building is reset from 3,600 cfm to 2,730 cfm and 2,480 cfm respectively. These reset values will be used in the following optimizations. Simulation results reveal that 14% of total energy use during the occupied period can be saved from this measure alone, as shown in Table 5.7. Figure 5.12 also gives the comparison of cooling, heating and fan power energy use bin profiles before and after the reset.

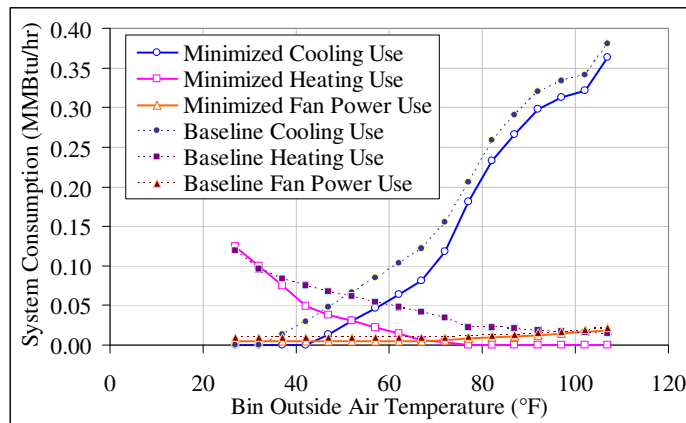


Figure 5.12 Cooling, heating and fan power consumption before and after resetting minimum airflow during the occupied period as a function of bin temperature for the Coke Building

### 5.2.3 *Multiple Parameter Optimization*

Multiple parameter optimization is performed on each system with the setting limits listed in Table 5.8. The savings obtained are given in Table 5.7 and compared with savings from only resetting the minimum airflow in the SDVAV system as shown in

Figure 5.13. It is observed that for the SDVAV system, there is 10% additional potential beyond the savings by resetting the minimum airflow (14%); however, for the multizone system, the room for potential savings is very limited (2%). Among the total savings predicted, an equivalent amount of money (around \$3,200) is saved in electricity and chilled water use, and hot water use is all saved although the absolute amount is minimal. The profiles of optimized system parameter settings versus bin temperature are shown in Figure 5.14, and the profiles of optimized cooling, heating and fan power energy use compared with baseline energy use are given in Figure 5.15.

Table 5.7 Annual savings during occupied period from multiple parameter optimization compared with only resetting minimum airflow with the SDVAV system for the Coke Building

|                                       |           | ELE   |     | CHW   |     | HHW  |     | TOTAL |     |
|---------------------------------------|-----------|-------|-----|-------|-----|------|-----|-------|-----|
|                                       |           | (\$)  | (%) | (\$)  | (%) | (\$) | (%) | (\$)  | (%) |
| Only Reset Minimum Flow               |           | 2,472 | 16  | 889   | 10  | 0    | 0   | 3,360 | 14  |
| Multiple<br>Parameter<br>Optimization | Multizone | 0     | 0   | 431   | 5   | 89   | 99  | 521   | 2   |
|                                       | SDVAV     | 3,219 | 21  | 2,789 | 32  | 0    | 0   | 6,009 | 24  |
|                                       | Total     | 3,219 | 21  | 3,221 | 37  | 89   | 99  | 6,529 | 27  |

Table 5.8 Optimization parameter setting limits for the Coke Building

| Opt. Parameter | Unit            | Lower Limit | Upper Limit | Grid Division | Notes |   |
|----------------|-----------------|-------------|-------------|---------------|-------|---|
| Multizone      | T <sub>e</sub>  | °F          | 70          | 78            | 9     | ASHRAE design criteria for Office                             |
|                | T <sub>i</sub>  | °F          | 70          | 78            | 9     |   |
|                | T <sub>CL</sub> | °F          | 55          | 70            | 16    | Commonly used on TAMU campus                                  |
|                | T <sub>HL</sub> | °F          | 70          | 110           | 41    |   |
| SDVAV          | T <sub>e</sub>  | °F          | 70          | 78            | 9     | Commonly used on TAMU campus                                  |
|                | T <sub>i</sub>  | °F          | 70          | 78            | 9     |   |
|                | T <sub>CL</sub> | °F          | 55          | 70            | 16    | Lower limit: Standard 62.1-2007<br>Upper limit: OA duct limit |
|                | V <sub>OA</sub> | cfm         | 1,200       | 3,180         | 6     |   |

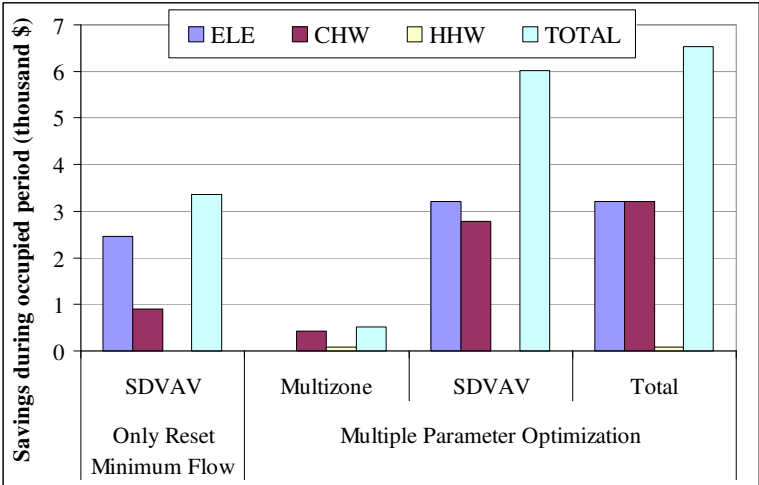


Figure 5.13 Comparison of annual savings during occupied period from multiple parameter optimization and only resetting minimum airflow with the SDVAV system for the Coke Building

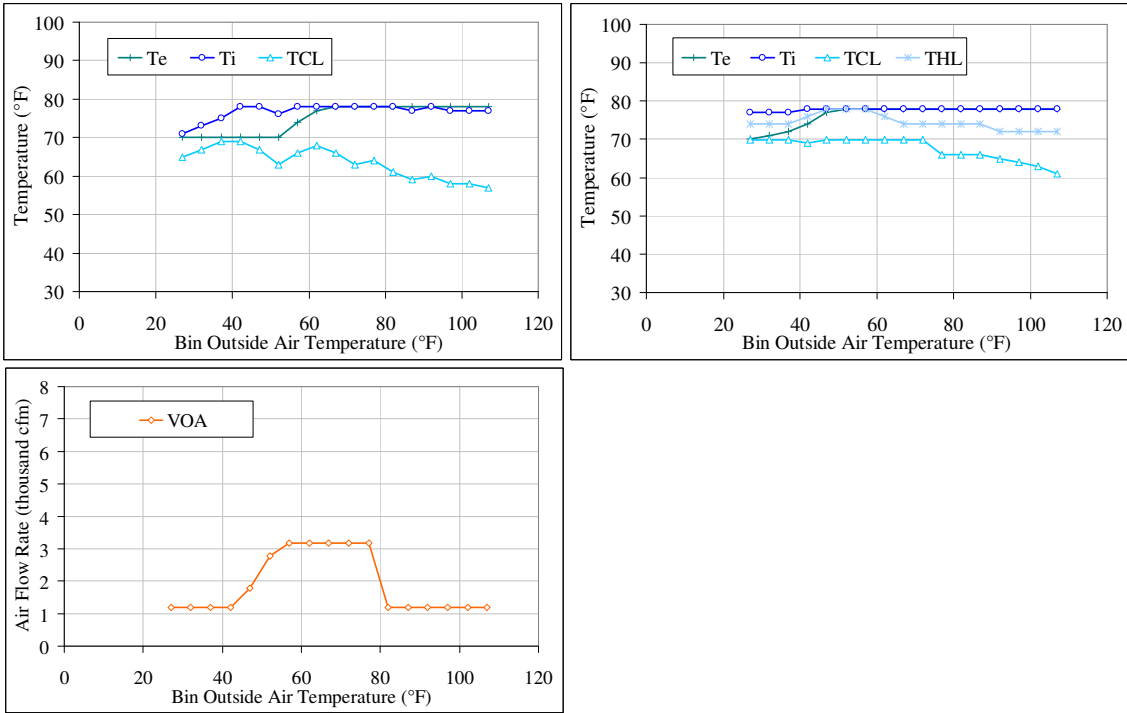


Figure 5.14 Optimized parameter settings for the SDVAV (left) and multizone (right) system as a function of bin temperature from multiple parameter optimization for the Coke Building

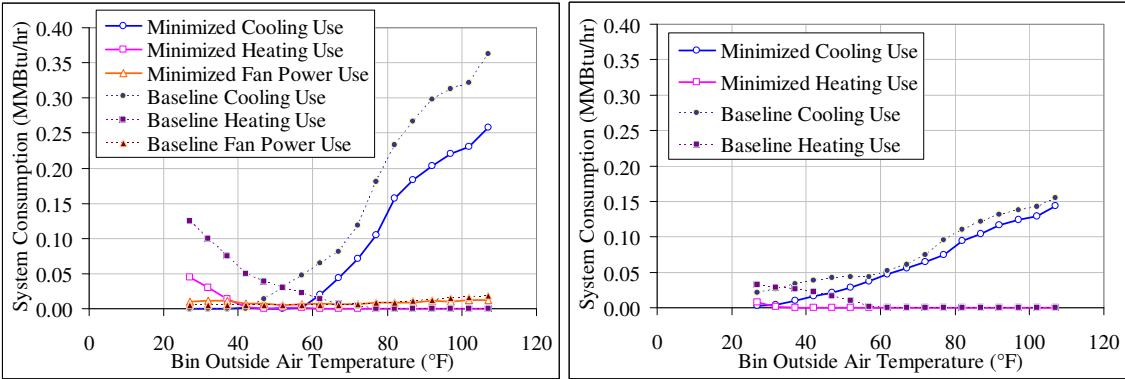


Figure 5.15 Cooling, heating and fan power consumption before (only resetting minimum airflows) and after multiple parameter optimizations during occupied period for the SDVAV (left) and multizone (right) system as a function of bin temperature for the Coke Building

## 6. APPLICATION IN TFC PROJECT

The methodology described in previous sections is expected to predict a theoretical upper limit to the potential energy savings in a building. However, in order to make the methodology useful in real projects, it is desirable to determine the fraction of the estimated potential savings that may be achievable in practice. In this section, the methodology is used to estimate potential savings in a real project for 14 state-owned office buildings with VAV systems. The results are compared with savings predicted in CC<sup>®</sup> assessment reports for these buildings, and the use of generalized factors to improve the correlation between potential savings identified by this methodology and those identified in retro-commissioning assessments is investigated.

### 6.1 Project Introduction

The Energy Systems Laboratory at Texas A&M University was contracted by the Texas Facilities Commission (TFC) to conduct Continuous Commissioning<sup>®</sup> assessments on the HVAC systems of a group of buildings managed by the TFC in Austin, Texas. 14 of these buildings are selected for the testing in this section. The two criteria used in the selection are: (1) the building is mainly used as offices; and (2) the type of HVAC system that serves most parts of the building is either single duct VAV or dual duct VAV systems.

Table 6.1 General information of selected TFC managed buildings

| Building Name                        | Abbr. | Year | Floor | Gross Area | System Type                          | ELE Bill | NG Bill |
|--------------------------------------|-------|------|-------|------------|--------------------------------------|----------|---------|
| John H. Winters Building             | JHW   | 1984 | 7+1   | 502,500    | SDVAV with electric terminal reheats | √        | N/A     |
| William P. Clements Building         | WPC   | 1986 | 15+1  | 473,215    | SDVAV with electric terminal reheat  | √        | N/A     |
| Robert D. Moreton Building           | RDM   | 1989 | 7     | 122,636    | SDVAV with electric terminal reheat  | √        | N/A     |
| Lorenzo De Zavala Archives & Library | ARC   | 1959 | 4+1   | 111,244    | SDVAV                                | E.       | E.      |
| Tom C. Clark Building                | TCC   | 1960 | 8+2   | 102,299    | Mainly SDVAV                         | E.       | E.      |
| William B. Travis Building           | WBT   | 1983 | 12+1  | 466,440    | SDVAV                                | C.E.     | E.      |
| Lyndon B. Johnson Building           | LBJ   | 1985 | 12+1  | 299,512    | DDVAV                                | C.E.     | √       |
| Price Daniel, Sr. Building           | PDB   | 1991 | 9+1   | 135,926    | Mainly DDVAV                         | C.E.     | E.      |
| Robert E. Johnson Building           | REJ   | 2000 | 5+1   | 307,091    | Mainly DDVAV                         | √        | √       |
| Sam Houston Building                 | SHB   | 1959 | 10+2  | 170,967    | Mainly DDVAV                         | E.       | E.      |
| Thomas J. Rusk Building              | TJR   | 1976 | 6     | 99,971     | DDVAV                                | C.E.     | √       |
| John H. Reagan Building              | JHR   | 1961 | 5+1   | 161,787    | Mainly DDVAV                         | E.       | E.      |
| Supreme Court Building               | SCB   | 1961 | 4+2   | 69,253     | Mainly DDVAV                         | E.       | E.      |
| Stephen F. Austin Building           | SFA   | 1973 | 11+2  | 418,103    | DDVAV                                | E.       | E.      |

√ — consumption is metered and original bills are used to determine annual consumption

E. — consumption is estimated

C.E. — electricity consumption used to generate chilled water is estimated, while electricity for lighting and plug loads is metered

The basic information about the 14 buildings is listed in Table 6.1. The utility bills of electricity and natural gas are also provided for potential energy savings estimation. These buildings were built between 1959 and 2000 with gross areas between 70,000 to 500,000 ft<sup>2</sup>. Six of these buildings are equipped with SDVAV systems, while



the other eight buildings have DDVAV system as the main HVAC system type. Among the six buildings which have SDVAV systems, three of them use electricity for terminal reheat instead of hot water. Therefore, the buildings are grouped into three categories: three buildings with SDVAV systems with electric reheat, three with SDVAV systems with hot water reheat, and eight with DDVAV systems.

## **6.2 Potential Energy Savings Estimation**

Unlike the two buildings selected for case studies in the previous section, hourly consumption of electricity, chilled water and hot water is not metered in the TFC managed buildings. Some of these buildings have on-site chillers and boilers, so that monthly electricity and natural gas bills for the year 2008 are available. The other buildings are provided chilled water and hot water from a central plant. Electricity for non-cooling/heating use is either purchased from a utility company or provided by the central plant as well. Since the amount of electricity, chilled water and hot water distributed to each building is not metered, electricity and natural gas bills are estimated for these buildings. The estimation of utility bills is based on the available consumption of similar buildings supplied by the same plant and the total consumption of the plant. It is assumed that energy consumption is proportional to building gross area. Table 6.1 gives a summary of the availability of utility bills.

Considering the situation stated above, energy consumption data will not be available in sorted bins for potential savings estimation per bin. Nevertheless, the methodology is still able to estimate annual potential savings in these buildings. The annual potential energy savings are determined as the difference between the measured

or estimated annual consumption and the minimized annual consumption obtained with the methodology.

The current annual usage of electricity, natural gas and the total per square foot of gross area is given in Table 6.2. The average annual usage is 31.57 kWh/ft<sup>2</sup>/yr for electricity, 25.74 kBtu/ ft<sup>2</sup>/yr for natural gas, and 127.93 kBtu/ft<sup>2</sup>/yr for the total (EUI). Before optimization, a simulation representing the current settings is conducted on each building with assumptions made on system operation parameters as in Table 6.3. The simulation parameters are adjusted so that the simulated annual consumption matches the annual total of utility bills. Then an optimization is performed with AHU shutdown, minimum airflow reset, and all applicable optimization parameters activated — room temperatures, cold deck and hot deck (only in DDVAV system) reset schedules and outside air intake. The optimized operation conditions are also listed in Table 6.3. Energy prices of \$0.0753/kWh for electricity and \$8.466/MMBtu for natural gas are used, which are the same as in the CC<sup>®</sup> assessment report. 1 kW/Ton is used as chiller plant overall efficiency, and 0.8 is used as boiler efficiency.

Table 6.2 gives the estimated potential energy and cost savings for electricity, natural gas and the total. It shows that the SDVAV systems with electric terminal reheat have the greatest potential for savings, with an average of 36%. The total potential energy savings for the SDVAV systems with hot water reheat (22% on average) and DDVAV systems (25% on average) are nearly as large. The potential savings on electricity use and natural gas use are 16% and 95% on average for SDVAV systems with hot water reheat, and 18% and 97% for DDVAV systems.

Table 6.2 Estimated potential electricity (a), natural gas (b) and total (c) savings in TFC managed buildings, and comparison with savings predicted in CC<sup>®</sup> assessment report

|            |            | Electricity        |                           |                           |                               |                                      | Ratio of Cost Savings in Assessment to Potential Cost Savings |
|------------|------------|--------------------|---------------------------|---------------------------|-------------------------------|--------------------------------------|---|
|            |            | Annual Consumption | Annual Usage              | Potential Percent Savings | Potential Energy Cost Savings | Cost Savings Predicted in Assessment |   |
|            |            | (kWh)              | (kWh/ft <sup>2</sup> /yr) |                           |                               |                                      |   |
| <b>JHW</b> | SDVAV E.R. | 17,326,925         | 35.90                     | 38%                       | \$ 501,057                    | \$ 267,062                           | 0.53  |
| <b>WPC</b> | SDVAV E.R. | 14,119,500         | 29.84                     | 36%                       | \$ 379,123                    | \$ 336,462                           | 0.89  |
| <b>RDM</b> | SDVAV E.R. | 3,560,000          | 28.94                     | 33%                       | \$ 88,144                     | \$ 54,573                            | 0.62  |
| <b>ARC</b> | SDVAV      | 3,513,528          | 31.58                     | 14%                       | \$ 36,166                     | \$ 17,934                            | 0.50  |
| <b>TCC</b> | SDVAV      | 3,199,426          | 31.58                     | 15%                       | \$ 35,144                     | \$ 19,019                            | 0.54  |
| <b>WBT</b> | SDVAV      | 12,778,819         | 27.40                     | 21%                       | \$ 202,163                    | \$ 158,689                           | 0.78  |
| <b>LBJ</b> | DDVAV      | 10,552,877         | 35.23                     | 17%                       | \$ 138,415                    | \$ 118,870                           | 0.86  |
| <b>PDB</b> | DDVAV      | 5,280,394          | 38.85                     | 14%                       | \$ 56,915                     | \$ 24,818                            | 0.44  |
| <b>REJ</b> | DDVAV      | 8,382,000          | 27.29                     | 27%                       | \$ 172,329                    | \$ 89,694                            | 0.52  |
| <b>SHB</b> | DDVAV      | 5,399,818          | 31.58                     | 19%                       | \$ 75,815                     | \$ 44,134                            | 0.58  |
| <b>TJR</b> | DDVAV      | 2,920,094          | 29.21                     | 13%                       | \$ 29,007                     | \$ 22,554                            | 0.78  |
| <b>JHR</b> | DDVAV      | 5,109,877          | 31.58                     | 17%                       | \$ 66,917                     | \$ 29,280                            | 0.44  |
| <b>SCB</b> | DDVAV      | 2,187,285          | 31.58                     | 20%                       | \$ 33,078                     | \$ 22,257                            | 0.67  |
| <b>SFA</b> | DDVAV      | 13,118,505         | 31.38                     | 18%                       | \$ 181,522                    | \$ 113,871                           | 0.63  |

(a)

|            |            | Natural Gas        |                            |                           |                               |                                      | Ratio of Cost Savings in Assessment to Potential Cost Savings |
|------------|------------|--------------------|----------------------------|---------------------------|-------------------------------|--------------------------------------|---|
|            |            | Annual Consumption | Annual Usage               | Potential Percent Savings | Potential Energy Cost Savings | Cost Savings Predicted in Assessment |   |
|            |            | (MMBtu)            | (kBtu/ft <sup>2</sup> /yr) |                           |                               |                                      |   |
| <b>JHW</b> | SDVAV E.R. | -                  | -                          | -                         | -                             | -                                    | -   |
| <b>WPC</b> | SDVAV E.R. | -                  | -                          | -                         | -                             | -                                    | -   |
| <b>RDM</b> | SDVAV E.R. | -                  | -                          | -                         | -                             | -                                    | -   |
| <b>ARC</b> | SDVAV      | 2,391              | 21.49                      | 100%                      | \$ 20,241                     | \$ 11,937                            | 0.59  |
| <b>TCC</b> | SDVAV      | 3,007              | 29.68                      | 100%                      | \$ 25,394                     | \$ 17,194                            | 0.68  |
| <b>WBT</b> | SDVAV      | 7,012              | 15.03                      | 84%                       | \$ 49,854                     | \$ 57,577                            | 1.15  |
| <b>LBJ</b> | DDVAV      | 12,684             | 42.35                      | 100%                      | \$ 107,372                    | \$ 49,687                            | 0.46  |
| <b>PDB</b> | DDVAV      | 2,921              | 21.49                      | 100%                      | \$ 24,731                     | \$ 17,846                            | 0.72  |
| <b>REJ</b> | DDVAV      | 11,063             | 36.02                      | 99%                       | \$ 92,387                     | \$ 41,873                            | 0.45  |
| <b>SHB</b> | DDVAV      | 3,674              | 21.49                      | 100%                      | \$ 31,094                     | \$ 31,781                            | 1.02  |
| <b>TJR</b> | DDVAV      | 2,934              | 29.34                      | 87%                       | \$ 21,587                     | \$ 6,129                             | 0.28  |
| <b>JHR</b> | DDVAV      | 3,477              | 21.49                      | 100%                      | \$ 29,437                     | \$ 18,557                            | 0.63  |
| <b>SCB</b> | DDVAV      | 2,056              | 29.68                      | 100%                      | \$ 17,371                     | \$ 13,029                            | 0.75  |
| <b>SFA</b> | DDVAV      | 6,286              | 15.03                      | 95%                       | \$ 50,330                     | \$ 46,698                            | 0.93  |

(b)

Table 6.2 Continued

|            |            | Total              |  |                           |                               |                                      |   |
|------------|------------|--------------------|--|---------------------------|-------------------------------|--------------------------------------|---|
|            |            | Annual Energy Cost | Annual Usage<br>(kBtu/ft <sup>2</sup> /yr) | Potential Percent Savings | Potential Energy Cost Savings | Cost Savings Predicted in Assessment | Ratio of Cost Savings in Assessment to Potential Cost Savings |
| <b>JHW</b> | SDVAV E.R. | 1,304,717          | 122.51                                     | 38%                       | \$ 501,057                    | \$ 267,062                           | 0.53  |
| <b>WPC</b> | SDVAV E.R. | 1,063,198          | 101.81                                     | 36%                       | \$ 379,123                    | \$ 336,462                           | 0.89  |
| <b>RDM</b> | SDVAV E.R. | 268,068            | 98.74                                      | 33%                       | \$ 88,144                     | \$ 54,573                            | 0.62  |
| <b>ARC</b> | SDVAV      | 284,809            | 129.26                                     | 20%                       | \$ 56,407                     | \$ 29,871                            | 0.53  |
| <b>TCC</b> | SDVAV      | 266,373            | 137.45                                     | 23%                       | \$ 60,538                     | \$ 36,214                            | 0.60  |
| <b>WBT</b> | SDVAV      | 1,021,612          | 108.51                                     | 25%                       | \$ 252,016                    | \$ 216,267                           | 0.86  |
| <b>LBJ</b> | DDVAV      | 902,013            | 162.57                                     | 27%                       | \$ 245,787                    | \$ 168,557                           | 0.69  |
| <b>PDB</b> | DDVAV      | 422,345            | 154.04                                     | 19%                       | \$ 81,646                     | \$ 42,664                            | 0.52  |
| <b>REJ</b> | DDVAV      | 724,822            | 129.15                                     | 37%                       | \$ 264,716                    | \$ 131,567                           | 0.50  |
| <b>SHB</b> | DDVAV      | 437,714            | 129.26                                     | 24%                       | \$ 106,909                    | \$ 75,916                            | 0.71  |
| <b>TJR</b> | DDVAV      | 244,718            | 129.01                                     | 21%                       | \$ 50,594                     | \$ 28,683                            | 0.57  |
| <b>JHR</b> | DDVAV      | 414,211            | 129.26                                     | 23%                       | \$ 96,354                     | \$ 47,837                            | 0.50  |
| <b>SCB</b> | DDVAV      | 182,106            | 137.45                                     | 28%                       | \$ 50,449                     | \$ 35,287                            | 0.70  |
| <b>SFA</b> | DDVAV      | 1,041,038          | 122.09                                     | 22%                       | \$ 231,853                    | \$ 160,570                           | 0.69  |

(c)

Table 6.3 Comparison of current and optimized operation in TFC managed buildings

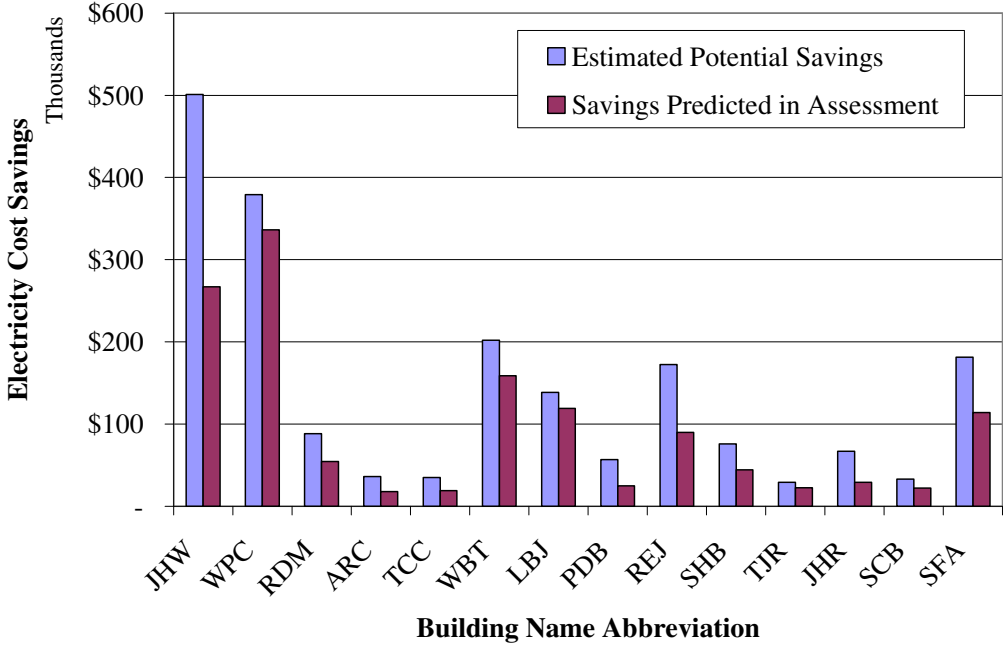
|   | Current Operation with Assumption | Optimized Operation         |
|---|-----------------------------------|-----------------------------|
| AHU operation                               | Run 24/7                          | AHU shutdown 10:00PM-5:00AM |
| Minimum supply airflow                      | 40%-70% of total design airflow   | 0.3 cfm/ft <sup>2</sup>     |
| Room temperature set point                  | Fixed set point, 72-75°F          | Optimized with PESE         |
| Cold deck leaving air temperature set point | Fixed set point, 53-55°F          | Optimized with PESE         |
| Hot deck leaving air temperature set point  | Fixed set point, 85-95°F          | Optimized with PESE         |
| Outside air intake                          | 10-15% of total design airflow    | Optimized with PESE         |

### 6.3 Comparison with CC<sup>®</sup> Assessment

As a test of the methodology described in this thesis, the estimated potential energy cost savings in TFC managed buildings are compared with savings predicted in the CC<sup>®</sup> assessment report prepared by the Energy Systems Laboratory. For each of these buildings, the ratio between the predicted savings in the assessment report and the potential savings is determined. Then, a generalized factor for each type of system is obtained as an indicator of the fraction of the estimated potential energy cost savings that may be achieved in retro-commissioning assessments for office buildings with VAV systems in the future.

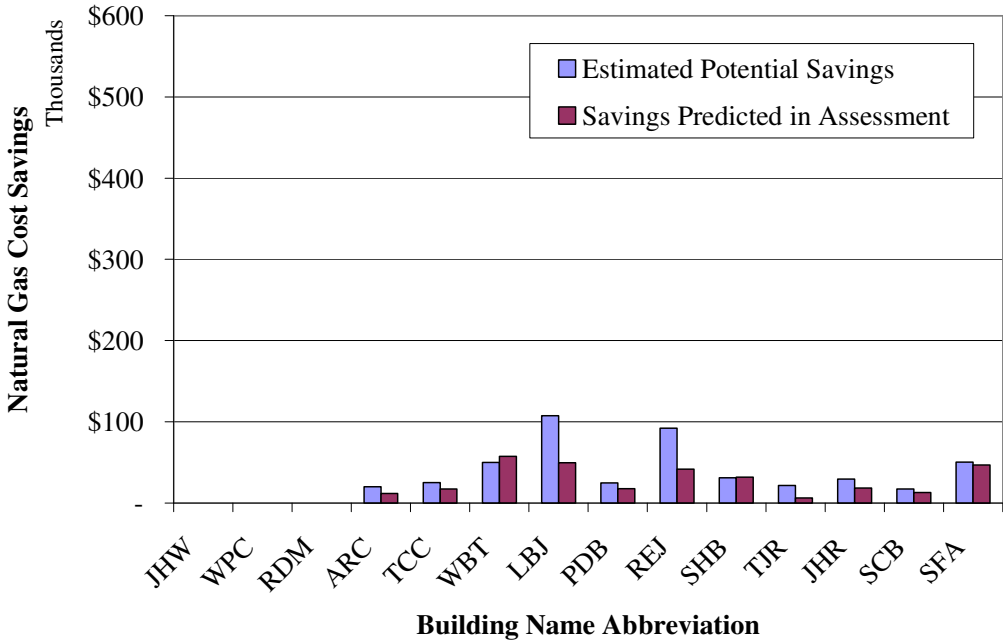
Figure 6.1 also illustrates comparisons of electricity, natural gas, and the total savings. It shows that the estimated potential savings is larger than the total savings predicted in the assessment report in each of the buildings. The amount of savings predicted in the assessment report is given in Table 6.2 with the ratio to estimated potential savings provided. The average ratios in each group of buildings are used as the generalized factors for each type of system, as shown in Table 6.4. The range of ratios in each group is also provided. The generalized factors of total energy savings are 0.68 for SDVAV systems with electric reheat, 0.66 for SDVAV systems with hot water reheat, and 0.61 for DDVAV systems. The generalized factors for electricity and natural gas are 0.61 and 0.81 for SDVAV systems with hot water reheat, and 0.61 and 0.66 for DDVAV systems. Larger variations are observed on the ratios for natural gas than those for electricity, because savings on electricity weight more in the optimization considering that its price (22.069/MMBtu) is much more expensive for the same amount of energy.

It should be noted that the savings predicted in the assessment report are largely based on simulations and include savings from improvement on water-side of the system as well as common retrofit savings, such as installing VFDs on chilled water and hot water pumps, DDC upgrade, etc. This explains the large values of ratios in building WPC and WBT, where significant retrofit measures are reported in the CC<sup>®</sup> assessment. Therefore, the ratios obtained above are expected to be smaller if only savings on the air-side are compared. Nevertheless, the predicted savings from AHU shutdown and improvements on the air-side dominate the total savings in the assessment report for most buildings.

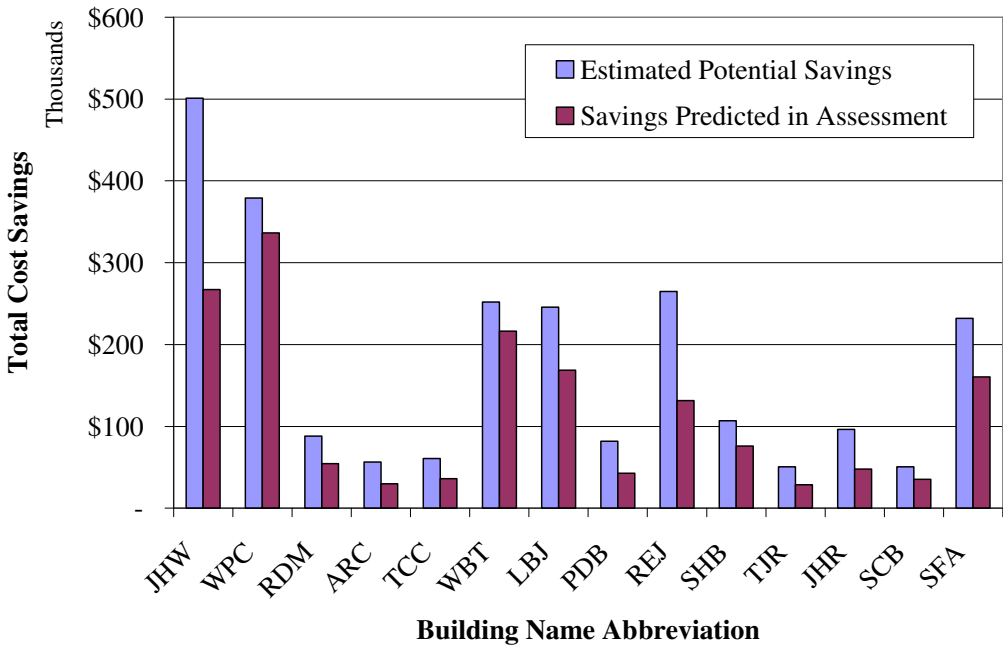


(a)

Figure 6.1 Comparison of estimated potential electricity (a), natural gas (b), and total (c) cost savings with savings predicted in the CC<sup>®</sup> assessment report in TFC managed buildings



(b)



(c)

Figure 6.1 Continued

Table 6.4 Averages and ranges of ratios of savings predicted in the CC<sup>®</sup> assessment report to estimated potential energy savings in TFC managed buildings

| System Type                 | Electricity |           | Natural Gas |           | Total   |           |
|-----------------------------|-------------|-----------|-------------|-----------|---------|-----------|
|                             | Average     | Range     | Average     | Range     | Average | Range     |
| SDVAV with electric reheat  | 0.68        | 0.53-0.89 |             |           | 0.68    | 0.53-0.89 |
| SDVAV with hot water reheat | 0.61        | 0.50-0.78 | 0.81        | 0.59-1.15 | 0.66    | 0.53-0.86 |
| DDVAV                       | 0.61        | 0.44-0.86 | 0.66        | 0.28-1.02 | 0.61    | 0.50-0.71 |



## 7. CONCLUSIONS AND FUTURE WORK

### 7.1 Conclusions of Work

In this thesis, the methodology for potential energy savings estimation from retro-commissioning/retrofit measures proposed by Baltazar is improved in several important aspects and is implemented in a prototype computer tool for testing. The implemented methodology is tested in two retro-commissioned buildings. In the Sanders Corps of Cadets Center, the optimized profiles of parameter settings versus bin temperature are analyzed in single parameter optimizations. These profiles can be explained with engineering principles, which reveals that the improved methodology is implemented correctly. The case study on the Coke Building shows that the improved methodology can be used in buildings with more than one system type. Then the methodology is used to estimate annual potential energy savings in 14 TFC managed office buildings with VAV systems. The estimations of the improved methodology are compared with savings predicted in the CC<sup>®</sup> assessment report. The results show it may be helpful to study the correlation by using generalized factors of assessment predicted energy cost savings to estimated potential energy cost savings. The generalized factors identified in this application are 0.68 for SDVAV systems with electric reheat, 0.66 for SDVAV systems with hot water reheat, and 0.61 for DDVAV systems. It should be noted that one should be cautious in quoting these factors in future projects, since they are based on study of a limited number of buildings, specific energy prices, and various assumptions due to inadequate information.

## **7.2 Future Work**

The generalized factors of energy cost savings in the assessment report to estimated potential energy cost savings are provided for VAV systems in this study. It would be useful to investigate the factors for other HVAC system types, such as single duct and dual duct constant volume systems. Additionally, it would be valuable to study on the correlations between measured savings and estimated potential savings in a larger number of buildings with retro-commissioning measures implemented. The PESE Toolkit is developed for the purpose of testing the methodology in this thesis. Further testing and modifications on the tool are necessary to make it a reliable software tool to be used among retro-commissioning engineers inside the Energy Systems Laboratory or to be made available to the public.

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## APPENDIX A

### THE METHOD FOR AHU SHUTDOWN SIMULATION

AHU shutdown during the unoccupied period is one of the most common and effective energy saving measure used in retro-commissioning. However, the modified bin method has limited capability to accurately deal with highly time dependent problems and should be used with considerable judgment when the primary analysis deals with thermal capacitance dominated problems (Knebel, 1983). Obviously, the AHU shutdown is a problem of this kind, since the room temperature will gradually approach outside air temperature during the shutdown period. As a result, using the set point temperature during occupied period in calculation of conduction load during shutdown periods can lead to significant error.

It is proposed in this study to use the energy balance method for an approximate calculation of heating and cooling energy use during the AHU shutdown and start-up period. It is suggested to distinguish the “occupied” and “unoccupied” periods used in the bin sorting procedure by the AHU shutdown schedule with start-up included in the unoccupied period, because the heating or cooling load accumulated during the shutdown period will be removed mainly during the start-up period. By applying the energy balance method to the shutdown period, the heating or cooling energy use should approximately equal the total of lighting and equipment electricity use and conduction load.

The use of a linear regression relationship between the room temperature and the outside air temperature in the conduction load calculation during the unoccupied period with AHU shutdown is investigated. Based on the hourly measured data of room temperatures in the Coke Building on the TAMU campus with an AHU shutdown schedule implemented, it is found that the average room temperature during the unoccupied period follows an approximately linear regression relationship with the average outside air temperature during the same period, as shown in Figure A.1. The data during weekday nights and weekends & holidays are separated in the top figure, which shows that the slope in the regression relationship of weekends & holidays is about 2.4 times of the slope of weekday nights. Nevertheless, a linear regression relationship is determined for all unoccupied periods, shown in the bottom figure.

The necessity of using two separate regression relationships in computing conduction load during the unoccupied period is investigated. The result shows that the difference in the total heating and cooling load between using separate models for weekday nights and weekends & holidays and using one model for all is only about 10% or less for most bins and 1% for the annual total. Additionally, the difference between the annual total of heating and cooling loads computed with one model and the annual total of measured heating hot water consumption subtracted by chilled water consumption is only 2%. Therefore, it is decided that using one regression model for all unoccupied periods is sufficient. The model shown in the bottom figure is incorporated in the PESE Toolkit for AHU shutdown simulation.

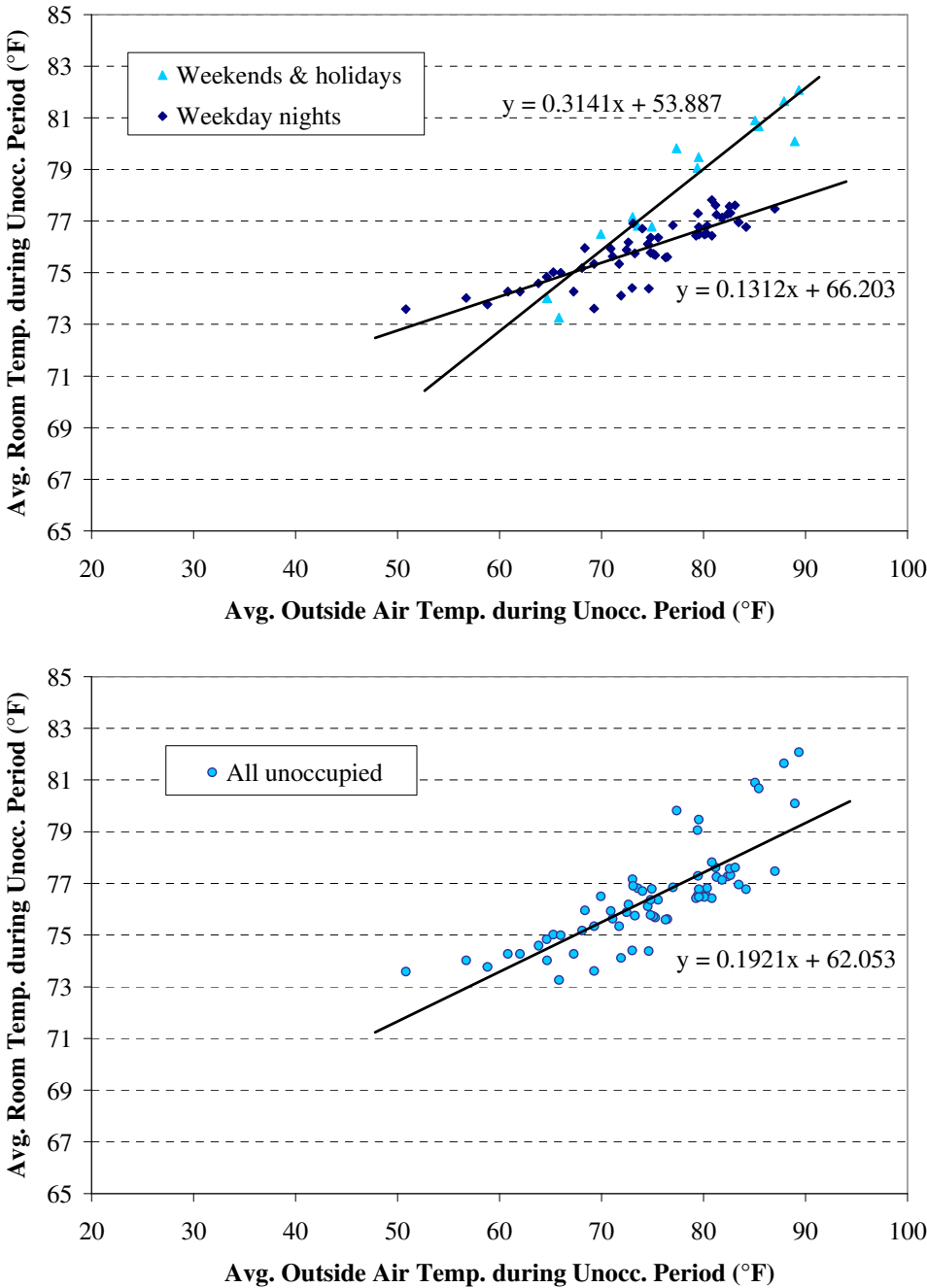


Figure A.1 Linear regression relationship between average room temperature and average outside air temperature during AHU shutdown period with weekday nights and weekends separated (top) or combined (bottom)



## APPENDIX B

### LOAD CALCULATION MODELS

The modified bin method is adopted for load calculation in PESE, which establishes the load as a linear function of outdoor dry-bulb temperature. Two calculation periods representing occupied and unoccupied hours are distinguished. The following equations show how each load component is linearized to the outdoor temperature.

#### Component 1: Conduction load

$$UA_{tot,e} = U_{wall} A_{wall} + U_{window} A_{window} + X_{Ae} (U_{roof} A_{roof} + U_{ground} A_{ground})$$

$$UA_{tot,i} = U_{skylights} A_{skylights} + U_{roof} (X_{Ai} A_{roof} - A_{skylights}) + X_{Ai} U_{ground} A_{ground}$$

$$QT_e = UA_{tot,e} (T_{OA} - T_e)$$

$$QT_i = UA_{tot,i} (T_{OA} - T_i)$$

#### Component 2: Solar heat gain through windows

$$QSOL_{Jul} = \sum (MSHGF_{Jul} \times A_{window} \times SC \times CLF_{tot} \times FPS_{Jul} / 24)$$

$$QSOL_{Jan} = \sum (MSHGF_{Jan} \times A_{window} \times SC \times CLF_{tot} \times FPS_{Jan} / 24)$$

For the exterior zone, sum the four orientations; for the interior zone, only the skylights. FPS: fraction of possible sunshine (given in Table 3-1 in Knebel (1983)).

$$M_{-}QSOL = \frac{QSOL_{Jul} - QSOL_{Jan}}{T_{pc} - T_{ph}}$$

$T_{pc}$  – peak summer bin temperature;  $T_{ph}$  – peak winter bin temperature.

$$QSOL_e = QSOL_{Jan,e} + M_{-}QSOL_e(T_{OA} - T_{ph})$$

$$QSOL_i = QSOL_{Jan,i} + M_{-}QSOL_i(T_{OA} - T_{ph})$$

### Component 3: Transmission load solar component

$$CLTDs_{corr} = CLTDs_{LM} \times K + (78 - T_{zone}) + (T_{o,des} - 85)$$

$T_{zone}$ : use  $T_e$  for exterior zone,  $T_i$  for interior zone

$T_{o,des}$ : average outside temperature on design day

$K_{wall}$ : dark colored-1, medium colored-0.83, light colored-0.65

$K_{roof}$ : dark colored-1, light colored-0.5

$$QTS_{Jul} = \sum (U_{wall} \times A_{wall} \times CLTDs_{corr} \times FPS_{Jul})$$

$$QTS_{Jan} = \sum (U_{wall} \times A_{wall} \times CLTDs_{corr} \times FPS_{Jan})$$

For exterior zone, sum the four orientations plus part of the roof; For interior zone, only the other part of the roof.

$$M_{-}QTS = \frac{QTS_{Jul} - QTS_{Jan}}{T_{pc} - T_{ph}}$$

$$QTS_e = QTS_{Jan,e} + M_{-}QTS_e(T_{OA} - T_{ph})$$

$$QTS_i = QTS_{Jan,i} + M_{-}QTS_i(T_{OA} - T_{ph})$$

Transmission load solar component is only counted in the total load when  $T_{OA} > T_{zone}$ .

### Component 4: Internal heat gain (sensible and latent) from occupants

$$AOF_{\text{CertainPeriod}} = \frac{\sum \text{EachHour } OCP \times 1hr}{OCP_{\text{FullOccupancy}} \times \text{Hours}_{\text{InThePeriod}}}$$

$$Q_sOCP_e = 245 \times OCP_e \times AOF$$

$$QlOCP_e = 155 \times OCP_e \times AOF$$

$$Q_sOCP_i = 245 \times OCP_i \times AOF$$

$$QlOCP_i = 155 \times OCP_i \times AOF$$

*AOF (Average Occupancy Factor)*: use  $AOF_{ocp}$  for occupied period and  $AOF_{unocp}$  for unoccupied period

#### **Component 4: Internal heat gain from lighting and equipments**

$$QLTEQ_e = 3412 \times X_{Ae} \times LTEQ$$

$$QLTEQ_i = 3412 \times X_{Ai} \times LTEQ$$

*LTEQ (kW)*: use  $LTEQ_{ocp}$  for occupied period and  $LTEQ_{unocp}$  for unoccupied period

The MSHGF,  $CLF_{tot}$  and  $CLTD_{SLM}$  values required in the solar heat gain and transmission load solar component calculation can be derived from 1989 *ASHRAE Handbook of Fundamentals*, which will be described in the following paragraphs. Note table names without section number refer to tables from Chapter 26 in 1989 *Fundamentals*.

Table 34 gives Maximum Solar Heat Gain Factors (MSHGF) according to latitude, month and directions. The MSHGF values for the months of July and January are picked out for the latitudes of interest and listed in Table B.1.

Table 36 and Table 39 give the CLF at 24 hours of solar time on each orientation for glass without or with interior shading respectively. By summing up CLF over 24 hours in each table (for Table 36 take values for medium construction), one can get

$CLF_{tot}$  on each orientation in each situation. As Table B.2 revealed, on each orientation the  $CLF_{tot}$  values in both situations are very close. Hence, for the sake of simplification the values for glass with interior shading are used in PESE considering it is the case in most buildings.

Table B.1 Maximum Solar Heat Gain Factor for sunlit glass (Btu/h·ft<sup>2</sup>)

|     |     | <b>N</b> | <b>NNE</b> | <b>NE</b> | <b>ENE</b> | <b>E</b> | <b>ESE</b> | <b>SE</b> | <b>SSE</b> |            |
|-----|-----|----------|------------|-----------|------------|----------|------------|-----------|------------|------------|
| Jul | 24° | 45       | 116        | 176       | 210        | 213      | 185        | 129       | 65         |            |
|     | 32° | 40       | 111        | 167       | 204        | 215      | 194        | 150       | 96         |            |
|     | 40° | 38       | 102        | 163       | 198        | 216      | 203        | 170       | 129        |            |
|     | 48° | 37       | 96         | 156       | 196        | 214      | 209        | 187       | 158        |            |
| Jan | 24° | 27       | 27         | 41        | 128        | 190      | 240        | 253       | 241        |            |
|     | 32° | 24       | 24         | 29        | 105        | 175      | 229        | 249       | 250        |            |
|     | 40° | 20       | 20         | 20        | 74         | 154      | 205        | 241       | 252        |            |
|     | 48° | 15       | 15         | 15        | 53         | 118      | 175        | 216       | 239        |            |
|     |     | <b>S</b> | <b>SSW</b> | <b>SW</b> | <b>WSW</b> | <b>W</b> | <b>WNW</b> | <b>NW</b> | <b>NNW</b> | <b>HOR</b> |
| Jul | 24° | 46       | 65         | 129       | 185        | 213      | 210        | 176       | 116        | 278        |
|     | 32° | 72       | 96         | 150       | 194        | 215      | 204        | 167       | 111        | 273        |
|     | 40° | 109      | 129        | 170       | 203        | 216      | 198        | 163       | 102        | 262        |
|     | 48° | 146      | 158        | 187       | 209        | 214      | 196        | 156       | 96         | 244        |
| Jan | 24° | 227      | 241        | 253       | 240        | 190      | 128        | 41        | 27         | 214        |
|     | 32° | 246      | 250        | 249       | 229        | 175      | 105        | 29        | 24         | 176        |
|     | 40° | 254      | 252        | 241       | 205        | 154      | 74         | 20        | 20         | 133        |
|     | 48° | 245      | 239        | 216       | 175        | 118      | 53         | 15        | 15         | 85         |

Table B.2 Comparison of  $CLF_{tot}$  for glass with and without interior shading

|                              | <b>N</b> | <b>NNE</b> | <b>NE</b> | <b>ENE</b> | <b>E</b> | <b>ESE</b> | <b>SE</b> | <b>SSE</b> |            |
|------------------------------|----------|------------|-----------|------------|----------|------------|-----------|------------|------------|
| Without Interior Shading     | 11.58    | 5.77       | 5.15      | 5.31       | 5.49     | 5.85       | 6.21      | 6.44       |            |
| With Interior Shading        | 11.58    | 5.74       | 5.13      | 5.34       | 5.50     | 5.86       | 6.23      | 6.45       |            |
| Absolute Difference          | 0.00     | 0.03       | 0.02      | -0.03      | -0.01    | -0.01      | -0.02     | -0.01      |            |
| Difference in Percentage (%) | 0.0      | 0.5        | 0.4       | -0.6       | -0.2     | -0.2       | -0.3      | -0.2       |            |
|                              | <b>S</b> | <b>SSW</b> | <b>SW</b> | <b>WSW</b> | <b>W</b> | <b>WNW</b> | <b>NW</b> | <b>NNW</b> | <b>HOR</b> |
|                              | 6.41     | 6.43       | 6.24      | 5.84       | 5.46     | 5.36       | 5.14      | 5.76       | 8.23       |
|                              | 6.44     | 6.48       | 6.20      | 5.83       | 5.47     | 5.33       | 5.15      | 5.77       | 8.21       |
|                              | -0.03    | -0.05      | 0.04      | 0.01       | -0.01    | 0.03       | -0.01     | -0.01      | 0.02       |
|                              | -0.5     | -0.8       | 0.6       | 0.2        | -0.2     | 0.6        | -0.2      | -0.2       | 0.2        |

Table 29 provides CLTDs at 24 hours of solar time for flat roofs with 13 different constructions when suspended ceiling is present or not. One can get CLTD 24-hour Average (CLTDs) for each construction type by averaging up CLTD over 24 hours in the table. Table B.3 shows that average values of CLTDs for different constructions are the same whether there is suspended ceiling or not, and CLTDs for all the constructions are close except for No.5, which is rare among the size of buildings this methodology deals with. Therefore, the average value is used in PESE regardless of roof construction.

Table B.3 Comparison of CLTDs for flat roofs with and without suspended ceiling

|                             | <b>1</b> | <b>2</b> | <b>3</b> | <b>4</b> | <b>5</b> | <b>6</b> | <b>7</b> | <b>8</b> | <b>9</b> | <b>10</b> | <b>11</b> | <b>12</b> | <b>13</b> | <b>Avg.</b> |
|-----------------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-------------|
| Without Suspended Ceiling   | 30       | 30       | 29       | 29       | 23       | 29       | 30       | 29       | 29       | 28        | 29        | 29        | 29        | <b>29</b>   |
| Difference from average (%) | 2        | 2        | 2        | 1        | -19      | 2        | 2        | 2        | 2        | -2        | 1         | 2         | 2         |             |
| With Suspended Ceiling      | 30       | 30       | 29       | 29       | 23       | 29       | 30       | 29       | 29       | 28        | 29        | 29        | 29        | <b>29</b>   |
| Difference from average (%) | 2        | 2        | 2        | 1        | -19      | 2        | 2        | 2        | 2        | -2        | 1         | 2         | 2         |             |

Table B.4 Comparison of CLTDs for sunlit walls with different construction groups

|                    | <b>N</b> | <b>NE</b> | <b>E</b> | <b>SE</b> | <b>S</b> | <b>SW</b> | <b>W</b> | <b>NW</b> |
|--------------------|----------|-----------|----------|-----------|----------|-----------|----------|-----------|
| Group A Walls      | 12       | 18        | 22       | 21        | 17       | 21        | 22       | 18        |
| Group B Walls      | 12       | 17        | 22       | 21        | 17       | 20        | 22       | 17        |
| Group C Walls      | 12       | 18        | 22       | 21        | 17       | 21        | 22       | 18        |
| Group D Walls      | 12       | 18        | 22       | 21        | 17       | 21        | 22       | 18        |
| Group E Walls      | 12       | 18        | 22       | 21        | 17       | 21        | 22       | 18        |
| Group F Walls      | 12       | 18        | 23       | 21        | 17       | 21        | 22       | 18        |
| Group G Walls      | 12       | 18        | 22       | 21        | 17       | 21        | 22       | 18        |
| Average            | 12       | 18        | 22       | 21        | 17       | 21        | 22       | 18        |
| Max Difference (%) | -2       | -3        | -3       | -3        | -3       | -3        | -3       | -3        |

Table B.5 CLTD correction for latitude and month applied to walls and roofs

|     |     | <b>N</b> | <b>NNE</b> | <b>NE</b> | <b>ENE</b> | <b>E</b> | <b>ESE</b> | <b>SE</b> | <b>SSE</b> |            |
|-----|-----|----------|------------|-----------|------------|----------|------------|-----------|------------|------------|
| Jul | 24° | 1        | 2          | 2         | 0          | 0        | -3         | -3        | -5         |            |
|     | 32° | 1        | 1          | 1         | 0          | 0        | -1         | -1        | -3         |            |
|     | 40° | 0        | 0          | 0         | 0          | 0        | 0          | 0         | 0          |            |
|     | 48° | 0        | -1         | 0         | 0          | 1        | 1          | 3         | 3          |            |
| Jan | 24° | -4       | -6         | -8        | -9         | -6       | -3         | 9         | 3          |            |
|     | 32° | -5       | -7         | -9        | -11        | -8       | -15        | -4        | 2          |            |
|     | 40° | -5       | -7         | -10       | -12        | -9       | -6         | 1         | 8          |            |
|     | 48° | -6       | -8         | -11       | -13        | -11      | -8         | -1        | 5          |            |
|     |     | <b>S</b> | <b>SSW</b> | <b>SW</b> | <b>WSW</b> | <b>W</b> | <b>WNW</b> | <b>NW</b> | <b>NNW</b> | <b>HOR</b> |
| Jul | 24° | -6       | -5         | -3        | -3         | 0        | 0          | 2         | 2          | 1          |
|     | 32° | -3       | -3         | -1        | -1         | 0        | 0          | 1         | 1          | 1          |
|     | 40° | 1        | 0          | 0         | 0          | 0        | 0          | 0         | 0          | 1          |
|     | 48° | 4        | 3          | 3         | 1          | 1        | 0          | 0         | -1         | 0          |
| Jan | 24° | 13       | 3          | 9         | -3         | -6       | -9         | -8        | -6         | -11        |
|     | 32° | 9        | 2          | -4        | -15        | -8       | -11        | -9        | -7         | 12         |
|     | 40° | 11       | 8          | 1         | -6         | -9       | -12        | -10       | -7         | -19        |
|     | 48° | 8        | 5          | -1        | -8         | -11      | -13        | -11       | -8         | -24        |

Table 31 gives CLTD at 24 hours of solar time for sunlit walls on each orientation with 7 different construction type groups. Similarly with the case of roofs, one can get CLTDs on each orientation for each group. Table B.4 indicated that all groups of walls come very close on each orientation despite their construction difference. Thus, the average values are adopted in PESE.

Table 32 provides CLTD correction for latitude and month (LM) applied to walls and roofs. The CLTD correction values for the months of July and January are picked out for the latitudes of interest and listed in Table B.5. Since CLTD LM correction is available in 16 directions, CLTDs for sunlit walls are also expanded to 16 directions by average interpolation between the 8 existing directions in Table B.4. The expanded CLTDs including horizontal are provided in Table B.6. Latitude and month corrected

CLTDs (CLTD<sub>sLM</sub>) can be obtained simply by adding the correction values in Table B.5 to them. The results are given in Table B.7.

Table B.6 CLTDs with expanded directions for sunlit walls and roofs

|  | <b>N</b> | <b>NNE</b> | <b>NE</b> | <b>ENE</b> | <b>E</b> | <b>ESE</b> | <b>SE</b> | <b>SSE</b> |            |
|--|----------|------------|-----------|------------|----------|------------|-----------|------------|------------|
|  | 12       | 15         | 18        | 20         | 22       | 21.5       | 21        | 19         |            |
|  | <b>S</b> | <b>SSW</b> | <b>SW</b> | <b>WSW</b> | <b>W</b> | <b>WNW</b> | <b>NW</b> | <b>NNW</b> | <b>HOR</b> |
|  | 17       | 19         | 21        | 21.5       | 22       | 20         | 18        | 15         | 29         |

Table B.7 CLTD<sub>sLM</sub> used in PESE Toolkit for sunlit walls and roofs

|     |     | <b>N</b> | <b>NNE</b> | <b>NE</b> | <b>ENE</b> | <b>E</b> | <b>ESE</b> | <b>SE</b> | <b>SSE</b> |            |
|-----|-----|----------|------------|-----------|------------|----------|------------|-----------|------------|------------|
| Jul | 24° | 13       | 17         | 20        | 20         | 22       | 18.5       | 18        | 14         |            |
|     | 32° | 13       | 16         | 19        | 20         | 22       | 20.5       | 20        | 16         |            |
|     | 40° | 12       | 15         | 18        | 20         | 22       | 21.5       | 21        | 19         |            |
|     | 48° | 12       | 14         | 18        | 20         | 23       | 22.5       | 24        | 22         |            |
| Jan | 24° | 8        | 9          | 10        | 11         | 16       | 18.5       | 30        | 22         |            |
|     | 32° | 7        | 8          | 9         | 9          | 14       | 6.5        | 17        | 21         |            |
|     | 40° | 7        | 8          | 8         | 8          | 13       | 15.5       | 22        | 27         |            |
|     | 48° | 6        | 7          | 7         | 7          | 11       | 13.5       | 20        | 24         |            |
|     |     | <b>S</b> | <b>SSW</b> | <b>SW</b> | <b>WSW</b> | <b>W</b> | <b>WNW</b> | <b>NW</b> | <b>NNW</b> | <b>HOR</b> |
| Jul | 24° | 11       | 14         | 18        | 18.5       | 22       | 20         | 20        | 17         | 30         |
|     | 32° | 14       | 16         | 20        | 20.5       | 22       | 20         | 19        | 16         | 30         |
|     | 40° | 18       | 19         | 21        | 21.5       | 22       | 20         | 18        | 15         | 30         |
|     | 48° | 21       | 22         | 24        | 22.5       | 23       | 20         | 18        | 14         | 29         |
| Jan | 24° | 30       | 22         | 30        | 18.5       | 16       | 11         | 10        | 9          | 18         |
|     | 32° | 26       | 21         | 17        | 6.5        | 14       | 9          | 9         | 8          | 41         |
|     | 40° | 28       | 27         | 22        | 15.5       | 13       | 8          | 8         | 8          | 10         |
|     | 48° | 25       | 24         | 20        | 13.5       | 11       | 7          | 7         | 7          | 5          |

## APPENDIX C

## NOMENCLATURE OF AIR-SIDE MODELS

The air-side simulation models are inherited from Baltazar's (2006) dissertation with modifications explained in section 3.2.7. The implemented models for each type of system can be found in Appendix G. The notations used in the models are generally used within the Energy Systems Laboratory, which are listed as follows.

| <b>Symbols</b>    | <b>Variable</b>                                     | <b>Unit</b>                      |
|-------------------|---|----------------------------------|
| P                 | Rated fan power                                     | hp                               |
| PLR               | Part load ratio                                     | Dimensionless                    |
| q                 | Load  | Btu/hr                           |
| dT                | Temperature rise                                    | °F                               |
| T                 | Temperature   | °F                               |
| V                 | Air volume flow rate                                | ft <sup>3</sup> /min             |
| w                 | Humidity ratio                                      | lb <sub>w</sub> /lb <sub>a</sub> |
| X                 | Air volume ratio                                    | Dimensionless                    |
| <b>Subscripts</b> | <b>Variable</b>                                     | <b>Combination</b>               |
| c                 | Cold deck air                                       | $\dot{V}$ , X                    |
| CE                | Cooling coil entering air                           | T, w                             |
| CL                | Cooling coil leaving air                            | T, w                             |
| CL                | Cooling coil latent load                            | q                                |
| CS                | Cooling coil sensible load                          | q                                |
| CT                | Cooling coil total load                             | q                                |
| e                 | Exterior zone air                                   | T, $\dot{V}$ , X                 |
| e,min             | Minimum supply air for exterior zone in VAV systems | $\dot{V}$                        |
| eC                | Exterior zone cold air                              | $\dot{V}$ , X                    |
| eH                | Exterior zone hot air                               | $\dot{V}$ , X                    |



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|          |   |                    |
|----------|---|--------------------|
| eI       | Exterior zone latent load                           | q                  |
| eRH      | Exterior zone reheat coil load                      | q                  |
| eS       | Exterior zone supply air                            | T, w               |
| es       | Exterior zone sensible load                         | q                  |
| FT       | Total fan power                                     | q                  |
| H        | Hot deck air  | $\dot{V}, X$       |
| HE       | Heating coil entering air                           | T, w               |
| HL       | Heating coil leaving air                            | T, w               |
| HT       | Heating coil total load                             | q                  |
| i        | Interior zone air                                   | T, $\dot{V}, X$    |
| i,min    | Minimum supply air for interior zone in VAV systems | $\dot{V}$          |
| iC       | Interior zone cold air                              | $\dot{V}, X$       |
| iH       | Interior zone hot air                               | $\dot{V}, X$       |
| iI       | Interior zone latent load                           | q                  |
| iRH      | Interior zone reheat coil load                      | q                  |
| iS       | Interior zone supply air                            | T, w               |
| is       | Interior zone sensible load                         | q                  |
| MA       | Mixed air   | T, w               |
| OA       | Outside air   | T, $\dot{V}, w, X$ |
| OA,max   | Maximum outside air                                 | $\dot{V}, X$       |
| OA,min   | Minimum required outside air                        | $\dot{V}, X$       |
| PH       | Preheat coil load or leaving air                    | q, T, w            |
| R        | Return air  | T, w               |
| Rw       | Return air (“wet coil” condition)                   | w                  |
| Rd       | Return air (“dry coil” condition)                   | w                  |
| RF       | Return fan  | $\Delta T, P$      |
| RF-rated | Rated return fan power                              | P                  |
| SF       | Supply fan  | $\Delta T, P$      |
| SF-rated | Rated supply fan power                              | P                  |
| T        | Total air   | $\dot{V}$          |
| TD       | Total designed air                                  | $\dot{V}$          |

---

## APPENDIX D

### BIN SORTING

PESE requires input weather data (dry bulb temperatures and humidity ratios) and measured energy consumptions data sorted into bins and the number of hours in each bin. Generally, one year of measured hourly data is most desirable for the purpose of potential energy savings estimation. In order to obtain humidity ratios, any one of the three humidity parameters - wet bulb temperature, dew point temperature and relative humidity - is required besides dry bulb temperature. Additionally, since the ASHRAE SEAP suggests two calculation periods representing occupied and unoccupied hours on a daily basis, a Boolean parameter indicating whether the building is occupied during each hour is needed as well. In PESE the temperature difference between bins can be any value although 5°F and 3°F are common.

Figure D.1 gives an example of the bin sorting procedure developed by the author. With one year of hourly data as well as occupied hours on weekdays and weekends provided, the humidity ratios will be calculated for each hour, and then will be sorted into 5°F bins together with dry bulb temperature and measured consumption values. There is a drop list on the title line for one to choose from the three humidity parameters. The output follows the format of bin data input in PESE (shown in Figure 5.2). The structure of the bin sorting procedure is illustrated in the flow chart as shown in Figure D.2.

| YEAR | MONTH | DAY | HOURL | IFOCF | TOA<br>(°F) | ELE<br>(kWh) | CHW<br>(MBtu) | HHW<br>(MBtu) | Tdp<br>(°F) | wOA      |
|------|-------|-----|-------|-------|-------------|--------------|---------------|---------------|-------------|----------|
| 2008 | 7     | 16  | 0     | FALSE | 79          | 23.40        | 95.00         | 0.00          | 72          | 0.016903 |
| 2008 | 7     | 16  | 1     | FALSE | 79          | 23.22        | 58.00         | 0.00          | 72          | 0.016903 |
| 2008 | 7     | 16  | 2     | FALSE | 79          | 22.61        | 81.00         | 0.00          | 72          | 0.016903 |
| 2008 | 7     | 16  | 3     | FALSE | 79          | 22.19        | 62.00         | 0.00          | 72          | 0.016903 |
| 2008 | 7     | 16  | 4     | FALSE | 77          | 22.80        | 62.00         | 0.00          | 72          | 0.016903 |
| 2008 | 7     | 16  | 5     | FALSE | 77          | 23.16        | 60.00         | 0.00          | 72          | 0.016903 |
| 2008 | 7     | 16  | 6     | FALSE | 77          | 25.54        | 58.00         | 0.00          | 72          | 0.016903 |
| 2008 | 7     | 16  | 7     | TRUE  | 78          | 35.97        | 156.00        | 1.00          | 73          | 0.017500 |
| 2008 | 7     | 16  | 8     | TRUE  | 83          | 35.18        | 395.00        | 0.00          | 74          | 0.018116 |
| 2008 | 7     | 16  | 9     | TRUE  | 83          | 44.69        | 377.00        | 0.00          | 73          | 0.017500 |
| 2008 | 7     | 16  | 10    | TRUE  | 86          | 46.80        | 368.00        | 0.00          | 71          | 0.016325 |
| 2008 | 7     | 16  | 11    | TRUE  | 89          | 49.91        | 435.00        | 0.00          | 71          | 0.016325 |
| 2008 | 7     | 16  | 12    | TRUE  | 90          | 48.74        | 441.00        | 0.00          | 70          | 0.015765 |
| 2008 | 7     | 16  | 13    | TRUE  | 93          | 49.55        | 466.00        | 0.00          | 69          | 0.015222 |
| 2008 | 7     | 16  | 14    | TRUE  | 96          | 50.92        | 460.00        | 1.00          | 68          | 0.014696 |
| 2008 | 7     | 16  | 15    | TRUE  | 96          | 53.90        | 482.00        | 0.00          | 67          | 0.014186 |
| 2008 | 7     | 16  | 16    | TRUE  | 90          | 54.20        | 520.00        | 0.00          | 67          | 0.014186 |
| 2008 | 7     | 16  | 17    | TRUE  | 94          | 50.65        | 505.00        | 0.00          | 69          | 0.015222 |
| 2008 | 7     | 16  | 18    | TRUE  | 95          | 38.54        | 439.00        | 0.00          | 66          | 0.013693 |
| 2008 | 7     | 16  | 19    | TRUE  | 90          | 34.99        | 434.00        | 0.00          | 69          | 0.015222 |
| 2008 | 7     | 16  | 20    | TRUE  | 87          | 34.03        | 401.00        | 0.00          | 67          | 0.014186 |
| 2008 | 7     | 16  | 21    | FALSE | 83          | 31.72        | 407.00        | 0.00          | 68          | 0.014696 |
| 2008 | 7     | 16  | 22    | FALSE | 82          | 31.09        | 234.00        | 0.00          | 69          | 0.015222 |
| 2008 | 7     | 16  | 23    | FALSE | 79          | 24.15        | 181.00        | 0.00          | 69          | 0.015222 |
| 2008 | 7     | 17  | 0     | FALSE | 79          | 24.18        | 102.00        | 0.00          | 70          | 0.015765 |
| 2008 | 7     | 17  | 1     | FALSE | 77          | 24.13        | 72.00         | 0.00          | 70          | 0.015765 |
| 2008 | 7     | 17  | 2     | FALSE | 76          | 23.68        | 90.00         | 0.00          | 70          | 0.015765 |
| 2008 | 7     | 17  | 3     | FALSE | 75          | 23.83        | 71.00         | 0.00          | 71          | 0.016325 |
| 2008 | 7     | 17  | 4     | FALSE | 74          | 23.37        | 69.00         | 0.00          | 71          | 0.016325 |
| 2008 | 7     | 17  | 5     | FALSE | 73          | 23.52        | 71.00         | 0.00          | 71          | 0.016325 |
| 2008 | 7     | 17  | 6     | FALSE | 73          | 24.12        | 69.00         | 0.00          | 71          | 0.016325 |
| 2008 | 7     | 17  | 7     | TRUE  | 76          | 36.54        | 66.00         | 0.00          | 73          | 0.017500 |
| 2008 | 7     | 17  | 8     | TRUE  | 79          | 37.83        | 425.00        | 0.00          | 74          | 0.018116 |
| 2008 | 7     | 17  | 9     | TRUE  | 82          | 48.43        | 358.00        | 0.00          | 72          | 0.016903 |
| 2008 | 7     | 17  | 10    | TRUE  | 85          | 50.80        | 398.00        | 0.00          | 70          | 0.015765 |
| 2008 | 7     | 17  | 11    | TRUE  | 89          | 51.84        | 477.00        | 1.00          | 67          | 0.014186 |
| 2008 | 7     | 17  | 12    | TRUE  | 92          | 49.93        | 456.00        | 0.00          | 63          | 0.012303 |
| 2008 | 7     | 17  | 13    | TRUE  | 95          | 47.73        | 479.00        | 0.00          | 61          | 0.011449 |
| 2008 | 7     | 17  | 14    | TRUE  | 95          | 48.96        | 454.00        | 0.00          | 60          | 0.011042 |
| 2008 | 7     | 17  | 15    | TRUE  | 97          | 47.45        | 437.00        | 0.00          | 59          | 0.010648 |
| 2008 | 7     | 17  | 16    | TRUE  | 97          | 48.46        | 444.00        | 0.00          | 60          | 0.011042 |
| 2008 | 7     | 17  | 17    | TRUE  | 97          | 47.13        | 436.00        | 0.00          | 57          | 0.009898 |
| 2008 | 7     | 17  | 18    | TRUE  | 96          | 36.95        | 424.00        | 0.00          | 55          | 0.009195 |
| 2008 | 7     | 17  | 19    | TRUE  | 94          | 35.19        | 384.00        | 0.00          | 57          | 0.009898 |
| 2008 | 7     | 17  | 20    | TRUE  | 90          | 34.92        | 374.00        | 0.00          | 60          | 0.011042 |
| 2008 | 7     | 17  | 21    | FALSE | 86          | 32.39        | 301.00        | 0.00          | 70          | 0.015765 |
| 2008 | 7     | 17  | 22    | FALSE | 84          | 31.69        | 203.00        | 0.00          | 70          | 0.015765 |
| 2008 | 7     | 17  | 23    | FALSE | 82          | 24.58        | 185.00        | 0.00          | 70          | 0.015765 |

**INPUT OCCUPIED HOURS:**  
 (0 TO 23: 12:00AM IS 0, 11:00PM IS 23)  
 WEEKDAYS 7 TO 20  
 WEEKENDS TO

**INSTRUCTION:** Columns with highlighted title are required inputs for bin sorting. The rest columns help to identify occupied / unoccupied hours. Humidity data could be Wet bulb, Dew point, or Relative humidity (choose from the title of column 13).  
 Please round up TOA to integer or 0.1 °F

Figure D.1 Input of the bin sorting toolkit

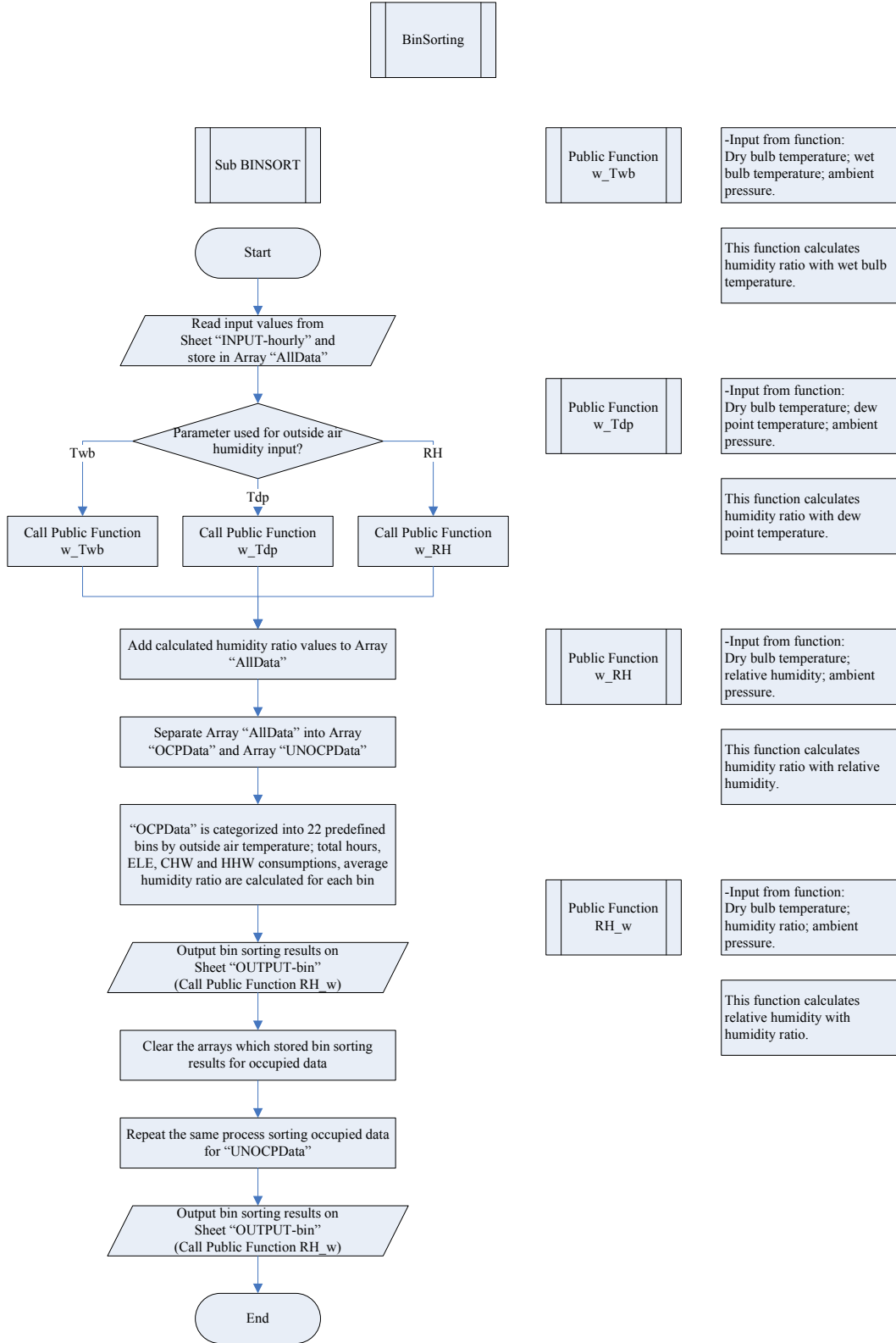


Figure D.2 Flow chart of the bin sorting toolkit

## APPENDIX E

### OUTPUT IN PESE TOOLKIT

The PESE Toolkit provides a comprehensive output of results by bin in the Sheet “BinData”. These results are categorized into six major sections: current and optimized energy costs, potential energy cost savings, optimized energy consumption, space loads, system loads, and system parameters. The parameters included in each section are listed in Table E.1. Additionally, most of these output parameters are illustrated in the five chart sheets in the PESE Toolkit. Each chart sheet contains four plots, each of which represents certain categorized parameters. The criteria used to categorize the parameters in each plot are given in Table E.2. Figure E.1 shows the chart sheet No.1 – 3. Chart sheet No.4 and 5 are omitted since they are same in design with chart sheet No.2 and 3.

Table E.1 Output parameters in Sheet “BinData” in PESE Toolkit

| Section                             | Output parameter                         | Symbol      | Unit |
|-------------------------------------|--|-------------|------|
| Current bin energy cost             | Current ELE cost                         | ELECost_Cur |      |
|                                     | Current CHW cost                         | CHWCost_Cur |      |
|                                     | Current HHW cost                         | HHWCost_Cur |      |
|                                     | Current total cost                       | TotCost_Cur | \$   |
| Optimized bin energy cost           | Optimized ELE cost                       | ELECost_Opt |      |
|                                     | Optimized CHW cost                       | CHWCost_Opt |      |
|                                     | Optimized HHW cost                       | HHWCost_Opt |      |
| Potential energy cost savings       | Optimized total cost                     | TotCost_Opt |      |
|                                     | ELE dollar savings                       | ELE_\$Sav   | \$   |
|                                     | ELE percentage savings                   | ELE_%Sav    | %    |
|                                     | CHW dollar savings                       | CHW_\$Sav   | \$   |
|                                     | CHW percentage savings                   | CHW_%Sav    | %    |
|                                     | HHW dollar savings                       | HHW_\$Sav   | \$   |
|                                     | HHW percentage savings                   | HHW_%Sav    | %    |
|                                     | Total dollar savings                     | Tot_\$Sav   | \$   |
| Total percentage savings            | Tot_%Sav                                 | %           |      |
| Optimized bin energy consumptions   | Optimized ELE-LTEQ consumption           | LTEQ_Opt    |      |
|                                     | Optimized ELE-FANP consumption           | FANP_Opt    | kWh  |
|                                     | Optimized ELE consumption                | ELE_Opt     |      |
|                                     | Optimized CHW consumption                | CHW_Opt     | kBtu |
|                                     | Optimized HHW consumption                | HHW_Opt     |      |
| Optimized system parameter settings | Optimized exterior zone temperature      | Te_Opt      |      |
|                                     | Optimized interior zone temperature      | Ti_Opt      | °F   |
|                                     | Optimized cold deck temperature          | TCL_Opt     |      |
|                                     | Optimized hot deck temperature           | THL_Opt     |      |
|                                     | Optimized exterior zone minimum air flow | Vemin_Opt   | cfm  |
|                                     | Optimized interior zone minimum air flow | Vimin_Opt   | cfm  |
|                                     | Optimized minimum outside air flow ratio | XOAmin_Opt  | -    |
| Optimized minimum outside air flow  | VOAmin_Opt                               | cfm         |      |

|  |   |        |        |
|--|---|--------|--------|
| Loads                                  | Exterior zone sensible load               | qes    | Btu/hr |
|  | Interior zone sensible load               | qis    |        |
|  | Exterior zone latent load                 | qel    |        |
|  | Interior zone latent load                 | qil    |        |
| Exterior zone sensible load components | Transmission load conduction              | QTe    | Btu/hr |
|  | Solar heat gain through glass             | QSOLe  |        |
|  | Transmission load solar component         | QTSe   |        |
|  | Interior load - occupants                 | QsOCPe |        |
|  | Interior load - Lighting and equipment    | QLTEQe |        |
| Interior zone sensible load components | Transmission load conduction              | QTi    | Btu/hr |
|  | Solar heat gain through glass             | QSOLi  |        |
|  | Transmission load solar component         | QTSi   |        |
|  | Interior load - occupants                 | QsOCPi |        |
|  | Interior load - Lighting and equipment    | QLTEQi |        |
| Simulated system loads                 | Cooling coil sensible load                | qCs    | Btu/hr |
|  | Cooling coil latent load                  | qCl    |        |
|  | Total cooling load                        | qCT    |        |
|  | Preheat coil load                         | qPH    |        |
|  | Heating coil load (dual duct)             | qH     |        |
|  | Exterior zone reheat load (single duct)   | qeRH   |        |
|  | Interior zone reheat load (single duct)   | qiRH   |        |
|  | Total heating load                        | qHT    |        |
|  | Total fan power                           | qFT    |        |
| Simulated temperature parameters       | Temperature rise across supply fan        | dTSF   | °F     |
|  | Temperature rise across return fan        | dTRF   |        |
|  | Exterior zone supply temperature          | TeS    |        |
|  | Interior zone supply temperature          | TiS    |        |
|  | Return air temperature                    | TR     |        |
|  | Mixed air temperature                     | TMA    |        |
|  | Preheat coil leaving temperature          | TPH    |        |
|  | Cooling/heating coil entering temperature | TCE    |        |

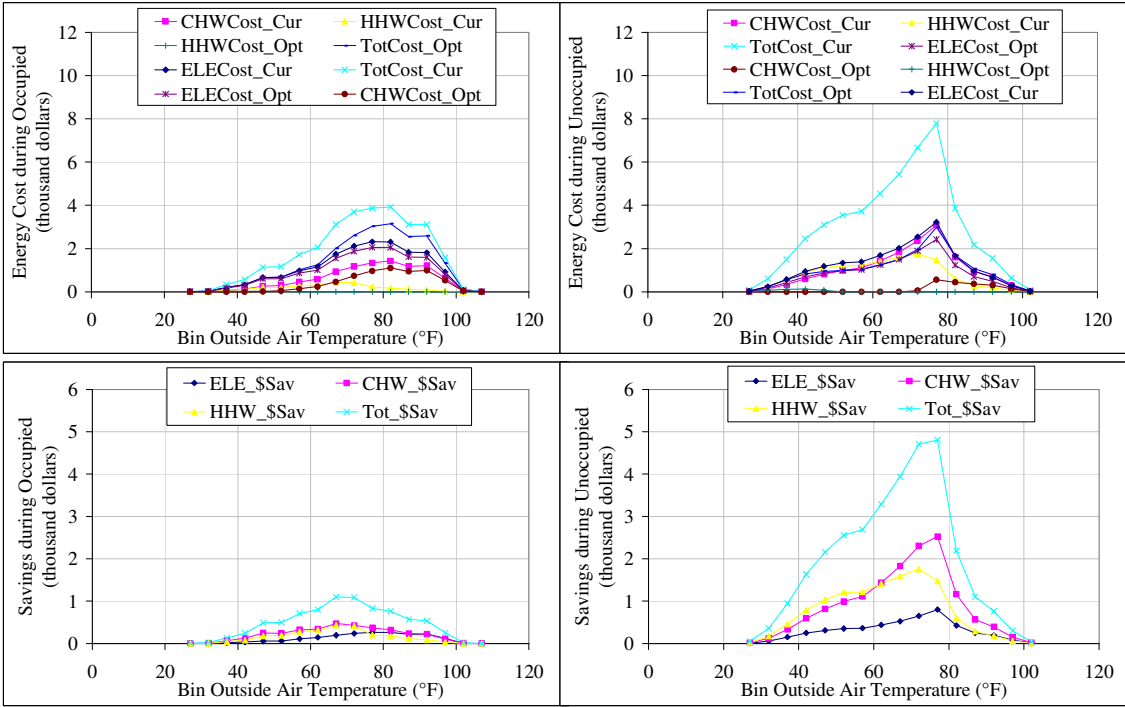
|   |   |         |                                   |
|---|---|---------|-----------------------------------|
| Simulated air flow rate parameters        | Exterior zone cold air flow (dual duct)       | VeC     |                                   |
|   | Interior zone cold air flow (dual duct)       | ViC     |                                   |
|   | Exterior zone hot air flow (dual duct)        | VeH     |                                   |
|   | Interior zone hot air flow (dual duct)        | ViH     |                                   |
|   | Cold deck air flow (dual duct)                | VC      |                                   |
|   | Hot deck air flow (dual duct)                 | VH      | cfm                               |
|   | Exterior zone supply air flow                 | Ve      |                                   |
|   | Interior zone supply air flow                 | Vi      |                                   |
|   | Outside air flow                              | VOA     |                                   |
|   | Return air flow                               | VR      |                                   |
|   | Total air flow                                | VT      |                                   |
| Simulated air flow fraction parameters    | Exterior zone cold air flow ratio (dual duct) | XeC     |                                   |
|   | Interior zone cold air flow ratio (dual duct) | XiC     |                                   |
|   | Exterior zone hot air flow ratio (dual duct)  | XeH     |                                   |
|   | Interior zone hot air flow ratio (dual duct)  | XiH     |                                   |
|   | Cold deck air flow ratio (dual duct)          | XC      | -                                 |
|   | Hot deck air flow ratio (dual duct)           | XH      |                                   |
|   | Exterior zone supply air flow ratio           | Xe      |                                   |
|   | Interior zone supply air flow ratio           | Xi      |                                   |
|   | Outside air flow ratio                        | XOA     |                                   |
| Return air flow ratio                     | XR  |         |                                   |
| Simulated fan power & humidity parameters | Partial load ratio (VAV)                      | PLR     | -                                 |
|   | Supply fan power                              | PSF     |                                   |
|   | Return fan power                              | PRF     | hp                                |
|   | Return air humidity ratio                     | wR      |                                   |
|   | Mixed air humidity ratio                      | wMA     | lb <sub>w</sub> /lb <sub>da</sub> |
|   | Cooling coil leaving air humidity ratio       | wCL     |                                   |
|   | Whether cooling coil is wet                   | WetCoil | -                                 |
|   | Zone relative humidity                        | RH      | %                                 |



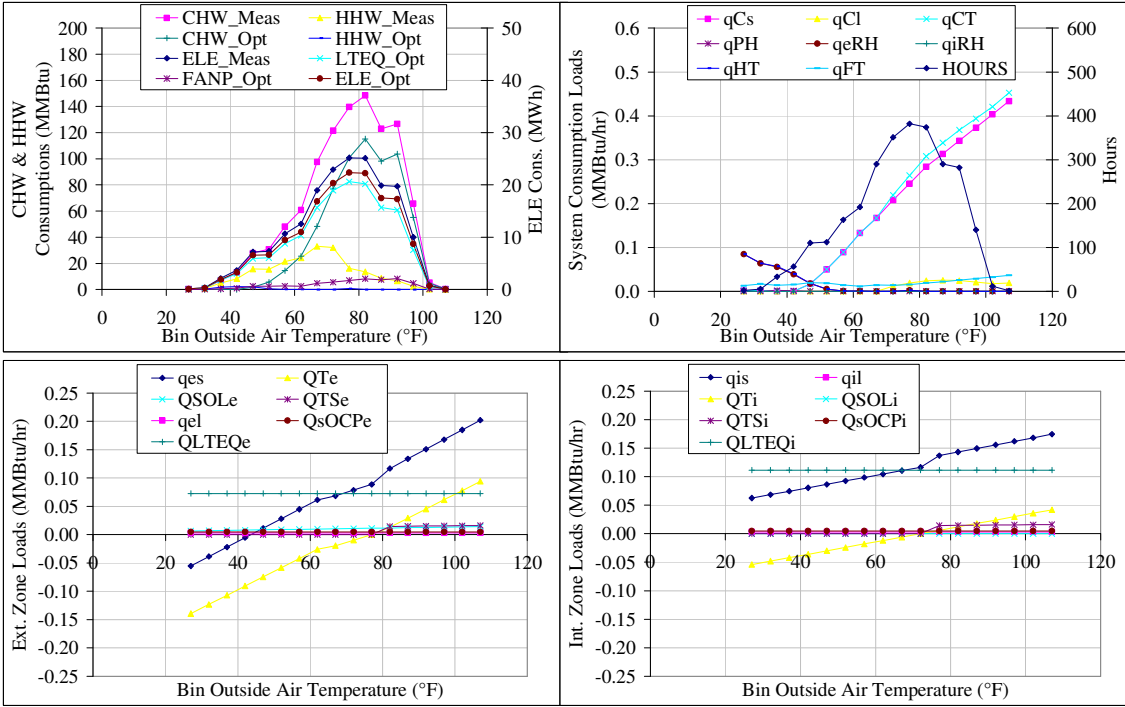
Table E.2 Component charts in each chart sheet in PESE Toolkit

| <b>Chart Sheet</b>                                  | <b>Plot*</b>                               | <b>Unit</b>     |
|---|--|-----------------|
| (1) energy cost and saving                          | (a) energy cost during occupied            | Thousand \$     |
|   | (b) energy cost during unoccupied          |                 |
|   | (c) savings during occupied                |                 |
|   | (d) savings during unoccupied              |                 |
| (2) consumptions and loads during occupied period   | (a) measured and optimized energy use      | MMBtu; MWh      |
|   | (b) system consumption loads and bin hours | MMBtu/hr; hours |
|   | (c) exterior zone loads                    | MMBtu/hr        |
|   | (d) interior zone loads                    | MMBtu/hr        |
| (3) system parameters during occupied period        | (a) temperatures                           | °F              |
|   | (b) air flow rates                         | Thousand cfm    |
|   | (c) humidity ratios and relative humidity  | Lbw/lbda        |
|   | (d) air flow ratios                        | %               |
| (4) consumptions and loads during unoccupied period | (a) measured and optimized energy use      | MMBtu; MWh      |
|   | (b) system consumption loads and bin hours | MMBtu/hr; hours |
|   | (c) exterior zone loads                    | MMBtu/hr        |
|   | (d) interior zone loads                    | MMBtu/hr        |
| (5) system parameters during unoccupied period      | (a) temperatures                           | °F              |
|   | (b) air flow rates                         | Thousand cfm    |
|   | (c) humidity ratios and relative humidity  | Lbw/lbda        |
|   | (d) air flow ratios                        | %               |

\*In each chart sheet, (a), (b), (c), (d) refer to the upper left, upper right, lower left and lower right plot.

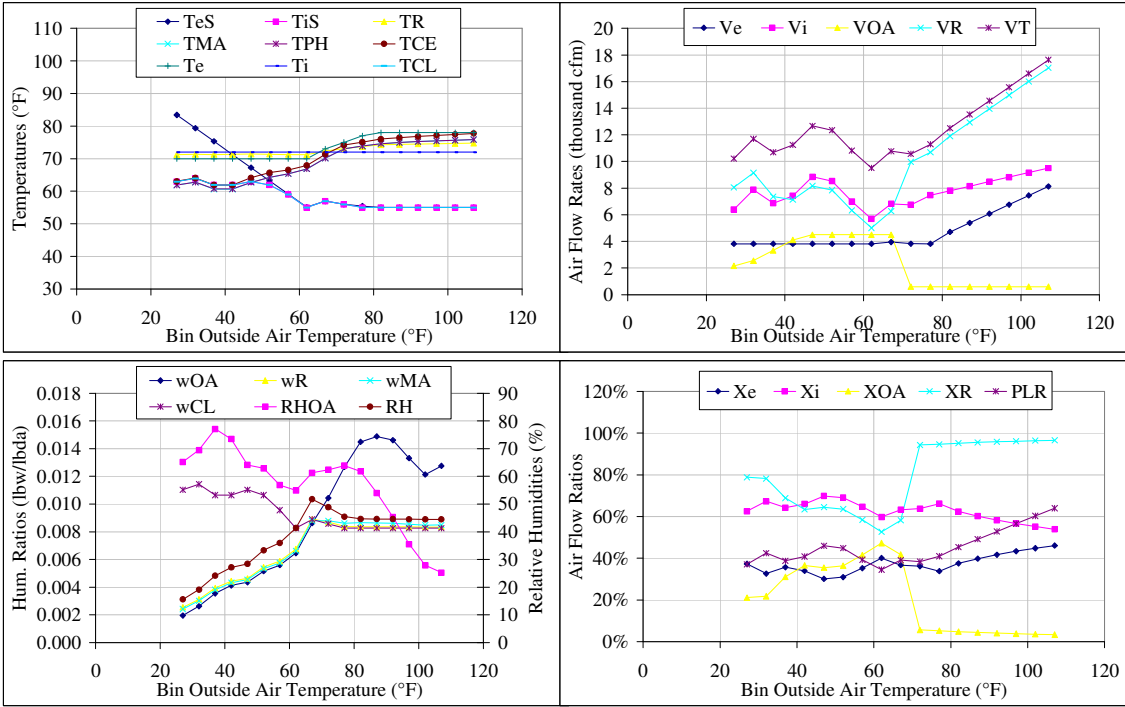


(a) Chart sheet No.1



(b) Chart sheet No.2

Figure E.1 Chart sheets in PESE Toolkit



(c) Chart sheet No.3

Figure E.1 Continued

## APPENDIX F

### FLOW CHARTS OF PESE TOOLKIT

There are 6 modules in the PESE program as listed in Table F.1. The structure of the program is illustrated with Figure F.1 at the module level and each module is further explored with individual flow charts (Figure F.2 to Figure F.7).

Table F.1 Main function of modules in PESE Toolkit

| <b>Module Name</b> | <b>Main Function</b>   |
|--------------------|--|
| Main               | Organizes the whole program: reads input from spreadsheet, executes optimization bin by bin for occupied and unoccupied periods, outputs results and plots.        |
| Optimization       | Performs exhaustive search for the combination of parameter settings which yields the minimum energy cost for a particular bin through air-side system simulation. |
| LoadCal            | Calculates space loads with weather data for a particular bin.   |
| SystemSim          | Performs air-side system simulation given the system type and space loads.   |
| OtherFun           | Includes auxiliary functions for calculations of outside air flow, fan power and psychrometric parameters.   |
| PlotNFormat        | Includes subroutines which format data output on spreadsheet and generate plots.   |

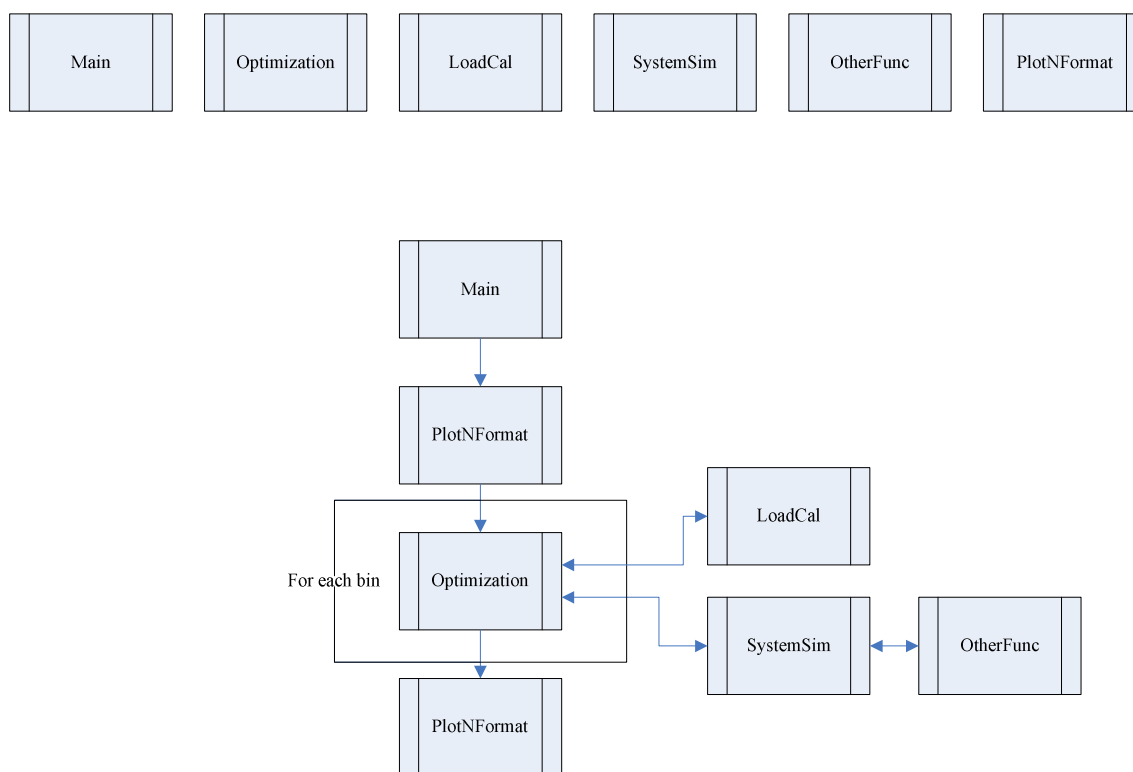


Figure F.1 Flow chart of modules in PESE Toolkit

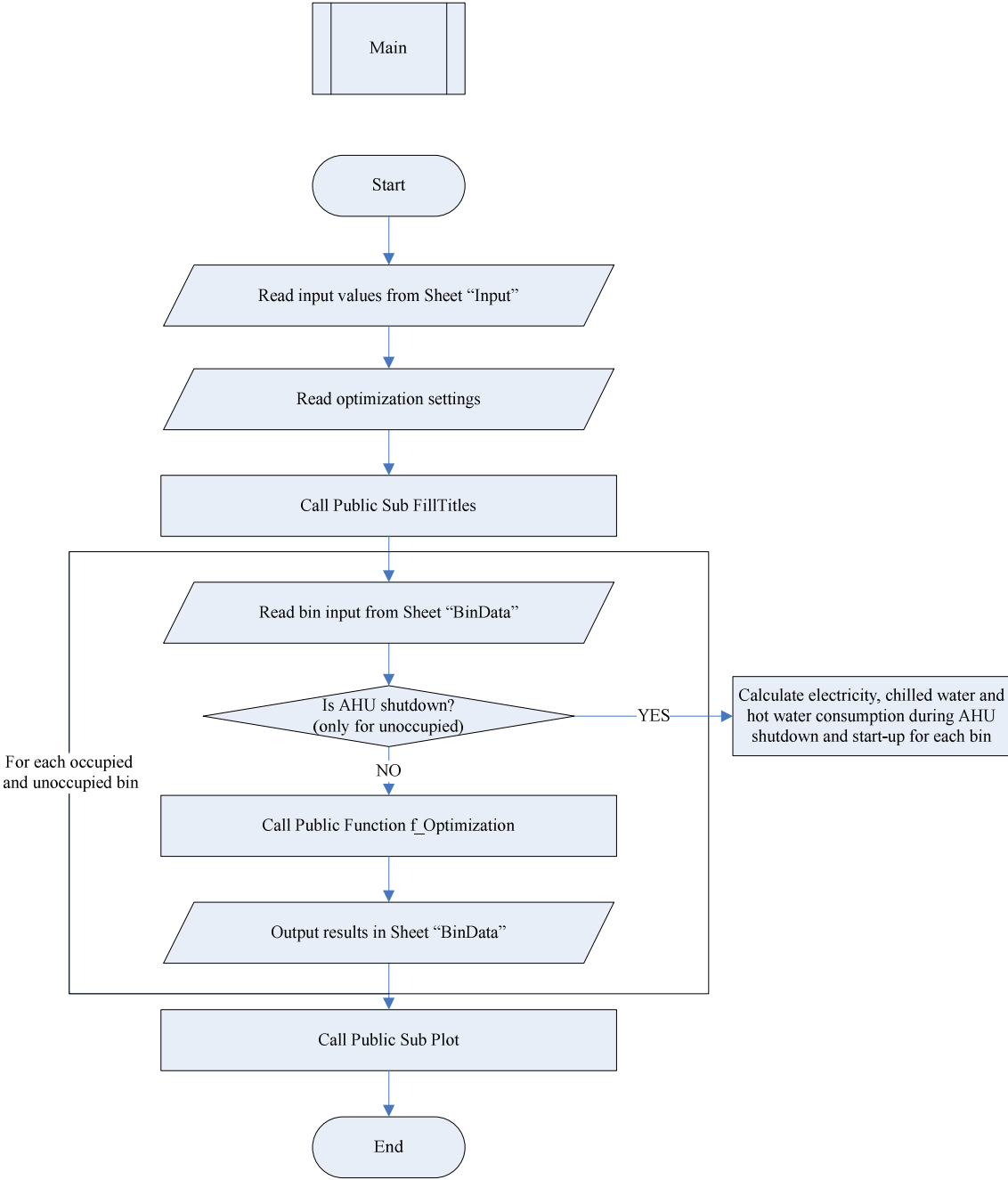


Figure F.2 Flow chart of module "Main"

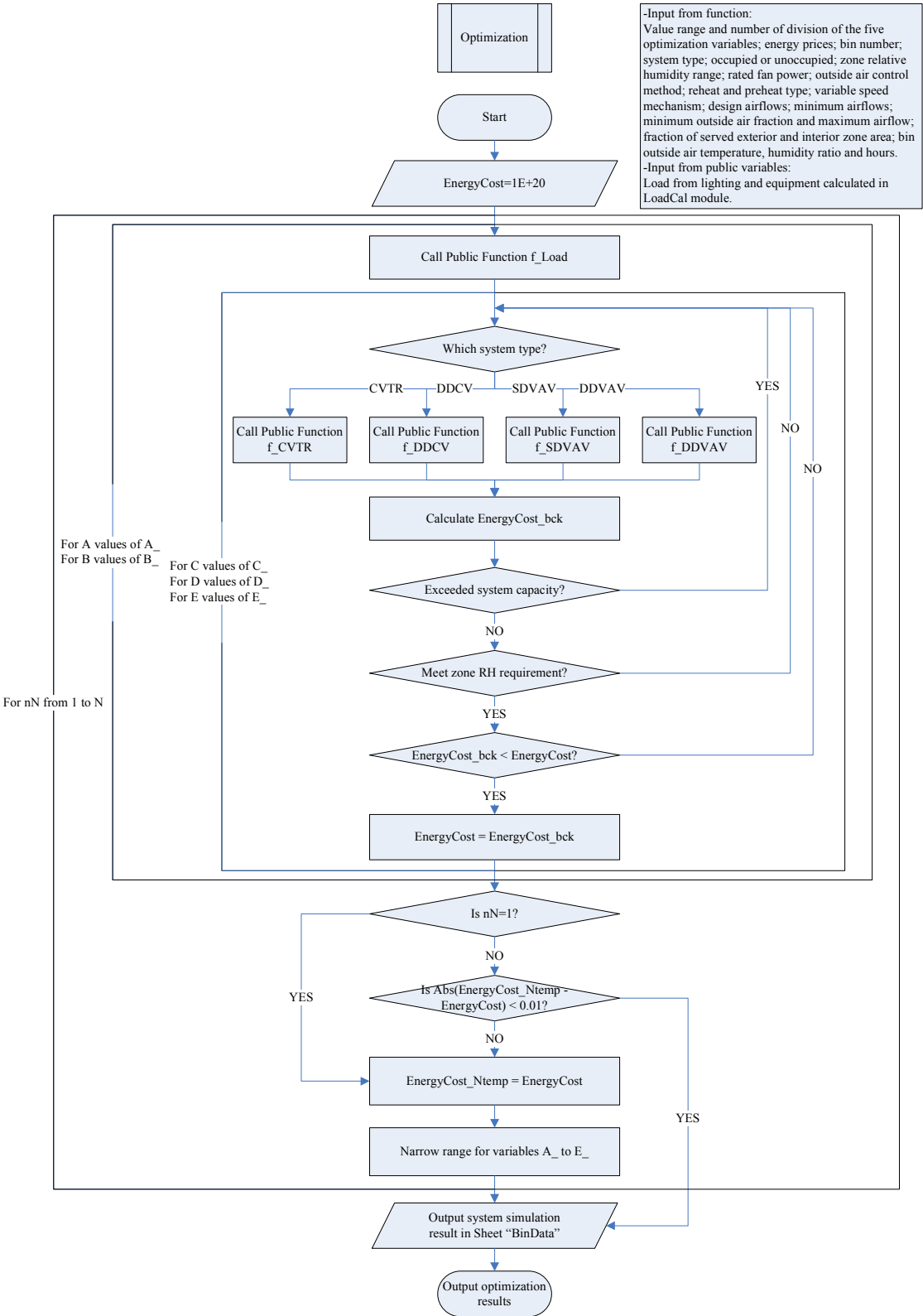


Figure F.3 Flow chart of module "Optimization"

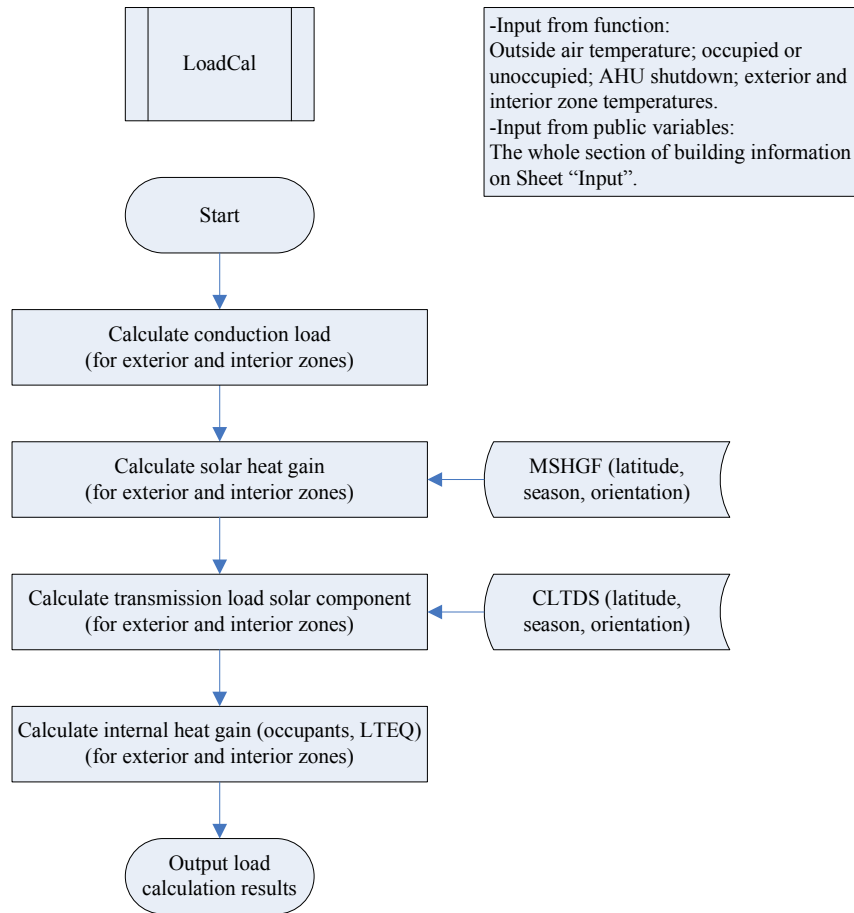


Figure F.4 Flow chart of module "LoadCal"



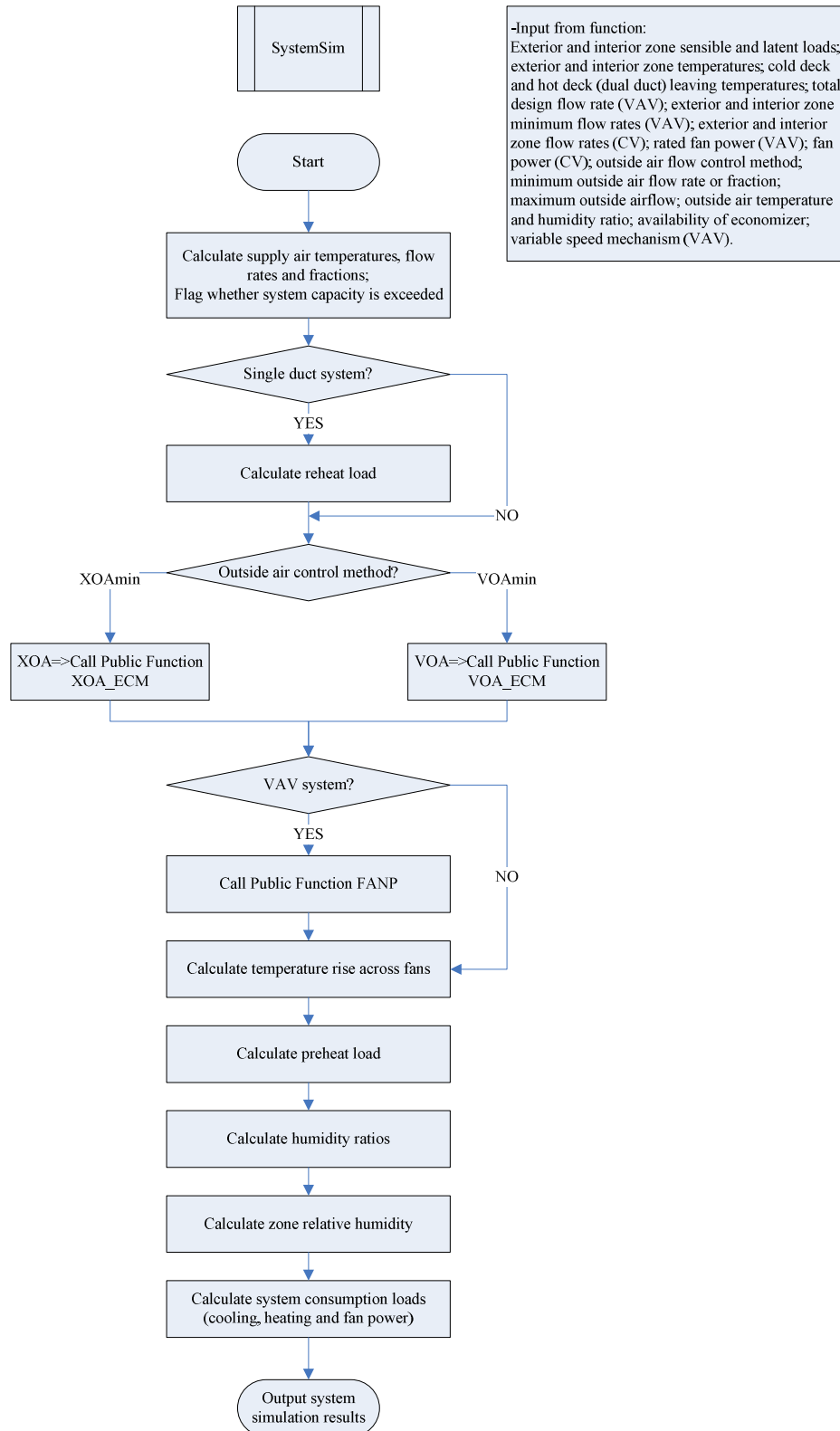


Figure F.5 Flow chart of module “SystemSim”

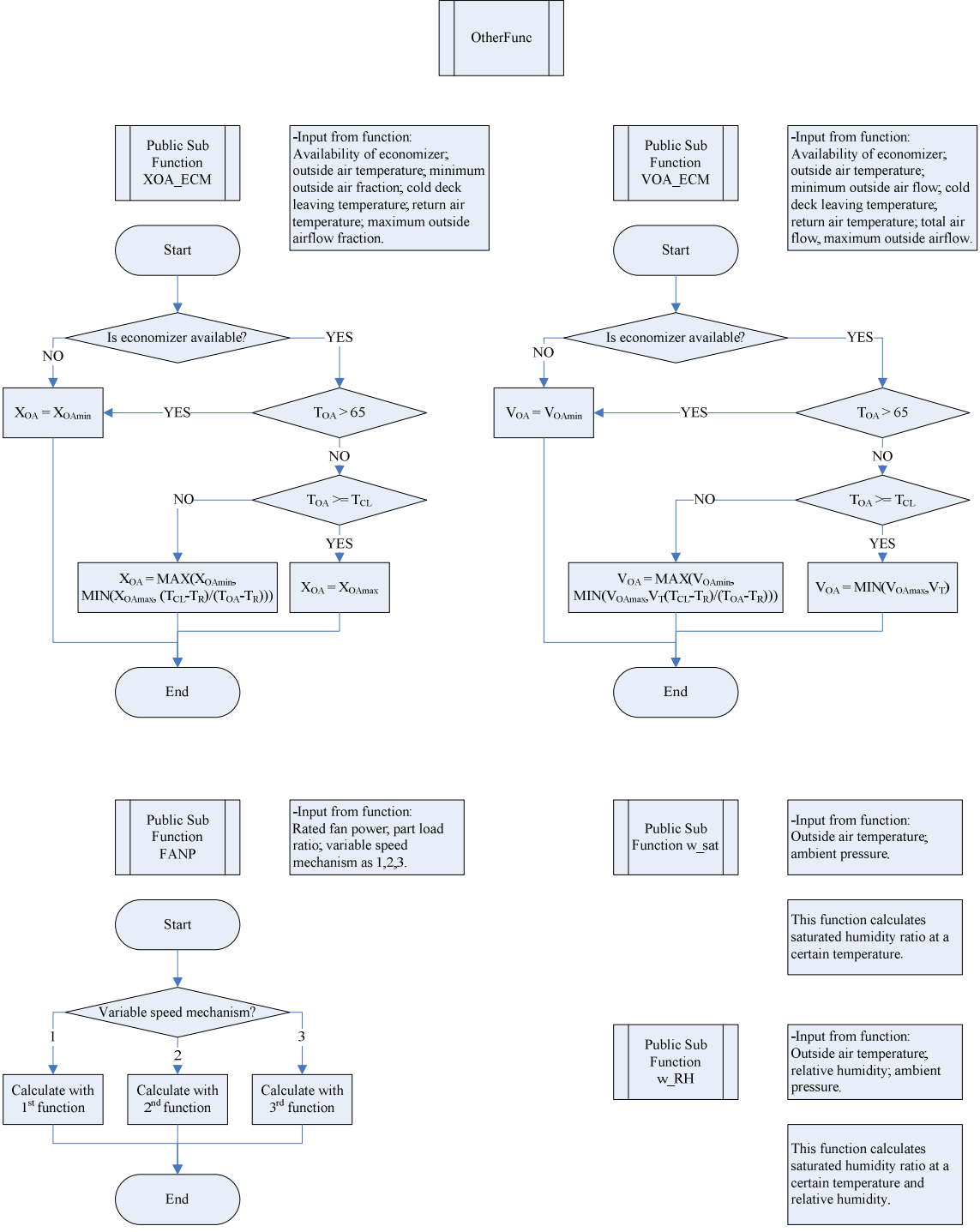


Figure F.6 Flow chart of module “OtherFunc”

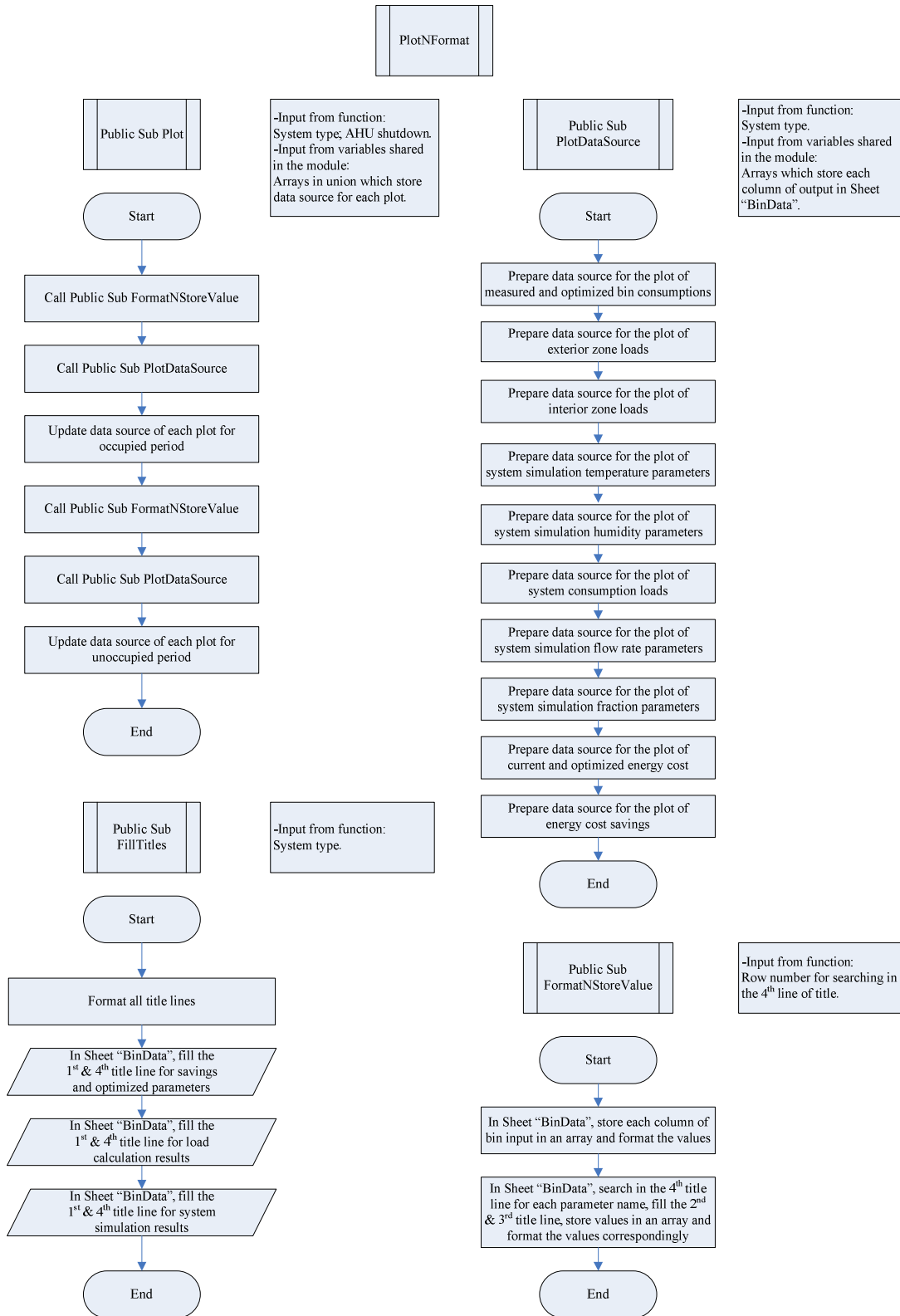


Figure F.7 Flow chart of module "PlotNFormat"

## APPENDIX G

### CODES IN PESE TOOLKIT

The VBA codes used in the PESE Toolkit are given in this appendix. It is helpful to refer the flow charts of the tool in Appendix C in reading the codes. In order to save space, only those closely related to algorithms and calculations are displayed. Codes are omitted for the module of PlotNFormat, and also inside the other modules, subroutines, and functions regarding definition of variables, reading input from spreadsheet, writing output to spreadsheet, formatting, etc.

#### Sub Main ()

```
'convert VAV method and OA control method to number as system simulation input
If VAVM = "Variable Speed Drive" Then VAVM_n = 1
If VAVM = "Inlet Vanes Control" Then VAVM_n = 2
If VAVM = "Outlet Dampers" Then VAVM_n = 3
If OACtrl = "XOAmin" Then OACtrl_n = 1
If OACtrl = "VOAmin" Then OACtrl_n = 2
If YN_VOA = True Then HasEcmz = False

Call FillTitles(SysType)

'settings of optimization variables during occupied period
N = 3
A = n_Te_ocp
b_A1 = b_Te1_ocp
b_A2 = b_Te2_ocp
B = n_Ti_ocp
b_B1 = b_Ti1_ocp
b_B2 = b_Ti2_ocp
C = n_TCL_ocp
b_C1 = b_TCL2_ocp 'higher TCL is preferred
b_C2 = b_TCL1_ocp
D = n_THL_ocp
b_D1 = b_THL1_ocp
b_D2 = b_THL2_ocp
E = n_VOA_ocp
b_E1 = b_VOA1_ocp
b_E2 = b_VOA2_ocp

'MAIN SUB BIN BY BIN
For i = 0 To 21
'OCUPIED BINS
If ThisWorkbook.Worksheets("BinData").Cells(i + 5, 2) = "" Then
ThisWorkbook.Worksheets("BinData").Cells(i + 5, 2).EntireRow.Hidden = True
Else
'Read TOA, wOA and hours from bin input
TOAbin_ocp = ThisWorkbook.Worksheets("BinData").Cells(i + 5, 2)
wOAbin_ocp = ThisWorkbook.Worksheets("BinData").Cells(i + 5, 3)
Hrsbin_ocp = ThisWorkbook.Worksheets("BinData").Cells(i + 5, 5)

'Cold and hot deck leaving temperatures
If TOAbin_ocp <= TOA_TCL1 Then TCL_fTOA = TCL_1
If TOAbin_ocp >= TOA_TCL2 Then TCL_fTOA = TCL_2
If TOAbin_ocp > TOA_TCL1 And TOAbin_ocp < TOA_TCL2 Then _
TCL_fTOA = TCL_1 + (TCL_1 - TCL_2) / (TOA_TCL1 - TOA_TCL2) * (TOAbin_ocp - TOA_TCL1)
```

```

If TOAbin_ocp <= TOA_THL1 Then THL_fTOA = THL_1
If TOAbin_ocp >= TOA_THL2 Then THL_fTOA = THL_2
If TOAbin_ocp > TOA_THL1 And TOAbin_ocp < TOA_THL2 Then _
    THL_fTOA = THL_1 + (THL_1 - THL_2) / (TOA_THL1 - TOA_THL2) * (TOAbin_ocp - TOA_THL1)

'for variables which are not selected for optimization
If YN_Te = False Then
    A = 2
    b_A1 = Te_ocp
    b_A2 = Te_ocp
End If

If YN_Ti = False Then
    B = 2
    b_B1 = Ti_ocp
    b_B2 = Ti_ocp
End If

If YN_TCL = False Then
    C = 2
    b_C1 = TCL_fTOA
    b_C2 = TCL_fTOA
End If

If YN_THL = False Then
    D = 2
    b_D1 = THL_fTOA
    b_D2 = THL_fTOA
End If

If YN_VOA = False Then
    E = 2
    b_E1 = VOAmin_ocp
    b_E2 = VOAmin_ocp
End If

'calculate optimized energy cost and store in array
Array_Optimization_ocp = f_Optimization(N, _
    A, b_A1, b_A2, B, b_B1, b_B2, C, b_C1, b_C2, _
    D, b_D1, b_D2, E, b_E1, b_E2, _
    Price_ELE, Price_CHW, Price_HHW, i, SysType, True, _
    RHz1, RHz2, PSF_rated, PRF_rated, _
    OACtrl_n, HasEcmz, ReheatType, PreheatType, Ve, Vi, _
    VAVM_n, VTD, Vemin_ocp, Vimin_ocp, XOamin_ocp, VOAmx, FracAe, _
    FracAi, TOAbin_ocp, , wOAbin_ocp, , Hrsbin_ocp)

End If
Next i

'settings of optimization variables during unoccupied period
N = 3
A = n_Te_unocp
b_A1 = b_Te1_unocp
b_A2 = b_Te2_unocp
B = n_Ti_unocp
b_B1 = b_Ti1_unocp
b_B2 = b_Ti2_unocp
C = n_TCL_unocp
b_C1 = b_TCL2_unocp
b_C2 = b_TCL1_unocp
D = n_THL_unocp
b_D1 = b_THL1_unocp
b_D2 = b_THL2_unocp
E = n_VOA_unocp
b_E1 = b_VOA1_unocp
b_E2 = b_VOA2_unocp

For i = 0 To 21
'UNOCCUPIED BINS
If ThisWorkbook.Worksheets("BinData").Cells(i + 37, 2) = "" Then
    ThisWorkbook.Worksheets("BinData").Cells(i + 37, 2).EntireRow.Hidden = True
Else
    TOAbin_unocp = ThisWorkbook.Worksheets("BinData").Cells(i + 37, 2)
    wOAbin_unocp = ThisWorkbook.Worksheets("BinData").Cells(i + 37, 3)
    Hrsbin_unocp = ThisWorkbook.Worksheets("BinData").Cells(i + 37, 5)

    If TOAbin_unocp <= TOA_TCL1 Then TCL_fTOA = TCL_1
    If TOAbin_unocp >= TOA_TCL2 Then TCL_fTOA = TCL_2
    If TOAbin_unocp > TOA_TCL1 And TOAbin_unocp < TOA_TCL2 Then _
        TCL_fTOA = TCL_1 + (TCL_1 - TCL_2) / (TOA_TCL1 - TOA_TCL2) * (TOAbin_unocp - TOA_TCL1)

    If TOAbin_unocp <= TOA_THL1 Then THL_fTOA = THL_1
    If TOAbin_unocp >= TOA_THL2 Then THL_fTOA = THL_2
    If TOAbin_unocp > TOA_THL1 And TOAbin_unocp < TOA_THL2 Then _
        THL_fTOA = THL_1 + (THL_1 - THL_2) / (TOA_THL1 - TOA_THL2) * (TOAbin_unocp - TOA_THL1)

```

```

If YN_Te = False Then
    A = 2
    b_A1 = Te_unocp
    b_A2 = Te_unocp
End If

If YN_Ti = False Then
    B = 2
    b_B1 = Ti_unocp
    b_B2 = Ti_unocp
End If

If YN_TCL = False Then
    C = 2
    b_C1 = TCL_fTOA
    b_C2 = TCL_fTOA
End If

If YN_THL = False Then
    D = 2
    b_D1 = THL_fTOA
    b_D2 = THL_fTOA
End If

If YN_VOA = False Then
    E = 2
    b_E1 = VOamin_unocp
    b_E2 = VOamin_unocp
End If

If AHUoff = True Then
    Array_load_startup = f_Load(TOAbin_unocp, False, True, Te_ocp, Ti_ocp)
    'cooling coil load at design condition. This is also used as heating coil load
    'because design heating capacity is usually no larger than cooling.
    Qcoil_des = 1.08 * VTD * (Te_ocp - TCL_2)
    'how long the start up takes depends on time needed to remove exterior zone load
    Hrs_startup = Abs(Array_load_startup(0) * FracAe) * Hrsbin_unocp / Qcoil_des
    With ThisWorkbook.Worksheets("BinData")
        If Array_load_startup(0) < 0 Then 'heating required in exterior zone
            'output start-up fan power, cooling and heating load
            Startup_FANP = 0.7457 * (PSF_rated + PRF_rated) * Hrs_startup
            If Array_load_startup(1) < 0 Then
                Startup_CHW = 0
                Startup_HHW = Abs(Array_load_startup(0) * FracAe + Array_load_startup(1) _
                    * FracAi) * Hrsbin_unocp / 1000
            Else
                Startup_CHW = Array_load_startup(1) * FracAi * Hrsbin_unocp / 1000
                Startup_HHW = Abs(Array_load_startup(0) * FracAe) * Hrsbin_unocp / 1000
            End If
        Else 'cooling required in exterior zone
            Startup_FANP = 0.7457 * (PSF_rated + PRF_rated) * Hrs_startup
            Startup_CHW = (Array_load_startup(0) * FracAe + Array_load_startup(1) _
                * FracAi) * Hrsbin_unocp / 1000
            Startup_HHW = 0
        End If
        If ReheatType = "Electric" Then
            .Cells(i + 37, 25) = LTEQ_unocp * (Ae * FracAe + Ai * FracAi) / (Ae + Ai) * _
                Hrsbin_unocp
            .Cells(i + 37, 26) = Startup_FANP
            .Cells(i + 37, 27) = .Cells(i + 37, 25) + .Cells(i + 37, 26) + Startup_HHW/3.412
            .Cells(i + 37, 28) = Startup_CHW
            .Cells(i + 37, 29) = 0
        Else
            .Cells(i + 37, 25) = LTEQ_unocp * (Ae * FracAe + Ai * FracAi) / (Ae + Ai) _
                * Hrsbin_unocp
            .Cells(i + 37, 26) = Startup_FANP
            .Cells(i + 37, 27) = .Cells(i + 37, 25) + .Cells(i + 37, 26)
            .Cells(i + 37, 28) = Startup_CHW
            .Cells(i + 37, 29) = Startup_HHW
        End If
    End With
Else
    Array_Optimization_unocp = f_Optimization(N, _
        A, b_A1, b_A2, B, b_B1, b_B2, C, b_C1, b_C2, _
        D, b_D1, b_D2, E, b_E1, b_E2, _
        Price_ELE, Price_CHW, Price_HHW, i, SysType, False, _
        0, 70, PSF_rated, PRF_rated, _
        OACtrl_n, HasEcmz, ReheatType, PreheatType, Ve, Vi, _
        VAVM_n, VTD, Vemin_unocp, Vimin_unocp, XOamin_unocp, VOamax, _
        FracAe, FracAi, , TOAbin_unocp, , wOAbin_unocp, , Hrsbin_unocp)
End If
End If
Next i

Call Plot(SysType, AHUoff)

End Sub

```

```

Public Function f_Optimization(ByVal N As Integer, _
    ByVal A As Integer, ByVal b_A1 As Double, ByVal b_A2 As Double, _
    ByVal B As Integer, ByVal b_B1 As Double, ByVal b_B2 As Double, _
    ByVal C As Integer, ByVal b_C1 As Double, ByVal b_C2 As Double, _
    ByVal D As Integer, ByVal b_D1 As Double, ByVal b_D2 As Double, _
    ByVal E As Integer, ByVal b_E1 As Double, ByVal b_E2 As Double, _
    ByVal Price_ELE As Double, ByVal Price_CHW As Double, ByVal Price_HHW As Double, _
    ByVal BinNum As Integer, ByVal SysType As String, ByVal IFOCP As Boolean, _
    ByVal RHZ1 As Double, ByVal RHZ2 As Double, _
    ByVal PSF_rated As Double, ByVal PRF_rated As Double, _
    ByVal OACtrl_n As Integer, ByVal HasEcmz As Boolean, _
    ByVal ReheatType As String, ByVal PreheatType As String, _
    ByVal Ve As Double, ByVal Vi As Double, _
    ByVal VAVM_n As Integer, ByVal VTD As Double, _
    ByVal Vemin As Double, ByVal Vimin As Double, ByVal XOamin As Double, ByVal VOamax, _
    ByVal FracAe As Double, ByVal FracAi As Double, _
    Optional ByVal TOAbin_ocr As Double, Optional ByVal TOAbin_unocr As Double, _
    Optional ByVal wOAbin_ocr As Double, Optional ByVal wOAbin_unocr As Double, _
    Optional ByVal Hrsbin_ocr As Double, Optional ByVal Hrsbin_unocr As Double)
    'A-E are how to divide within the boundary of each variable

    EnergyCost = 1E+20
    Flag = False

    'Generate mesh for to be optimized variables
    For nN = 1 To N
        For nA = 1 To A
            A_ = b_A1 + (b_A2 - b_A1) / (A - 1) * (nA - 1)
            For nB = 1 To B
                B_ = b_B1 + (b_B2 - b_B1) / (B - 1) * (nB - 1)

                If IFOCP = True Then
                    'calculate load and store in an array
                    Array_Load_ocr = f_Load(TOAbin_ocr, True, False, A_, B_)
                    'apply factor to load components according to the area served by the system
                    Loadqes_ocr = Array_Load_ocr(0) * FracAe
                    Loadqis_ocr = Array_Load_ocr(1) * FracAi
                    Loadqel_ocr = Array_Load_ocr(2) * FracAe
                    Loadqil_ocr = Array_Load_ocr(3) * FracAi
                Else
                    Array_Load_unocr = f_Load(TOAbin_unocr, False, False, A_, B_)
                    Loadqes_unocr = Array_Load_unocr(0) * FracAe
                    Loadqis_unocr = Array_Load_unocr(1) * FracAi
                    Loadqel_unocr = Array_Load_unocr(2) * FracAe
                    Loadqil_unocr = Array_Load_unocr(3) * FracAi
                End If

                For nC = 1 To C
                    C_ = b_C1 + (b_C2 - b_C1) / (C - 1) * (nC - 1)
                    For nD = 1 To D
                        D_ = b_D1 + (b_D2 - b_D1) / (D - 1) * (nD - 1)
                        For nE = 1 To E
                            E_ = b_E1 + (b_E2 - b_E1) / (E - 1) * (nE - 1)
                            If IFOCP = True Then
                                Select Case SysType
                                    Case "CVTR"
                                        Array_System_ocr = f_CVTR(Loadqes_ocr, Loadqis_ocr, _
                                            Loadqel_ocr, Loadqil_ocr, _
                                            A_, B_, C_, D_, Ve, Vi, _
                                            PSF_rated, PRF_rated, OACtrl_n, _
                                            XOamin, E_, VOamax, TOAbin_ocr, _
                                            wOAbin_ocr, HasEcmz)
                                        LTEQ_Opt = (FracAe * QLTEQe_ocr + FracAi * QLTEQi_ocr) * _
                                            Hrsbin_ocr / 3412 'calculate in main
                                        FANP_Opt = Array_System_ocr(7) * Hrsbin_ocr / 3412
                                        ELE_Opt = LTEQ_Opt + FANP_Opt
                                        CHW_Opt = Array_System_ocr(2) * Hrsbin_ocr / 1000
                                        HHW_Opt = Array_System_ocr(6) * Hrsbin_ocr / 1000
                                        RHstore = Array_System_ocr(28)
                                        YN_ExCap = Array_System_ocr(29)
                                    Case "DDCV"
                                        Array_System_ocr = f_DDCV(Loadqes_ocr, Loadqis_ocr, _
                                            Loadqel_ocr, Loadqil_ocr, _
                                            A_, B_, C_, D_, Ve, Vi, _
                                            PSF_rated, PRF_rated, OACtrl_n, _
                                            XOamin, E_, VOamax, TOAbin_ocr, _
                                            wOAbin_ocr, HasEcmz)
                                        LTEQ_Opt = (FracAe * QLTEQe_ocr + FracAi * QLTEQi_ocr) * _
                                            Hrsbin_ocr / 3412
                                        FANP_Opt = Array_System_ocr(6) * Hrsbin_ocr / 3412
                                        ELE_Opt = LTEQ_Opt + FANP_Opt
                                        CHW_Opt = Array_System_ocr(2) * Hrsbin_ocr / 1000
                                        HHW_Opt = Array_System_ocr(5) * Hrsbin_ocr / 1000
                                        RHstore = Array_System_ocr(40)
                                        YN_ExCap = Array_System_ocr(41)
                                End Select
                            End If
                        End For
                    End For
                End For
            End For
        End For
    End For

```

```

Case "SDVAV"
  Array_System_ocp = f_SDVAV(Loadqes_ocp, Loadqis_ocp, _
    Loadqel_ocp, Loadqil_ocp, _
      A_, B_, C_, VTD, Vemin, Vimin, _
      PSF_rated, PRF_rated, OACtrl_n, _
      XOamin, E_, VOamax, TOabin_ocp, _
      wOabin_ocp, HasEcmz, VAVM_n)
  LTEQ_Opt = (FracAe * QLTEQe_ocp + FracAi * QLTEQi_ocp) * _
    Hrsbin_ocp / 3412
  FANP_Opt = Array_System_ocp(7) * Hrsbin_ocp / 3412
  If ReheatType = "Electric" And PreheatType = "Electric" Then
    ELE_Opt = LTEQ_Opt + FANP_Opt + (Array_System_ocp(3) + _
      Array_System_ocp(4) + Array_System_ocp(5)) * Hrsbin_ocp _
      / 3412
    HHW_Opt = 0
  ElseIf ReheatType = "Electric" And PreheatType = "Hot Water" _
  Then
    ELE_Opt = LTEQ_Opt + FANP_Opt + (Array_System_ocp(4) + _
      Array_System_ocp(5)) * Hrsbin_ocp / 3412
    HHW_Opt = Array_System_ocp(3) * Hrsbin_ocp / 1000
  ElseIf ReheatType = "Hot Water" And PreheatType = "Electric" _
  Then
    ELE_Opt = LTEQ_Opt + FANP_Opt + Array_System_ocp(3) * _
      Hrsbin_ocp / 3412
    HHW_Opt = (Array_System_ocp(4) + Array_System_ocp(5)) * _
      Hrsbin_ocp / 1000
  Else
    ELE_Opt = LTEQ_Opt + FANP_Opt
    HHW_Opt = Array_System_ocp(6) * Hrsbin_ocp / 1000
  End If
  CHW_Opt = Array_System_ocp(2) * Hrsbin_ocp / 1000
  RHstore = Array_System_ocp(35)
  YN_ExCap = Array_System_ocp(36)

Case "DDVAV"
  Array_System_ocp = f_DDVA(VLoadqes_ocp, Loadqis_ocp, _
    Loadqel_ocp, Loadqil_ocp, _
      A_, B_, C_, D_, VTD, Vemin, Vimin, _
      PSF_rated, PRF_rated, OACtrl_n, _
      XOamin, E_, VOamax, TOabin_ocp, _
      wOabin_ocp, HasEcmz, VAVM_n)
  LTEQ_Opt = (FracAe * QLTEQe_ocp + FracAi * QLTEQi_ocp) * _
    Hrsbin_ocp / 3412
  FANP_Opt = Array_System_ocp(6) * Hrsbin_ocp / 3412
  ELE_Opt = LTEQ_Opt + FANP_Opt
  CHW_Opt = Array_System_ocp(2) * Hrsbin_ocp / 1000
  HHW_Opt = Array_System_ocp(5) * Hrsbin_ocp / 1000
  RHstore = Array_System_ocp(47)
  YN_ExCap = Array_System_ocp(48)
End Select
Else
  Select Case SysType
  Case "CVTR"
    Array_System_unocp = f_CVTR(Loadqes_unocp, Loadqis_unocp, _
      Loadqel_unocp, Loadqil_unocp, _
        A_, B_, C_, Ve, Vi, _
        PSF_rated, PRF_rated, OACtrl_n, _
        XOamin, E_, VOamax, TOabin_unocp, _
        wOabin_unocp, HasEcmz)
    LTEQ_Opt = (FracAe * QLTEQe_unocp + FracAi * QLTEQi_unocp) * _
      Hrsbin_unocp / 3412
    FANP_Opt = Array_System_unocp(7) * Hrsbin_unocp / 3412
    ELE_Opt = LTEQ_Opt + FANP_Opt
    CHW_Opt = Array_System_unocp(2) * Hrsbin_unocp / 1000
    HHW_Opt = Array_System_unocp(6) * Hrsbin_unocp / 1000
    RHstore = Array_System_unocp(28)
    YN_ExCap = Array_System_unocp(29)

  Case "DDCV"
    Array_System_unocp = f_DDCV(Loadqes_unocp, Loadqis_unocp, _
      Loadqel_unocp, Loadqil_unocp, _
        A_, B_, C_, D_, Ve, Vi, _
        PSF_rated, PRF_rated, OACtrl_n, _
        XOamin, E_, VOamax, TOabin_unocp, _
        wOabin_unocp, HasEcmz)
    LTEQ_Opt = (FracAe * QLTEQe_unocp + FracAi * QLTEQi_unocp) * _
      Hrsbin_unocp / 3412
    FANP_Opt = Array_System_unocp(6) * Hrsbin_unocp / 3412
    ELE_Opt = LTEQ_Opt + FANP_Opt
    CHW_Opt = Array_System_unocp(2) * Hrsbin_unocp / 1000
    HHW_Opt = Array_System_unocp(5) * Hrsbin_unocp / 1000
    RHstore = Array_System_unocp(40)
    YN_ExCap = Array_System_unocp(41)
  End Select
End If

```



```

Case "SDVAV"
  Array_System_unocp = f_SDVAV(Loadqes_unocp, Loadqis_unocp, _
    Loadqel_unocp, Loadqil_unocp, _
      A_, B_, C_, VTD, Vemin, Vimin, _
      PSF_rated, PRF_rated, OACtrl_n, _
      XOamin, E_, VOamax, TOAbin_unocp, _
      wOAbin_unocp, HasEcmz, VAVM_n)
  LTEQ_Opt = (FracAe * QLTEQe_unocp + FracAi * QLTEQi_unocp) * _
    Hrsbin_unocp / 3412
  FANP_Opt = Array_System_unocp(7) * Hrsbin_unocp / 3412
  If ReheatType = "Electric" And PreheatType = "Electric" Then
    ELE_Opt = LTEQ_Opt + FANP_Opt + (Array_System_unocp(3) + _
      Array_System_unocp(4) + Array_System_unocp(5)) * _
      Hrsbin_unocp / 3412
    HHW_Opt = 0
  ElseIf ReheatType = "Electric" And PreheatType = "Hot Water" _
  Then
    ELE_Opt = LTEQ_Opt + FANP_Opt + (Array_System_unocp(4) + _
      Array_System_unocp(5)) * Hrsbin_unocp / 3412
    HHW_Opt = Array_System_unocp(3) * Hrsbin_unocp / 1000
  ElseIf ReheatType = "Hot Water" And PreheatType = "Electric" _
  Then
    ELE_Opt = LTEQ_Opt + FANP_Opt + Array_System_unocp(3) * _
      Hrsbin_unocp / 3412
    HHW_Opt = (Array_System_unocp(4) + Array_System_unocp(5)) _
      * Hrsbin_unocp / 1000
  Else
    ELE_Opt = LTEQ_Opt + FANP_Opt
    HHW_Opt = Array_System_unocp(6) * Hrsbin_unocp / 1000
  End If
  CHW_Opt = Array_System_unocp(2) * Hrsbin_unocp / 1000
  RHstore = Array_System_unocp(35)
  YN_ExCap = Array_System_unocp(36)

Case "DDVAV"
  Array_System_unocp = f_DDVA(VLoadqes_unocp, Loadqis_unocp, _
    Loadqel_unocp, Loadqil_unocp, _
      A_, B_, C_, D_, VTD, Vemin, Vimin, _
      PSF_rated, PRF_rated, OACtrl_n, _
      XOamin, E_, VOamax, TOAbin_unocp, _
      wOAbin_unocp, HasEcmz, VAVM_n)
  LTEQ_Opt = (FracAe * QLTEQe_unocp + FracAi * QLTEQi_unocp) * _
    Hrsbin_unocp / 3412
  FANP_Opt = Array_System_unocp(6) * Hrsbin_unocp / 3412
  ELE_Opt = LTEQ_Opt + FANP_Opt
  CHW_Opt = Array_System_unocp(2) * Hrsbin_unocp / 1000
  HHW_Opt = Array_System_unocp(5) * Hrsbin_unocp / 1000
  RHstore = Array_System_unocp(47)
  YN_ExCap = Array_System_unocp(48)

End Select
End If
'Energy cost calculated at nN, nA, nB, nC, nD, nE
EnergyCost_bck = Price_ELE * ELE_Opt + Price_CHW * CHW_Opt / 1000 + _
  Price_HHW * HHW_Opt / 1000
'If newly calculated total energy cost is less while complying with
comfort requirement, previously stored value "EnergyCost" will be replaced
If YN_ExCap = False And RHstore >= RHz1 And RHstore <= RHz2 And _
EnergyCost_bck < EnergyCost Then
  Flag = True
  EnergyCost = EnergyCost_bck
  O_LTEQ_Opt = LTEQ_Opt: O_FANP_Opt = FANP_Opt: O_ELE_Opt = ELE_Opt
  O_CHW_Opt = CHW_Opt: O_HHW_Opt = HHW_Opt
  Array_Load_ocp_str = Array_Load_ocp: Array_Load_unocp_str = _
  Array_Load_unocp
  Array_System_ocp_str = Array_System_ocp: Array_System_unocp_str = _
  Array_System_unocp
End If
Next nE
Next nD
Next nC
Next nB
Next nA
'Check if further narrow down searching is necessary
If nN = 1 Then
  EnergyCost_Ntemp = EnergyCost
Else
  If Abs(EnergyCost_Ntemp - EnergyCost) < 0.01 Then
    Exit For
  Else
    EnergyCost_Ntemp = EnergyCost
  End If
End If
dA = b_A2 - b_A1
dB = b_B2 - b_B1
dC = b_C2 - b_C1
dD = b_D2 - b_D1
dE = b_E2 - b_E1

```

```

If A_ - 0.25 * dA >= b_A1 Then b_A1 = A_ - 0.25 * dA
If A_ + 0.25 * dA <= b_A2 Then b_A2 = A_ + 0.25 * dA
If B_ - 0.25 * dB >= b_B1 Then b_B1 = B_ - 0.25 * dB
If B_ + 0.25 * dB <= b_B2 Then b_B2 = B_ + 0.25 * dB
If C_ - 0.25 * dC <= b_C1 Then b_C1 = C_ - 0.25 * dC 'b_C1>b_C2
If C_ + 0.25 * dC >= b_C2 Then b_C2 = C_ + 0.25 * dC 'b_C1>b_C2
If D_ - 0.25 * dD >= b_D1 Then b_D1 = D_ - 0.25 * dD
If D_ + 0.25 * dD <= b_D2 Then b_D2 = D_ + 0.25 * dD
If E_ - 0.25 * dE >= b_E1 Then b_E1 = E_ - 0.25 * dE
If E_ + 0.25 * dE <= b_E2 Then b_E2 = E_ + 0.25 * dE
Next nN

If Flag = True Then
  With ThisWorkbook.Worksheets("BinData")
    If IFOCP = True Then
      'output loads and components of sensible load
      .Cells(BinNum + 5, 30) = Array_Load_ocp_str(0) * FracAe
      .Cells(BinNum + 5, 31) = Array_Load_ocp_str(1) * FracAi
      .Cells(BinNum + 5, 32) = Array_Load_ocp_str(2) * FracAe
      .Cells(BinNum + 5, 33) = Array_Load_ocp_str(3) * FracAi
      .Cells(BinNum + 5, 34) = Array_Load_ocp_str(4) * FracAe
      .Cells(BinNum + 5, 35) = Array_Load_ocp_str(5) * FracAe
      .Cells(BinNum + 5, 36) = Array_Load_ocp_str(6) * FracAe
      .Cells(BinNum + 5, 37) = Array_Load_ocp_str(7) * FracAe
      .Cells(BinNum + 5, 38) = Array_Load_ocp_str(8) * FracAe
      .Cells(BinNum + 5, 39) = Array_Load_ocp_str(9) * FracAi
      .Cells(BinNum + 5, 40) = Array_Load_ocp_str(10) * FracAi
      .Cells(BinNum + 5, 41) = Array_Load_ocp_str(11) * FracAi
      .Cells(BinNum + 5, 42) = Array_Load_ocp_str(12) * FracAi
      .Cells(BinNum + 5, 43) = Array_Load_ocp_str(13) * FracAi
      'output system simulation result
      Select Case SysType
        Case "CVTR"
          For j = 0 To 28
            .Cells(BinNum + 5, j + 44) = Array_System_ocp_str(j)
          Next j
        Case "DDCV"
          For j = 0 To 40
            .Cells(BinNum + 5, j + 44) = Array_System_ocp_str(j)
          Next j
        Case "SDVAV"
          For j = 0 To 35
            .Cells(BinNum + 5, j + 44) = Array_System_ocp_str(j)
          Next j
        Case "DDVAV"
          For j = 0 To 47
            .Cells(BinNum + 5, j + 44) = Array_System_ocp_str(j)
          Next j
      End Select
    Else
      .Cells(BinNum + 37, 30) = Array_Load_unocp_str(0) * FracAe
      .Cells(BinNum + 37, 31) = Array_Load_unocp_str(1) * FracAi
      .Cells(BinNum + 37, 32) = Array_Load_unocp_str(2) * FracAe
      .Cells(BinNum + 37, 33) = Array_Load_unocp_str(3) * FracAi
      .Cells(BinNum + 37, 34) = Array_Load_unocp_str(4) * FracAe
      .Cells(BinNum + 37, 35) = Array_Load_unocp_str(5) * FracAe
      .Cells(BinNum + 37, 36) = Array_Load_unocp_str(6) * FracAe
      .Cells(BinNum + 37, 37) = Array_Load_unocp_str(7) * FracAe
      .Cells(BinNum + 37, 38) = Array_Load_unocp_str(8) * FracAe
      .Cells(BinNum + 37, 39) = Array_Load_unocp_str(9) * FracAi
      .Cells(BinNum + 37, 40) = Array_Load_unocp_str(10) * FracAi
      .Cells(BinNum + 37, 41) = Array_Load_unocp_str(11) * FracAi
      .Cells(BinNum + 37, 42) = Array_Load_unocp_str(12) * FracAi
      .Cells(BinNum + 37, 43) = Array_Load_unocp_str(13) * FracAi
      Select Case SysType
        Case "CVTR"
          For j = 0 To 28
            .Cells(BinNum + 37, j + 44) = Array_System_unocp_str(j)
          Next j
        Case "DDCV"
          For j = 0 To 40
            .Cells(BinNum + 37, j + 44) = Array_System_unocp_str(j)
          Next j
        Case "SDVAV"
          For j = 0 To 35
            .Cells(BinNum + 37, j + 44) = Array_System_unocp_str(j)
          Next j
        Case "DDVAV"
          For j = 0 To 47
            .Cells(BinNum + 37, j + 44) = Array_System_unocp_str(j)
          Next j
      End Select
    End If
  End With
End If
f_Optimization = Array(EnergyCost, O_LTEQ_Opt, O_FANP_Opt, O_ELE_Opt, O_CHW_Opt, O_HHW_Opt)
End Function

```

**Public Function f\_Load(ByVal TOA As Double, ByVal IFOCP As Boolean, ByVal AHUoff As Boolean, \_  
ByVal Te As Double, ByVal Ti As Double)**

```
'Transmission load conduction
Awindow1 = Xwindow1 * Height * Length
Awindow2 = Xwindow2 * Height * Width
Awindow3 = Xwindow3 * Height * Length
Awindow4 = Xwindow4 * Height * Width
Awindow_tot = Awindow1 + Awindow2 + Awindow3 + Awindow4

Awall1 = Height * Length - Awindow1
Awall2 = Height * Width - Awindow2
Awall3 = Height * Length - Awindow3
Awall4 = Height * Width - Awindow4
Awall_tot = Awall1 + Awall2 + Awall3 + Awall4

Aroof = Length * Width
Aground = Length * Width

XAe = Ae / (Ae + Ai)
XAi = 1 - XAe

UAtote = Uwall * Awall_tot + Uwindow * Awindow_tot + XAe * (Uroof * Aroof + Uground * Aground)
UAtoti = Uwindow * Awindow5 + Uroof * (XAi * Aroof - Awindow5) + XAi * Uground * Aground

If IFOCP = False And AHUoff = True Then
    Te = Te - 13 + 0.192 * TOA 'linear regression relationship from measured room temp
    Ti = Ti - 13 + 0.192 * TOA
End If
QTe = UAtote * (TOA - Te)
QTi = UAtoti * (TOA - Ti)

'Building orientation identification
Select Case Ort
    Case "N"
        Ort1 = 0
    Case "NNE"
        Ort1 = 1
    Case "NE"
        Ort1 = 2
    Case "ENE"
        Ort1 = 3
    Case "E"
        Ort1 = 4
    Case "ESE"
        Ort1 = 5
    Case "SE"
        Ort1 = 6
    Case "SSE"
        Ort1 = 7
End Select

Ort2 = Ort1 + 4
Ort3 = Ort2 + 4

If Ort1 <= 3 Then
    Ort4 = Ort3 + 4
Else
    Ort4 = Ort3 + 4 - 16
End If

'maximum solar heat gain factors (ASHRAE Fundamentals 89, Table 34)
MSHGF_24_Jan = Array(27, 27, 41, 128, 190, 240, 253, 241, 227, 241, 253, 240, 190, 128, 41, 27,214)
MSHGF_24_Jul = Array(45, 116, 176, 210, 213, 185, 129, 65, 46, 65, 129, 185, 213, 210,176, 116,278)
MSHGF_32_Jan = Array(24, 24, 29, 105, 175, 229, 249, 250, 246, 250, 249, 229, 175, 105, 29, 24,176)
MSHGF_32_Jul = Array(40, 111, 167, 204, 215, 194, 150, 96, 72, 96, 150, 194, 215, 204,167, 111,273)
MSHGF_40_Jan = Array(20, 20, 20, 74, 154, 205, 241, 252, 254, 252, 241, 205, 154, 74, 20, 20, 133)
MSHGF_40_Jul = Array(38, 102, 163, 198, 216, 203, 170, 129, 109, 129, 170, 203,216,198,163,102,262)
MSHGF_48_Jan = Array(15, 15, 15, 53, 118, 175, 216, 239, 245, 239, 216, 175, 118, 53, 15, 15, 85)
MSHGF_48_Jul = Array(37, 96, 156, 196, 214, 209, 187, 158, 146, 158, 187, 209, 214, 196,156,96,244)

'24 hour average CLTD for walls and roofs + LM correction (ASHRAE Fundamentals 89, Table 29,31,32)
CLTDcorr=(CLTD+LM)+(78-Tr)+ (To-85) Tr is indoor design T, To is average outdoor T on design day.
CLTDS_24_Jul = Array(13, 17, 20, 20, 22, 18.5, 18, 14, 11, 14, 18, 18.5, 22, 20, 20, 17, 30)
CLTDS_32_Jul = Array(13, 16, 19, 20, 22, 20.5, 20, 16, 14, 16, 20, 20.5, 22, 20, 19, 16, 30)
CLTDS_40_Jul = Array(12, 15, 18, 20, 22, 21.5, 21, 19, 18, 19, 21, 21.5, 22, 20, 18, 15, 30)
CLTDS_48_Jul = Array(12, 14, 18, 20, 23, 22.5, 24, 22, 21, 22, 24, 22.5, 23, 20, 18, 14, 29)
CLTDS_24_Jan = Array(8, 9, 10, 11, 16, 18.5, 30, 22, 30, 22, 30, 18.5, 16, 11, 10, 9, 18)
CLTDS_32_Jan = Array(7, 8, 9, 9, 14, 6.5, 17, 21, 26, 21, 17, 6.5, 14, 9, 9, 8, 41)
CLTDS_40_Jan = Array(7, 8, 8, 8, 13, 15.5, 22, 27, 28, 27, 22, 15.5, 13, 8, 8, 8, 10)
CLTDS_48_Jan = Array(6, 7, 7, 7, 11, 13.5, 20, 24, 25, 24, 20, 13.5, 11, 7, 7, 5)

'CLFtot for glass with interior shading (ASHRAE Fundamentals 89, Table 39)
CLFtot_glass = Array(11.58, 5.74, 5.13, 5.34, 5.5, 5.86, 6.23, 6.45, 6.44, 6.48, 6.2, 5.83, _
5.47, 5.33, 5.15, 5.77, 8.21)
```

```

'MSHGF (interpolate between two closest latitudes)
If Lat >= 24 And Lat <= 32 Then
  MSHGF1_Jul = MSHGF_24_Jul(Ort1) + (MSHGF_32_Jul(Ort1) - MSHGF_24_Jul(Ort1)) * (Lat - 24) / 8
  MSHGF1_Jan = MSHGF_24_Jan(Ort1) + (MSHGF_32_Jan(Ort1) - MSHGF_24_Jan(Ort1)) * (Lat - 24) / 8
  MSHGF2_Jul = MSHGF_24_Jul(Ort2) + (MSHGF_32_Jul(Ort2) - MSHGF_24_Jul(Ort2)) * (Lat - 24) / 8
  MSHGF2_Jan = MSHGF_24_Jan(Ort2) + (MSHGF_32_Jan(Ort2) - MSHGF_24_Jan(Ort2)) * (Lat - 24) / 8
  MSHGF3_Jul = MSHGF_24_Jul(Ort3) + (MSHGF_32_Jul(Ort3) - MSHGF_24_Jul(Ort3)) * (Lat - 24) / 8
  MSHGF3_Jan = MSHGF_24_Jan(Ort3) + (MSHGF_32_Jan(Ort3) - MSHGF_24_Jan(Ort3)) * (Lat - 24) / 8
  MSHGF4_Jul = MSHGF_24_Jul(Ort4) + (MSHGF_32_Jul(Ort4) - MSHGF_24_Jul(Ort4)) * (Lat - 24) / 8
  MSHGF4_Jan = MSHGF_24_Jan(Ort4) + (MSHGF_32_Jan(Ort4) - MSHGF_24_Jan(Ort4)) * (Lat - 24) / 8
  MSHGF5_Jul = MSHGF_24_Jul(16) + (MSHGF_32_Jul(16) - MSHGF_24_Jul(16)) * (Lat - 24) / 8
  MSHGF5_Jan = MSHGF_24_Jan(16) + (MSHGF_32_Jan(16) - MSHGF_24_Jan(16)) * (Lat - 24) / 8
End If

If Lat > 32 And Lat <= 40 Then
  MSHGF1_Jul = MSHGF_32_Jul(Ort1) + (MSHGF_40_Jul(Ort1) - MSHGF_32_Jul(Ort1)) * (Lat - 32) / 8
  MSHGF1_Jan = MSHGF_32_Jan(Ort1) + (MSHGF_40_Jan(Ort1) - MSHGF_32_Jan(Ort1)) * (Lat - 32) / 8
  MSHGF2_Jul = MSHGF_32_Jul(Ort2) + (MSHGF_40_Jul(Ort2) - MSHGF_32_Jul(Ort2)) * (Lat - 32) / 8
  MSHGF2_Jan = MSHGF_32_Jan(Ort2) + (MSHGF_40_Jan(Ort2) - MSHGF_32_Jan(Ort2)) * (Lat - 32) / 8
  MSHGF3_Jul = MSHGF_32_Jul(Ort3) + (MSHGF_40_Jul(Ort3) - MSHGF_32_Jul(Ort3)) * (Lat - 32) / 8
  MSHGF3_Jan = MSHGF_32_Jan(Ort3) + (MSHGF_40_Jan(Ort3) - MSHGF_32_Jan(Ort3)) * (Lat - 32) / 8
  MSHGF4_Jul = MSHGF_32_Jul(Ort4) + (MSHGF_40_Jul(Ort4) - MSHGF_32_Jul(Ort4)) * (Lat - 32) / 8
  MSHGF4_Jan = MSHGF_32_Jan(Ort4) + (MSHGF_40_Jan(Ort4) - MSHGF_32_Jan(Ort4)) * (Lat - 32) / 8
  MSHGF5_Jul = MSHGF_32_Jul(16) + (MSHGF_40_Jul(16) - MSHGF_32_Jul(16)) * (Lat - 32) / 8
  MSHGF5_Jan = MSHGF_32_Jan(16) + (MSHGF_40_Jan(16) - MSHGF_32_Jan(16)) * (Lat - 32) / 8
End If

If Lat > 40 And Lat <= 48 Then
  MSHGF1_Jul = MSHGF_40_Jul(Ort1) + (MSHGF_48_Jul(Ort1) - MSHGF_40_Jul(Ort1)) * (Lat - 40) / 8
  MSHGF1_Jan = MSHGF_40_Jan(Ort1) + (MSHGF_48_Jan(Ort1) - MSHGF_40_Jan(Ort1)) * (Lat - 40) / 8
  MSHGF2_Jul = MSHGF_40_Jul(Ort2) + (MSHGF_48_Jul(Ort2) - MSHGF_40_Jul(Ort2)) * (Lat - 40) / 8
  MSHGF2_Jan = MSHGF_40_Jan(Ort2) + (MSHGF_48_Jan(Ort2) - MSHGF_40_Jan(Ort2)) * (Lat - 40) / 8
  MSHGF3_Jul = MSHGF_40_Jul(Ort3) + (MSHGF_48_Jul(Ort3) - MSHGF_40_Jul(Ort3)) * (Lat - 40) / 8
  MSHGF3_Jan = MSHGF_40_Jan(Ort3) + (MSHGF_48_Jan(Ort3) - MSHGF_40_Jan(Ort3)) * (Lat - 40) / 8
  MSHGF4_Jul = MSHGF_40_Jul(Ort4) + (MSHGF_48_Jul(Ort4) - MSHGF_40_Jul(Ort4)) * (Lat - 40) / 8
  MSHGF4_Jan = MSHGF_40_Jan(Ort4) + (MSHGF_48_Jan(Ort4) - MSHGF_40_Jan(Ort4)) * (Lat - 40) / 8
  MSHGF5_Jul = MSHGF_40_Jul(16) + (MSHGF_48_Jul(16) - MSHGF_40_Jul(16)) * (Lat - 40) / 8
  MSHGF5_Jan = MSHGF_40_Jan(16) + (MSHGF_48_Jan(16) - MSHGF_40_Jan(16)) * (Lat - 40) / 8
End If

QSOL1_Jul = MSHGF1_Jul * Awindow1 * SC1 * CLFtot_glass(Ort1) * FPS_Jul / 24
QSOL2_Jul = MSHGF2_Jul * Awindow2 * SC2 * CLFtot_glass(Ort2) * FPS_Jul / 24
QSOL3_Jul = MSHGF3_Jul * Awindow3 * SC3 * CLFtot_glass(Ort3) * FPS_Jul / 24
QSOL4_Jul = MSHGF4_Jul * Awindow4 * SC4 * CLFtot_glass(Ort4) * FPS_Jul / 24
QSOL5_Jul = MSHGF5_Jul * Awindow5 * SC5 * CLFtot_glass(16) * FPS_Jul / 24 'add to interior zone
QSOL_Jul = QSOL1_Jul + QSOL2_Jul + QSOL3_Jul + QSOL4_Jul 'add to exterior zone

QSOL1_Jan = MSHGF1_Jan * Awindow1 * SC1 * CLFtot_glass(Ort1) * FPS_Jan / 24
QSOL2_Jan = MSHGF2_Jan * Awindow2 * SC2 * CLFtot_glass(Ort2) * FPS_Jan / 24
QSOL3_Jan = MSHGF3_Jan * Awindow3 * SC3 * CLFtot_glass(Ort3) * FPS_Jan / 24
QSOL4_Jan = MSHGF4_Jan * Awindow4 * SC4 * CLFtot_glass(Ort4) * FPS_Jan / 24
QSOL5_Jan = MSHGF5_Jan * Awindow5 * SC5 * CLFtot_glass(16) * FPS_Jan / 24 'add to interior zone
QSOL_Jan = QSOL1_Jan + QSOL2_Jan + QSOL3_Jan + QSOL4_Jan 'add to exterior zone

M_QSOLe = (QSOL_Jul - QSOL_Jan) / (Tpkc - Tpkh)
M_QSOLi = (QSOL5_Jul - QSOL5_Jan) / (Tpkc - Tpkh)

QSOLe = QSOL_Jan + M_QSOLe * (TOA - Tpkh)
QSOLi = QSOL5_Jan + M_QSOLi * (TOA - Tpkh)

'CLTDS (interpolate between two closest latitudes)
If Lat >= 24 And Lat <= 32 Then
  CLTDS1_Jul = CLTDS_24_Jul(Ort1) + (CLTDS_32_Jul(Ort1) - CLTDS_24_Jul(Ort1)) * (Lat - 24) / 8
  CLTDS1_Jan = CLTDS_24_Jan(Ort1) + (CLTDS_32_Jan(Ort1) - CLTDS_24_Jan(Ort1)) * (Lat - 24) / 8
  CLTDS2_Jul = CLTDS_24_Jul(Ort2) + (CLTDS_32_Jul(Ort2) - CLTDS_24_Jul(Ort2)) * (Lat - 24) / 8
  CLTDS2_Jan = CLTDS_24_Jan(Ort2) + (CLTDS_32_Jan(Ort2) - CLTDS_24_Jan(Ort2)) * (Lat - 24) / 8
  CLTDS3_Jul = CLTDS_24_Jul(Ort3) + (CLTDS_32_Jul(Ort3) - CLTDS_24_Jul(Ort3)) * (Lat - 24) / 8
  CLTDS3_Jan = CLTDS_24_Jan(Ort3) + (CLTDS_32_Jan(Ort3) - CLTDS_24_Jan(Ort3)) * (Lat - 24) / 8
  CLTDS4_Jul = CLTDS_24_Jul(Ort4) + (CLTDS_32_Jul(Ort4) - CLTDS_24_Jul(Ort4)) * (Lat - 24) / 8
  CLTDS4_Jan = CLTDS_24_Jan(Ort4) + (CLTDS_32_Jan(Ort4) - CLTDS_24_Jan(Ort4)) * (Lat - 24) / 8
  CLTDS5_Jul = CLTDS_24_Jul(16) + (CLTDS_32_Jul(16) - CLTDS_24_Jul(16)) * (Lat - 24) / 8
  CLTDS5_Jan = CLTDS_24_Jan(16) + (CLTDS_32_Jan(16) - CLTDS_24_Jan(16)) * (Lat - 24) / 8
End If

If Lat > 32 And Lat <= 40 Then
  CLTDS1_Jul = CLTDS_32_Jul(Ort1) + (CLTDS_40_Jul(Ort1) - CLTDS_32_Jul(Ort1)) * (Lat - 32) / 8
  CLTDS1_Jan = CLTDS_32_Jan(Ort1) + (CLTDS_40_Jan(Ort1) - CLTDS_32_Jan(Ort1)) * (Lat - 32) / 8
  CLTDS2_Jul = CLTDS_32_Jul(Ort2) + (CLTDS_40_Jul(Ort2) - CLTDS_32_Jul(Ort2)) * (Lat - 32) / 8
  CLTDS2_Jan = CLTDS_32_Jan(Ort2) + (CLTDS_40_Jan(Ort2) - CLTDS_32_Jan(Ort2)) * (Lat - 32) / 8
  CLTDS3_Jul = CLTDS_32_Jul(Ort3) + (CLTDS_40_Jul(Ort3) - CLTDS_32_Jul(Ort3)) * (Lat - 32) / 8
  CLTDS3_Jan = CLTDS_32_Jan(Ort3) + (CLTDS_40_Jan(Ort3) - CLTDS_32_Jan(Ort3)) * (Lat - 32) / 8
  CLTDS4_Jul = CLTDS_32_Jul(Ort4) + (CLTDS_40_Jul(Ort4) - CLTDS_32_Jul(Ort4)) * (Lat - 32) / 8
  CLTDS4_Jan = CLTDS_32_Jan(Ort4) + (CLTDS_40_Jan(Ort4) - CLTDS_32_Jan(Ort4)) * (Lat - 32) / 8
  CLTDS5_Jul = CLTDS_32_Jul(16) + (CLTDS_40_Jul(16) - CLTDS_32_Jul(16)) * (Lat - 32) / 8
  CLTDS5_Jan = CLTDS_32_Jan(16) + (CLTDS_40_Jan(16) - CLTDS_32_Jan(16)) * (Lat - 32) / 8
End If

```

```

If Lat > 40 And Lat <= 48 Then
  CLTDS1_Jul = CLTDS_40_Jul(Ort1) + (CLTDS_48_Jul(Ort1) - CLTDS_40_Jul(Ort1)) * (Lat - 40) / 8
  CLTDS1_Jan = CLTDS_40_Jan(Ort1) + (CLTDS_48_Jan(Ort1) - CLTDS_40_Jan(Ort1)) * (Lat - 40) / 8
  CLTDS2_Jul = CLTDS_40_Jul(Ort2) + (CLTDS_48_Jul(Ort2) - CLTDS_40_Jul(Ort2)) * (Lat - 40) / 8
  CLTDS2_Jan = CLTDS_40_Jan(Ort2) + (CLTDS_48_Jan(Ort2) - CLTDS_40_Jan(Ort2)) * (Lat - 40) / 8
  CLTDS3_Jul = CLTDS_40_Jul(Ort3) + (CLTDS_48_Jul(Ort3) - CLTDS_40_Jul(Ort3)) * (Lat - 40) / 8
  CLTDS3_Jan = CLTDS_40_Jan(Ort3) + (CLTDS_48_Jan(Ort3) - CLTDS_40_Jan(Ort3)) * (Lat - 40) / 8
  CLTDS4_Jul = CLTDS_40_Jul(Ort4) + (CLTDS_48_Jul(Ort4) - CLTDS_40_Jul(Ort4)) * (Lat - 40) / 8
  CLTDS4_Jan = CLTDS_40_Jan(Ort4) + (CLTDS_48_Jan(Ort4) - CLTDS_40_Jan(Ort4)) * (Lat - 40) / 8
  CLTDS5_Jul = CLTDS_40_Jul(16) + (CLTDS_48_Jul(16) - CLTDS_40_Jul(16)) * (Lat - 40) / 8
  CLTDS5_Jan = CLTDS_40_Jan(16) + (CLTDS_48_Jan(16) - CLTDS_40_Jan(16)) * (Lat - 40) / 8
End If

If COLORwall = "Dark colored" Then Kwall = 1
If COLORwall = "Medium colored" Then Kwall = 0.83
If COLORwall = "Light colored" Then Kwall = 0.65
If COLORroof = "Dark colored" Then Kroof = 1
If COLORroof = "Light colored" Then Kroof = 0.5

CLTDScorr1_Jul = CLTDS1_Jul * Kwall + (78 - Te) + (Todes - 85)
CLTDScorr2_Jul = CLTDS2_Jul * Kwall + (78 - Te) + (Todes - 85)
CLTDScorr3_Jul = CLTDS3_Jul * Kwall + (78 - Te) + (Todes - 85)
CLTDScorr4_Jul = CLTDS4_Jul * Kwall + (78 - Te) + (Todes - 85)
CLTDScorr5_Jul = CLTDS5_Jul * Kroof + (78 - Te) + (Todes - 85)
CLTDScorr5_Jul_i = CLTDS5_Jul * Kroof + (78 - Ti) + (Todes - 85)

CLTDScorr1_Jan = CLTDS1_Jan * Kwall + (78 - Te) + (Todes - 85)
CLTDScorr2_Jan = CLTDS2_Jan * Kwall + (78 - Te) + (Todes - 85)
CLTDScorr3_Jan = CLTDS3_Jan * Kwall + (78 - Te) + (Todes - 85)
CLTDScorr4_Jan = CLTDS4_Jan * Kwall + (78 - Te) + (Todes - 85)
CLTDScorr5_Jan = CLTDS5_Jan * Kroof + (78 - Te) + (Todes - 85)
CLTDScorr5_Jan_i = CLTDS5_Jan * Kroof + (78 - Ti) + (Todes - 85)

QTS1_Jul = Awall1 * Uwall * CLTDScorr1_Jul * FPS_Jul
QTS2_Jul = Awall2 * Uwall * CLTDScorr2_Jul * FPS_Jul
QTS3_Jul = Awall3 * Uwall * CLTDScorr3_Jul * FPS_Jul
QTS4_Jul = Awall4 * Uwall * CLTDScorr4_Jul * FPS_Jul
QTS5e_Jul = Aroof * XAe * Uroof * CLTDScorr5_Jul * FPS_Jul
QTS5i_Jul = (Aroof * XAi - Awindow5) * Uroof * CLTDScorr5_Jul_i * FPS_Jul 'add to interior zone
QTS_Jul = QTS1_Jul + QTS2_Jul + QTS3_Jul + QTS4_Jul + QTS5e_Jul 'add to exterior zone

QTS1_Jan = Awall1 * Uwall * CLTDScorr1_Jan * FPS_Jan
QTS2_Jan = Awall2 * Uwall * CLTDScorr2_Jan * FPS_Jan
QTS3_Jan = Awall3 * Uwall * CLTDScorr3_Jan * FPS_Jan
QTS4_Jan = Awall4 * Uwall * CLTDScorr4_Jan * FPS_Jan
QTS5e_Jan = Aroof * XAe * Uroof * CLTDScorr5_Jan * FPS_Jan
QTS5i_Jan = (Aroof * XAi - Awindow5) * Uroof * CLTDScorr5_Jan_i * FPS_Jan 'add to interior zone
QTS_Jan = QTS1_Jan + QTS2_Jan + QTS3_Jan + QTS4_Jan + QTS5e_Jan 'add to exterior zone

M_QTSe = (QTS_Jul - QTS_Jan) / (Tpkc - Tpkh)
M_QTSi = (QTS5i_Jul - QTS5i_Jan) / (Tpkc - Tpkh)

If TOA > Te Then
  QTSe = QTS_Jan + M_QTSe * (TOA - Tpkh)
Else
  QTSe = 0
End If

If TOA > Ti Then
  QTSi = QTS5i_Jan + M_QTSi * (TOA - Tpkh)
Else
  QTSi = 0
End If
'Note: transmission load solar component is counted only when Tambient > Troom

'Internal heat gain from occupants
QsOCPe_ocp = 245 * OCPE * AOF_ocp
QsOCPI_ocp = 245 * OCPI * AOF_ocp
QsOCPe_unocp = 245 * OCPE * AOF_unocp
QsOCPI_unocp = 245 * OCPI * AOF_unocp

QlOCPe_ocp = 155 * OCPE * AOF_ocp
QlOCPI_ocp = 155 * OCPI * AOF_ocp
QlOCPe_unocp = 155 * OCPE * AOF_unocp
QlOCPI_unocp = 155 * OCPI * AOF_unocp

QLTEQe_ocp = 3412 * XAe * LTEQ_ocp
QLTEQi_ocp = 3412 * XAi * LTEQ_ocp
QLTEQe_unocp = 3412 * XAe * LTEQ_unocp
QLTEQi_unocp = 3412 * XAi * LTEQ_unocp

If IFOCP = True Then
  qes = QTe + QSOLE + QTSe + QsOCPe_ocp + QLTEQe_ocp
  qis = QTl + QSOLi + QTSi + QsOCPI_ocp + QLTEQi_ocp
  qel = QlOCPe_ocp
  qil = QlOCPI_ocp

```

```

    f_Load = Array(qes, qis, qel, qil, _
        QTe, QSOLe, QTSe, QsOCPe_ocp, QLTEQe_ocp, _
        QTl, QSOLi, QTSi, QsOCPi_ocp, QLTEQi_ocp)
Else
    qes = QTe + QsOCPe_unocp + QLTEQe_unocp
    qis = QTl + QsOCPi_unocp + QLTEQi_unocp
    qel = QlOCPe_unocp
    qil = QlOCPi_unocp
    f_Load = Array(qes, qis, qel, qil, _
        QTe, 0, 0, QsOCPe_unocp, QLTEQe_unocp, _
        QTl, 0, 0, QsOCPi_unocp, QLTEQi_unocp)
End If
End Function

Public Function f_CVTR(ByVal qes As Double, ByVal qis As Double, _
    ByVal qel As Double, ByVal qil As Double, _
    ByVal Te As Double, ByVal Ti As Double, ByVal TCL As Double, _
    ByVal Ve As Double, ByVal Vi As Double, _
    ByVal PSF As Double, ByVal PRF As Double, _
    ByVal OACtrl As Integer, ByVal XOamin As Double, ByVal VOamin As Double, ByVal VOamax As Double, _
    ByVal TOA As Double, ByVal wOA As Double, ByVal ECM As Boolean)

    'supply air temperatures and flow rates
    TeS = Te - qes / (1.08 * Ve)
    TiS = Ti - qis / (1.08 * Vi)

    'reheat coil consumption
    qeRH = 1.08 * Ve * (TeS - TCL)
    qiRH = 1.08 * Vi * (TiS - TCL)

    'flow rates and fractions
    VT = Ve + Vi
    Xe = Ve / VT:   Xi = Vi / VT

    'return air temperature
    TR = Xe * Te + Xi * Ti

    'outside air
    If OACtrl = 1 Then
        If VOamax > VT Then VOamax = VT
        XOA = XOA_ECM(ECM, TOA, XOamin, TCL, TR, VOamax / VT)
        VOA = XOA * VT
    End If
    If OACtrl = 2 Then
        VOA = VOA_ECM(ECM, TOA, VOamin, TCL, TR, VT, VOamax)
        XOA = VOA / VT
    End If
    XR = 1 - XOA
    VR = XR * VT

    'system cooling capacity varification
    If TeS < TCL Or TiS < TCL Or VOA > VT Then
        ExCap = True
    Else
        ExCap = False
    End If

    'fan power and temperature rise
    dTSF = 0.7457 * 3412 * PSF / (1.08 * VT)
    dTRF = 0.7457 * 3412 * PRF / (1.08 * VT)

    'preheat load
    TMA = XOA * TOA + XR * (TR + dTRF)
    If TMA < TCL - dTSF Then
        TPH = TCL - dTSF
        qPH = 1.08 * VT * (TPH - TMA)
    Else
        TPH = TMA
        qPH = 0
    End If
    TCE = TPH + dTSF

    'humidity ratios for latent load calculation
    wCL = w_RH(TCL, 0.9, 14.696)
    wRw = wCL + (qel + qil) / (4840 * VT)
    wRd = wOA + (qel + qil) / (4840 * XOA * VT)
    wMA = XOA * wOA + XR * wRw

    If wMA < wCL Then
        WetCoil = False
        wR = wRd
    Else
        WetCoil = True
        wR = wRw
    End If

```

```

wMA = XOA * wOA + XR * wR           '**
wPH = wMA                           '**
wCE = wPH                             '**

RH = wR / w_sat((Te + Ti) / 2, 14.696) * 100   '**

'consumptions
qCs = 1.08 * VT * (TCE - TCL)

If WetCoil = False Then
    qCl = 0
Else
    qCl = 4840 * VT * (wCE - wCL)
End If

qCT = qCs + qCl                       '**
qHT = qPH + qeRH + qiRH
qFT = 0.7457 * 3412 * (PSF + PRF)     '**

f_CVTR = Array(qCs, qCl, qCT, qPH, qeRH, qiRH, qHT, qFT, _
               dTSF, dTRF, TeS, TiS, TR, TMA, TPH, TCE, Te, Ti, TCL, _
               VOA, VR, VT, _
               XOA, XR, _
               wR, wMA, wCL, WetCoil, RH, ExCap)
End Function

Public Function f_DDCV(ByVal qes As Double, ByVal qis As Double, _
    ByVal qel As Double, ByVal qil As Double, _
    ByVal Te As Double, ByVal Ti As Double, _
    ByVal TCL As Double, ByVal THLset As Double, _
    ByVal Ve As Double, ByVal Vi As Double, _
    ByVal PSF As Double, ByVal PRF As Double, _
    ByVal OACtrl As Integer, ByVal XOamin As Double, ByVal VOamin As Double, ByVal VOamax As Double, _
    ByVal TOA As Double, ByVal wOA As Double, ByVal ECM As Boolean)

    VT = Ve + Vi
    Xe = Ve / VT:   Xi = Vi / VT

    'return air temperature
    TR = Xe * Te + Xi * Ti           '**

    'outside air
    If OACtrl = 1 Then               '**
        If VOamax > VT Then VOamax = VT
        XOA = XOA_ECM(ECM, TOA, XOamin, TCL, TR, VOamax / VT)   '**
        VOA = XOA * VT               '**
    End If                           '**
    If OACtrl = 2 Then               '**
        VOA = VOA_ECM(ECM, TOA, VOamin, TCL, TR, VT, VOamax)   '**
        XOA = VOA / VT               '**
    End If                           '**
    XR = 1 - XOA                     '**
    VR = XR * VT                     '**

    'fan power and temperature rise
    dTSF = 0.7457 * 3412 * PSF / (1.08 * VT)   '**
    dTRF = 0.7457 * 3412 * PRF / (1.08 * VT)   '**

    'preheat load
    TMA = XOA * TOA + XR * (TR + dTRF)         '**
    If TMA < TCL - dTSF Then                   '**
        TPH = TCL - dTSF                       '**
        qPH = 1.08 * VT * (TPH - TMA)           '**
    Else                                       '**
        TPH = TMA                             '**
        qPH = 0                               '**
    End If                                     '**

    TCE = TPH + dTSF                           '**
    THE = TCE

    If THE > THLset Then                       '**
        THLact = THE
    Else                                       '**
        THLact = THLset
    End If                                     '**

    'supply air temperatures and flow rates
    TeS = Te - qes / (1.08 * Ve)
    TiS = Ti - qis / (1.08 * Vi)

    'system cooling and heating capacity varification
    If TeS < TCL Or TiS < TCL Or TeS > THLset Or TiS > THLset _
        Or VOA > VT Then
        ExCap = True

```

```

Else
  ExCap = False
End If

VeC = Ve * (THLact - TeS) / (THLact - TCL)
VeH = Ve - VeC
ViC = Vi * (THLact - TiS) / (THLact - TCL)
ViH = Vi - ViC

'flow rates and fractions
VC = VeC + ViC: VH = VeH + ViH
If VC > 0 Then
  XeC = VeC / VC
  XiC = ViC / VC
Else
  XeC = 0
  XiC = 0
End If
If VH > 0 Then
  XeH = VeH / VH
  XiH = ViH / VH
Else
  XeH = 0
  XiH = 0
End If
XC = VC / VT: XH = VH / VT

'humidity ratios for latent load calculation
wCL = w_RH(TCL, 0.9, 14.696)
wRw = (XC * wCL + XH * XOA * wOA + (qel + qil) / (4840 * VT)) / (1 - XH * XR)
wRd = wOA + (qel + qil) / (4840 * XOA * VT)
wMA = XOA * wOA + XR * wRw

If wMA < wCL Then
  WetCoil = False
  wR = wRd
Else
  WetCoil = True
  wR = wRw
End If

wMA = XOA * wOA + XR * wR
wPH = wMA
wCE = wPH

RH = wR / w_sat((Te + Ti) / 2, 14.696) * 100

'consumptions
qCs = 1.08 * VC * (TCE - TCL)

If WetCoil = False Then
  qCl = 0
Else
  qCl = 4840 * VC * (wCE - wCL)
End If

qCT = qCs + qCl
qH = 1.08 * VH * (THLact - THE)
qHT = qPH + qH
qFT = 0.7457 * 3412 * (PSF + PRF)

f_DDCV = Array(qCs, qCl, qCT, qPH, qH, qHT, qFT, _
  dTSP, dTRF, TeS, TiS, TR, TMA, TPH, TCE, Te, Ti, TCL, THLset, _
  VeC, ViC, VeH, ViH, VC, VH, VOA, VR, VT, _
  XeC, XiC, XeH, XiH, XC, XH, XOA, XR, _
  wR, wMA, wCL, WetCoil, RH, ExCap)
End Function

Public Function f_SDVAV(ByVal qes As Double, ByVal qis As Double, _
  ByVal qel As Double, ByVal qil As Double, _
  ByVal Te As Double, ByVal Ti As Double, ByVal TCL As Double, _
  ByVal VTD As Double, ByVal Vemin As Double, ByVal Vimin As Double, _
  ByVal PSF_rated As Double, ByVal PRF_rated As Double, _
  ByVal OACtrl As Integer, ByVal XOamin As Double, ByVal VOamin As Double, ByVal VOamax As Double, _
  ByVal TOA As Double, ByVal wOA As Double, _
  ByVal ECM As Boolean, ByVal VSM As Integer)

'supply air temperatures and flow rates
If qes <= 0 Then
  TeS = Te - qes / (1.08 * Vemin)
  Ve = Vemin
  If TeS > 120 Then
    TeS = 120
    Ve = qes / (1.08 * (Te - TeS))
  End If
Else

```



```

If Te <= TCL Then
  ExCap = True
Else
  If qes / (1.08 * (Te - TCL)) < Vemin Then
    TeS = Te - qes / (1.08 * Vemin)
    Ve = Vemin
  Else
    TeS = TCL
    Ve = qes / (1.08 * (Te - TeS))
  End If
End If
End If

If qis <= 0 Then
  TiS = Ti - qis / (1.08 * Vimin)
  Vi = Vimin
  If TiS > 120 Then
    TiS = 120
    Vi = qis / (1.08 * (Ti - TiS))
  End If
Else
  If Ti <= TCL Then
    ExCap = True
  Else
    If qis / (1.08 * (Ti - TCL)) < Vimin Then
      TiS = Ti - qis / (1.08 * Vimin)
      Vi = Vimin
    Else
      TiS = TCL
      Vi = qis / (1.08 * (Ti - TiS))
    End If
  End If
End If

'reheat coil consumption
qeRH = 1.08 * Ve * (TeS - TCL)
qiRH = 1.08 * Vi * (TiS - TCL)

'flow rates and fractions
VT = Ve + Vi
If VT = 0 Then VT = 0.01
Xe = Ve / VT:  Xi = Vi / VT

'return air temperature
TR = Xe * Te + Xi * Ti      '**

'outside air
If OACtrl = 1 Then          '**
  If VOAmx > VT Then VOAmx = VT
  XOA = XOA_ECM(ECM, TOA, XOAmn, TCL, TR, VOAmx / VT)      '**
  VOA = XOA * VT          '**
End If                      '**
If OACtrl = 2 Then          '**
  VOA = VOA_ECM(ECM, TOA, VOAmn, TCL, TR, VT, VOAmx)      '**
  XOA = VOA / VT          '**
End If                      '**
XR = 1 - XOA                '**
VR = XR * VT                '**

'system cooling capacity varification
If TeS < TCL Or TiS < TCL Or VT > VTD Or VOA > VT Then
  ExCap = True
Else
  ExCap = False
End If

'fan power and temperature rise
PLR = VT / VTD
PSF = FANP(PSF_rated, PLR, VSM)
PRF = FANP(PRF_rated, PLR, VSM)
dTTSF = 0.7457 * 3412 * PSF / (1.08 * VT)      '**
dTTRF = 0.7457 * 3412 * PRF / (1.08 * VT)      '**

'preheat load
TMA = XOA * TOA + XR * (TR + dTRF)              '**
If TMA < TCL - dTTSF Then                        '**
  TPH = TCL - dTTSF                             '**
  qPH = 1.08 * VT * (TPH - TMA)                  '**
Else                                              '**
  TPH = TMA                                       '**
  qPH = 0                                         '**
End If                                           '**

TCE = TPH + dTTSF                               '**

'humidity ratios for latent load calculation
wCL = w_RH(TCL, 0.9, 14.696)                    '**

```

```

wRw = wCL + (qel + qil) / (4840 * VT)
wRd = wOA + (qel + qil) / (4840 * XOA * VT)
wMA = XOA * wOA + XR * wRw

If wMA < wCL Then
    WetCoil = False
    wR = wRd
Else
    WetCoil = True
    wR = wRw
End If

wMA = XOA * wOA + XR * wR
wPH = wMA
wCE = wPH

RH = wR / w_sat((Te + Ti) / 2, 14.696) * 100

'consumptions
qCs = 1.08 * VT * (TCE - TCL)

If WetCoil = False Then
    qCl = 0
Else
    qCl = 4840 * VT * (wCE - wCL)
End If

qCT = qCs + qCl
qHT = qPH + qeRH + qiRH
qFT = 0.7457 * 3412 * (PSF + PRF)

f_SDVAV = Array(qCs, qCl, qCT, qPH, qeRH, qiRH, qHT, qFT, _
    dTSF, dTRF, TeS, TiS, TR, TMA, TPH, TCE, Te, Ti, TCL, _
    Ve, Vi, VOA, VR, VT, _
    Xe, Xi, XOA, XR, _
    PLR, PSF, PRF, wR, wMA, wCL, WetCoil, RH, ExCap)
End Function

Public Function f_DDVAV(ByVal qes As Double, ByVal qis As Double, _
    ByVal qel As Double, ByVal qil As Double, _
    ByVal Te As Double, ByVal Ti As Double, _
    ByVal TCL As Double, ByVal THLset As Double, _
    ByVal VTD As Double, ByVal Vemin As Double, ByVal Vimin As Double, _
    ByVal PSF_rated As Double, ByVal PRF_rated As Double, _
    ByVal OACtrl As Integer, ByVal XOamin As Double, ByVal VOamin As Double, ByVal VOamax As Double, _
    ByVal TOA As Double, ByVal wOA As Double, _
    ByVal ECM As Boolean, ByVal VSM As Integer)

'supply air temperatures and flow rates
If qis > 0 Then
    TiS = TCL
    ViC = qis / (1.08 * (Ti - TiS))
    ViH = 0
Else
    TiS = THLset
    ViH = qis / (1.08 * (Ti - TiS))
    ViC = 0
End If

If qes > 0 Then
    TeS = TCL
    VeC = qes / (1.08 * (Te - TeS))
    VeH = 0
Else
    TeS = THLset
    VeH = qes / (1.08 * (Te - TeS))
    VeC = 0
End If

'THLact and flow rates
If VeC + VeH < Vemin Then 'Ve=Vemin
    If ViC + ViH < Vimin Then 'Vi=Vimin
        VT = Vemin + Vimin
        Xe = Vemin / VT: Xi = Vimin / VT
    Else 'Vi>Vimin
        If qis >= 0 Then
            VT = Vemin + ViC
            Xe = Vemin / VT: Xi = ViC / VT
        Else
            VT = Vemin + ViH
            Xe = Vemin / VT: Xi = ViH / VT
        End If
    End If
    TR = Xe * Te + Xi * Ti
    If OACtrl = 1 Then Stop

```

```

If OACtrl = 2 Then
  VOA = VOamin
  XOA = VOA / VT
End If
XR = 1 - XOA
VR = XR * VT
dTsf = 2 'assumed
dTRF = 0 'assumed
TMA = XOA * TOA + XR * (TR + dTRF)
If TMA < TCL - dTsf Then
  TPH = TCL - dTsf
Else
  TPH = TMA
End If
TCE = TPH + dTsf
THE = TCE
If THE > THLset Then
  THLact = THE
Else
  THLact = THLset
End If
TeS = Te - qes / (1.08 * Vemin)
VeC = Vemin * (THLact - TeS) / (THLact - TCL)
VeH = Vemin - VeC
End If

If ViC + ViH < Vimin Then 'Vi=Vimin
  If THLact = 0 Then 'Ve>Vemin
    If qes >= 0 Then
      VT = Vimin + VeC
      Xe = VeC / VT: Xi = Vimin / VT
    Else
      VT = Vimin + VeH
      Xe = VeH / VT: Xi = Vimin / VT
    End If
    TR = Xe * Te + Xi * Ti
    If OACtrl = 1 Then Stop
    If OACtrl = 2 Then
      VOA = VOamin
      XOA = VOA / VT
    End If
    XR = 1 - XOA
    VR = XR * VT
    dTsf = 2 'assumed
    dTRF = 0 'assumed
    TMA = XOA * TOA + XR * (TR + dTRF)
    If TMA < TCL - dTsf Then
      TPH = TCL - dTsf
    Else
      TPH = TMA
    End If
    TCE = TPH + dTsf
    THE = TCE
    If THE > THLset Then
      THLact = THE
    Else
      THLact = THLset
    End If
    End If
    TiS = Ti - qis / (1.08 * Vimin)
    ViC = Vimin * (THLact - TiS) / (THLact - TCL)
    ViH = Vimin - ViC
  End If

'flow rates and fractions
Ve = VeC + VeH: Vi = ViC + ViH
VT = Ve + Vi
If VT = 0 Then VT = 0.01
Xe = Ve / VT: Xi = Vi / VT
VC = VeC + ViC: VH = VeH + ViH
If VC > 0 Then
  XeC = VeC / VC
  XiC = ViC / VC
Else
  XeC = 0
  XiC = 0
End If
If VH > 0 Then
  XeH = VeH / VH
  XiH = ViH / VH
Else
  XeH = 0
  XiH = 0
End If
XC = VC / VT: XH = VH / VT

```

```

'return air temperature
TR = Xe * Te + Xi * Ti
If OACtrl = 1 Then
    If VOAmix > VT Then VOAmix = VT
    XOA = XOA_ECM(ECM, TOA, XOAmix, TCL, TR, VOAmix / VT)
    VOA = XOA * VT
End If
If OACtrl = 2 Then
    VOA = VOA_ECM(ECM, TOA, VOAmix, TCL, TR, VT, VOAmix)
    XOA = VOA / VT
End If
XR = 1 - XOA
VR = XR * VT

'system cooling and heating capacity varification
If TeS < TCL Or TiS < TCL Or TeS > THLset Or TiS > THLset _
    Or VT > VTD Or VOA > VT Then
    ExCap = True
Else
    ExCap = False
End If

'fan power and temperature rise
PLR = VT / VTD
PSF = FANP(PSF_rated, PLR, VSM)
PRF = FANP(PRF_rated, PLR, VSM)
dTSP = 0.7457 * 3412 * PSF / (1.08 * VT)
dTRF = 0.7457 * 3412 * PRF / (1.08 * VT)

'preheat load
TMA = XOA * TOA + XR * (TR + dTRF)
If TMA < TCL - dTSP Then
    TPH = TCL - dTSP
    qPH = 1.08 * VT * (TPH - TMA)
Else
    TPH = TMA
    qPH = 0
End If

TCE = TPH + dTSP
THE = TCE

If THE > THLset Then
    THLact = THE
Else
    THLact = THLset
End If

'humidity ratios for latent load calculation
wCL = w_RH(TCL, 0.9, 14.696)
wRw = (XC * wCL + XH * XOA * wOA + (qel + qil) / (4840 * VT)) / (1 - XH * XR)
wRd = wOA + (qel + qil) / (4840 * XOA * VT)
wMA = XOA * wOA + XR * wRw

If wMA < wCL Then
    WetCoil = False
    wR = wRd
Else
    WetCoil = True
    wR = wRw
End If

wMA = XOA * wOA + XR * wR
wPH = wMA
wCE = wPH
RH = wR / w_sat((Te + Ti) / 2, 14.696) * 100

'consumptions
qCs = 1.08 * VC * (TCE - TCL)

If WetCoil = False Then
    qCl = 0
Else
    qCl = 4840 * VC * (wCE - wCL)
End If

qCT = qCs + qCl
qH = 1.08 * VH * (THLact - THE)
qHT = qPH + qH
qFT = 0.7457 * 3412 * (PSF + PRF)

f_DDVAV = Array(qCs, qCl, qCT, qPH, qH, qHT, qFT, _
    dTSP, dTRF, TeS, TiS, TR, TMA, TPH, TCE, Te, Ti, TCL, THLset, _
    VeC, ViC, VeH, ViH, VC, VH, Ve, Vi, VOA, VR, VT, _
    XeC, XiC, XeH, XiH, XC, XH, Xe, Xi, XOA, XR, _
    PLR, PSF, PRF, wR, wMA, wCL, WetCoil, RH, ExCap)
End Function

```

```

Public Function FANP(ByVal Pfan_rated As Double, ByVal PLR As Double, ByVal VSM As Integer) As Double
    If VSM = 1 Then FANP = Pfan_rated * (0.00153 + 0.0052 * PLR + 1.1086 * PLR ^ 2 - 0.1164 * PLR ^ 3)
    If VSM = 2 Then FANP = Pfan_rated * (0.351 + 0.308 * PLR - 0.541 * PLR ^ 2 + 0.872 * PLR ^ 3)
    If VSM = 3 Then FANP = Pfan_rated * (0.371 + 0.973 * PLR - 0.342 * PLR ^ 2)
End Function

```

```

Public Function XOA_ECM(ByVal ECM As Boolean, ByVal TOA As Double, ByVal XOAMin As Double, _
    ByVal TCL As Double, ByVal TR As Double, ByVal XOAMax As Double) As Double
    If ECM = False Then
        XOA_ECM = XOAMin
    Else
        If TOA > 65 Then
            XOA_ECM = XOAMin
        Else
            If TOA >= TCL Then
                XOA_ECM = XOAMax
            Else
                XOA_ECM = Application.WorksheetFunction.Max(XOAMin, _
                    Application.WorksheetFunction.Min(XOAMax, (TCL - TR) / (TOA - TR))) 'TOA<TCL
            End If
        End If
    End If
End Function

```

```

Public Function VOA_ECM(ByVal ECM As Boolean, ByVal TOA As Double, ByVal VOAMin As Double, _
    ByVal TCL As Double, ByVal TR As Double, ByVal VT As Double, ByVal VOAMax As Double) As Double
    If ECM = False Then
        VOA_ECM = VOAMin
    Else
        If TOA > 65 Then
            VOA_ECM = VOAMin
        Else
            If TOA >= TCL Then
                VOA_ECM = Application.WorksheetFunction.Min(VOAMax, VT)
            Else 'TOA<TCL
                VOA_ECM = Application.WorksheetFunction.Max(VOAMin, _
                    Application.WorksheetFunction.Min(VOAMax, (TCL - TR) / (TOA - TR) * VT))
            End If
        End If
    End If
End Function

```

```

Public Function w_sat(ByVal TOA As Double, ByVal Pt As Double) As Double
    Dim C(20) As Double, pw As Double, T As Double
    C(1) = -10214.165: C(8) = -10440.39:
    C(2) = -4.8932428: C(9) = -11.29465:
    C(3) = -0.0053765794: C(10) = -0.027022355:
    C(4) = 0.00000019202377: C(11) = 0.00001289036:
    C(5) = 3.5575832E-10: C(12) = -0.00000002478068:
    C(6) = -9.0344688E-14: C(13) = 6.5459673
    C(7) = 4.1635019
    T = TOA + 459.67
    If TOA < 32 Then
        pw = C(1) / T + C(2) + C(3) * T + C(4) * T ^ 2 + C(5) * T ^ 3 _
            + C(6) * T ^ 4 + C(7) * Log(T)
    Else
        pw = C(8) / T + C(9) + C(10) * T + C(11) * T ^ 2 + C(12) * T ^ 3 _
            + C(13) * Log(T)
    End If
    pw = Exp(pw)
    w_sat = 0.62198 * pw / (Pt - pw)
End Function

```

```

Public Function w_RH(ByVal TOA As Double, ByVal RH As Double, ByVal Pt As Double) As Double
    Dim C(20), pw, T, Pwh, wsat As Double
    C(1) = -10214.165: C(8) = -10440.39:
    C(2) = -4.8932428: C(9) = -11.29465:
    C(3) = -0.0053765794: C(10) = -0.027022355:
    C(4) = 0.00000019202377: C(11) = 0.00001289036:
    C(5) = 3.5575832E-10: C(12) = -0.00000002478068:
    C(6) = -9.0344688E-14: C(13) = 6.5459673
    C(7) = 4.1635019
    T = TOA + 459.67
    If TOA < 32 Then
        pw = C(1) / T + C(2) + C(3) * T + C(4) * T ^ 2 + C(5) * T ^ 3 _
            + C(6) * T ^ 4 + C(7) * Log(T)
    Else
        pw = C(8) / T + C(9) + C(10) * T + C(11) * T ^ 2 + C(12) * T ^ 3 _
            + C(13) * Log(T)
    End If
    pw = Exp(pw)
    Pwh = RH * pw
    wsat = 0.62198 * pw / (Pt - pw)
    w_RH = 0.62198 * Pwh / (Pt - Pwh)
End Function

```

## VITA

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